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Pharmacological characterization of pseudopterosin, azaphilone and teleocidin as novel drug candidates for the treatment of triple negative breast cancer

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1 Abstract

Triple negative breast cancer (TNBC) is a breast cancer subtype with a particularly large size, high grade and lymph node involvement that increases the aggressiveness, the invasiveness and migratory potential of TNBC cells. It is a disease with a high unmet medical need and, to date, no available targeted therapy. A successful treatment is often hampered by high proliferation rates and metastasis to other organs.

Nature represents a vast source of highly diverse bioactive compounds, with undiscovered huge potentials in aiding to cure numerous diseases. To uncover the full potential of natural compounds in *in vivo* pharmacological tests, their underlying *in vitro* mechanism of action has to be unraveled first. Therefore, this study aimed to characterize three natural products in their ability to reduce TNBC characteristics: 1) pseudopterosin isolated from *Antillogorgia elisabethae*, 2) azaphilone isolated from *Coniella fragariae* and 3) teleocidin isolated from *Streptomyces mediocidius*.

Pseudopterosin possesses a variety of promising biological activities including anti-inflammatory effects, but its role in TNBC therapy has not been investigated. This study shows that pseudopterosin significantly reduces NF- κ B as well as NF- κ B target genes, disrupts the intercell communication of TNBC and immune cells and inhibits invasion and proliferation of TNBC cells. The mode of action of pseudopterosin was identified: the natural product activated the glucocorticoid receptor alpha (GR α) and its translocation into the nucleus.

Azaphilones are currently studied as anti-cancer agents due to their diverse biological activities. Novel azaphilone derivatives were isolated and characterized regarding NF-κB inhibition and cytotoxicity against TNBC cells. One derivative inhibited NF-κB activation at low micromolar concentrations as well as reduced migration and invasion.

Teleocidin A2 derivatives have been known as tumor-promoting agents due to activation of protein kinase C (PKC). However, new findings also identified anti-cancer abilities. In this study, we characterized novel teleocidin derivatives using SAR analysis. One derivative was identified as a novel lead compound for the specific inhibition of cancer-related protease activated receptor 2 (PAR2)-dependent calcium mobilization without displaying activation of the "off-targets" PKC or PAR1.

In summary, pseudopterosin, azaphilone and teleocidin hold great potential in reducing the aggressive nature of the breast cancer subtype TNBC by disrupting NF-κB signaling, PAR2 signaling, migration, proliferation as well as invasion. Thus, these classes of natural products provide promising characteristics to develop novel, more effective approaches in TNBC therapy.

VII

1 Zusammenfassung

Triple negativer Brustkrebs (TNBC) ist eine Unterart von Brustkrebs mit speziellen Merkmalen wie zum Beispiel besonderer Größe des Tumors, niedrigem Differenzierungsgrad und einer Involvierung der Lymphknoten, was zu erhöhter Invasivität und zu erhöhtem migratorischem Verhalten dieser Tumorart führt. Zielgerichtete Therapien können bei dieser Krankheit nicht angewendet werden, weshalb ein bis heute jedoch nicht abgedeckter, hoher Bedarf an neuen und innovativen Arzneistoffen besteht. Eine erfolgreiche Behandlung wird zusätzlich durch hohe Proliferationsraten und Metastasen in anderen Organen erschwert.

Die Natur bietet eine unerschöpfliche Quelle an diversen bioaktiven Stoffen, mit einem unentdeckten Potential zur Heilung von zahlreichen Krankheiten. Um das volle pharmakologische Potential dieser Naturstoffe für medizinische Anwendungen nutzen zu können, muss zuerst der Wirkungsmechanismus auf molekularer Ebene aufgeklärt werden. Diese Arbeit hat das Ziel drei verschiedene Naturstoffe auf ihre Fähigkeit zur Hemmung von TNBC-Charakteristika hin zu untersuchen: 1) Pseudopterosin isoliert aus *Antillogorgia elisabethae*, 2) Azaphilon aus *Coniella fragariae* und 3) Teleocidin aus *Streptomyces mediocidius*.

Pseudopterosin besitzt einige vielversprechende biologische Aktivitäten, unter anderem antiinflammatorische Effekte, wobei seine Rolle in TNBC bislang noch nicht untersucht wurde. Diese Arbeit zeigt erstmals, dass Pseudopterosin das NF-κB und seine Zielgene signifikant reduziert, die interzelluläre Kommunikation zwischen Tumor- und Immunzellen unterbricht und die Invasion sowie die Proliferation blockiert. Auch der molekulare Wirkmechanismus von Pseudopterosin konnte in dieser Arbeit aufgeklärt werden: Der Naturstoff aktiviert den Glukokortikoid Rezeptor alpha (GRα) und dessen Translokation in den Nukleus.

Azaphilone werden aufgrund ihrer diversen biologischen Aktivitäten als mögliche Krebsmittel diskutiert. In dieser Arbeit wurden neue und unbekannte Azaphilon-Derivate auf ihre NF-κB-Hemmung sowie auf ihre zytotoxischen Fähigkeiten hin charakterisiert. Ein Derivat zeigt eine signifikante Inhibition von NF-κB im niedrigen mikromolaren Konzentrationsbereich sowie reduziert die Migration und die Invasion bei TNBC Zellen.

Teleocidin-Derivate sind bekannt dafür, das Tumorwachstum aufgrund der Aktivierung der Proteinkinase C (PKC) zu fördern, neue Erkenntnisse haben jedoch auch krebsreduzierende Eigenschaften gezeigt. Unter Verwendung einer SAR-Analyse konnte in dieser Arbeit ein Teleocidin-Derivat als neue Leitstruktur identifiziert werden. Diese Derivat zeigte eine signifikante Reduzierung der Protease aktivierende Rezeptor (PAR2)-abhängige Kalzium-Mobilisierung im nanomolaren Konzentrationsbereich sowie eine Reduktion der Migration und der Invasion von TNBC-Zellen ohne die Aktivierung der "Off-Targets" PKC oder PAR1.

VIII

Zusammenfassend kann gesagt werden, dass Pseudopterosin, Azaphilon und Teleocidin großes Potential haben die aggressive Natur des Brustkrebstyps TNBC zu reduzieren und den NF-kB Signalweg, den PAR2 Signalweg, die Migration, die Proliferation sowie die Invasion zu stören. Diese drei Naturstoffklassen besitzen vielversprechende Charakteristika, um neue und effektivere Ansätze in der Therapie gegen TNBC zu entwickeln.

2 Introduction

2.1 Triple Negative Breast Cancer (TNBC)

Breast cancer is the most common malignancy and the most prevalent cancer in woman worldwide, even considering both sexes. In 2012, 1.7 million new cases, representing 25% of all cancer cases in woman, and 0.5 million cancer deaths were attributed to breast cancer [1]. In Europe however, an increase to 27.7% of new breast cancer cases where predicted (proportions divided into major world regions in Chart 2.1-1) [1]. In the U.S., between 2015 and 2017 estimates of new cases of breast cancer increased from 234.000 to 255.000 and estimates of deaths rates increased from 40.730 and 41.000 deaths [2,3].





Breast cancer is an extremely heterogeneous disease with high variances in hormone receptor expression and high genetic alterations [4]. A thorough assessment of breast cancer histology, using morphological and immunohistochemical analysis, is critical for a correct classification and for effective prognosis and treatment of the malignancy [5]. Limitations in qualitative versus quantitative assessment of specific criteria, however, can cause misclassifications and therefore variations in the response to therapy [6]. Breast tumor cells have unique features that are characteristic to cancer stem cells, including the ability of self-renewal, differentiation and the resistance against chemotherapeutics [7]. Gene expression profiling divides breast cancer into

five molecular subgroups: luminal A/B, HER2-enriched, basal-like, claudin-low and normalbreast-like [8]. Alternatively, a faster assessment in the clinics uses the expression of three hormone receptors: 'estrogen receptor' (ER), 'progesterone receptor' (PR) and 'human epidermal growth factor receptor-2' (HER2). The expression of the hormone receptors differs greatly, whereby 80% of all breast cancer patients express ER⁺ [9], in contrast to 15% that are categorized as triple negative as they do not express any of the three receptors [5]. The categorization of breast cancer into these subtypes remains challenging. For instance, 75% of 'triple negative breast cancers' (TNBCs) inhere features of the basal-like subtype, but also express genes associated with normal-breast-like tumors [5,6]. TNBC can be further subdivided into inflammatory and non-inflammatory subtypes, with survival rates of 26% compared to 46%, respectively [10]. Furthermore, tumors with mutations in the tumor suppressor gene BRCA1 share characteristics with aggressive features of TNBC [11]. Despite the challenges and problems related to the heterogeneity of breast cancer, the identification of hormone receptor subtypes in breast tumors remains the only existing routine in the clinics [5].

Three different medical treatments are distinguished in TNBC therapy despite the lack of standardized approaches: the classic chemotherapy, consisting of taxanes or platinum-based agents; the neoadjuvant therapy, an administration of a drug before a main chemotherapeutic treatment; or the adjuvant therapy, given in addition of the primary or the initial therapy. For patients with hormone receptor-positive tumors, such as HER2, the anti-HER2 monoclonal antibody trastuzumab increases the success of therapeutic treatment compared to common therapies [12,13]. However, a drawback of endocrine therapies is the lack of efficiency in TNBC patients, leaving cytotoxic chemotherapy as the only treatment option resulting in worse prognosis than in patients with non-TNBC [5,12]. Chemotherapeutics, for example platinumbased compounds or taxanes, target DNA-repair mechanisms or the proliferation of cancer cells [14]. Neoadjuvant chemotherapies show improved prognosis in breast cancer patients to compared classic chemotherapy [14–16]. Combinatorial treatments of known chemotherapeutics benefit even more compared to single-agent therapies: paclitaxel followed by fluorouracil, doxorubicin and cyclophosphamide showed a better therapy outcome compared to neoadjuvant cisplatin therapy [17,18]. Nevertheless, chemotherapeutic treatments have no specific target in breast cancer tumors and thus destroy healthy and malignant cells alike [19]. Moreover, TNBC tumors develop resistances to chemotherapeutic treatments especially in subtypes harboring mutations in p53 [20] or BRCA1 [21–23]. Doxorubicin is often used as a first line treatment, but limitations such as recurrence and high metastasis rates lower the therapeutic success [24]. The average survival rates of breast cancer patients differs greatly dependent on the applied therapy ranging from 30 to 74% in TNBC and from 87 to 93% in non-TNBC patients [13,25]. A dramatic reduction of survival in TNBC patients to only 7-13 month is observed, which is caused by high visceral metastases [14]. Thus, finding the optimal therapy in TNBC is a difficult task due to the variety of combinatorial treatments reflected by the wide range in clinical outcome and contradicting results in the response of the same agents.

Targeted therapy does not exist for TNBC patients. The heterogeneous nature of TNBC explains the low success of therapeutic treatments and thus the low survival rates. New drugs are required to fulfill the high unmet medical need for novel, innovative therapies to increase the response and survival rates of TNBC patients. This study focuses on the characterization of novel compounds on inhibiting important regulatory signaling pathways which drive cancer progression.

2.2 The Tumor Microenvironment (TME) in Breast Carcinogenesis

o better understand the heterogeneity of breast cancer, the composition of a tumor and the diverse signaling in the 'tumor microenvironment' (TME) needs to be illuminated. Normal breast tissue consists of myoepithelial cells, surrounding luminal epithelial cells, playing an essential role in assembling the basement membrane and in the lactation process resulting from high collagen IV levels [26,27]. Epithelial cells, myoepithelial cells, fibroblasts, adipocytes and immune cells synthesize 'extracellular matrix' (ECM) proteins and make up the breast microenvironment, thereby assembling the mammary gland as a complex organ. Distinct functional groups of the ECM - the basal-lamina, the intra-, the interlobular stroma and the fibrous connective tissue - provide physical support for the tissue architecture. Second messengers, such as growth factors and cytokines, play an important role in the ECM in regulating mammary morphogenesis by activating their respective signaling pathways. ECM can be described as an interconnected network of secreted proteins interacting with cells and starting specific signaling pathways [26,28,29]. However, these components of the breast microenvironment are increasingly recognized as cause of advanced carcinogenesis, invasive behavior, advanced differentiation as well as tumor growth and together with the surrounding blood vessels make up the TME [28,30]. A macrophage-rich TME, for example, is correlated with an increased aggressive behavior of the tumor with high metastatic potential [31].

The TME differs from patient to patient and its establishment is a complex process. At the beginning of tumor emergence, immune cells, for example peripheral blood mononuclear cells (PBMC), release chemotactic factors to infiltrate and destroy tumor cells analogous to the wound-healing process [32]. These immune cells immediately detect malignant cells and interfere with tumor progression, a process referred to as immune surveillance [33]. At the

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beginning of progression, tumors can stay for a long time in an 'angiogenic dormancy', where the tumor does not grow beyond 1-2 mm² due to limit of oxygen and nutrients, thereby staying in a balance of proliferation and apoptosis [34]. 36-56% of breast cancer patients show dormant, non-proliferative tumor cells, which later can be a signal for higher metastasis and a lower survival rate. [34]. At a critical point of tumor progression, the tumor evades immune infiltrates and creates a microenvironment that is favorable for further tumor growth [35]. When proliferation rates rise compared to apoptosis and the tumor grows beyond 2 mm² due to vascular infiltration, its state is called 'angiogenic switch' (see Scheme 2.3-1) [34]. At this state, the initial inflammatory response of immune cells is followed by an influx of anti-tumor effector cells and afterwards by a downregulation of anti-tumor signals [35]. At a critical size of tumor growth, *de novo* angiogenesis, initiated by increased levels of 'vascular endothelial growth factor' (VEGF), is important for the supply of higher amounts of nutrients and oxygen [28,36].

Tumor progression is not only a result of uncontrollably proliferating colonies of cancer cells, but also of a heterogeneous co-evolving microenvironment, where tumor cells and immune cells communicate within the microenvironment in paracrine manner [37]. Macrophage and lymphocyte infiltration into the TME of the breast, for example, characterizes an increased invasive phenotype leading to the intravasation of carcinoma cells into the blood vessel [27,38]. 'Tumor associated macrophages' (TAM), originating from blood monocytes [39], are the major inflammatory infiltrating cells in the breast tumor [40]. Different subpopulations of macrophages fulfill distinct functions in the innate immune response dependent on their polarization status known as classical-activated (M1), alternatively activated (M2) and regulatory macrophages (Mregs) [38]. M1 macrophages secrete high levels of pro-inflammatory cytokines [31]. M2 macrophages secrete anti-inflammatory cytokines resulting in immunosuppression and woundhealing, but in the periphery of cancer cells they secrete proteases stimulating pro-oncogenic functions including angiogenesis, matrix remodeling, tumor cell migration and invasion [31]. Mregs arise in response to stress and secrete anti-inflammatory cytokines, but unlike M2 macrophages, do not contribute in producing ECM proteins [41]. Macrophages are able to exert both pro-tumorigenic as well as anti-tumorigenic signals at the same time to either promote tumor cell proliferation, angiogenesis, immunosuppression as well as tissue expansion or inversely to suppress tumor growth, tissue remodeling and prevent differentiation and maturation of malignant cells [31,37,38]. The upregulation of pro-inflammatory signals in the immune response is regulated through activation of the transcription factor 'nuclear factor kappa-light-chain-enhancer of activated B-cells' (NF-kB). In the TME however, NF-kB signaling creates a paracrine signaling loop driving an invasive phenotype [35]. The dynamic process of the bidirectional communication of tumor and tumor-associated immune cells and their

interaction with the ECM is necessary for adhesion, survival, proliferation as well as differentiation of the tumor [42].

In summary, the tumor microenvironment plays a critical role in the invasiveness and aggressiveness of breast cancer, consisting of a complex network of different cell types and signals, where the composition of the microenvironment exerts a vast influence on the related therapy.

2.3 Signaling Pathways Responsible for Breast Cancer Progression

2.3.1 NF-кB Signaling

The function of NF- κ B signaling is the regulation of innate immunity and anti-inflammatory response. However, constitutive activation of NF- κ B can lead to chronic inflammation and subsequent tumor progression. In carcinomas, high NF- κ B expression is associated with increased aggressiveness and high metastatic potential. NF- κ B regulates markers of 'epithelial-to-mesenchymal transition' (EMT) as well as the self-renewal of breast cancer stem cells and plays an important role specifically in initiation and progression of breast cancer [43–45]. Thus, the NF- κ B pathway is the key to better understand inflammatory processes in the breast cancer microenvironment.

NF-κB shows immediate responses to several triggers such as 'pathogen-associated molecular patterns' (PAMPs), pro-inflammatory cytokines including 'tumor necrosis factor alpha' (TNF α), growth factors, hormones, oxidative stress, viral infections or DNA-damaging agents [46–49]. The main function of NF-κB is the recruitment of macrophages to the inflammatory site to eliminate pathogens through increased secretion of its target genes, the cytokines [50]. In mammalian cells, the NF-κB family consists of five functionally conserved members: p50 (also known as c-Rel or NF-κB1), p52 (or NF-κB2), p60 (or RelB) and p65 (also known as RelA or NF-κB3) [51,52]. The transcription of target genes is triggered by different members of the Rel family, but the most common dimer consists of the p50 and p65 subunits (NF-κB subsequently refers to the p65/p50 dimer).

All members are equipped with an N-terminal Rel domain, responsible for DNA binding and dimerization. Transcriptional activation is triggered via the C-terminal domain found in p65, p50 and p60. The Rel homology domain, which contains a 'nuclear localization sequence' (NLS), is responsible for the translocation of NF- κ B. Additionally, the Rel domain is necessary to form

homo- and heterodimers of different members of the Rel family to regulate distinct signaling pathways of immunity, inflammation, anti-apoptosis, proliferation and stress responses [53].

In unstimulated cells, NF- κ B remains in an inactive form in the cytoplasm due to the interaction with the family members of the 'inhibitors of κ B' (I κ B). The I κ B family consists of four members, I κ B α , I κ B β , I κ B ϵ , I κ B γ , which interact with the NF- κ B dimer through five to seven ankyrin repeats [54], thereby preventing its translocation into the nucleus and subsequent gene expression by masking the nuclear localization sequence [55,56]. Ligand binding to the 'Toll-like receptor' (TLR)-family or 'tumor necrosis factor alpha receptor 1' (TNFR1) leads to activation of a complex consisting of three different kinases - IKK α , IKK β and IKK γ – named the IKK complex [57]. The IKK complex phosphorylates I κ B α , which follows polyubiquitination and degradation of I κ B α through the 26S proteasome. With the now exposed NLS sequence, the phosphorylated NF- κ B is able to translocate into the nucleus and bind to response elements triggering expression of target genes (see NF- κ B signaling in Figure 2.3-1) [51].

The transcription factor Toll, first discovered in *Drosophila*, belongs to a highly conserved family of receptors, consisting of 10 different members in humans, each detecting specific PAMPs [58,59]. TLRs are localized either in intracellular compartments such as endosomes (TLR3, 7, 8, and 9) or on the cell surface (TLR1, 2, 4, 5, and 6) [59]. They belong to the type I integral membrane receptors with a horseshoe-shaped extracellular domain responsible for recognizing structural patterns, a transmembrane helix as anchor and an intracellular signaling domain where adaptor proteins can bind and pass on the signal [60]. The TLR receptor family recognizes a large variety of signals. TLR4 for instance binds a divergent set of ligands such as lipopolysaccharide (LPS), paclitaxel, fibronectin or 'heat shock proteins' (HSPs) [59]. This receptor is the only member of the family where ligand-binding leads to conformational changes followed by a homo-dimerization [61]. Additional modulatory proteins such as 'Toll/IL-1 receptor' (TIR), the 'myeloid differentiation primary response gene 88' (MyD88) [62] and the co-receptor protein MD-2 – a glycoprotein important for the direct binding of LPS [63] – form hemophilic interactions with TLR4 and are important in the recognition of different pathogenic particles. Another receptor which triggers NF-kB activation is TNRF1. Its adapter proteins 'TNF receptorassociated protein with a death domain' (TRADD) and 'receptor-interacting protein 1' (RIP1) together with TNFR1 trigger NF- κ B signaling after the binding of TNF α [61]. The interplay of every single signal component in this pathway is important in the course of the inflammatory response, because its deregulation leads to constitutive activation of NF-KB and thus cancer progression. Therefore, NF-κB is an essential actor in the link between inflammation as well as initiation of oncogenesis and progression [43].



Figure 2.3-1. Stimulation of TNFR1 or TLR4 leads to the translocation of the p65/p50 dimer into the nucleus, activating subsequent target gene expression.

Constitutive activation of NF-KB signaling and subsequent target gene expression is responsible for cancer progression in various tumor types, especially in early stages of transformation of mammary cells [64]. NF-kB has thus been proposed to be a hallmark of cancer progression, as increasing evidence in research correlates the NF-κB signaling with characteristics of cancer: IKKα plays an important role in the self-renewal of tumor-initiating cells [43]; NF-κB plays a key role in tissue organization, disrupting important signals in the microenvironment [65]; NF-KB activation correlates with expansion of cancer stem cell populations [8]; activation of NF-KB is associated with poor prognosis in breast cancer patients [66]; increased NF-κB activity correlates with higher aggressiveness [9], initiation and progression of breast cancer [45]. Other more indirect observations point towards a correlation of breast cancer progression and inhibition of NF-κB or NF-κB signaling components: increased apoptosis in cancer cells as well as reduced tumor formation [67], proliferation, cytokine production [68], metastasis [69], invasiveness and migration [44]. Overexpression of NF-kB signaling in non-malignant breast cancer cells results in an imitation of constitutive activation and thus to the process of EMT by suppressing epithelial markers, simultaneously inducing mesenchymal markers thereby driving metastasis of epithelial cells [70,71].

Chromosomal translocations of the NF- κ B2 locus may be the result of NF- κ B constitutive activation [72]. However, elevated NF- κ B activation and secretion of pro-inflammatory cytokines in the tumor microenvironment may also result from increased mutations of upstream signaling components [73]. In breast cancer, specifically basal-like, TNBC [74], but also HER2⁺ subtypes harbor activated NF- κ B levels [67]. Numerous small molecule inhibitors of NF- κ B signaling are published, 130 patents targeting IKK, but only few have advanced into clinical stages due to high toxicity issues [75]. Although two compounds entered phase I clinical trials against dermatitis and solid tumors, none are tested against breast cancer [75]. This underlines the high medical need for targeted therapy against TNBC to fill the gap of potent active drugs with reduced cytotoxicity and increased specificity. Thus, NF- κ B and its signaling components might be possible therapeutic targets in TNBC.

In conclusion, increase in the expression of NF- κ B target genes in healthy breast tissue drives breast cancer progression and NF- κ B overexpression is strongly associated with poor prognosis in TNBC patients.

2.3.1.1 Cytokines Involved in the Bidirectional Communication of the Tumor microenvironment

NF-KB activation in tumor cells and tumor-associated immune cells leads to secretion of a diverse group of cytokines and chemokines – including interleukins, interferons, growth factors and necrosis factors – to communicate with each other, thereby regulating for instance angiogenesis and metastasis [76,77]. At the beginning of tumor assembling, cancer cells secrete cytokines into the surrounding tumor microenvironment to initiate recruitment and reprogramming of immune cells, whereas in later stages, cytokines maintain the inflammatory milieu and support tumor growth and progression [68,78]. In the next sections, four different cytokines are described, each with a distinct role in supporting the growth and the progression of breast cancer.

Interleukin 6 (IL-6) is a monomeric polypeptide of the hematopoietin family containing four alpha helical regions [79]. The binding of IL-6 to its receptor follows a co-precipitation of a glycoprotein and dimerization to initiate downstream activation of kinase activity [80]. IL-6 responds to the presence of pathogens such as viruses and bacteria [81] and activates different immune cells [82]. TAMs, fibroblasts or endothelial cells in turn produce IL-6 to intensify the inflammatory response [79]. However, overproduction of IL-6 is associated with autoimmune diseases [83,84] and increases cell motility [85], invasiveness as well as acquisition of EMT markers in TNBC [85,86]. In breast cancer, elevated IL-6 levels correlated with ER⁻ hormone status but not with ER⁺ [87], the latter showing low proliferation rates [88]. Breast cancer patients with high IL-6 serum levels have reduced responsiveness to chemo-endocrine treatment and a bad prognosis,

especially in cases with higher disease recurrence and visceral metastasis [88–90]. In the clinics, a monoclonal antibody targeting the IL-6 receptor – tocilizumab –is approved to treat patients suffering from rheumatoid arthritis, but not to treat breast cancer, yet [91]. In summary, IL-6 has a primary role as a major mediator of the inflammatory response in the pathophysiology and aggressiveness of breast cancer [92].

Interleukin 8 (IL-8), also known as CXCL-8, is a member of secreted α -chemokines or CXCs, originally found to be secreted by monocytes to attract neutrophils [77]. However, endothelial cells, fibroblasts and cancer cells also secrete IL-8 [93]. IL-8 is strongly associated with tumor progression, as cancer cells with elevated IL-8 levels show a high metastatic potential [94]. In breast carcinoma, epigenetic modifications on the IL-8 gene in undifferentiated cells increase ectopic IL-8 expression and thus the metastatic potential [95]. High expression of IL-8 results in poor prognosis especially in TNBC patients [96]. Interestingly, ER status and IL-8 both are inversely correlated: ER⁺ cancer cells show low migration rates as a cause of ERα-dependent downregulation of IL-8 expression. In contrast, IL-8 induces migration in TNBC cells harboring low ERα expression [85]. IL-8 is involved in breast cancer cell invasion and angiogenesis [97], possibly by stimulating VEGF [93] and vimentin [97]. As IL-8 increases the formation of mammospheres and promotes the self-renewal of breast cancer stem cells [98], this cytokine may be a marker for breast cancer and its receptor an indicator for tamoxifen resistance [93]. Combinatorial administration of IL-8 and 'epidermal growth factor' (EGF) antibodies significantly reduces metastasis in TNBC xenografts [99]. In conclusion, IL-8 has been described as a major driver of breast cancer progression and targeting IL-8 might be a successful approach in TNBC therapy.

Tumor necrosis factor alpha (TNF α) is released by a set of immune cells, including macrophages, T-, B-cells as well as natural killer cells in response to endotoxin and other bacterial particles, playing a key role in the innate immune response of NF- κ B [100]. After the binding of TNF α to its corresponding receptor, TNF receptor 1 (TNFR1), IKK is subsequently activated and initiates NF- κ B translocation into the nucleus as described in chapter 2.3.1. In this manner, TNF α is an inducer of other cytokines, including IL-6, IL-8 [101] and MCP-1 [102,103]. The functions of TNF α are either tumor suppressive by inducing necrosis, apoptosis and sensitizing cells to chemotherapy, or tumor supportive by promoting proliferation, angiogenesis, metastasis [104,105] and invasiveness in breast carcinomas [106]. Furthermore, increased TNF α levels in the serum of breast cancer patients has been linked to lymph node metastasis, tumor stage [107] and micropapillary carcinoma [108]. TNF α up-regulates matrix metalloproteinases resulting in increased motility as well as invasion [109]. The development of resistance to chemotherapeutic drugs in ER⁺ cells is ascribed to TNF α affecting the expression

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of ATP binding-cassette (ABC) transporters [110]. In the clinics, TNF α inhibitors are used against inflammatory diseases [111] and one inhibitor, etanercept, is also tested in phase II clinical trials against breast cancer [112]. However, another TNF α inhibitor, infliximab, is ineffective in breast cancer [113,114]. In summary, TNF α is a regulator of NF- κ B signaling, contributing to tumor progression and intensifying the amount of inflammatory signals in the breast cancer microenvironment and thus TNF α inhibitors might be possible agents in TNBC therapy.

'Monocyte chemoattractant protein 1' (MCP-1), also known as CCL2, belongs to the glycosylated C-C chemokine subfamily containing conserved cysteine residues [77]. MCP-1 is the major driver of recruiting TAMs to the tumor as a result of tumor cell and macrophage interaction [115]. The cytokine is produced both by tumor cells and macrophages alike and correlates with an increased number of TAMs in the TME resulting in poor prognosis of patients [31]. 'Cancer-associated fibroblasts' (CAFs), residing in the proximity of cancer cells, also secrete MCP-1 and thus maintain the inflammatory milieu in the TME [103]. Low levels of MCP-1 in non-melanoma cells are sufficient for the accumulation of TAMs to initiate tumor formation, however, high levels of MCP-1 lead to macrophage infiltration into the tumor followed by its destruction [116]. Therefore, it is not surprising that MCP-1 can be detected in the medium of TNBC cells, but also in immortalized healthy breast cells [117]. MCP-1 overexpression in TNBC correlates with invasion into lymph nodes and blood vessels [118], increases metastasis [119], tumor progression and angiogenesis in mammary tumor cells [120], but not proliferation [121]. In summary, MCP-1 is a key molecule in recruiting macrophages to the tumor site and modulating angiogenesis in the TME.

In summary, the interplay of tumor cells with tumor-associated immune cells using the proinflammatory cytokines IL-6, IL-8, TNF α and MCP-1, regulated by NF- κ B signaling, is important in the process of angiogenic switch, the development of a TME and the progression of tumor growth (see Scheme 2.3-1). Thus, combinatorial inhibition of these pro-inflammatory cytokines may be a new, innovative approach in TNBC therapy.



Scheme 2.3-1. Constitutive NF-kB activation can lead to increased secretion of cytokines and thus to tumor development and the establishment of an own tumor microenvironment (TME).

2.3.2 Glucocorticoid Receptor Alpha (GRa) Signaling

In the clinics, the anti-inflammatory signaling and immunosuppressive effect of the 'glucocorticoid receptor alpha' (GR α) is used to reduce side effects of chemotherapeutic treatments, such as nausea and vomiting [122–124]. However, the development of venous thromboembolism, avascular necrosis, as well as chronic diseases such as diabetes mellitus or osteoporosis led to controversial discussions and a reduction of corticosteroid prescriptions [125,126]. Glucocorticoids (GCs) activate GR α , but administration correlates with poor prognosis of patients due to suppression of chemotherapy induced apoptosis [127,128]. However, other studies report an increase in response rates and improved survival after GC administration combined with chemotherapy, not affecting the survival of breast cancer patients [122,129].

The natural GC cortisol is an important messenger, which is synthesized in the adrenal cortex and delivered through the circulating system. The concentration is raised daily in the mornings, up-regulating the process of gluconeogenesis to maintain the metabolism, but an increase is also a response to stress. GCs are able to freely diffuse into cells and interact with, as well as activate, $GR\alpha$ – a member of the nuclear receptor superfamily. This family shares a variable N-terminal transactivation domain, a central DNA binding domain and a C-terminal domain responsible for ligand binding [130]. In the inactive state, GR α resides in the cytoplasm bound to the regulatory 'heat shock proteins' (HSPs) 90, 70 and 56 [131]. Upon ligand-binding, the HSPs dissociate, GR α then is hyperphosphorylated, translocates into the nucleus and binds to its

response elements as a dimer [132,133]. By binding positive 'glucocorticoid response elements' (GREs), GR α activates subsequent target gene transcription, whereas by binding negative GREs (nGREs), GR α downregulates transcription in GC-responsive genes [130,134]. Interestingly, nGREs cannot be found in promotors of cytokine genes, suggesting a distinct transcriptional regulatory mechanism [135]. GRa can interact with co-factors, chromatinmodulators and modifying enzymes which enable or prevent the transcription of target genes [136]. As a monomer, GR α binds to transcription factors such as NF- κ B downregulating the inflammatory response and suppressing subsequent expression of pro-inflammatory cytokines [130,137]. In breast cancer, 20-50% of invasive and ductal lobular cells express GR α [137,138]. Malignant mammary epithelium expresses higher amounts of GRa than normal or lactational epithelium, which is correlated with HER2-negativity [139]. In breast cancer patients, immunosuppressive properties of GCs can increase the response rates after chemotherapy [122]. In contrast, GCs also increase the survival of tumor cells [138]. Continuative research is needed to better understand the mechanisms of $GR\alpha$ signaling in the breast cancer microenvironment. In the next section, the correlation of GR α activation with ER status is discussed to distinguish beneficial versus harmful effects of GC administration in the progression of breast cancer.

Studies that report a harmful outcome after GC treatment show reduced apoptosis in ER⁻ and ER⁺ breast cancer cells in the context of chemotherapeutic treatment [140,141]. Administration of the synthetic GC dexamethasone (Dex) in ER⁻ is associated with a greater ER⁻ tumor growth [142] and increased drug resistance as a result of driving pro-tumorigenic genes [143]. High GR α expression correlates with increased activation of EMT pathways leading to a shorter survival of ER⁻ patients [142]. High ER⁺ expression is correlated with low GR α expression resulting in longer relapse free survival, whereas high GR α expression in ER⁻ patients is associated with shorter relapse free survival [142].

Studies that report a beneficial outcome after GC treatment show an induction of apoptosis in lymphoid cancer and in ER^+ breast cancer cells [127,144]. Anti-proliferative effects are correlated with high expression of GR α , suggesting a tumor suppressor role in ER^+ breast cancer cells [123]. Dex treatment is correlated with transcriptional repression of a gene responsible for resistance in ER^+ and ER^- breast cancer [145]. A specific gene, which is associated with reduced proliferation and invasiveness, is transcriptional activated after Dex treatment in ER^- cells [146]. In the absence of ER, GR α significantly inhibits migration [147], upregulates metastasis-suppressor genes as well as represses VEGF and thus reduces angiogenesis [148,149]. Dex is also described to potentiate the anti-tumor effects and can act as a chemosensitizer and chemoprotectant at the same time [150]. In breast carcinoma, GCs

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can also display tumor suppressor roles by maintaining the accuracy of chromosome segregation during mitosis [151].

To conclude a correlation of GR α expression and ER status in breast cancer, additional studies are necessary and further investigations of the highly dynamic nature of GR α signaling are needed. A possible approach in TNBC therapy may be the activation of GR α -dependent inhibition of NF- κ B signaling and pro-inflammatory cytokines in parallel with a reduction of the GR α -mediated side effects.

2.3.3 PAR2 Signaling

'Protease-activated receptor 2' (PAR2) belongs to a superfamily of G protein-coupled receptors. To date, four different PAR receptors are known, that share 7 transmembrane domains, connected by three extracellular as well as three intracellular loops, an intracellular C-terminus and an extracellular N-terminus. The activation of PAR2 is independent of an endogenous ligand, instead the N-terminal domain is irreversibly cleaved and the then liberated amino acid sequence SLIGKV serves as ligand [152–155]. The cleavage is executed by trypsin and trypsinlike proteases, such as tryptase, thrombin and coagulation proteases [152,156]. Upon receptor activation, PAR2 interacts with three different G-proteins followed by receptor internalization and activation of downstream signaling [157,158]. The G-proteins activate inositol triphosphate production and subsequently lead to protein kinase C (PKC) activation as well as mobilization of calcium ions. Different G-proteins can regulate either migratory, differentiation and proliferation processes [159]. PAR2 is crucial for the modulation of actin cytoskeleton reorganization, where β-arrestin plays a major role in PAR2-stimulated immune-cell migration [158]. Internalization of PAR2 and formation of a complex consisting of β -arrestin, raf-1 and activated 'extracellularregulated kinases' (ERK) is required for the phosphorylation of ERK1/2, an important regulator of proliferation [157]. Numerous cells express PAR2, including immune cells, inflammatory cells, endothelial cells and epithelial cells, leading to a broad spectrum of PAR2-regulated processes [159]. In the cardiovascular system, the PAR family regulates relaxation and the aggregation of thrombocytes, whereas PAR2 plays a crucial role in the response to vessel injury [160,161]. PAR2-expressing monocytes secrete increased levels of inflammatory cytokines such as IL-6 and IL-8 [162]. However, PAR2 activation also results in multiple diseases and metabolic dysfunctions and is associated with cancer progression [159]. Paradoxically, in certain disease settings, PAR2 executes protective effects, for example by the contribution to wound-healing [163].

In the context of breast cancer, PAR2 increases motility, cancer cell proliferation as well as invasion and is associated with bad prognosis [164–167]. In clinical studies, PAR2

overexpression is not only correlated with increased malignancy in the breast but also of other entities, for example lung or esophagus [159]. Co-expression of PAR2, PAR2-associated tissue factors as well as VEGF results in high recurrence rates and treatment with PAR2 agonists increases the aggressiveness of breast cancer [167,168]. Furthermore, PAR2 is involved in inducing migration and formation of actin stress fibers in TNBC cells [169]. The majority of PARrelated antagonists on the market are based on inhibition of PAR1, for instance vorapaxar [170]. Other compounds inhibiting PAR2 show activities only at low mircomolar concentrations due to low receptor specificity or agonistic activities on related receptors, for example PAR1 [171]. In a recent study, a new class of compounds is described as PAR2-antagonists with activities at nanomolar concentrations, however also activating PKC [169]. A high medical need for effective therapeutic strategies in TNBC supports the development of new and selective PAR2antagonists and the investigation of their underlying mechanism of action.

2.4 Natural Products as Sources of Novel Drug Leads

Organic compounds, isolated from natural sources have not only played a central role in recent medicinal chemistry, but have also demonstrated health benefits in the entire human history. Resveratrol or flavonoids for example, have been used as dietary supplements due to their anti-oxidative effects [172]. Phytochemicals can contain disease-preventing compounds and might even benefit against cancer [173]. The modern medicinal science has since explored a wide range of compounds isolated from plants, marine organisms, bacteria or fungi and developed potent drugs based on their lead structures. An increasing amount of anticancer drugs was derived from natural products, raising from 62% in the years 1981-2002 [174] to 75% till 2010 [175]. Higher plants and microorganisms were long the richest source for natural products [176] and long known anti-cancer agents: Paclitaxel, for example, was discovered in the seventies (taxol) in the bark of the tree *Taxus brevifolia* [175,177]; epipodophyllotoxin is produced in the roots of the plant *Podophyllum peltatum* and was the archetype for etoposide and teniposide [175]; doxorubicin and bleomycin are produced by different *Streptomyces* species [176].

Natural products from marine sources came into focus of research in the 1960s, with the emergence of scuba diving. Marine approved drugs are, for example, cytarabine (Cytosar-U), vidarabine (Vira-A), and zyconotide (Prialt) [178]. To date, the only marine-derived drug approved for treatment of metastatic breast cancer is eribulin mesylate, extracted from the family *Halichondriidae* [179,180]. To date, spongistatin is the most active marine anti-tumor compound in 60 different human cancer cell lines with an average IC_{50} value of 0.12 nM [181]. Limited supply and cultivation difficulties, however, limit further development of anti-cancer

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drugs from marine sources. In part, this is because low concentrations of the critical organic compounds demand high amounts of the marine organism for its isolation. For example, 1 ton of the associated tunicate had to be collected for the isolation of one gram of the anti-cancer agent ET-743 [176]. Other problems are the complexity of the chemical structures with no syntheses routes available, rare species or negative side effects in advanced animal or human testing [181]. The high competition among marine organisms in the reefs explains the diversity in natural compounds to survive in the limited habitat. Corals can thus be a particularly rich source of natural products also in breast cancer research.

Three natural products where investigated in this thesis in the context of TNBC for the first time: 1) pseudopterosin as a promising anti-inflammatory compound from marine sources, 2) azaphilone inhering cytotoxic abilities against tumor cells isolated from fungus and 3) teleocidin a promising anti-tumor agent isolated from bacteria.

2.4.1 Pseudopterosin

Pseudopterosins are a class of diterpene glucosides with 31 known derivatives today [182] produced by the soft coral *Antillogorgia elisabethae* (formerly named *Pseudopterogorgia e.*) growing in the Carribean Sea. The soft coral belongs to the family of *Gorgoniidae* (*Octocorals*), representing the most important octocoral in the reefs with high production levels of terpenoids and secosterols [183]. Between 1986 and 2004, several pseudopterosins were isolated: Pseudopterosins A, B, C and D contain β -D-xylose as a sugar moiety [183], E to L contain either α -L-fucose or α -D-arabinose [184] and P to Z contain either β -D-arabinose or α -L-fucose [185].



Figure 2.4-1. Pseudopterosin A (PsA) structure.

Pseudopterosin A (PsA) has a tricarbocyclic core with four stereocenters. The β -D-xylose glycosylation resides on C-9 of the catechol unit.

'Pseudopterosin A' (PsA) and E (PsE) demonstrated superior anti-inflammatory potency compared to the conventional marketed, 'non-steroidal anti-inflammatory drug' (NSAID) indomethacin. Although the mechanism of action of indomethacin is the inhibition of

cyclooxygenase, the pharmacological mechanism of action of pseudopterosin has not been discovered yet [186,187]. In experiments with mice, PsE showed low toxicity at a concentration of 300 mg/kg, whereas the mixture of PsA-D showed elevated toxicity at a concentration of 50 mg/kg [184]. PsA in particular demonstrated significant biological activities inhibiting phagocytic activity, increasing intracellular calcium concentrations [188], inhibiting activity on pancreatic phospholipase A2 [186] and modulating neurological activity during phases of oxidative stress [189]. *In vivo* pharmacokinetic parameters revealed a rapid distribution and elimination of PsA in mice, showing a half-life of 3-4 hours in the brain, the liver and the kidney [189]. The striking properties of PsA listed above are thus evaluated in phase II clinical trials as a wound healing agent [178,181]. In summary, pseudopterosin exhibits a wide range of anti-inflammatory properties that have been used in skin care products [181].

Despite the published *in vivo* pharmacological actions of pseudopterosin, the *in vitro* mechanism of action remains unknown, as yet. In this thesis, anti-inflammatory effects of pseudopterosins A, B, C and D (PsA-D) were investigated in the context of NF-κB signaling as well as inhibitory activity on TNBC characteristics such as migration, proliferation and invasion. Furthermore, inhibitory activities on the bidirectional communication of immune and TNBC cells were investigated [190,191].

2.4.2 Azaphilone

Azaphilones are secondary metabolites mainly produced by fungi. They are characterized by a highly oxygenated pyrano-quinone bicyclic core [192]. The production of the azaphilones might result from a gene cluster called '*aza*', which was discovered in *Aspergillus niger* [192]. Various species of *Monascus* (for example *Monascus purpureus*) or other molds like *Chaetomium* [193], *Penicillium* [194], *Aspergillus*, *Bulgaria* [195] or *Talaromyces* [196] produce azaphilone derivatives, which are commonly used as natural pigment food additives [193]. Commercially available pigment mixtures of azaphilones range from yellow to orange to purple-red [194,197]. A steadily increasing number of compounds with an azaphilone core-structure (see Figure 2.4-2), produced from 23 different fungal genera, have been identified so far [196,198].



Figure 2.4-2. Azaphilone core structure (X = O, N) (adapted from [196]).

Azaphilone and azaphilone-derivatives exhibit diverse biological activities, such as telomerase inhibition [199], induction of Epstein-Barr virus [200], anti-microbial activity [201,202],

lipooxigenase inhibition [203], inhibition of nitric oxide [204], or inhibition of PMA-induced inflammation [193]. In the context of cancer, azaphilone derivatives inhibit tumor promotion in a two-stage carcinogenesis [193], proliferation [205,206] or inhibit a RNA-binding protein that stabilizes oncogenic mRNA, cytokine mRNA or growth factor mRNA [207]. Cytotoxic activity against A549 lung carcinoma cells [208], HL-60 acute leukemia cells [209,210], HepG2 liver cancer cells [211], HeLa cervical cancer cells [212] and additional 39 human cancer cell lines, including MDA-MB-231 breast cancer cells, are described [196,210].

Azaphilones show a broad spectrum of potent anti-cancer properties. In this thesis, new azaphilone derivatives were investigated regarding NF-κB inhibition, anti-migratory and anti-invasive effects on MDA-MB-231 breast cancer cells [213].

2.4.3 Teleocidin

Teleocidins biosynthetically derive from indolactam V and are a class of natural compounds, which were first isolated from Streptomyces mediocidius in 1960 [214]. Teleocidin showed toxic properties to the teleost fish Oryzias latipes, but no toxicity to bacteria or molds [215]. The class of teleocidins belongs to the indole alkaloids derived from the natural product indolactam V, which is produced from Streptoverticillium blastmyceticum [216] and include the isoforms teleocidins A1-2 and teleocidins B1-4 [215,217,218]. Lyngbya algae, such as the marine cyanobacterium Moorea producens (originally Lyngbya majuscula), produce the teleocidin derivatives teleocidin B [219] and lyngbyatoxine A, which is structurally identical to teleocidin A1 [217,220]. Today, several compounds belong to the teleocidins and they are produced by different Streptomyces strains, for example olivoretine [221], blastmycetine [222] or dihydroteleocidin B [217]. Although some natural products have beneficial effects such as antiinflammation, the major effect of teleocidins is the activation of the protein kinase C (PKC) similar to phorbol esters. Teleocidins activate PKC and promote tumor growth as a result of increased calcium levels [156]. Activation of PKC results in secretion of high amounts of inflammatory signals [217,223,224], increase of tumor proliferation in lung cancer and increase of cell growth as well as secretion of pro-survival factors in breast cancer [225]. Additionally, lyngbyatoxine A together with teleocidin B induce lethality in mice [220], epidermal hyperplasia and promote skin tumors [226].



Figure 2.4-3. Chemical structure of indolactam V (left) and teleocidin A2 (right).

However, the teleocidin-aglycon indolactam V is also reported to downregulate some PKC isoforms, in contrast showing anti-tumor properties for the first time [227]. By analyzing PAR2-dependent calcium release, teleocidin A2 demonstrates inhibitory abilities in MDA-MB-231, A549 cells as well as human umbilical vein endothelial cells (HUVEC) and displays antimigratory potential in MDA-MB-231 cells at nanomolar concentrations. The anti-migratory effect is independent of actin rearrangement, but may be a result from Rac1 inhibition, a small signaling G-Protein regulating cytoskeletal reorganization. The analysis of inhibitory abilities on related receptors, such as PAR1 or the ubiquitously expressed G-protein coupled receptor P2Y, reveals less specificity of teleocidin A2 compared to PAR2. However, teleocidin A2 also activates phosphorylation of PKC [169].

In this thesis, the focus was on the improvement of the indolactam/teleocidin aglycon structure and the investigation of different teleocidin-derivatives on inhibition of calcium-dependent PAR2 signaling. Hence, the aim was to identify a compound with similar PAR2 inhibiting activity like teleocidin A2, a low ability to modulate PAR1 and no "off-target" effects, such as PKC activation. [126].

2.5 Aims

The main aim of this thesis was to elucidate the role of three natural products as putative agents against high inflammatory signals and aggressive features of the breast cancer subtype named triple negative and thus provide a novel approach in the TNBC therapy.

The first chapter gives a short abstract of the capabilities of three natural products investigated in this thesis and their correlation in TNBC. The introduction in chapter 2 provides an overview in the general topics of breast cancer subtypes and related chemotherapeutic treatments, the role of the tumor microenvironment as well as signaling pathways that are upregulated in cancer and thus promote tumor progression. Chapter 3.1 and 3.2 illuminate the mechanism of action of the marine natural product pseudopterosin in the context of TNBC. The chapter 3.3 portrays azaphilone isolated from a fungus and chapter 3.4 teleocidin isolated from bacteria and their

abilities to inhibit features of TNBC. Chapter 4 illustrates the conclusions of the investigated natural products as putative anti-cancer agents.

Objective 1 (Chapter 3.1)

Tumor development, progression and growth are often the result of deregulated signaling, for example constitutive activation of NF- κ B. Therefore, I aimed to characterize pseudopterosin as a putative anti-cancer agent and evaluated its ability to inhibit specific attributes of triple negative breast cancer. The main aims in this chapter were: (1) to investigate the influence on the NF- κ B pathway as a potential basis for the anti-inflammatory abilities of pseudopterosin, (2) to investigate whether the anti-inflammatory abilities of pseudopterosin are transferable to the breast microenvironment, where inflammatory signals are the communicating devices of tumor and tumor-associated immune cells (3) and to elucidate the mode of action of pseudopterosin by identifying a possible interaction partner.

Objective 2 (Chapter 3.2)

This chapter complements chapter 3.1 in characterizing the inhibitory abilities of pseudopterosin on the so-called hallmarks of cancer defined by Hanahan and Weinberg [28]: (1) uncontrolled proliferation and growth, (2) the potential to invade blood vessels and migrate to distant organs and (3) the development of a microenvironment maintaining and supporting tumor growth. (4) Additionally, I aimed to verify the mode of action of pseudopterosin and fortify the target structure I identified as interacting partner.

Objective 3 (Chapter 3.3)

Fungi are able to produce a variety of compounds differing in their structure and their bioactivity. In chapter 3.3, the aim of the study was to identify novel bioactive compounds isolated from *Coniella fragariae,* a fungus infesting plants, such as the garden strawberry, to analyze their structure and to investigate their potential to block the pro-inflammatory signaling pathway NFκB. Additionally, I aimed to further investigate the compounds with the best capabilities on processes in cancer that depend on NF-κB activation such as migration and invasion.

Objective 4 (Chapter 3.4)

The class of teleocidins, natural products mainly produced by bacteria, aberrantly activates signals that lead to the promotion of tumor progression. However, tumor suppressing abilities in aggressive lung and breast cancer cells were also attributed to this class. The aim in chapter 3.4 was to analyze the structure-activity-relationship of teleocidin/indolactam and improve its biological activities by reducing the ability to promote cancer progression and at the same time enhancing the ability to suppress PAR2-dependent calcium mobilization and migration of TNBC cells.

3 Publications





3.1 The Marine Natural Product Pseudopterosin Blocks Cytokine Release of Triple-Negative Breast Cancer and Monocytic Leukemia Cells by inhibiting NF-κB Signaling

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ABSTRACT

Pseudopterosins are a group of marine diterpene glycosides which possess an array of biological activities including anti-inflammatory effects. However, despite the striking in vivo antiinflammatory potential, the underlying in vitro molecular mode of action remains elusive. To date, few studies have examined pseudopterosin effects on cancer cells. However, to our knowledge, no studies have explored their ability to block cytokine release in breast cancer cells and the respective bidirectional communication with associated immune cells. The present work demonstrates that pseudopterosins have the ability to block the key inflammatory signaling pathway nuclear factor κB (NF- κB) by inhibiting the phosphorylation of p65 and IkB (nuclear factor of kappa light polypeptide gene enhancer in B-cells inhibitor) in leukemia and in breast cancer cells, respectively. Blockade of NF-kB leads to subsequent reduction of the production of the pro-inflammatory cytokines interleukin-6 (IL-6), tumor necrosis factor alpha (TNF α) and monocyte chemotactic protein-1 (MCP-1). Furthermore, pseudopterosin treatment reduces cytokine expression induced by conditioned media in both cell lines investigated. Interestingly, the presence of pseudopterosins induces a nuclear translocation of the glucocorticoid receptor. When knocking down the glucocorticoid receptor, the natural product loses the ability to block cytokine expression. Thus, we hypothesize that pseudopterosins inhibit NF-kB through activation of the glucocorticoid receptor in triple negative breast cancer.

INTRODUCTION

Cancer represents one of the diseases with the highest unmet medical need, causing the second highest incidence of death after cardiovascular diseases in industrialized countries. Among the different types of malignant tumors, breast cancer is the leading cause of cancer mortalities in women worldwide [1]. Classification of breast cancer subtypes is based on the expression of progesterone receptor (PR), estrogen receptor (ER) and/or human epidermal growth factor receptor (HER2). Accordingly, the breast cancer subtype expressing none of these three receptors, the so-called triple-negative breast cancer (TNBC), represents the most aggressive form with currently no targeted therapy available and a significantly reduced overall survival rate [2,3]. Thus, development of innovative and more effective therapies is urgently needed. Marine organisms represent a vast source of biologically active compounds with a highly unexploited potential for innovative drug development [4]. For instance, the soft coral Antillogorgia elisabethae (formerly Pseudopterogorgia elisabethae) has been reported to produce at least 31 different secondary metabolites, most of which have been pharmacologically unexplored [5]. Amongst others, the pseudopterosin family displays a broad spectrum of biological activities, including anti-inflammatory [6-8], analgesic [6,9,10], woundhealing [7,8] and neuromodulatory [11] activity. Moreover, pseudopterosins have shown antiinflammatory efficacy in phase II clinical trials [12,13] and represent the first commercially licensed marine natural product for use in cosmetic skin care [7,11]. Intriguingly, in vivo assays revealed a higher efficacy of pseudopterosins against topically induced inflammation than the marketed drug indomethacin [6]. Despite the striking in vivo pharmacological effect [6,10,14] and the application in cosmetic products [7,11] the underlying in vitro mechanism of action of the anti-inflammatory potential of pseudopterosins remains elusive. The potential of pseudopterosin A (PsA) has been described as spreading across different intracellular mechanisms ranging from inhibition of phospholipase A2 [10], altering calcium release [15], and inducing cytotoxicity in cancer cells [16]. To our knowledge, no studies have explored the potential of pseudopterosins as a novel immune modulatory agent in breast cancer. A key factor in regulating inflammatory responses is the transcription factor nuclear factor κB (NF- κB) that acts by controlling expression of cytokines and chemokines. Activation can be triggered by various factors including pro-inflammatory cytokines, growth factors, hormones, oxidative stress, viral infections or DNA-damaging agents [17-20]. Pathogen-associated-molecular-patterns (PAMPs) such as lipopolysaccharides (LPS) and tumor necrosis factor alpha (TNFa) are ligands of different receptors, both triggering activation of the NF-kB-controlled immune response [21-23]. The NF- κ B family consists of five functionally conserved members in mammalian cells, including ReIA (nuclear factor NF-kappa-B subunit p65), ReIB (nuclear factor NF-kappa-B subunit p60), c-Rel, NF-kB1 (p105 and p50) and NF-kB2 (p100 and p52) [24]. The specific activation of NF-kB in the innate and adaptive immune defense is opposed by constitutive NF-

κB expression in various tumor types. Constitutive activation of NF-κB could be confirmed in cancer in general, and in breast cancer in particular, supporting overall tumor progression, drug resistance, invasiveness, epithelial-to-mesenchymal-transition (EMT) and the promotion of hormone-independent growth [17,25–28]. Elevated NF-κB activity has been observed in both primary human breast cancer tissues and breast cancer cell lines. Furthermore, a recent study assigned a key role of NF-kB in disrupting important microenvironmental cues necessary for tissue organization [29]. The tumor microenvironment (TME) encompasses a complex interplay between tumor cells and tumor associated immune cells. Tumor associated macrophages (TAM) play a crucial role in cancer progression [30]. TAMs produce high amounts of cytokines such as interleukin-6 (IL-6), interleukin-8 (IL-8), monocyte chemotactic protein 1 (MCP-1) and tumor necrosis factor alpha (TNFα) to alter the tumor progression in different ways. IL-6 promotes tumor proliferation, IL-8 leads to neovascularization, growth, angiogenesis and metastasis, and TNFα affects necrosis, invasion and metastasis [26,27]. Moreover, MCP-1 overexpression correlates with histological grade and low level differentiation in breast tumors [31]. The glucocorticoid receptor alpha (GR α) has been investigated in different clinical studies as a putative pharmacological target for the treatment of breast cancer [32–34]. Interestingly, there is evidence that NF- κ B and GR α can physically interact and heterodimerize in breast cancer [35]. By binding other transcription factors such as NF- κ B or AP-1, GR α can either transactivate or suppress its target genes [1]. Agonism of glucocorticoids (GC) can block migration, invasion and angiogenesis via down-regulation of IL-6 and IL-8 and has been reported to induce drug sensitivity. Furthermore, GC activation induces apoptosis in lymphoid cancer and MCF-7 breast cancer cells [36–38]. However, due to high variability in its expression frequency, divergent cellular functions of GRa have been described [2]. Herein, we describe inhibitory capabilities of a mixture of pseudopterosins on the NF-kB signaling pathway and its target genes, the cytokines, in monocytic leukemia and in triple negative breast cancer cells (TNBC) presumably by agonizing the glucocorticoid receptor α . Moreover, our study ascribes the efficient cytokine blockade in the context of bidirectional tumor-immune-cell communication to pseudopterosin treatment.

RESULTS

Pseudopterosin Reduces Cytokine Release by Inhibition of NF-KB Signaling

Pseudopterosins have been described as anti-inflammatory agents with an *unknown in vitro* mechanism of action. To explore intracellular signaling pathways following pseudopterosin treatment, we investigated the influence of an extract mixture containing four different pseudopterosin derivatives (PsA-D) on the key inflammatory signaling pathway NF-κB. For this

purpose, we generated a stable cell line based on the triple negative breast cancer cell line MDA-MB-231 (subsequently named NF-κB-MDA-MB-231) (see Section 'Stable Cell Line Generation'). MDA-MB-231 cells display a high level of toll-like-receptor 4 (TLR4) [39] which can activate NF-κB signaling via its ligand LPS [40]. Interestingly, increasing amounts of pseudopterosin inhibited LPS-induced NF-κB activation in NF-κB-MDA-MB-231 breast cancer cells in a concentration-dependent manner (Figure 3.1-1A) with an IC₅₀ value of 24.4 μ M. Additional studies revealed that pseudopterosin also reduced NF-κB activation initiated by other stimuli including TNF α (Supplemental Figure 3.1-1). Moreover, addition of 30 μ M of pseudopterosin in monocytic THP-1 cells led to a 1.65-fold inhibition of NF-κB-dependent luciferase activity (Figure 3.1-1B).



Figure 3.1-1. Nuclear factor κB (NF- κB) inhibition in lipopolysaccharide (LPS)-stimulated stable NF- κB -MDA-MB-231 and THP-1 monocytic leukemia cells.

(A) Dose–response curve of pseudopterosin (PsA-D) on LPS stimulated NF- κ B-MDA-MB-231 cells expressing a luciferase reporter gene which is under the control of a NF- κ B CMV (cytomegalovirus) promotor. Luminescence intensity correlates proportionally with NF- κ B activation. The solid circle represents NF- κ B induction in the presence of 1 µg/mL LPS (positive control). PsA-D treatment was performed for 20 min in a bisecting titration followed by 1 µg/mL LPS for 1 h. IC50 value of 24.4 µM of pseudopterosin was calculated from three independent experiments; (B) Inhibition of NF- κ B upon pseudopterosin treatment in THP-1 monocytic leukemia cells (ELISA). Cells were incubated with PsA-D for 20 min followed by LPS treatment. Pseudopterosin decreased NF- κ B activation significantly. RLU = relative luminescence units; RFU = relative fluorescence units. Two stars represent a significance of ρ < 0.05. Error bars were calculated using standard error of the mean (+SEM); n = 3.

As multiple pro-inflammatory cytokines such as IL-1, IL-6 and TNF α represent target genes of NF- κ B [41–43], we investigated the effect of PsA-D on pro-inflammatory cytokine release. Analyzing a subset of six different cytokines simultaneously, in THP-1 cells incubated with 1 μ g/mL LPS led to a significant secretion of IL-6, TNF α and MCP-1 compared with unstimulated control (23-fold induction of IL-6, 33-fold induction of TNF α and 24-fold increase of MCP-1; Table 6.1-1), but not IL-1 β , IL-12 or IL-4 (data not shown). Compared to THP-1 cells, MDA-MB-231 breast cancer cells displayed a higher basic level of IL-6 and MCP-1. Upon LPS stimulation, we confirmed a 3-fold increase of IL-6, a 15-fold induction of TNF α and a 5-fold increase of MCP-1 in MDA-MB-231 cells (Table 3.1-1). In contrast, no induction of IL-1 β or IL-4 could be observed in the triple negative breast cancer cells (data not shown). In both cell lines investigated, PsA-D incubation was able to induce a significant blockade of cytokine secretion: In THP-1 monocytic leukemia cells pseudopterosin reduced TNF α release by at least 47%, blocked IL-6 release by 50% and MCP-1 release by 73%. In MDA-MB-231 breast cancer cells incubated with PsA-D led to a reduction of MCP-1 by 85%, a decrease of TNF α release by 75%, and a decrease of IL-6 by 38%.

Table 3.1-1. Inhibition of cytokine release in THP-1 monocytic leukemia and MDA-MB-231 triple negative breast cancer.

THP-1 cells were treated with 10 ng PMA for 24 hours to induce differentiation. Cytokine amounts were analyzed in supernatants after 24 hours incubation time. Total amounts of cytokines (pg/mL) were calculated according to a standard concentration curve. No treatment serves as a control. '%'-inhibition reflects the percentage of the amount of cytokines reduced by PsA-D treatment. Standard deviation was calculated for amounts of cytokines (±SD); n=3.

MDA-MB-231	Control (pg/ml)	+LPS 1 μg/mL	+PsA-D 30 μΜ μΜ	<i>P</i> -value	%
IL-6	1626.3 (±144)	4666.7 (±307)	2874.8 (±610)	<0.0002	38.3
TNFα	1.9 (±0.6)	29.1 (±5.5)	7.17 (±3.4)	<0.0005	75.3
MCP-1	325.3 (±260)	1625.6 (±540.6)	241.3 (±100.9)	0.0082	85.2
THP-1	Control (pg/ml)	+LPS 1 μg/mL	+PsA-D 30 μΜ	<i>P</i> -value	%
IL-6	2.8 (±1)	66.7 (±9.8)	33 (±2.0)	0.0089	50.0
ΤΝFα	13.4 (±4.5)	439.4 (±28)	232.0 (±100)	0.1138	47.2
MCP-1	182.9 (±65.3)	4436.7 (±2098)	1208.9 (±762.3)	0.0552	72.8

As the NF- κ B signaling pathway can be activated with different stimuli including LPS, TNF α or pathogen-associated molecular patterns (PAMPs) [18,44,45], we utilized TNF α , the ligand of the TNF α receptor 1 (TNFR1) [23,46], to induce NF- κ B signaling independent of TLR4. As expected, stimulation with TNF α increased the expression levels of the investigated cytokines in MDA-MB-231 breast cancer cells significantly compared to unstimulated control (IL-6 4-fold, IL-8 6-fold, MCP-1 5-fold) (Figure 3.1-2A). It is noteworthy that pseudopterosin blocked the expression of all cytokines investigated; however, statistical significance was only noted for IL-6 and MCP-1 (IL-6 2.7-fold induction, MCP-1 3.7-fold induction).

Secretion of cytokines is stimulated after TNF α treatment (IL-6 4540 ±329 pg/mL, IL-8 4047 ±196 pg/mL, MCP-1 4048 ±18 pg/mL) (Figure 3.1-2B). Cytokine amounts declined in the triple negative breast cancer cells in a concentration-dependent manner upon pseudopterosin treatment (at a PsA-D concentration of 30 µM: 18-fold decrease of IL-6, 12-fold reduction of IL-8 and a 26-fold decrease of MCP-1). Significant inhibition at a concentration of 10 µM of PsA-D could be achieved for MCP-1 (6-fold decrease of MCP-1 release compared to untreated control).

It is noteworthy that irrespective of exogenous cytokine stimulation via LPS or $TNF\alpha$, pseudopterosins are able to significantly reduce endogenous release of at least two cytokines in the MDA-MB-231 triple negative breast cancer cells (IL-6 1.2-fold, IL-8 1.4-fold, MCP-1 1.4-fold) (Figure 3.1-2C). Moreover, additional investigation demonstrates that the reported inhibitory effect of PsA-D on cytokine release can be assigned to other triple negative cell lines (Supplemental Table 3.1-1).


Figure 3.1-2. Inhibition of cytokine expression (A) and secretion (B) after TNF α stimulation and inhibition of endogenous cytokine secretion (C) in MDA-MB-231 triple-negative breast cancer (TNBC).

(A) MDA-MB-231 cells were treated with 30 μ M of PsA-D for 20 min followed by 6 ng/mL of TNF α for 5 h; (B) Various concentrations of PsA-D were incubated for 20 min followed by TNF α treatment for 24 h; (C) MDA-MB-231 cells were treated with 30 μ M of PsA-D and cytokine secretion was measured 24 h thereafter. Error bars were calculated using +SEM; n = 3. *P*-values are calculated against TNF α . Three stars represent a significance of ρ < 0.001, two stars ρ < 0.01, one star ρ < 0.05 and "ns." means not significant.

Pseudopterosin Blocks Bidirectional Communication

To explore whether pseudopterosins have the ability to inhibit the bidirectional communication between immune cells and tumor cells, we designed an experimental set-up imitating inter-cell communication within the tumor microenvironment (Figure 3.1-3A). As shown, stimulation by LPS leads to the production of secondary metabolites including cytokines and the subsequent secretion into the surrounding "conditioned medium" (CM). Medium containing cytokines released by MDA-MB-231 cells represents the so called "MDA-MB-231 conditioned medium" (M-CM; Figure 3.1-3B), whereas medium encompassing cytokines secreted by THP-1 cells referred to as "THP-1 conditioned medium" (THP-CM; Figure 3.1-3C). Both conditioned media were used in independent experiments to stimulate the respective opposite cell line. Treatment with unstimulated conditioned medium did not influence cytokine expression in any of the investigated cell lines. However, incubation of THP-1 leukemia cells with stimulated M-CM induced a significant cytokine expression in THP-1 cells (8-fold increase of IL-6, 18-fold induction of TNFα and nearly 13-fold in MCP-1 expression). Furthermore, the triple negative breast cancer cell line MDA-MB-231 induced expression of IL-6, TNFα and MCP-1 in the presence of stimulated THP-CM (IL-6 induction 177-fold, TNFα induction nearly 10-fold and MCP-1 induction nearly 19-fold). Notably, pseudopterosin treatment was able to block cytokine expression induced by conditioned media in both leukemia cells and in triple negative breast cancer cells. In THP-1 cells stimulated with M-CM, a 2-fold reduction of IL-6 expression and a 3fold reduction of MCP-1 expression were noted following pseudopterosin treatment. Also, MDA-MB-231 cells stimulated with THP-CM displayed a 4-fold increase in IL-6 and a 2.5-fold increase in MCP-1 expression. In conclusion, our data demonstrate that PsA-D is able to significantly decrease expression of the cytokines IL-6 and MCP-1 after stimulation with pre-conditioned medium in monocytes and breast cancer cells, respectively.



Figure 3.1-3. Blockage of bidirectional communication between THP-1 monocytic leukemia and MDA-MB-231 TNBC.

(A) Process scheme of producing tumor conditioned medium. THP-1 or MDA-MB-231 cells were cultured in 25 cm² flasks and treated with 1 μ g/mL LPS for 24 h. Medium was collected and centrifuged. After sterile filtration, tumor conditioned medium was added to seeded cells in 6-well plates. (B) MDA-MB-231 conditioned

medium (M-CM) or (**C**) THP-1 conditioned medium (THP-CM) was added to the adversary cells. RNA was isolated for further analysis in real-time PCR. Error bars were calculated using +SEM. *P*-values of three stars represent a significance of ρ < 0.001, two stars ρ < 0.01, one star ρ < 0.05 and "ns." means not significant.

To exclusively ascribe the demonstrated cytokine expression patterns to the pre-treatment with the respective conditioned medium, we subjected MDA-MB-231 cells to a knock-down of the TLR4 receptor (siRNA-TLR4 (siTLR4) transfected cells) (Figure 3.1-4A). As a control, we transfected non-coding silencing RNA (nc siRNA). A 50% TLR4 knockdown was achieved. Compared to a nc siRNA control, siTLR4 transfection did not influence TNF α expression level upon pseudopterosin treatment. Monitoring the p65 phosphorylation with TNF α and LPS in parallel experiments we confirmed a 2-fold reduction of phosphorylation after pseudopterosin treatment independent of the stimulus (Figure 3.1-4B). In conclusion, PsA-D induced cytokine blockade and p65 phosphorylation in triple negative breast cancer cells does not dependent on TLR4.



Figure 3.1-4. PsA-D-induced NF-kB inhibition is toll-like-receptor-4 (TLR4)-independent.

(A) MDA-MB-231 cells were seeded in 6-well plates and incubated for 24 h. Transfection with 2 μ M siRNA was done with Lipofectamine3000 following the manufacturer's protocol. After 24 h, cells were first treated

with 30 µM PsA-D before and following treatment with THP-CM for 5 h. After another 24 h of incubation, cells were harvested and lysed for RNA isolation in preparation for realtime PCR. Knock-down efficiency of TLR4 was about 50%. PsA-D blocked TNF α expression independent of TLR4 expression; (**B**) MDA-MB-231 cells were stimulated either with 1 µg/mL LPS or with 6 ng/mL TNF α following 20 min treatment of PsA-D. P65 phosphorylation was measured after 24 h of treatment. Error bars were calculated using +SEM. *P*-values of four stars show a significance of ρ < 0.0001, three stars ρ < 0.001, two stars p < 0.01 and "ns." means not significant.

Pseudopterosin Inhibits NF-KB through Activation of the Glucocorticoid Receptor

Our data show for the first time that the underlying *in vitro* mechanism of the well described antiinflammatory response of pseudopterosin might be ascribed to inhibition of the NF-KB pathway. To further explore putative molecular pharmacological targets of pseudopterosins, we started to investigate the influence of the natural product on glucocorticoid signaling. NF-KB and glucocorticoid receptor α (GR α) display opposed functions in regulating immune and inflammatory responses. Moreover, both transcription factors have been described as transcriptional antagonists [36]. Thus, we investigated the interaction of pseudopterosin with GR α . To evaluate transactivation of GR α in the presence of PsA-D on the whole cell level, we used immunofluorescent staining of GR α in MDA-MB-231 cells incubating the cells with dexamethasone, serving as a positive control, or PsA-D (Figure 3.1-5A). Untreated cells displayed an even GRa distribution within the cytosol, whereas the nucleus did not show any GRa localization. As expected, upon dexamethasone treatment the GRa staining revealed a complete translocation of the receptor to the nucleus in breast cancer cells. Interestingly, the presence of pseudopterosin induced a comparable nuclear translocation of the GRa. Quantification of the respective fluorescence intensities using the software ImageJ confirmed a significant GR α translocation to the nucleus after dexamethasone treatment (4.5-fold reduction of cytoplasmic total corrected cell fluorescence (TCCF) compared to control) and pseudopterosin treatment (2.5-fold reduction of cytoplasmic total corrected cell fluorescence (TCCF) compared to control, Figure 3.1-5B). Accordingly, PsA-D inhibited phosphorylation of p65 and IkBa significantly compared to LPS stimulation (Figure 3.1-5C) or compared to stimulation with TNF α (Supplemental Figure 3.1-2) (2-fold inhibition, respectively). Moreover, to confirm GRa as a putative pharmacological target of pseudopterosin we performed a glucocorticoid receptor α knock-down in MDA-MB-231 cells. In this context, we transfected cells with siRNA of GRa (siGR, Figure 3.1-6A) with non-coding siRNA (nc siRNA) serving as a negative control. A 60% knock-down of GRa was achieved. Treatment with negative control nc siRNA revealed that unaltered GRa expression resulted in cytokine expression level after LPS stimulation comparable to previous results (Table 3.1-1). Furthermore, as demonstrated earlier, pseudopterosin inhibited IL-6 (3-fold) and MCP-1 (nearly 4-fold) significantly in the presence of GR α . However, when knocking down GR α , pseudopterosin lost the ability to block IL-6 or MCP-1 expression, respectively. To finally confirm glucocorticoid receptor α as a potential pharmaceutical target for pseudopterosin, we used a reporter gene assay expressing a luciferase under the control of a human GR α promotor (Figure 3.1-6B). In line with our previous findings, pseudopterosin induced a significant increase in expression of human GR α . In conclusion, the described inhibitory effect of pseudopterosin on cytokine expression and release in triple negative breast cancer is putatively ascribed to agonism of glucocorticoid receptor α .







p65 IkBa

+

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(B)
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(C)
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Figure 3.1-5. Pseudopterosin-induced activation of glucocorticoid receptor alpha (GR α) translocation into the nucleus is accompanied by inhibition of phosphorylation of p65.

(A) PsA-D was added at a concentration of 30 μ M and dexamethasone at 1 μ M in MDA-MB-231 cells. Cell nuclei were stained with 3 μ M 4',6-Diamidin-2-phenylindol (DAPI; blue channel). GR α is shown in green. The right column shows merged channels; (B) Quantification of immunofluorescence staining shows cytoplasmic total corrected cell fluorescence (TCCF). TCCF was calculated as described in methods section. Cytoplasmic TCCF was calculated after following formula: TCCF GFP–TCCF DAPI. Cytoplasmic staining reduced significantly after dexamethasone (Dex) or PsA-D treatment; (C) Phosphorylation of p65 and IkB α induced by LPS were investigated in the absence or presence of PsA-D with an incubation time of 20 min on MDA-MB-231 breast cancer cells. *P*-values of three stars show a significance of ρ < 0.001, two stars of ρ < 0.01 and one star of ρ < 0.05; +SEM; n = 30. MFI = median fluorescence intensity.







(A) MDA-MB-231 cells were seeded in 6-well plates and transfected with 2 μ M siRNA with the Nucleofector[®] 2b device using the manufacturer's protocol. After 24 h, cells first were treated with 30 μ M PsA-D for 20 min and subsequently with 1 μ g/mL LPS for 24 h. After another 24 h of incubation, cells were harvested and lysed for RNA isolation as preparation for further real-time PCR analysis; (B) Cells were seeded following manufacturer's instructions. Reporter cells stably expressing a luciferase under the control of a human GR α promotor were activated upon pseudopterosin treatment. Error bars were calculated using +SEM; (A) n = 3; (B) n = 2. *P*-values of three stars show a significance of ρ < 0.001, two stars ρ <0.01, one star ρ < 0.05 and "ns." means not significant.

DISCUSSION

Though their mechanism of action remains unknown, pseudopterosins have been demonstrated as anti-inflammatory [6–8], analgesic [6,9,10], wound-healing [7,8], anti-microbial [47,48], and anti-cancer agents [16]. In our work we were able to illuminate a novel molecular mechanism of the broadly described anti-inflammatory activity of pseudopterosin by demonstrating a concentration-dependent inhibition of the NF- κ B pathway based on inhibition of p65 and I κ B phosphorylation.

NF-κB overexpression maintains cancer stem cell populations in the basal-subtype of breast cancer and plays a crucial role in overall cancer progression [29,49–51]. NF-κB activity is involved in epithelial-to-mesenchymal transition (EMT) [52]. Thus, previous studies have approached the inhibition of NF-κB activity in several ways: Gordon et al. suppressed NF-κB transcription in MDA-MB-231 breast cancer cells resulting in reduced osteolysis after tumor cell injection in mice combined with decreased cytokine expression [53]. Furthermore, inhibition of NF-κB activity in human breast cancer cells (MDA-MB-231 and HCC1954) reduced invasiveness and migration [52]. In conclusion, NF-κB activation blockade demonstrates effective reduction in tumor growth and progression. Our study revealed pseudopterosin to efficaciously inhibit NF-κB signaling and subsequent cytokine release in both THP-1 monocytic leukemia cells and MDA-MB-231 breast cancer cells. Furthermore, pseudopterosin has demonstrated the ability to block the inter-cell communication between immune cells and MDA-MB-231 breast cancer cells.

Nuclear receptors like the glucocorticoid receptor α (GR α) translocate into the nucleus upon activation and bind the glucocorticoid response element (GRE) enabling the transcription of target genes ultimately resulting in immune suppression. Thus, GR α and NF- κ B are transcription factors with opposing functions in regulating inflammatory responses. In cancer therapy glucocorticoids are used as a pre-treatment combined with chemotherapy to prevent vomiting and allergic reactions [32,38,54]. However, due to high variability in its expression frequency, divergent cellular functions of GR α have been described [2]. For instance, high expression levels not only lead to poor prognosis for ER⁻ breast cancer [55]. Suppression of chemotherapy induced apoptosis for example is correlated with high GR α expression and poor prognosis [37,55,56]. On the other hand, glucocorticoids can suppress migration, invasion and angiogenesis via down-regulation of IL-6 and IL-8. Furthermore, GR α agonism has been shown to induce drug sensitivity and apoptosis in lymphoid cancer and breast cancer [36–38].

Interestingly, there is evidence that expression of both transcription factors, NF- κ B and GR α , are correlated in the context of breast cancer. While NF- κ B is up-regulated [25,57], GR α over-expression could be confirmed for breast cancer, however, in contrast to NF- κ B, GR α levels decreased during cancer progression [58]. Furthermore, there is evidence that NF- κ B and GR α can even physically interact by hetero-dimerization [35,51]. Glucocorticoids regulate target genes by either positive or negative regulatory mechanisms. Anti-inflammatory effects are mediated via a transcription repressive function (so called transrepressive action) of GR α , whereas activation of gene transcription (namely transactivation) results in an undesirable side effect of glucocorticoids including chemoresistance, impaired wound-healing, and skin and muscle atrophy [59–61]. A previous study revealed that NF- κ B inhibition is likely based on the transrepressive function of GR α [1]. Our study confirms GR α as putative pharmacological target of pseudopterosins. In conclusion, we hypothesize that the induction of GR α activation upon pseudopterosin treatment might be based on GR α acting as transrepressive on NF- κ B.

As triple-negative breast cancer represents one of the diseases with a high unmet medical need resulting in a low overall survival rate, there is a need for efficacious drug treatment regimens. Our study contributes by elucidating the molecular mode of action of the striking antiinflammatory effect of the marine diterpene glycosides PsA-D in the context of breast cancer. Thus, we demonstrate the mostly unexplored pharmaceutical potential of pseudopterosins as a promising basis for developing novel cancer treatment strategies. Future studies may include a medicinal chemistry approach to design simplified derivatives of pseudopterosin with improved potency.

MATERIALS AND METHODS

Cell Culture and Commercially Available Reagents

TNF α was purchased from Peprotech (Rocky Hill, NJ, USA). MDA-MB-231 breast cancer cells were obtained from European Collection of Authenticated Cell Cultures (Salisbury, UK) and grown in humidified atmosphere containing no CO₂ in Leibovitz's L15 medium. Medium was supplemented with 15% FCS (fetal calf serum), 2 mM glutamine, 100 units·mL⁻¹ penicillin and 100 µg·mL⁻¹ units streptomycin. THP-1 acute monocytic leukemia cells were purchased from the German Collection of Microorganisms and Cell Culture (Braunschweig, Germany) and cultured in the presence of 5% CO₂ in RPMI along with 10% FCS, penicillin and streptomycin. This cell line was used as a model for cells derived from the immune system. Medium and antibiotics were purchased from Gibco (Life Technologies, Carlsbad, CA, USA).

Stable Cell Line Generation

MDA-MB-231 breast cancer cells were used to create a stable cell line subsequently named NF- κ B-MDA-MB-231 where the expression of a Luciferase reporter gene is under the control of a NF- κ B CMV promoter. The vector was purchased from Promega (Madison, WI, USA): pNL3.2.NF- κ B-RE[NlucP/NF- κ B-RE/Hygro]. Cells were transfected with the nucleofector 2b device from Lonza Group AG (Basel, Switzerland) and the corresponding RCT Cell Line Kit V according to the manufacturer's protocol. Cells were cultured in DMEM supplemented with 10% FCS, 100 units·mL⁻¹ penicillin and 100 units·mL⁻¹ streptomycin. After transfection cells were diluted serially to obtain monoclonal cells. After colony formation hygromycin (Sigma, Munich, Germany) clones were cultivated in the presence of hygromycin.

NF-kB Reportergene Assay

To determine NF- κ B activation, cells were seeded with a density of 5×10⁵ cells per mL in 384well plates using the CyBio[®] pipetting robot (Analytic Jens AG; Jena, Germany). After 24 h of incubation, cells were treated with different concentrations of PsA-D for 20 min. Afterwards, cells were treated with 1 µg/mL LPS or 6 ng/mL TNF α for 1 h, respectively. Luciferase activity was detected with the NanoGlo Luciferase Assay from Promega. NanoGlo Substrate and buffer were pre-mixed in 1:50 ratio and reagent was added to the wells in a 1:1 ratio and luminescence was determined immediately.

NF-ĸB and Human Cytokine Magnetic Bead Kit

MDA-MB-231 breast cancer cells were cultured in 10 cm dishes in 1.8×10^6 cells per mL and incubated for 24 hours at 37 °C. Before compound treatment medium was changed to serum-free medium. Cells were treated with PsA-D for 15 min, followed by incubation with 1 µg/mL LPS. Afterwards, cells were lysed with the lysis buffer provided in the NF-kB magnetic bead kit from Merck Millipore (Darmstadt, Germany) to obtain phosphorylated proteins from the nucleus. Protein concentration was determined with Bradford reagent (Roth, Karlsruhe; Germany). Samples were diluted to achieve a concentration of 0.8 mg/mL of total proteins. The subsequent protocol was according to manufacturer's instructions. MDA-MB-231 breast cancer cells and were seeded in 96-well plates in 4×10^5 cells per mL and MDA-MB-453 in 6×10^5 cells per mL and after 1 h of incubation differentiated with 10 ng/mL PMA for 24 hours. Cells were treated with PsA-D for 20 minutes and afterwards with 1 µg/mL LPS for 24 hours. Supernatant was harvested and stored at -20 °C until measurement of cytokines. The subsequent protocol was performed according to the manufacturer's instructions with the MAGPIX[®] Multiplexing System from Merck Millipore (Darmstadt, Germany).

Quantitative Real-Time PCR

To determine cytokine expression levels after PsA-D treatment, the following primers were used (purchased from Eurofins, Ebersberg): IL-6 forward (GGCACTGGCAGAAAACAACC), IL-6 reverse (GCAAGTCTCCTCATTGAATCC) IL-8 forward: (ACTGAGAGTGATTGAGAGTGGAC), IL-8 reverse: (AACCCTCTGCACCCAGTTTTC), TNFα forward: (GCCTGCTGCACTTTGGAGTG), TNFa reverse: (TCGGGGTTCGAGAAGATGAT), MCP-1 forward: (CCCCAGTCACCTGCTGTTAT), MCP-1 reverse: (TGGAATCCTGAACCCACTTC), GAPDH (TGCACCACCAACTGCTTAGC), forward: GAPDH reverse: (GGCATGGACTGTGGTCATGAG), GRα forward: (AAAAGAGCAGTGGAAGGACAGCAC) GRα reverse: (GGTAGGGGTGAGTTGTGGTAACG). Total RNA was isolated with QIAGEN (Hilden, Germany) RNA Isolation Kit according to manufacturer's instructions and reverse transcriptase PCR were performed with iScript RT cDNAse Kit from BioRad (Munich, Germany). Real-time PCR was conducted with QuantiTect SYBR Green from QIAGEN (Hilden, Germany) based on the following protocol: pre-incubation at 95 °C for 900 s, amplification was performed over 45 cycles (95 °C for 15 s, 55 °C for 25 s and 72 °C for 10 s). No-template controls served as negative control. CT values were calculated according to the $2^{-\Delta\Delta}_{CT}$ method [62]. Sample values were normalized to the house-keeping gene GAPDH (glyceraldehyde 3-phosphate dehydrogenase).

Immunofluorecent Staining

MDA-MB-231 breast cancer cells were seeded in 1×10⁵ cells per mL and incubated for 24 hours. PsA-D or dexamethasone treatment comprised 30 minutes. Cells were fixed afterwards with -10 °C cold methanol. Cells were made permeable using 0.1% Triton[™] X-100. Antibodies were purchased from Santa Cruz Biotechnology (Dallas, TX, USA): primary antibody (sc-8992 GR α (H-300)) incubated 1:50 for 24 hours overnight at 4 °C and secondary antibody (sc-2012 IgG-FITC (fluorescein isothiocyanate)) was incubated 1:100 for 2.5 hours at room temperature. Cells were washed three times with PBS following each incubation step. For staining, cell nuclei 4',6-Diamidin-2-phenylindol (DAPI, Sigma) was incubated for 5 minutes at room temperature at a concentration of 3 µM and washed three times with PBS for 5 minutes. Quantification of immunofluorescence intensity was achieved with ImageJ (v1.51k). The shape of the cells was outlined and the area, mean gray fluorescence value and integrated density measured. Several background readings were also measured. The "total corrected cellular fluorescence" (=TCCF) was calculated according to following formula: integrated density - (area of selected cell x mean fluorescence of background readings) [63]. Values of GFP staining were subtracted by values of DAPI staining to obtain cytoplasmic TCCF.

Conditioned Medium (CM) from Tumor Cells

MDA-MB-231 of THP-1 cells were cultured until 70-90% confluency. 1×10^{6} cells were counted and transferred into a 25 cm² flask. Cells were either stimulated with 1 µg/mL LPS or without LPS as a negative control. Supernatant was collected after 24 hours, centrifuged and sterile filtered. Conditioned medium was stored at -80 °C. MDA-MB-231 or THP-1 cells were seeded at 1×10^{6} cells per mL in 6-well plates and incubated for 24 hours. PsA-D was added at a concentration of 30 µM for 20 minutes followed by 25 volume percentage of tumor-conditioned medium for 5 hours. Cells were then harvested and RNA isolated for further analysis in real-time PCR.

Knock-Down Studies

TLR4 siRNA s14194 and Silencer[®] Select Negative Control No. 2 siRNA was purchased from Life Technologies (Darmstadt, Germany). Glucocorticoid receptor (GRα) siRNA was purchased from Santa Cruz Biotechnology (Dallas, TX, USA). SiRNA transfection (2 μM of siRNA) was performed using Lipofectamine3000 from Invitrogen (Carlsbad, CA, USA) according to manufacturer's protocol.

GRa Reportergene Assay

Reportergene assay based on non-human stable cells containing constitutive high-level expression of full-length human GR α (NR3C1) were purchased from Indigo Biosciences (State College, PA, USA). Assay was performed according to manufacturer's instructions. PsA-D was added to cells according to the agonist assay described in the protocol and incubated for 24 h at 37 °C.

Preparation of PsA-D Mixture

A. elisabethae was collected from South Bimini Island, The Bahamas, was dried and extracted in EtOAc/MeOH (1:1) for 48 h. The crude extract was subjected to silica gel chromatography eluting with hexanes and EtOAc to afford a mixture of PsA-D. The ratio was determined to be 85:5:5:5 (PsA:B:C:D) by LC-MS analysis.

Statistical Analysis

Obtained data represent at least three independent experiments. Error bars show ±SEM of the means of triplicate values. Statistical analysis was calculated using one-way-ANOVA followed by Dunnett's multiple comparisons test. When groups were compared with a control and/or comparison of mean values of only two groups, an unpaired student's t-Test was applied.

P<0.05 was chosen to define statistically significant difference. Figures and data analysis were generated with Graphpad Prism v. 6.07 (Graphpad Software, San Diego, CA, USA).

<u>Supplementary Materials</u>: The following are available online at www.mdpi.com/1660-3397/15/9/262/s1, Figure S1: Pseudopterosin inhibits activation of NF- κ B after two different stimuli, Figure S2: Pseudopterosin blocked phosphorylation of p65 and IkB α after TNF α stimulation; Table S1: Inhibition of cytokine release in MDA-MB-453 triple negative breast cancer cells.

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<u>Author Contributions:</u> Nicole Teusch and Julia Sperlich developed the scientific concept and designed the experiments. Julia Sperlich performed the experiments and analyzed the data. Russell Kerr provided the pseudopterosin extract and reviewed the manuscript. Nicole Teusch and Julia Sperlich wrote the manuscript.

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3.1.1 Supplemental Material

Supplemental Figure 3.1-1. PsA-D inhibited activation of NF-κB in the presence of two different stimuli.

Cells are stably transfected with a NF- κ B-Luc reporter gene. PsA-D treatment was performed for 20 minutes following 1 μ g/mL LPS incubation for 1 hour. Error bars were calculated using +SEM; n=3. Three stars show a significance of ρ <0.001.



Supplemental Figure 3.1-2. PsA-D blocked phosphorylation of NF-KB/p65 and IKBa.

MDA-MB-231 cells were seeded in a 10 cm dish. Cells were incubated with 30 μ M PsA-D for 15 minutes followed by a treatment with 1 μ g/mL TNF α for 15 minutes. Cells were lysed and protein concentration was measured with Bradford Reagent. A total protein amount of 0.8 mg/mL was used. Control cells were treated

with DMSO in the same amounts as PsA-D. Error bars were calculated using +SEM; n=3. *P*-values of three stars show a significance of ρ <0,001.

Supplemental Table 3.1-1. Inhibition of cytokine release in MDA-MB-453 triple negative breast cancer cells.

MDA-MB-453 cells were seeded at a density of 6×10^6 cells per mL. 30 µM PsA-D was incubated for 20 minutes followed by incubation with 20 ng/mL TNF α . Cytokine amounts were analyzed in supernatants after 24 hours incubation time. No treatment serves as a control. Values were normalized to TNF α treatment and set to 100 % ±SD. % inhibition reflects the percentage of the amount of cytokines reduced by PsA-D treatment compared to TNF α . *P*-values were analyzed according to student's t-test; n=3.

MDA-MB-453	Control %	+TNFα %	+PsA-D %	P-values	% Inhibition
IL-8	4,7 (±5,5)	100.5 (±24,9)	51,7 (±14,0)	0,012	48.9
MCP-1	17.3 (±11.6)	97.4 (±7.9)	87.2 (±14.1)	0.43	10.2

3.1.2 Appendix to Supplemental Material

Supplemental Table 3.1-2. Pseudopterosin inhibited cytokine release more effectively than indomethacin in MDA-MB-231 cells.

Cells were seeded at a density of 4×10^5 cells per mL in 96-well plates. After 24 hours, the cells were incubated with either 30 µM of PsA-D or 30 µM of indomethacin for 20 minutes followed by treatment of 50 ng/mL LPS for 24 hours. Cytokine content was analyzed in the supernatant on the basis of a standard curve with the MAGPIX multiplexing system from Merck after manufacturers' protocol. IL-4 and IL-1 β could not be detected in the supernatant. PsA-D showed a more effective inhibition (IL-6 38.3 %, IL-8 40.8 %, MCP-1 85.2 %, TNF α 75.3 %) of at least three cytokines compared to indomethacin treatment (IL-6 44.3 %, IL-8 29.7 %, MCP-1 40.6 %, TNF α 60.7 %). *P*-values were calculated compared to LPS using one-way-ANOVA followed by Dunnett's multiple comparisons test. Data represent means of triplicates of three independent experiments ±SEM.

	Control	50 ng/mL	30 µM	30 µM	P-values	P-values	
	(pg/mL)	LPS	PsA-D	Indomethacin	PsA-D	Indom.	
IL-6	1626 (±144)	4662 (±307)	2875 (±611)	2595 (±483)	0.0001	0.0001	
IL-8	2791(±1442)	15.180 (±592)	8976 (±2411)	10.672 (±1324)	0.0002	0.0016	
MCP-1	325 (±260)	1626 (±541)	241 (±101)	966 (±449)	0.008	0.169	
TNFα	1.9 (±0.6)	29.1(±5.5)	7.2 (±3.4)	11.4 (±1.7)	0.0001	0.0004	

Supplemental Table 3.1-3. Pseudopterosin inhibited cytokine release in A549 small lung cancer cells.

Cells were seeded at a density of 4×10^5 cells per mL in 96-well plates. After 24 hours, the cells were incubated with either 30 μ M of PsA-D or 30 μ M of indomethacin for 20 minutes followed by treatment of 50 ng/mL LPS for 24 hours. Cytokine content was analyzed in the supernatant on the basis of a standard curve with the MAGPIX multiplexing system from Merck after manufacturers' protocol. TNF α , IL-4 and IL-1 β could not be detected in the supernatant. *P*-values were calculated compared to LPS treatment using one-way-

ANOVA followed by Dunnett's multiple comparisons test. PsA-D and indomethacin inhibit IL-8 and MCP-1 significantly. PsA-D showed a more effective inhibition of at least two cytokines (IL-6 by 5.8%, IL-8 by 46.5% and MCP-1 by 26.9%) compared to indomethacin (IL-6 by 0%, IL-8 by 33.3% and MCP-1 by 46.3%). 'Indom.' represents indomethacin. Data represent means of triplicates of three independent experiments ±SEM.

	Control	50 ng/mL	30 µM	30 µM	P-values	
	(pg/mL)	LPS	PsA-D	Indomethacin	PsA-D	Indom.
IL-6	11.7 (±2.7)	14.8 (±2.9)	13.9 (±3.0)	16.0 (±4.7)	0.98	0.93
IL-8	1913 (±377)	3433 (±769)	1838 (±509)	2289 (±222)	0.0088	0.015
MCP-1	6710 (±268)	8020 (±994)	5864 (±1048)	4308 (±891)	0.0021	0.0001



Supplemental Figure 3.1-3. In vitro binding study of pseudopterosin to GRα reveals dose-dependency.

Increasing doses of pseudopterosin, starting at 50 μ M, shows a binding to GR α in a PolarScreen *in vitro* assay. Using a gain of 1.598 we calculated an IC₅₀ value of 25.5 μ M out of three independent measurements. First, second and third measurements with single IC₅₀ values of 24.0, 23.6 and 28.8 μ M are shown separately. Red square shows maximal and green triangle minimal possible fluorescence polarization.





3.2 Pseudopterosin Inhibits Proliferation and 3D Invasion in Triple Negative Breast Cancer by Agonizing Glucocorticoid Receptor Alpha

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ABSTRACT

Pseudopterosin, produced by the sea whip of the genus Antillogorgia, possesses a variety of promising biological activities including potent anti-inflammatory effects. However, few studies examined pseudopterosin in the treatment of cancer cells and, to our knowledge, the ability to inhibit triple negative breast cancer (TNBC) proliferation or invasion have not been explored. Thus, we evaluated the as yet unknown mechanism of action of pseudopterosin: Pseudopterosin was able to inhibit proliferation of TNBC. Interestingly, analyzing breast cancer cell proliferation after knocking down glucocorticoid receptor α (GR α) revealed that antiproliferative effects of pseudopterosin were significantly inhibited when GRa expression was reduced. Furthermore, pseudopterosin inhibited invasion of MDA-MB-231 3D tumor spheroids embedded in an extracellular-like matrix. Remarkably, the knockdown of GRa in 3D tumor spheroids revealed increased ability of cells to invade the surrounding matrix. In a co-culture, encompassing peripheral blood mononuclear cells (PBMC) and MDA-MB-231 cells, production of interleukin 6 (IL-6) and interleukin 8 (IL-8) significantly increased compared to monoculture. Notably, pseudopterosin indicated to block cytokine elevation, representing key players in tumor progression, in the co-culture. Thus, our results reveal pseudopterosin treatment as a potential novel approach in TNBC therapy.

INTRODUCTION

Breast cancer is still the most common malignancy in woman with one million cases annually worldwide [1]. Of these, approximately 15% belongs to the triple-negative (ER⁻/PR⁻/HER2⁻) breast cancer (TNBC). TNBC represents the most aggressive breast cancer type, characterized by high proliferation rate, a pronounced potential to metastasize and a shorter survival rate [2-4]. Furthermore, TNBC lacks effective therapies available for other breast cancer subtypes

underlining the significant unmet medical need for identifying novel targets and developing innovative drugs.

The tumor microenvironment is increasingly recognized as a major regulator of carcinogenesis. In breast cancer, tumor associated macrophages (TAMs) enhance proliferation and metastasis as well as resistance to chemotherapy by activation of the transcription factor nuclear factor κB (NF- κB), a key factor in regulating inflammatory responses [5,6]. High expression levels of the NF- κB target genes interleukin 6 (IL-6) or interleukin 8 (IL-8) secreted by macrophages can be correlated with advanced growth of TNBC and poor prognosis [7]. The pseudopterosins, a family of 31 known related diterpene glycosides, are produced by the sea whip *Antillogorgia elisabethae* (formerly named *Pseudopterosin e.*) [8]. Striking biological activities have been described ranging from anti-inflammation [9-11], wound-healing [10,11], analgesia-reducing [9,12,13] to neuromodulation [14]. In contrast, to date, little is known regarding anti-tumor effects of pseudopterosin, where only one derivative showed moderate cytotoxic effects on ER⁺ breast cancer cells and non-small-cell lung cancer cells [15].

Previously, we have described the potential of pseudopterosin as a novel immune modulator in TNBC acting via NF- κ B inhibition and subsequent blockade of cytokine secretion [16]. Moreover, we identified inhibitory capabilities of pseudopterosin on the NF- κ B signaling pathway by agonizing the glucocorticoid receptor α (GR α) [16]. Accordingly, there is evidence that NF- κ B and GR α can physically interact and hetero-dimerize in breast cancer [17]. By binding other transcription factors such as NF- κ B, GR α can either transactivate or -suppress its target genes [18]. Although glucocorticoids (GCs) are frequently used to relieve symptoms of cancer treatment related side effects, contradictory effects on breast cancer progression upon GC treatment and with respect to GR α expression have been described [19–21]. High expression levels of GR α in ER⁻ breast cancer might be associated with drug resistance resulting in an unfavorable clinical outcome [22–24]. In contrast, a recent analysis demonstrates improved survival independent of the ER status in breast cancer patients receiving GC combined with adjuvant anthracycline-based chemotherapy [25]. Thus, in the current study we further elucidated the role of GR α in TNBC progression, thereby focusing on pseudopterosin as a novel agent for breast cancer therapy.

RESULTS

Pseudopterosin Inhibited Proliferation of Triple Negative Breast Cancer Cells

In our previous work we identified the natural product pseudopterosin as a novel inhibitor of NFκB signaling [16], one key pathway in controlling progression of TNBC. As NF-κB is known to regulate various processes in cancer progression such as proliferation, angiogenesis or invasion [26–28], the aim of the current study was to further characterize the pharmacological properties of pseudopterosin. First, we investigated a pseudopterosin extract (PsA-D) regarding its effect on breast cancer cell proliferation in MDA-MB-231 cells. To remain within a non-toxic concentration range of PsA-D (IC₅₀ values of cell viability for PsA-D after 24 hours or 48 hours of treatment were 31.4 μ M and 32.2 μ M, respectively; Supplemental Figure 3.2-1A/B), 7.5 and 15 μ M of PsA-D were chosen to evaluate anti-proliferative effects (Figure 3.2-1A). As expected, MDA-MB-231 cells treated with DMSO showed a high proliferation rate, represented by a confluency of 78% after 48 hours (Figure 3.2-1A). Notably, a concentration of 15 μ M of PsA-D was able to reduce proliferation significantly after 24 hours by 1.9 fold and after 48 hours by 1.6 fold compared to DMSO control (Figure 3.2-1B and Figure 3.2-1C). Furthermore, preliminary data indicate that pseudopterosin-induced reduction of proliferation is not pERK dependent (Supplemental Figure 3.2-3), which is a key regulator for cell proliferation in principle [29].



Figure 3.2-1. Pseudopterosin inhibited proliferation in triple negative breast cancer cells.

(A) Proliferating cells were imaged every hour over a time range of 50 hours with the IncuCyte[®] ZOOM. Confluency of cells was determined with IncuCyte[®] software indicated as proliferation in percentage. Cells were treated with either 7.5 μ M (triangle) or 15 μ M (square) of PsA-D. (**B-C**) Inhibition of proliferation is shown at selected time points of 24 and 48 hours compared to DMSO control, respectively. The data represent means of three independent experiments. Error bars were calculated using ±SEM. *P*-values were calculated against DMSO control. Two stars represent a significance of ρ <0.01 and three stars represent a significance of ρ <0.001.

Glucocorticoid Receptor Alpha Expression is Essential for Anti-Proliferative Effects of Pseudopterosin

In our previous work we hypothesized pseudopterosin to act as an agonist of the glucocorticoid receptor alpha (GR α) [16]. Subsequently, when downregulating GR α , pseudopterosin failed to inhibit NF-KB target gene expression. Thus, to further explore the role of GRa in the mode-ofaction of pseudopterosin, we analyzed the effect of a GRα knockdown on breast cancer cell proliferation. After 72 and 85 hours, treatment with PsA-D inhibited proliferation in non-coding siRNA (nc siRNA) transfected cells by 2 fold, respectively (Figure 3.2-2A and Supplemental Figure 3.2-4). Importantly, in siGRa transfected cells, PsA-D lost its anti-proliferative effect (Figure 3.2-2A). Efficiency of the GRa knockdown using realtime qPCR (up to 88%) is exemplified in Figure 3.2-2B and depicted on the protein level via immunofluorescence analysis in Figure 3.2-2C. In conclusion, our data suggests that GRa expression might be crucial for the anti-proliferative effects of PsA-D. Notably, treatment with the marked GRa ligand dexamethasone showed less potency in reducing proliferation: after 48 hours, PsA-D resulted in a 21% proliferation decrease, whereas 100 nM dexamethasone reduced proliferation by 15% compared to DMSO, respectively (Figure 3.2-2C). After 72 hours, PsA-D treatment diminished proliferation by 20%, whereas treatment with 100 nM dexamethasone reduced the proliferation rate by only 9% (Figure 3.2-2D).









(A) Knockdown of GR α was done with the Lonza Nucleofector 2b device on day one. On day two, the cells were seeded and proliferating cells were imaged with the IncuCyte[®] ZOOM every hour over a time range of five days. Cell proliferation was determined with IncuCyte[®] software indicated in percentage. Cells were treated with a concentration of 15 µM of PsA-D. (B) After knockdown of GR α , expression of GR α reduced up to 88.3%, which was confirmed by qPCR analysis at 72 hours. (C) Immunofluorescent analysis of GR α knockdown after 72 hours. Scale bars in white show 100 microns in length. (D-E) PsA-D inhibited proliferation after 48 and 72 hours more efficaciously than dexamethasone. The data represent means of three independent experiments. Error bars were calculated using ±SEM. Three stars represent a significance of ρ <0.001 and two stars of ρ <0.01.

Pseudopterosin Inhibited Invasion into 3D Matrix

Publications

Breast tumors harbor many devastating characteristics resulting in poor prognosis of patients: high proliferation rate and high histological grade. Furthermore, genetic and epigenetic alterations enable breast cancer cells to migrate and invade the surrounding tissue via a process known as epithelial-to-mesenchymal transition (EMT) [30]. To explore the effects of pseudopterosin on the invasiveness of MDA-MB-231 cells, we developed a 3D invasion assay, where the cancer cells form a micro-tumor spheroid embedded in extracellular matrix. In the presence of DMSO, the cells immediately started to invade into the 3D matrix by partly disassembling the spheroid core (Figure 3.2-3A). In contrast, treatment with PsA-D significantly inhibited the invasion of single cells into the matrix. After 24 hours, the invasive area was reduced significantly by 59%, after 48 hours by 53% and after 72 hours by 73% (Figure 3.2-3B-D). Importantly, spheroid growth did not change after PsA-D treatment (Supplemental Figure 3.2-5). Thus, in our experiment we verified the inhibitory properties of pseudopterosin in a 3D assay on TNBC progression, thereby hinting at a better prediction for future *in vivo* tumor models with this natural product.



(**A**)





(A) Representative images of invasion of cells into a 3D matrix at 24 hours' time point. Cells were imaged with IncuCyte[®] ZOOM over a time range of three days. 3×10^3 cells per well were seeded into ULA round bottom plates and spheroids were formed for 72 hours. Scale bars in black show 200 microns in length. (B-D) The bar diagrams show three different time points representing six independent experiments. Spheroids were treated with a concentration of 20 µM of PsA-D. Error bars were calculated using ±SEM. *P*-values were calculated against DMSO. Two stars represent a significance of ρ <0.01 and one star represents a significance of ρ <0.05.

Down-Regulation of Glucocorticoid Receptor Alpha Expression Increased Invasiveness in TNBC

The clinical use of glucocorticoids (GC) is discussed controversially, due to extensive side effects, chemotherapy resistance and survival of cancer cells [21,23,31]. However, recent literature indicates the beneficial effects of GCs to be strongly dependent on the tumor entity: survival in patients receiving GC combined with anthracycline-based chemotherapy was improved [25]. In this context, we further investigated the role of GR α in the invasiveness of MDA-MB-231 micro-tumor spheroids (Figure 3.2-4A). The efficiency in GR α knockdown is represented by a reduction of 94% (Figure 3.2-4C). After 72 hours, the spheroids transfected with siGR α showed a significant increase in invasion by 27% compared to nc siRNA (Figure 3.2-4B). In conclusion, the knockdown of GR α led to an elevation of invasiveness in MDA-MB-231 cells, suggesting a potential of GR α agonists like pseudopterosin in diminishing TNBC progression.



(**A**)



Figure 3.2-4. Knockdown of the glucocorticoid receptor alpha (GR α) increased invasiveness of triple negative breast cancer.

(A) Representative images of tumor cell invasion into a 3D matrix. Knockdown of GR α was performed with the Lonza Nucleofector 2b device on day one. On day three, 3×10^3 cells per well were seeded into ultra-low-attachment (ULA) round bottom plates. Formation of spheroids was allowed for 72 hours. At t= 0, matrigel was added to the spheroids to start invasion. Scale bars in black show 200 microns in length. (B) The invasion is depicted over a time range of three days and the area of invaded cells into matrigel was calculated with imageJ FIJI at the respective time points. (C) As confirmed by qPCR analysis, GR α expression is reduced up to 94% after 72 hours. The data represent means of three independent experiments. Error bars were

calculated using ±SEM. *P*-values were calculated against nc siRNA control. Two stars represent a significance of ρ <0.01.

Pseudopterosin Inhibited Cytokine Release in a Co-Culture of Primary Blood Mononuclear Cells (PBMC) and Triple Negative Breast Cancer Cells

The microenvironment plays a critical role in breast cancer carcinogenesis [32]. Tumor associated macrophages are the drivers of breast cancer cells invasion [33,34]. A main characteristic of inflammatory breast cancer is the secretion of pro-inflammatory cytokines such as IL-6 or IL-8 by macrophages, regulating angiogenesis and promoting tumor progression [35,36]. Previously, we verified a blockade of NF-kB-dependent cytokine expression and secretion after pseudopterosin treatment in both, MDA-MB-231 and THP-1 cells [16]. In this context, GRa knockdown led to the failure of pseudopterosin to inhibit cytokine expression. Furthermore, as shown previously, stimulation by the TLR4 ligand LPS leads to the production of cytokines and the subsequent secretion into the surrounding "conditioned medium" (CM) [16]. Our current data amend a significant reduction of cytokine expression, such as IL-6, IL-8 and TNFα, after PsA-D treatment in peripheral blood mononuclear cells (PBMC) (Supplemental Figure 3.2-6). Medium containing cytokines released by MDA-MB-231 cells, representing the so called "MDA-MB-231 conditioned medium" (M-CM), induced a significant cytokine expression in PBMC. Notably, pseudopterosin treatment was able to block cytokine expression induced by breast cancer cell conditioned media in PBMC (Supplemental Figure 3.2-6). Thus, to further evaluate the pharmacological effects of pseudopterosin on bidirectional communication, we set up a co-culture encompassing PBMC and MDA-MB-231 cells to analyze the change in IL-6 and IL-8 expression levels. In the co-culture model, PsA-D treatment inhibited IL-6 expression significantly by 52.6% and IL-8 expression by 76.8%, respectively (Table 3.2-1). The fold increase of the IL-6 expression level in co-culture increased by 1.9 compared to mono-culture (Figure 3.2-5). As expected, PsA-D treatment reduced IL-6 expression levels by 3.5 fold (Figure 3.2-5). To further explore the agonism of pseudopterosin and GR α in the context of our coculture model, the focus in future studies will lay in continuing investigations concerning knockdown studies of GRa. Taking together, our data indicate that pseudopterosin has the potential to inhibit the proliferation, the invasiveness and the communication of PBMC and MDA-MB-231 cells in a co-culture model. Thereby, the inhibitory activity of pseudopterosin seems to depend on GRa expression.

	Mono-culture PBMC	Mono-culture MDA*	Co-culture PMBC+MDA +DMSO	Co-culture PBMC+MDA +PsA-D	<i>P-</i> values ¹
IL-6 ²	1.09 (±3.2) ¹	31.7 (±20.3) ¹	44.6 (±25.3) ¹	21.2 (±12.7) ¹	0.02
IL-8 ²	27.1 (±36.9) ²	67.9 (±46.5) ²	213.9 (±99.6) ²	49.5 (±13.2) ²	0.22
10 .	1 1 4 1 14				

Table 3.2-1. Inhibition of cytokine expression in co-culture of peripheral blood mononuclear cells (PBMC) and MDA-MB-231 cells after pseudopterosin treatment.

¹ P-values were calculated with ONE-Way ANOVA between 'co-culture' and 'co-culture + PsA-D'.

² The data represent relative mRNA expression values measured with realtime qPCR; * MDA is equivalent for MDA-MB-231 cells.



Figure 3.2-5. Pseudopterosin inhibited cytokine expression in a co-culture of PBMC and MDA-MB-231.

Both cell lines were co-cultured at a ratio of 1:1 before treatment with 30 µM PsA-D. Cells were harvested 24 hours after treatment and cytokine expression levels were analyzed with qPCR. Relative mRNA levels were normalized to fold increase. MDA is equivalent for MDA-MB-231 cells. Data represent means of four independent experiments. Standard deviation was calculated using ±SEM. *P*-values were calculated between 'co-culture' and 'co-culture + PsA-D' using Dunnett's multiple comparisons test.

DISCUSSION

For pseudopterosin effective biological activities in various therapeutic areas including antiinflammatory effects are described [9–11]. This study aimed to explore the inhibitory capabilities of pseudopterosin on distinct features of triple negative breast cancer (TNBC), namely the ability to invade surrounding tissue and the contribution to rapid tumor progression. For TNBC, a disease with a high unmet medical need and a low survival rate, we demonstrated previously a novel potential of pseudopterosin by inhibiting NF- κ B signaling and subsequent cytokine secretion [16]. Furthermore, suggested by the translocation of GR α , we revealed a role of GR α activation upon pseudopterosin treatment. In the current study, GR α again indicated to play a role in mediating pseudopterosin induced inhibition of breast cancer cell proliferation. Among others, NF- κ B is an important regulator in the development of the mammary glands [37]. However, chronic inflammation in general and inflammation in the tumor microenvironment in particular, caused by NF- κ B up-regulation over a long time range, increases aggressiveness, invasiveness [38,39] and correlates with poor prognosis in breast cancer patients [40]. As our data suggest pseudopterosin to inhibit constitutive NF- κ B activity in TNBC cells [16], we further examined effects of pseudopterosin on blocking invasion. Adipocytes in breast tumors are described to secrete high amounts of collagen leading to increased tumor growth [41]. Despite of using equivalently high collagen concentrations, which is known to reduce drug sensitivity [42], pseudopterosin displayed strong anti-invasive properties. Moreover, in a GR α knockdown, invasiveness in breast cancer tumor spheroids increased.

Gene expression analysis of breast tumors revealed a down-regulation of genes involved in cell differentiation, whereas genes promoting tumorigenesis were up-regulated [43]. However, mutations alone cannot explain the high malignancy and the complexity of the tumor. The tumor microenvironment is the most important factor of why immune cells undergo a reprogramming step, thereby promoting tumor progression. The discovery that normal mammary epithelial cells cooperate with innate immune cells for invasive processes, led to the discovery that macrophages are the drivers of intravasation from invasive breast tumors by establishing the tumor microenvironment [33,44]. Thereby, extracellular matrix (ECM), stromal cells such as endothelial and immune cells, fibroblasts and adipocytes are the main components of the microenvironment [45]. Additionally, tumor associated macrophages (TAMs) play a critical role in the tumor microenvironment by secreting second messengers such as IL-8 or IL-6 via NF-KB activation, thus promoting the tumor microenvironment and regulating angiogenesis which in turn correlates with poor outcome and malignant features in breast cancer [35,36,46,47]. Paradoxically, cytotoxic chemotherapy further initiates TAM recruitment into invasive carcinoma [48], where co-culture with breast cancer cells results in high IL-6 levels leading to activation of cancer stem cells [49.] We confirmed elevated IL-6 and IL-8 expression levels as a result of cocultivating PBMC and MDA-MB-231 cells, where pseudopterosin was able to significantly block cytokine expression and henceforth the communication of both cell types.

In the clinics, glucocorticoids are used to reduce allergic reactions or nausea during chemotherapy due to up-regulation of anti-inflammatory signals [50–52]. On tumor cells, the synthetic GRα ligand dexamethasone (Dex) has been described to reduce cell proliferation by decreasing ERK phosphorylation in ER⁺ breast cancer cells, possibly via the mechanism of transactivation [51]. ERK is a key regulator of proliferation and remodels the chromatin structure [29]. To our knowledge, anti-proliferative effects of Dex where as yet not observed in MDA-MB-231 cells. In contrast, Dex was described to increase tumor growth and act pro-proliferative [53].

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However, in our study, we not only observed anti-proliferative effects after Dex treatment, but also witnessed improved anti-proliferative effects of pseudopterosin treatment compared to Dex. Interestingly, preliminary data indicate that the mechanism of action of pseudopterosin seems to be distinct from Dex, as the phosphorylation status of ERK did not change in the presence of pseudopterosin.

To date, GRα signaling can be divided into two distinct pathways: the so-called "transactivation", reflecting target gene expression, and the "transrepression", representing the downregulation of parallel signaling pathways such as NF-KB activation. Prominent metabolic side effects of glucocorticoid treatment might be ascribed to transactivation of GR α [54]. In contrast, positive effects of glucocorticoids include reduced migration and a reduction in proteins associated with chemotherapy resistance in TNBC cells, which might be explained by transrepression of GRa [55–57]. The mechanism of the transrepressive process of GRa can have different origins: GRa can hetero-dimerize and bind directly to the p65/p50 dimer [58] or GRa recruits histone deacetylases to the promotors of inflammatory genes [59]. GR α transrepression is thereby defined as a direct interaction with transcription factors, for example NF-κB, without binding to DNA response elements and independent of IkB, p50 or p65 regulation of expression [54]. Thus, up-regulation of IkBa expression [60] or repression of IL-8 by transcriptional inhibition of NF-kB are correlated with transactivation of GRa [54]. After GRa knockdown, we observed increased invasiveness in tumor spheroids and a lack of pseudopterosin to inhibit proliferation or invasion. Thus, we suggest the expression of GRa to be beneficial in maintaining a less invasive phenotype in TNBC and propose pseudopterosin to address the mechanism of transrepression by agonizing $GR\alpha$.

In conclusion, we demonstrated inhibitory effects of pseudopterosin on pronounced characteristics of TNBC including tumor cell proliferation and invasion. Our results imply pseudopterosin as a potential therapeutic basis suitable for targeting TNBC. Future studies will focus on investigating the molecular function including transrepressive effects of GRα in mediating pseudopterosin-dependent pharmacological actions.

MATERIALS AND METHODS

Cell Culture and Reagents

The origin of the extract of pseudopterosin A to D isolated from *A. elisabethae* (subsequently named PsA-D) was kindly provided by Dr. Russell Kerr (University of Prince Edward Island, Marine Natural Products Lab, Canada) as described in our previous work [16]. U0126 inhibitor was purchased from Selleckchem (Houston, U.S.). MDA-MB-231 breast cancer cells were

obtained from European Collection of Authenticated Cell Cultures (ECACC, Salisbury, UK) and grown in humidified atmosphere containing 5% CO_2 in RPMI medium. Medium was supplemented with 15% FCS, 100 units·mL⁻¹ penicillin and 100 µg·mL⁻¹ units streptomycin. PBMCs were purchased from STEMCELL Technologies (Vancouver, Canada) and cultured in the presence of 5% CO2 in RPMI along with 10% FCS, penicillin and streptomycin. Staurosporine was purchased from Sigma-Aldrich (St. Louis, USA) and medium and antibiotics from Life Technologies (Gibco, Carlsbad, U.S.).

Realtime Cell Proliferation

MDA-MB-231 breast cancer cells were seeded at a density of 1×10⁵ cells per mL in 96-well image lock plates (Sartorius, Goettingen, Germany) and images were taken every hour for a time frame of five days with the IncuCyte[®] Zoom from Sartorius (Goettingen, Germany). Confluency of cells was determined using the software of IncuCyte[®] Zoom (Version 2016B).

Knockdown Studies

Glucocorticoid receptor alpha (GRα) siRNA (siGR) sc-35505 was purchased from Santa Cruz Biotechnology (Dallas, U.S.). Silencer[®] Select Negative Control No. 2 siRNA (nc siRNA) was obtained from Life Technologies (Carlsbad, U.S.). 1×10⁶ cells were transfected with 300 nM siRNA using the Nucleofector 2b device (Lonza, Basel, Switzerland) using the X-013 protocol for transfection of MDA-MB-231 cells. After different time points, cells were harvested and expression upon knockdown of interest was analyzed using quantitative realtime PCR, respectively.

Quantitative Realtime PCR

To determine cytokine or GRa expression levels after co-culture or knockdown, the following primers were used (purchased from Eurofins, Ebersberg, Germany): IL-6 forward (GGCACTGGCAGAAAACAACC), IL-6 reverse (GCAAGTCTCCTCATTGA-ATCC) IL-8 forward: (ACTGAGAGTGATTGAGAGTGGAC), (AACCCT-CTGCACCCAGTTTTC), IL-8 reverse: GAPDH (TGCACCACCAACTGCTTAGC), forward: GAPDH reverse: (GGCATGGACTGTGGTCATGAG), GRa forward: (AAAAGAGCAGTGG-AAGGACAGCAC), GRa reverse: (GGTAGGGGTGAGTTGTGGTAACG). Total RNA was isolated with "RNase Mini kit" from QIAGEN (Hilden, Germany) according to the manufacturer's instructions and reverse transcriptase PCR was performed using "Reverse Transcription Kit" from Promega (Darmstadt, Germany). Realtime PCR was conducted with "Quantitect SYBR Green" from QIAGEN based on the following protocol: Pre-incubation at 95° for 900 seconds, amplification was performed over 45 cycles (95° for 15 seconds, 55° for 25 seconds and 72° for 10 seconds). No-template

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controls served as negative controls. CT values were calculated according to the $2^{-\Delta\Delta CT}$ method [61]. Sample values were normalized to the house-keeping gene GAPDH (glyceraldehyde 3-phosphate dehydrogenase).

3D Invasion Assay

To study MDA-MB-231 invasion into an extracellular matrix such as matrigel (Corning, New York, U.S.), spheroids of MDA-MB-231 were generated for 72 hours starting with 3×10³ cells and 0.25% matrigel in an ultra-low-attachment (ULA) plate (Corning, New York, U.S.). Invasion was initiated by addition of matrigel in a ratio of 1:1 volume to the spheroids. Images were taken with the IncuCyte[®] Zoom (Sartorius, Goettingen, Germany) to create a time lapse movie or the Axio Vert.A1 microscope (Zeiss, Oberkochen, Germany) every 24 hours for a time frame of three days. Image analysis was done with imageJ makro "Analyze Spheroid Cell Invasion in 3D matrix" by Volker Bäcker [62] (FIJI distribution [63]).

Co-culture Studies

Co-culture of PBMC and MDA-MB-231 cells: PBMC were freshly thawed for each experiment. 1×10^{6} cells of MDA-MB-231 were seeded on day one and incubated with PsA-D for 20 minutes on day two. Treatment was followed by addition of PBMC cells to the MDA-MB-231 cells at a ratio of 1:1. Finally, cells were harvested at day three and analyzed for cytokine expression by realtime PCR.

Preparation of PsA-D Mixture

A. elisabethae was collected from South Bimini Island, as described in our previous work [16]: the extract was dried and extracted in EtOAc/MeOH (1:1) for 48 hours and subjected to silica gel chromatography eluting with hexanes and EtOAc to afford a mixture of PsA-D. The ratio was determined to be 85:5:5:5 (PsA:B:C:D) by LC-MS analysis.

Immunofluorescent staining

After treatment according to *Knockdown studies*, cells were fixed with -10°C cold methanol for 5 minutes and treated with 0.1% Triton[™] X-100 for 15 minutes. Antibodies were purchased from Santa Cruz Biotechnology (Dallas, Texas, USA): primary antibody (sc-8992 GRα (H-300)) incubated 1:50 for 24 hours overnight at 4°C and secondary antibody (sc-2012 IgG-FITC) was incubated 1:100 for 2.5 hours at room temperature For staining the cell nuclei 4',6-Diamidin-2-phenylindol (DAPI, Sigma Aldrich, St. Louis, USA) was incubated for 5 min at room temperature

at a concentration of 3 μ M. Cells were washed three times with PBS following each incubation step.

Statistical Analysis

All data shown represent at least three independent experiments. Error bars show ±SEM of all the means of triplicate values. Figures and statistical analysis were generated with Graphpad Prism v. 6.07 (Graphpad Software, San Diego, USA) using one-way-ANOVA and the underlying Dunnett's multiple comparisons test. P<0.05 was chosen to define statistically significant differences.

<u>Supplementary Material</u>: Figure S1: Cell Viability of MDA-MB-231 cells after pseudopterosin treatment. Figure S2: Cell viability assessment of PBMC cells after pseudopterosin treatment. Figure S3: Pseudopterosin did not change ERK phosphorylation status in MDA-MB-231 cells. Figure S4: Pseudopterosin failed to inhibit breast cancer cell proliferation after knockdown of the glucocorticoid receptor alpha (GRα) after 72 hours. Figure S5: Pseudopterosin does not inhibit spheroid growth. Figure S6: Pseudopterosin inhibited bidirectional communication between triple negative breast cancer (TNBC) and peripheral blood mononuclear cells (PBMC).

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<u>Author Contributions</u>: Nicole Teusch developed the scientific concept. Julia Sperlich and Nicole Teusch designed the experiments. Julia Sperlich performed the experiments and analyzed the data. Nicole Teusch and Julia Sperlich wrote the manuscript.

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3.2.1 Supplemental Material



Supplemental Figure 3.2-1. Cell Viability of MDA-MB-231 cells after pseudopterosin treatment.

Increasing amounts of PsA-D were incubated for either 24 hours showing an IC_{50} value of 31.4 μ M (**A**) or for 48 hours leading to an IC_{50} value of 32.16 μ M (**B**). Staurosporine (white circles) serves as positive control and 1% DMSO as negative control. Error bars were calculated using ±SEM. Graphs represent exemplary data. Means of IC_{50} values were calculated of three independent experiments.



Supplemental Figure 3.2-2. Cell viability assessment of PBMC cells after pseudopterosin treatment.

30 μM of PsA-D were tested for its cytotoxic properties after 24 hours of treatment on PBMC cells. 3 μM staurosporine served as positive control and DMSO as negative control. Error bars were calculated using ±SEM. Graphs represents means of two independent biological repeats. RLU represents "Relative Luminescent Units".



Supplemental Figure 3.2-3. Pseudopterosin did not change ERK phosphorylation status in MDA-MB-231 cells.

Cells were treated with 15 μ M of PsA-D and incubated for either 1 or 24 hours. DMSO served as negative control and the MEK inhibitor U0126 at a concentration of 10 μ M as positive control. At the indicated time points, cells were harvested and 20 mg of protein were used for a western blot analysis. The house keeping gene GAPDH served as a loading control.



Supplemental Figure 3.2-4. Pseudopterosin failed to inhibit breast cancer cell proliferation after knockdown of the glucocorticoid receptor alpha (GR α) after 72 hours.

Knockdown of GR α was done with the Lonza Nucleofector 2b device. The cells were seeded and proliferating cells were imaged with the IncuCyte[®] ZOOM every hour. Confluency of cells was determined with IncuCyte[®] software indicated in proliferation in percent. Cells were treated with a concentration of 15 µM of PsA-D. The bar diagram shows the proliferation rate at time points 0 and 72 hours. The data represent means of three independent experiments. Error bars were calculated using ±SEM. Two stars represent a significance ρ <0.01 and one star of ρ <0.05.



(**A**)



Supplemental Figure 3.2-5. Pseudopterosin did not inhibit spheroid growth.

Spheroids were formed for 72 hours, using 3×10^3 cells and 2.5% matrigel. The spheroids were imaged with the IncuCyte[®] ZOOM every hour for a time frame of three days. The growth of the spheroids was measured using Fiji ImageJ. As positive control MEK inhibitor U0126 was added at a concentration of 10 µM and DMSO served as a negative control. PsA-D was added at a concentration of 30 µM. The data represent means of six independent experiments. Scale bars in black represent 300 microns. Error bars were calculated using ±SEM. Four stars represent a significance of ρ <0.0001 and two stars of ρ <0.01.





Tumor-conditioned medium of MDA-MB-231 cells was produced using 1 μ g/mL LPS and 1×10⁶ cells per mL. After 24 hours of incubation, tumor conditioned medium (MDA-MB-231-conditioned medium, M-CM) was harvested, centrifuged and sterile filtered. Afterwards, M-CM was added at 25 volume percentages to 1×10⁶ cells per mL of PBMC. After 5 hours of incubation, cells were harvested, RNA isolated and analyzed with qPCR.

<u>Supplementary Method 1</u>: Measurement of cell viability: MDA-MB-231 cells were seeded at a density of 2.8×10⁵ cells per mL in 384 well plates (Greiner Bio-One, Kremsmuenster, Austria) with the CyBio pipetting robot (Analytic Jena AG, Jena, Germany) and PBMCs were seeded at a density of 1×10⁶ cells per mL in 96 well plates (Greiner Bio-One, Kremsmuenster, Austria). MDA-MB-231 cells were incubated for 24 hours at 37°C before treatment and PBMCs for one
hour before treatment. Compounds were added at different concentrations and incubated for 24 or 48 hours, respectively. Measurement of cell viability was performed with CellTiterGlo[®] from Promega (Darmstadt, Germany) according to the manufacturer's instructions.

<u>Supplementary Method 2</u>: Western blot measurement of phosphorylated ERK in MDA-MB-231 cells: 1×10⁶ cells per mL were seeded into 6 well plates (Thermo Fisher Scientific, Waltham, USA) and incubated for 24 hours at 37°C before treatment. After treatment, cells were harvested, lysed (5x Lysis buffer, Promega, Darmstadt, Germany) with a buffer containing protease and phosphatase inhibitors (Roche, Basel, Switzerland) and protein concentration of samples, determined with Roti[®]-Quant reagent (Roth, Karlsruhe, Germany), was adjusted to 20 mg. Samples were loaded on 12% SDS gels, run at 100 V and afterwards blotted on a PVDF membrane at 25 V using a semi-dry installation (Bio-Rad Laboratories, Hercules, USA). The membrane was blocked with 5% non-fat dry milk (Roth, Karlsruhe, Germany). The housekeeping gene GAPDH was used as a loading control. Primary antibodies (GAPDH rabbit: D16H11; pERK rabbit: D13.14.4E) were purchased from Cell Signaling Technology (Danvers, USA), used at a dilution of 1/1000 in 5% non-fat dry milk and incubated over night at 4°C. The secondary anti-rabbit HRP-linked antibody (Cell Signaling Technology, Danvers, USA) was used at a dilution of 1/2000 and incubated for 2 hours at room temperature.

<u>Supplementary Method 3</u>: Spheroids of MDA-MB-231 cells were generated for 72 hours starting with 3×10³ cells and 2.5% matrigel (Corning, New York, U.S.) in an ultra-low-attachment (ULA) plate (Corning, New York, U.S.). U0126 MEK inhibitor (Sellekchem, Houston, U.S.) served as positive control. Images were taken with the IncuCyte[®] Zoom (Sartorius, Goettingen, Germany) every hour for a time frame of three days. Image analysis was done with imageJ, FIJI distribution [63].

<u>Supplementary Method 4</u>: Production of conditioned medium (CM) from MDA-MB-231 cells: MDA-MB-231 cells were seeded at a density of 1×10^6 cells into a 25 cm² flask. Cells were either stimulated with 1 µg/mL LPS or without, serving as a negative control. MDA-MB-231 conditioned media (M-CM) was collected after 24 hours, centrifuged and sterile filtered. Peripheral blood mononuclear cells (PBMC) were freshly thawed and seeded at 1×10^6 cells per mL. PsA-D was added at a concentration of 30 µM for 20 minutes followed by addition of 25 volume percentage of M-CM for 5 hours. Cells were then harvested and RNA isolated with RNase Mini Kit (Qiagen, Hilden, Germany) for further quantitative realtime PCR analysis.

NATURAL OF PRODUCTS

3.3 Azaphilone Derivatives from the Fungus Coniella fragariae Inhibit NF-κB Activation and Reduce Tumor Cell Migration

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ABSTRACT

Seven new azaphilones, coniellins A–G (**1**–**7**) were obtained from the fungus *Coniella fragariae* that had been isolated from goose dung. Their structures were elucidated by 1D and 2D NMR as well as by HRESIMS data. TDDFT-ECD calculation was used



to determine the absolute configuration of **1**, while Mosher's method was applied to determine the absolute configuration of **5**. While displaying only moderate cytotoxicity, compound **1** exhibited significant inhibition of NF- κ B activation in the triple negative breast cancer cell line MDA-MB-231 with an IC₅₀ value of 4.4 μ M. Moreover, compounds **1**, **4** and **5** clearly reduced tumor cell migration. Compound **1** was the most active derivative tested in this assay and displayed 60% inhibition of tumor cell migration at a dose of 5 μ M and 98% inhibition at 10 μ M after 24 h.

INTRODUCTION

Fungi are an important source of secondary metabolites with a variety of chemical structures and diverse biological activities [1]. Investigation of fungi from hitherto less investigated habitats such as animal dung is expected to enhance the discovery rate of new secondary metabolites. Dung-inhabiting fungi, also known as coprophilous fungi, have already been shown to produce an impressive number of bioactive natural products with antibacterial and antifungal activities [2,3]. These fungi and their metabolites play an important ecological role in decomposing and recycling nutrients from animal dung [4].

In our continuous studies on new bioactive fungal natural products [5–7], the fungus *Coniella fragariae* was isolated from goose dung, which was collected near the sea coast in North Germany. Fungi from the genus *Coniella* are generally known as phytopathogens. For example, *C. granati* is the causative agent of pomegranate disease in Greece, Israel, Turkey, and Italy [8–11]. *C. diplodiella* is known to cause white rot in grapes [12] and crown rot in strawberries [13]. In Australia, *C. fragariae* was found to cause foliage blight in *Eucalyptus pellita* [14]. In the present study, plants that had been eaten by geese are expected to be the true source of *C. fragariae*.

No report on natural products from fungi of the genus *Coniella* has been published so far. Investigation of *C. fragariae* now yielded seven new azaphilone derivatives, coniellins A–G (1–7). The isolation and structure elucidation of the new compounds as well as their cytotoxic and NF- κ B inhibitory activities are reported herein.



RESULTS AND DISCUSSION

Compound **1** was isolated as a yellow powder. It had the molecular formula $C_{22}H_{24}O_6$ with 11 degrees of unsaturation as deduced from the HRESIMS data. The ¹³C NMR data of **1** (Table 3.3-1) showed the presence of three carbonyls at $\delta_{\rm C}$ 191.1 (C-6), 190.2 (C-13) and 190.0 (C-10), an ester carbonyl at $\delta_{\rm C}$ 168.8 (C-21), and eight olefinic carbons at $\delta_{\rm C}$ 165.4 (C-3), 153.0 (C-15), 149.5 (C-4a), 148.5 (C-1), 127.3 (C-14), 117.9 (C-8a), 110.0 (C-5) and 108.0 (C-4), accounting for eight degrees of unsaturation. Thus, compound 1 was suggested to be tricyclic. The ¹H NMR spectrum displayed an aldehyde group at $\delta_{\rm H}$ 10.18 (H-10), two olefinic proton singlets at $\delta_{\rm H}$ 8.40 (H-4) and 7.49 (H-1) and two methyl group singlets at $\delta_{\rm H}$ 2.42 (Me-11) and 1.36 (Me-9). HMBC correlations from H-1 to C-3, C-4a and C-8 ($\delta_{\rm C}$ 43.1), from Me-11 to C-3 and C-4, from H-4 to C-5 and C-8a, from H-10 to C-4a and C-5, from Me-9 to C-6, C-7 ($\delta_{\rm C}$ 81.0) and C-8, and from H-8 ($\delta_{\rm H}$ 3.90) to C-6 established the pyranoquinone bicyclic core with an aldehyde group at C-5 and two methyl groups at C-3 and C-7, respectively (Figure 3.3-1). Apart from these signals, two olefinic protons at $\delta_{\rm H}$ 7.13 (H-15) and 6.58 (H-14), a methyl group at $\delta_{\rm H}$ 0.89 (Me-20), a methine group at $\delta_{\rm H}$ 4.16 (H-12), and four methylene groups were observed in the ¹H NMR data of **1**. The COSY correlations between H-8/H-12, between H-14/H-15/H₂-16 ($\delta_{\rm H}$ 2.31)/H₂-17 ($\delta_{\rm H}$ 1.51)/H₂-18 ($\delta_{\rm H}$ 1.34), and between H₂-19 ($\delta_{\rm H}$ 1.34)/Me-20, together with the HMBC correlations from Me-20 to C-18 ($\delta_{\rm C}$ 31.4) and C-19 ($\delta_{\rm C}$ 22.4), from H-8 to C-13, and from H-12 to C-8a, C-13 and C-21, indicated the presence of an ester bridge between C-7 and C-21 to form the third ring and a 1-oxooct-2-en-1-yl side chain at C-12. Thus, the planar structure of compound 1 was elucidated as shown, representing a new azaphilone derivative, for which the name **coniellin A** is proposed. The double bond at C-14/C-15 was determined to be E configurated based on the large coupling constants between H-14 and H-15 (15.6 Hz). In the ROESY spectrum, the NOE relationship between H-12 and Me-9 was observed, suggesting that H-12 and Me-9 were on the same face of the lactone ring, while H-8 was on the opposite face. The chemical shifts of H-8, H-12 and Me-9 of compound 1 also supported this assignment of the relative configuration after comparison with similar tricyclic azaphilones reported in the literature [15]. In addition, cohaerins G and H exhibited similar ECD data (+350, -272 nm) when compared to those of coniellin A (Figure 3.3-2), suggesting they shared the same absolute configuration [15].



Figure 3.3-1. COSY and key HMBC correlations of compound 1.

To elucidate the absolute configuration of 1, the solution time-dependent density functional theory/ electronic circular dichroism (TDDFT-ECD) method was applied on the arbitrarily chosen (7R,8S,12S) enantiomer [16,17]. Merck Molecular Force Field (MMFF) conformational search with a 21 kJ/mol energy window resulted in 395 conformers, which were reoptimized at the B3LYP/6-31G(d), the B97D/TZVP [18,19] PCM/MeCN and the CAM-B3LYP/TZVP20 PCM/MeCN levels of theory. To decrease the number of computed conformers, orientation of the last four carbons of the C-12 substituent was neglected by reclustering after DFT optimizations [21]. ECD spectra computed at various levels (B3LYP, BH&HLYP, CAM-B3LYP and PBE0 with the TZVP basis set) for all sets of conformers gave moderate to good agreement suggesting the 7R,8S,12S absolute configuration (Figure 3.3-2). In order to further verify the absolute configuration, specific rotation values were also computed for the (7R,8S,12S) B3LYP conformers resulting in the same positive sign as the experimental value for all the low-energy conformers supporting the ECD results [22,23]. The Boltzmann-averaged values (+373, +350 and +374 at the B3LYP/TZVP, BH&HLYP/TZVP and PBE0/TZVP levels, respectively) are also in good agreement with the experimental specific rotation (+371). Thus, the absolute configuration of **1** was unambiguously elucidated as (7*R*,8*S*,12*S*).



Figure 3.3-2. Experimental ECD spectrum of 1 compared with the Boltzmann-weighted CAM-B3LYP/TZVP (PCM/MeCN) ECD spectrum of (8*S*,9*R*,12*S*)-1.

Level of optimization: B97D/TZVP PCM/MeCN. Bars represent the rotatory strength values of the lowestenergy conformer.

The molecular formula of coniellin B (**2**) was determined to be $C_{22}H_{26}O_6$ by HRESIMS, containing two additional hydrogen atoms compared to **1**. The NMR data of compound **2** (Table 3.3-1) resembled those of **1** except for the replacement of the double bond in the side chain by

two methylene groups at $\delta_{\rm H}$ 3.07 (H_a-14), 2.76 (H_b-14) and 1.65 (H₂-15), which was confirmed by the COSY correlations between H_{ab}-14/H₂-15/H₂-16 ($\delta_{\rm H}$ 1.31) and the HMBC correlations from H-8 ($\delta_{\rm H}$ 3.81), H-12 ($\delta_{\rm H}$ 3.95), H_{ab}-14 and H₂-15 to the downfield shifted C-13 ($\delta_{\rm C}$ 202.3). The remaining substructures and the relative configuration of **2** were determined to be identical to those of **1** by detailed analysis of the 2D NMR spectra of **2**.

Position	sition1ª			2 ^b		3 ª	
	$\delta_{C,}$ type	$\delta_{ m H}$ (J in Hz)	$\delta_{C,}$ type	$\delta_{ m H}$ (J in Hz)	$\delta_{C,}$ type	$\delta_{ m H}~(J~{ m in}~{ m Hz})$	
1	148.5. CH	7.49. d (1.5)	148.4. CH	7.46. d (1.7)	148.7. CH	7.44. d (1.7)	
3	165.4, C		165.6, C		165.5, C		
4	108.0, CH	8.40, s	108.1, CH	8.41, s	108.1, CH	8.39, s	
4a	149.5, C		149.5, C		149.5, C		
5	110.0, C		109.8, C		109.9, C		
6	191.1, C		191.1, C		191.2, C		
7	81.0, C		80.9, C		81.0, C		
8	43.1, CH	3.90, dd (12.7, 1.5)	42.8, CH	3.81, dd (12.8, 1.7)	42.3, CH	3.89, dd (12.7, 1.7)	
8a	117.9, C		117.8, C		117.9, C		
9	18.3, CH ₃	1.36, s	18.3, CH₃	1.34, s	18.4, CH ₃	1.34, s	
10	190.0, CH	10.18, s	190.0, CH	10.19, s	190.1, CH	10.19, s	
11	20.4, CH ₃	2.42, s	20.4, CH ₃	2.43, s	20.5, CH ₃	2.42, s	
12	51.6, CH	4.16, d (12.7)	53.1, CH	3.95, d (12.8)	55.0, CH	4.15, d (12.7)	
13	190.2, C		202.3, C		202.1, C		
14	127.3, CH	6.58, d (15.6)	42.2, CH ₂	3.07, dt (18.2, 7.2)	46.9, CH ₂	3.23, dd (14.4, 10.3)	
				2.76, dt (18.2, 7.2)		2.76, dd (14.4, 3.4)	
15	153.0, CH	7.13, dt (15.6, 7.0)	23.2, CH ₂	1.65, m	79.5, CH	3.78, m	
16	32.8, CH ₂	2.31, q (7.0)	28.9, CH ₂	1.31, m	32.4, CH ₂	1.70, m	
						1.54, m	
17	27.5, CH ₂	1.51, m	29.0, CH ₂	1.31, m	24.1, CH ₂	1.32, m	
18	31.4, CH ₂	1.34, m	31.6, CH ₂	1.27, m	31.8, CH ₂	1.31, m	
19	22.4, CH ₂	1.34, m	22.6, CH ₂	1.28, m	22.6, CH ₂	1.33, m	
20	13.9, CH₃	0.89, t (7.0)	14.0, CH ₃	0.88, t (6.9)	14.0, CH ₃	0.90, t (6.9)	
21	168.8, C		168.7, C		168.7, C		
15-OMe					56.4, CH ₃	3.35, s	

Table 3.3-1. ¹H and ¹³C NMR Data of Compounds 1–3.

^a Recorded at 600 MHz (¹H) and 150 MHz (¹³C) in CDCl₃; ^b Recorded at 300 MHz (¹H) and 75 MHz (¹³C) in CDCl₃.

Coniellin C (3) had the molecular formula $C_{23}H_{28}O_7$ as determined by the HRESIMS data. Comparison of its ¹H and ¹³C NMR data with those of compound 2 showed the presence of an additional methoxy group (δ_H 3.35 and δ_C 56.4) and an additional methine (δ_H 3.78 and δ_C 79.5, CH-15). The attachment of the methoxy group at C-15 was confirmed by the HMBC correlation from the protons of the methoxy group to C-15 and the COSY correlations between H_{ab}-14 (δ_H 3.23 and 2.76)/H-15/H_{ab}-16 (δ_{H} 1.70 and 1.54). Thus, compound **3** was a 15-methoxy derivative of coniellin B (**2**). The relative configuration of the methoxy group at C-15 could not be assigned.

Coniellin D (4) was isolated as a yellow powder. The molecular formula of $C_{21}H_{26}O_5$ was deduced from the HRESIMS data of 4, differing from 1 by the loss of a carbonyl group and the addition of two extra protons. The NMR data of 4 (Table 3.3-2) were similar to those of 1 including signals of the pyranoquinone bicyclic ring, the aldehyde group and the 1-oxooct-2-en-1-yl side chain. However, an additional methylene group at δ_H 3.50 and 2.82 (H_{ab}-12) was observed in 4 in addition to the absence of the ester carbonyl at C-21. The COSY correlation between H_{ab}-12/H-8 (δ_H 3.33) and the HMBC correlations from H_{ab}-12 and H-8 to C-13 (δ_C 198.7) confirmed the location of the additional methylene at C-12 and the disappearance of the lactone carbonyl group in 4. The NOE cross-peaks from Me-9 (δ_H 1.11) to H_{ab}-12 suggested a *trans* relationship between Me-9 and H-8, as already confirmed in compounds 1–3. Thus, the structure of compound 4 was elucidated as shown.

The molecular formula of compound **5** was elucidated as $C_{21}H_{28}O_6$ based on the HRESIMS data, containing an additional oxygen atom and two additional protons when compared to **4**. Comparison of the NMR data of **5** with those of **4** revealed the presence of an additional oxygenated methine group at δ_H 4.14 and δ_C 68.5 (CH-15). The attachment of a hydroxy group at C-15 was confirmed by the COSY correlations between H_{ab} -14 (δ_H 2.74 and 2.62)/H-15/ H_{ab} -16 (δ_H 1.54 and 1.47) and the HMBC correlations from H_{ab} -14 to C-13 (δ_C 209.3). The remaining structure of **5** was shown to be identical to that of **4** after analysis of the 2D NMR data. The NOE relationships from Me-9 (δ_H 1.09) to H_{ab} -12 (δ_H 3.28 and 2.86) indicated the same *trans* configuration between Me-9 and H-8 as that in **4**. The absolute configuration at C-15 in **5** was determined to be *S* using Mosher's method (Figure 3.3-3).



Figure 3.3-3. $\Delta \delta^{SR}$ ($\delta_{S} - \delta_{R}$) values of (*S*)- and (*R*)-MTPA esters of 5.

Detailed analysis of the HRESIMS and 2D NMR data of coniellin F (6) revealed that it shared the same planar structure as that of 5. In the ROESY spectrum of 6, Me-9 (δ_{H} 1.08) showed NOE correlations to H_{ab}-12 (δ_{H} 3.31 and 2.87) suggesting that the relative configuration at C-7 and C-8 was identical to that of 5. Meanwhile, the different coupling constants between H-15

and H_{ab} -14 in compounds **5** ($J_{Ha-14/H-15}$ = 3.0 Hz, $J_{Hb-14/H-15}$ = 9.4 Hz) and 6 ($J_{Ha-14/H-15}$ = 9.9 Hz, $J_{Hb-14/H-15}$ = 2.7 Hz) suggested that both compounds are 15-epimers.

The molecular formula of coniellin G (7) was determined to be $C_{22}H_{30}O_6$ on the basis of the HRESIMS data. The NMR data of **7** (Table 3.3-2) were similar to those of compounds **5** and **6** except for signals indicating the presence of an additional methoxy group. HMBC correlations from the methoxy singlet to the downfield shifted C-15 (δ_C 77.8) and the COSY correlations between H_{ab} -14 (δ_H 2.68 and 2.66)/H-15/ H_{ab} -16 (δ_H 1.62 and 1.48) indicated the methoxy group to be located at C-15. Detailed analysis of the 2D NMR spectra of **7** confirmed the compound to be identical to **5** and **6** with exception to the methoxy substituent at C-15. The chemical shifts and coupling constants of CH₂-14 in **7** ($J_{Ha-14/H-15}$ = 5.3 Hz, $J_{Hb-14/H-15}$ = 7.1 Hz) were comparable to those in **5** ($J_{Ha-14/H-15}$ = 3.0 Hz, $J_{Hb-14/H-15}$ = 9.4 Hz) but differed from those in **6** ($J_{Ha-14/H-15}$ = 9.9 Hz, $J_{Hb-14/H-15}$ = 2.7 Hz), suggesting that **5** and **7** share the same configuration.

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	δ _{c,} type	б _н (<i>J</i> in Hz)	δ _C , type	δ _H (<i>J</i> in Hz)	δ _{c,} type	δ _H (<i>J</i> in Hz)	$\delta_{\rm C_{\rm c}}$ type	б _н (<i>J</i> in Hz)
	150.9. CH	7.61. d (1.7)	150.4. CH	7.57. d (1.8)	150.6. CH	7.55. d (1.9)	150.8. CH	7.55. d (1.9)
	165.1, C		165.0, C		165.0, C		165.1, C	
	107.7, CH	8.43, s	107.6, CH	8.44, s	107.6, CH	8.44, s	107.7, CH	8.42, s
	151.1, C		150.9, C		151.0, C		151.1, C	
	108.4, C		108.5, C		108.4, C		108.3, C	
	198.9, C		198.8, C		198.9, C		198.9, C	
	71.8, C		71.7, C		71.5, C		71.5, C	
	40.3, CH	3.33, dt (10.2, 1.7)	39.7, CH	3.37, ddd (9.4, 2.6, 1.8)	39.6, CH	3.39, ddd (9.8, 2.1, 1.9)	39.3, CH	3.37, dt (10.0, 1.9
_	121.9, C		121.6, C		121.5, C		121.7, C	
	20.6, CH ₃	1.11, s	20.6, CH ₃	1.09, s	20.7, CH ₃	1.08, s	20.7, CH ₃	1.07, s
_	190.2, CH	10.20, s	190.1, CH	10.20, s	190.2, CH	10.20, s	190.2, CH	10.18, s
	20.2, CH ₃	2.38, s	20.1, CH ₃	2.39, s	20.1, CH ₃	2.38, s	20.1, CH ₃	2.38, s
	36.3, CH ₂	3.50, dd (16.7, 1.7)	39.4, CH ₂	3.28, dd (17.6, 2.6)	40.7, CH ₂	3.31, dd (18.4, 2.1)	39.7, CH ₂	3.27, dd (18.0, 1.9
		2.82, dd (16.7, 10.2)		2.86, dd (17.6, 9.4)		2.87, dd (18.4, 9.8)		2.85, dd (18.0, 10.0
	198.7, C		209.3, C		209.4, C		207.9, C	
_	129.4, CH	6.17, dt (15.9, 1.5)	49.7, CH	2.74, dd (16.0, 3.0)	49.3, CH	2.70, dd (15.6, 9.9)	46.9, CH	2.68, dd (14.8, 5.3
				2.62, dd (16.0, 9.4)		2.61, dd (15.6, 2.7)		2.66, dd (14.8, 7.1
	150.1 CH	7.06, dt (15.9, 6.9)	68.5 CH	4.14, m	69.0 CH	4.14, m	77.8 CH	3.74, m
	$32.6, CH_2$	2.25, q (7.0)	37.1, CH ₂	1.54, m	37.2, CH ₂	1.54, m	32.9, CH ₂	1.62, m
				1.47, m		1.48, m		1.48, m
	27.7, CH ₂	1.48, m	25.2, CH ₂	1.45, m	25.0, CH ₂	1.43, m	24.7 , CH_2	1.30, m
				1.34, m		1.33, m		
	31.4 , CH_2	1.30, m	31.7, CH ₂	1.31, m	31.7, CH ₂	1.31, m	31.8, CH ₂	1.30, m
•	22.4 , CH_2	1.32, m	22.6 , CH_2	1.32, m	22.6 , CH_2	1.32, m	22.5, CH ₂	1.31, m
_	13.9, CH ₃	0.90, t (7.0)	14.0, CH ₃	0.90, t (7.0)	13.9, CH ₃	0.90, t (7.0)	14.0, CH ₃	0.89, t (6.9)
Me							FRO CH.	3 33 c

Table 3.3-2. ¹H and ¹³C NMR Data for Compounds 4–7.

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Azaphilones possessing a highly oxygenated bi- or tricyclic core have hitherto mainly been reported from the fungal genera *Penicillium*, *Aspergillus*, *Talaromyces*, *Monascus*, and *Chaetomium* [24]. Some of these compounds may be considered as important taxonomic marker metabolites. Azaphilones are biogenetically derived from the polyketide pathway giving rise to the cyclized core structure and from fatty acid metabolism yielding the side chain. The aldehyde group at C-5 in the azaphilone derivatives isolated in this study is unusual. Few azaphilones with an aliphatic side chain at C-5 have been reported in the literature including austdiol from *Aspergillus ustus* [25] and helotialins A and C from *Helotiales* sp. Due to the deshielding effect of the aldehyde group in coniellins and austdiol or the carboxylic acid group in helotialins A and C, the signals of H-4 of those compounds are around $\delta_{\rm H}$ 8.5, whereas the signals of H-4 of azaphilone derivatives with a proton at C-5 appear at $\delta_{\rm H}$ 5.9–6.5.

Azaphilones were previously reported to possess cytotoxicity against various tumor cell lines [27,28]. However, to date, effects of azaphilones on triple negative breast cancer cell lines have not been evaluated. Noteworthy, triple negative breast cancer (TNBC) represents the most aggressive form of breast cancer with currently no targeted therapy available and a significantly reduced overall survival rate [28]. Thus, the effects on viability of the triple negative breast cancer cell line MDA-MB-231 of compounds **1**–**7** were tested. Among them compounds **1**-**5** and **7** displayed weak cytotoxic activities with IC₅₀ values ranging between 18.6 μ M (**4**) and 79.3 μ M (**5**). Compound **6** displayed no activity (IC₅₀ > 100 μ M) after 24 h of compound incubation (Table 3.3-3).

Various studies suggested that several azaphilones exhibit anti-inflammatory activity by inhibiting nitric oxide production [30,31]. Furthermore, Youn *et al.* reported inhibition of tumor necrosis factor alpha (TNF-a)-induced nuclear factor-kappa B (NF- κ B) in RAW 264.7 cells with IC₅₀ values ranging between 0.9 and 11.6 μ M [32]. In our study, compounds **1** and **4-7** displayed inhibition of NF- κ B, with compound **1** as the most active analogue (IC₅₀ value of 4.4 μ M). Noteworthy, based on the short compound incubation time of 2 hours used for this assay, NF- κ B inhibition effects of azaphilones can be clearly distinguished from their cytotoxicity (Table 3.3-3). NF- κ B activity is involved in epithelial-to-mesenchymal transition (EMT) in breast cancer, thereby enhancing invasion and metastasis [32]. Thus, to explore the potential of azaphilone derivatives on tumor cell migration, we further analyzed the NF- κ B inhibitor **1** at concentrations of 1, 5 and 10 μ M and compounds **4**, **5** and **7** at a concentration of 10 μ M each in a 2D scratch wound assay, respectively (Figure 3.2-4 and Figure 3.2-5). Compound **1** displayed 60% inhibition of tumor cell migration at 5 μ M and 98% inhibition at 10 μ M, respectively. In contrast, compounds **6** and **7** did not exhibit significant cell migration inhibition when tested at a

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concentration of 10 μ M. Furthermore, we evaluated compound **1** in a 3D invasion assay at a concentration of 10 μ M. After 24 hours, compound **1** reduced invasion of triple negative breast cancer cells into the surrounding extracellular matrix by 52%.

Comparison of the structure of **1** with those of **2** and **3** indicated that the presence of a double bond at C-14/C-15 is important for NF- κ B inhibition. Compared to **1**, the loss of the lactone ring in **4** significantly decreased the inhibitory activity. For the 15-epimers **5** and **6**, the 15*S* isomer **5** was more active than the 15*R* isomer **6**, while methylation at 15-OH (**7** vs. **5**) increased NF- κ B inhibitory activity perhaps due to increased lipophilicity and enhanced diffusion across membranes.

Compound	Cell viability ^ª IC₅₀ (µM)	NF-кВ inhibition ^ь IC₅₀ (µM)
1	21.5 ±9.5	4.4 ±2.3
2	19.6 ±10.0	>100
3	21.0 ±3.7	>100
4	18.6 ±4.7	37.8 ±3.2
5	79.3 ±0.8	29.4 ±2.2
6	>100	70.7 ±7.8
7	21.6 ±3	11.3 ±0.5

Table 3.3-3. Cell viability and NF-κB inhibition assessment of azaphilone derivatives.

^aCell Viability of MDA-MB-231 cells was measured 24 hours after compound treatment. As a positive control, cells were incubated with a concentration of 5 µM staurosprorine normalized to 1% DMSO, the latter equaling 100% cell viability; 5 staurosporine reduced the cell viability 10.9%. μM to ^bTo determine NF-κB inhibition, compounds were incubated for 20 minutes followed by treatment with 20 ng/mL TNFα for 2 hours. TNFα alone served as positive control, inducing NF-κB activation by 5.3-fold at a concentration of 20 ng/mL.



Figure 3.3-4. Inhibition of tumor cell migration in a scratch wound assay.

Compound 1 was (A) tested at the concentrations of 1, 5 and 10 μ M, respectively or (B) evaluated at 10 μ M in comparison with derivatives 4–7. Data represent increasing relative wound density in percentage calculated with the IncuCyte ZOOM[®] software. Error bars show ±SEM. Four stars represent a significance of ρ <0.001, three stars of ρ <0.001 and two stars of ρ <0.01. 'Ns' means not significant.



Figure 3.3-5. Images of the scratch wound assay after 0, 12 and 24 hours of incubation with compound 1. Compound 1 was tested at concentrations of 5 and 10 μ M, respectively. Whereas the cells in control migrate and cover the clear zone of the scratch wound completely after 24 h, treatment with compound 1 inhibits cell migration.

EXPERIMENTAL SECTION

General Experimental Procedures

NMR spectra were recorded on Bruker Avance III 300 or Bruker Avance III 600 spectrometers. HRESIMS data were obtained on a Bruker Daltonics UHR-QTOF Maxis 4G mass spectrometer. Optical rotations were measured with a JASCO P-2000 polarimeter. ECD spectra were recorded on a J-810 spectropolarimeter. HPLC analysis was carried out using a Dionex UltiMate-3400SD system (an LPG-3400SD pump and a DAD3000RS photodiode array detector) coupled with a Knauer Eurospher C₁₈ analytical column (125×4 mm, 5µm). Semipreparative HPLC was performed on a Lachrom-Merck Hitachi system using a 300×8 mm Knauer Eurospher C₁₈ column. For TLC, plates precoated with Merck silica gel F254 were used with detection under 254 and 365 nm or by spraying the plates with anisaldehyde reagent followed by heating.

Fungal Material and Identification

The fungus was isolated from goose (*Anser anser*) dung collected at the North Sea coast close to Garding, Germany in September 2016. The fungus was identified as *C. fragariae* (GenBank accession number KJ710465.1) by DNA amplification and sequencing of ITS region as described before [34].

Fermentation, Extraction, and Isolation

The fungus was cultivated on rice medium in 50 Erlenmeyer flasks (1L each, 100 g of rice and 110 mL demineralized water per flask, autoclaved at 121 °C for 20 min before inoculation). After 14 days fermentation at 20 °C under static conditions, 500 mL EtOAc was added to each flask, followed by shaking at 150 rpm for 8 h. The solvent was evaporated to dryness to give 52 g crude extract. Liquid-liquid separation between n-hexane and MeOH was performed. The obtained MeOH soluble fraction (27 g) was separated by vacuum liquid chromatography on a RP-18 column (50×200 mm) using a solvent gradient (from 100% H₂O to 100% MeOH) to give seven fractions (Fr.1 to Fr.7). Fr.5 (1.6 g) was subjected to a Sephadex LH-20 column (20×1000 mm) with MeOH, followed by separation on a silica gel column (30×500 mm) eluted with a gradient of *n*-hexane and EtOAc (from 9:1 to 1:2) to afford five subfractions (Fr.5.1 to Fr.5.5). Fr.5.3 contained compound 1 (1.1 g). Fr.5.4 was separated by semi-preparative HPLC using 60% MeOH-H₂O to give compounds **2** (6.1 mg) and **3** (5.6 mg). Fr.4 (0.9 g) was fractionated on a silica gel column with *n*-hexane and EtOAc as mobile phase to give three subfractions (Fr.4.1 to Fr.4.3). Fr.4.1 and Fr.4.2 were separated by semi-preparative HPLC with 60% MeOH-H₂O to afford compounds 4 (5.5 mg) and 7 (6.9 mg), respectively. Following the same procedure, Fr.3 (0.8 g) was subjected to a silica column (30×300 mm) using a gradient of *n*-hexane and EtOAc, followed by purification with semipreparative HPLC using 50% MeOH-H₂O to give compounds **5** (3.4 mg) and **6** (2.9 mg).

Coniellin A (1): yellow powder; $[\alpha]^{20}_{,D}$ +371 (*c* 0.1, CHCl₃); UV (CH₃OH) λ_{max} 255, 382 nm; ECD (MeCN, λ [nm] ($\Delta\epsilon$), *c* 0.163 mM): 410 (-1.87), 368 (+6.92), 326sh (+1.70), 313sh (+1.30), 276sh (-2.27), 267 (-2.72), 253sh (-1.85), 235 (+6.27), 204sh (+1.88); ¹H and ¹³C NMR data,; HRESIMS *m*/*z* 385.1650 [M+H]⁺ (calcd for C₂₂H₂₅O₆, 385.1646).

Coniellin B (2): yellow powder; $[\alpha]_{,D}^{20}$ +417 (c 0.1, CHCl₃); UV (CH₃OH) λ_{max} 257, 379 nm; ¹H and ¹³C NMR data,

Table 3.3-1; HRESIMS *m*/*z* 387.1802 [M+H]⁺ (calcd for C₂₂H₂₇O₆, 387.1802).

Coniellin C (3): yellow powder; $[\alpha]^{20}_{,D}$ +400 (c 0.1, CHCl₃); UV (CH₃OH) λ_{max} 257, 379 nm; ¹H and ¹³C NMR data,

Table 3.3-1; HRESIMS m/z 417.1903 $[M+H]^+$ (calcd for C₂₃H₂₉O₇, 417.1908).

Coniellin D (4): yellow powder; $[\alpha]^{20}_{,D}$ +124 (c 0.1, CHCl₃); UV (CH₃OH) λ_{max} 271, 379 nm; ¹H and ¹³C NMR data, Table 3.3-2; HRESIMS *m/z* 359.1853 [M+H]⁺ (calcd for C₂₁H₂₇O₅, 359.1853).

Coniellin E (5): yellow powder; $[\alpha]^{20}_{,D}$ +171 (c 0.1, CHCl₃); UV (CH₃OH) λ_{max} 258, 378 nm; ¹H and ¹³C NMR data, Table 3.3-2; HRESIMS *m/z* 377.1957 [M+H]⁺ (calcd for C₂₁H₂₉O₆, 377.1959).

Coniellin F (**6**): yellow powder; $[\alpha]^{20}_{,D}$ +97 (c 0.1, CHCl₃); UV (CH₃OH) λ_{max} 252, 377 nm; ¹H and ¹³C NMR data, Table 3.3-2; HRESIMS *m*/*z* 377.1959 [M+H]⁺ (calcd for C₂₁H₂₉O₆, 377.1959).

Coniellin G (7): yellow powder; $[\alpha]^{20}_{,D}$ +225 (c 0.1, CHCl₃); UV (CH₃OH) λ_{max} 269, 379 nm; ¹H and ¹³C NMR data, Table 3.3-2; HRESIMS *m*/*z* 391.2111 [M+H]⁺ (calcd for C₂₂H₃₁O₆, 391.2115).

Cell Culture and Reagents

MDA-MB-231 cells, obtained from European Collection of Authenticated Cell Cultures (Salisbury, UK), were cultured in RPMI medium supplemented with 15% fetal calf serum (FCS), 100 U·mL⁻¹ penicillin and 100 U·mL⁻¹ streptomycin at 37°C in the presence of 5% CO₂. Stable transfected NF- κ B-MDA-MB-231 cells were cultured with Dulbecco's modified Eagle's medium supplemented with 10% FCS, 450 µg/mL hygromycin B (Sigma, Munich, Germany), 100 U·mL⁻¹ penicillin and 100 µg·mL⁻¹ streptomycin at 37°C in the presence of 5% CO₂. Media and antibiotics were purchased from Gibco (Life Technologies, Carlsbad, USA). Matrigel was obtained from Corning (New York, USA) and collagen R solution (0.2%) was purchased from Serva Electrophoresis GmbH (Heidelberg, Germany).

Cell Viability Assay

Cytotoxicity of test compounds was determined 24 hours after compound treatment with the CellTiter-Glo Luminescent Cell Viability Assay (Promega, Madison, USA) according to the manufacturer's instructions. 5600 cells/ well were seeded in white 384 well plates using the CyBi-Well 96-channel simultaneous pipettor (Analytic Jena, Jena, Germany) and cultured overnight at 37°C before compound addition.

NF-ĸB Reporter Gene Assay

Stable NF-κB-MDA-MB-231 cells were generated as described previously [35]. The cells were seeded at a density of 20.000 cells/well in white 96-well plates (Greiner Bio-One, Kremsmuenster, Austria). After 24 hours, cells were treated with indicated compounds for 20 minutes followed by incubation of 20 ng/mL TNFα (Peprotech, Rocky Hill, USA) for 2 h. Luminescence was determined using NanoGlo reagent from Promega (Madison, USA).

2D Scratch Wound Assay

MDA-MB-231 cells were seeded in an ImageLock 96-well plate (Sartorius, Goettingen, Germany) at a density of 50.000 cells/well. After 24 hours a scratch was made with the IncuCyte WoundMaker. Compounds were added at different concentrations and images were taken every 2 h for a time range of 24 h with the IncuCyte ZOOM Imager.

3D Invasion Assay

Spheroids were generated with 3000 cells/well of MDA-MB-231 cells supplemented with 0.25 % matrigel in an ultra-low-attachment (ULA) plate (Corning, New York, USA). The cells were centrifuged for 10 minutes at 1000 rpm at 4°C. After 72 h of spheroid formation, a mixture of matrigel and collagen R solution (ratio of 1:1) was used for embedding the spheroids. Polymerization of matrigel-collagen solution was allowed for 1 h at 37°C. Invasion of cells into the surrounding matrix was recorded every day for a time range of 3 days and analyzed with ImageJ Fiji.

Computational Section

Mixed torsional/low-mode conformational searches were carried out by means of the Macromodel 10.8.011 software [36] using the MMFF with an implicit solvent model for CHCl₃ applying a 21 kJ/mol energy window. Geometry reoptimizations of the resultant conformers [B3LYP/6-31G(d) level in vacuo, B97D/TZVP with PCM solvent model for MeCN, and CAM-B3LYP/TZVP with PCM solvent model for MeCN], OR, and ECD calculations were performed

with Gaussian 09 [37]. Chiroptical values were computed using various functionals (B3LYP, BH&HLYP, CAM-B3LYP, PBE0) and the TZVP basis set. ECD spectra were generated as the sum of Gaussians [38] with 3900 cm⁻¹ half-height width (corresponding to ca. 28 nm at 270 nm), using dipole-velocity-computed rotational strength values. Boltzmann distributions were estimated from the ZPVE-corrected B3LYP/6-31G(d) energies in the gas-phase calculations and from the B97D/TZVP, and CAM-B3LYP/TZVP energies in the PCM model ones. The MOLEKEL [39] software package was used for visualization of the results.

Chiral Derivatization

Compound 5 (1.0 mg) dissolved in deuterated pyridine (1.5 mL) was added to two dry and clean NMR tubes at equal parts. Then 10 µl (*R*)or (S)-MTPA (α-methoxy-α-(trifluoromethyl)phenylacetyl) chloride were added separately under argon protection. The tubes were shaken to mix the samples and MTPA chloride. After 8 h incubation at room temperature, ¹H NMR spectra were measured in order to detect the reaction products. Then the reaction products were purified by semipreparative HPLC with MeOH–H₂O as mobile phase.

<u>Supporting Information</u>: HRESIMS, UV, and NMR spectra of compounds **1**–**7** as well as ECD calculations, cell viability and NF-κB inhibitory assay for compound **1** (the biological experiments were performed by Julia Sperlich).

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3.3.1 Supplemental Material



Supplemental Figure 3.3-1. The HRESIMS of compound 1



Supplemental Figure 3.3-2. The ¹H NMR (600 MHz, CDCl₃) spectrum of compound 1.



Supplemental Figure 3.3-3. The ¹³C NMR (150 MHz, CDCI₃) spectrum of compound 1.



Supplemental Figure 3.3-4. The COSY (600 MHz, $CDCI_3$) spectrum of compound 1.



Supplemental Figure 3.3-6. The HMBC (600 MHz, $CDCI_3$) spectrum of compound 1.



Supplemental Figure 3.3-5. The HSQC (600 MHz, CDCl₃) spectrum of compound 1.



Supplemental Figure 3.3-7. The ROESY (600 MHz, CDCl₃) spectrum of compound 1.



Supplemental Figure 3.3-8. The UV spectrum of compound 1.

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Supplemental Figure 3.3-9. The HRESIMS of compound 2.



Supplemental Figure 3.3-10. The ¹H NMR (300 MHz, CDCI₃) spectrum of compound 2.



Supplemental Figure 3.3-11. The 13 C NMR (75 MHz, CDCI₃) spectrum of compound 2.



Supplemental Figure 3.3-12. The COSY (300 MHz, $CDCI_3$) spectrum of compound 2.



Supplemental Figure 3.3-14. The HMBC (300 MHz, $CDCI_3$) spectrum of compound 2.



Supplemental Figure 3.3-13. The HSQC (300 MHz, $CDCI_3$) spectrum of compound 2.



Supplemental Figure 3.3-15. The ROESY (300 MHz, CDCl₃) spectrum of compound 2.



Supplemental Figure 3.3-16. The UV spectrum of compound 2.



Supplemental Figure 3.3-17. The HRESIMS of compound 3.



Supplemental Figure 3.3-18. The ¹H NMR (600 MHz, CDCl₃) spectrum of compound 3.



Supplemental Figure 3.3-19. The 13 C NMR (150 MHz, CDCl₃) spectrum of compound 3.



Supplemental Figure 3.3-20. The COSY (600 MHz, $CDCI_3$) spectrum of compound 3.



Supplemental Figure 3.3-22. The HMBC (600 MHz, CDCl₃) spectrum of compound 3.



Supplemental Figure 3.3-21. The HSQC (600 MHz, $CDCI_3$) spectrum of compound 3.



Supplemental Figure 3.3-23. The ROESY (600 MHz, CDCl₃) spectrum of compound 3.



Supplemental Figure 3.3-24. The UV spectrum of compound 3.



Supplemental Figure 3.3-25. The HRESIMS of compound 4.





Supplemental Figure 3.3-26. The ¹H NMR (300 MHz, CDCI₃) spectrum of compound 4.

Supplemental Figure 3.3-27. The ¹³C NMR (75 MHz, CDCl₃) spectrum of compound 4.



Supplemental Figure 3.3-28. The COSY (300 MHz, CDCl₃) spectrum of compound 4.



Supplemental Figure 3.3-30. The HMBC (300 MHz, CDCI₃) spectrum of compound 4.



Supplemental Figure 3.3-29. The HSQC (300 MHz, $CDCI_3$) spectrum of compound 4.



Supplemental Figure 3.3-31. The ROESY (300 MHz, CDCl₃) spectrum of compound 4.



Supplemental Figure 3.3-32. The UV spectrum of compound 4.



Supplemental Figure 3.3-33. The HRESIMS of compound 5.



Supplemental Figure 3.3-34. The ¹H-NMR (600 MHz, CDCl₃) spectrum of compound 5.

Supplemental Figure 3.3-35. The 13 C NMR (150 MHz, CDCl₃) spectrum of compound 5.



Supplemental Figure 3.3-36. The COSY (600 MHz, $CDCI_3$) spectrum of compound 5.



Supplemental Figure 3.3-38. The HMBC (600 MHz, $CDCI_3$) spectrum of compound 5.



Supplemental Figure 3.3-37. The HSQC (600 MHz, $CDCI_3$) spectrum of compound 5.



Supplemental Figure 3.3-39. The ROESY (600 MHz, $CDCI_3$) spectrum of compound 5.



Supplemental Figure 3.3-40. The UV spectrum of compound 5.



Supplemental Figure 3.3-41. The HRESIMS of compound 6.



Supplemental Figure 3.3-42. The ¹H NMR (600 MHz, CDCI₃) spectrum of compound 6.



Supplemental Figure 3.3-43. The ¹³C NMR (150 MHz, CDCI₃) spectrum of compound 6.



Supplemental Figure 3.3-44. The COSY (600 MHz, $CDCI_3$) spectrum of compound 6.



Supplemental Figure 3.3-46. The HMBC (600 MHz, $CDCI_3$) spectrum of compound 6.



Supplemental Figure 3.3-45. The HSQC (600 MHz, $CDCI_3$) spectrum of compound 6.



Supplemental Figure 3.3-47. The ROESY (600 MHz, CDCI_3) spectrum of compound 6.



Supplemental Figure 3.3-48. The UV spectrum of compound 6.



Supplemental Figure 3.3-49. The HRESIMS of compound 7.





Supplemental Figure 3.3-50. The ¹H NMR (300 MHz, CDCI₃) spectrum of compound 7.

Supplemental Figure 3.3-51. The 13 C NMR (75 MHz, CDCl₃) spectrum of compound 7.



Supplemental Figure 3.3-52. The COSY (300 MHz, CDCl₃) spectrum of compound 7.



Supplemental Figure 3.3-54. The HMBC (300 MHz, CDCl₃) spectrum of compound 7.



Supplemental Figure 3.3-53. The HSQC (300 MHz, CDCl₃) spectrum of compound 7.



Supplemental Figure 3.3-55. The ROESY (300 MHz, $CDCI_3$) spectrum of compound 7.



Supplemental Figure 3.3-56. The UV spectrum of compound 7.



Supplemental Figure 3.3-57. Structure and population of the low-energy B97D/TZVP PCM/MeCN conformers (>1%) of (8S,9*R*,12S)-1.

Supplemental Table 3.3-1. Boltzmann populations and optical rotations of the low-energy conformers of (8S,9R,12S)-1 computed at various levels for the B3LYP/6-31G(d) reoptimized MMFF conformers

Conformer	Boltzmann population	B3LYP/TZVP	BH&HLYP/TZVP	PBE0/TZVP
Conf. A	69.86 %	438.48	409.24	437.21
Conf. B	21.11 %	176.36	161.57	182.60
Conf. C	8.46 %	319.58	328.65	324.36
Average	N/A	372.71	349.80	373.55



Supplemental Figure 3.3-58. Time course of tumor cell migration.

Cell migration in the presence of azaphilone (compound **1**) was evaluated at concentrations 1, 5 and 10 μ M. Images were taken every two hours for a time period of 24 hours. Data represent increasing relative wound density in percentage calculated with the IncuCyte ZOOM[®] software. Error bars show ±SEM.









Representative images of invasion into matrigel are shown in (**A**). Spheroids were generated with 3,000 cells/well of MDA-MB-231 cells supplemented with 0.25 % matrigel in an ultra-low-attachment (ULA) plate. After 72 hours of spheroid formation, a mix of matrigel and collagen R solution (ratio of 1:1) was used for embedding the spheroids. Invasion of cells into the surrounding matrix was recorded every day for a time range of 48 hours and quantification of invasion was performed with ImageJ Fiji in (**B**). Compound **1** was tested at 5 μ M. Invasion, shown in percentage, was normalized to DMSO control at the specified time points. Error bars show ±SEM. Four stars represent a significance of ρ <0.0001. Scale bars in black represent 200 microns in length.

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CHEMISTRY ENABLING DRUG DISCOVERY

3.4 Synthetic Indolactam V Analogs as Inhibitors of PAR2 Induced Calcium Mobilization in Triple Negative Breast Cancer Cells

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ABSTRACT

Human proteinase-activated receptor 2 (PAR2), a transmembrane G-Protein-coupled receptor (GPCR), represents an attractive target for a novel anti-cancer therapy as it plays a critical role in cell migration and invasion. Thus, selective PAR2 inhibitors possess a potential as anti-metastatic drugs. Knowing that the natural product teleocidin A2 is able to inhibit PAR2 in tumor cells, the goal of the present study was to elaborate structure-activity relationships and to identify potent PAR2 inhibitors with lower activity against the adverse target protein kinase C (PKC). For this purpose, an efficient gram scale total synthesis of indolactam V (i.e. the parent structure of all teleocidins) was developed and a library of derivatives was prepared. Some compounds indeed exhibited high potency as PAR2 inhibitors at low nanomolar concentrations with improved selectivity (as compared to teleocidin A2). The pseudopeptidic fragment bridging the C-3 and the C-4 positions of the indole core proved to be essential for target binding, while activity and target selectivity depends on the substituents at N-1 or C-7. The study revealed novel derivatives depicting high efficacy in PAR2 antagonism combined with increased selectivity.

INTRODUCTION

Cancer is one of the diseases with high unmet medical need raising most cases of death after cardiovascular diseases in the industrialized countries. Notably, the dissemination of cancer from the primary tumor is the main reason of cancer-related mortality and represents an enormous clinical challenge. Among the different types of malignant tumors, breast cancer is the leading cause of cancer death in females worldwide [1].

Proteinase activated receptor 2 (PAR2), a member of the seven transmembrane G proteincoupled receptor (GPCR) superfamily, has been shown to play a major role in cancer progression. Numerous *in vitro* studies including breast [2,3], pancreatic [4] and lung adenocarcinoma [5] cells revealed a critical role of PAR2 signaling in cancer cell migration and invasion correlating with increased metastatic potential. From a clinical perspective, elevated PAR2 expression in biopsy and metastatic tissue could be linked to an increased malignancy grade and subsequently to a reduced overall survival rate [6]. Whereas initial PAR2 inhibiting compounds were mostly limited in potency or displayed agonistic properties at higher concentrations, recent medicinal chemistry approaches have led to more efficacious small molecule PAR2 antagonists [7,8]. Overall, the distinct role of PAR2 in tumor biology illustrates the need for low molecular weight PAR2 inhibitors providing the basis for the development of a novel therapeutic strategy in cancer treatment [9,10].

In the course of our previous work, we recently demonstrated that some marine natural products of the indolactam/teleocidin family exhibit significant effects as PAR2 inhibitors [2]. Prior to this, the natural products (Figure 3.4-1), which were all isolated from marine organisms, had been reported to exhibit strong biological activities including tumor-promoting effects, mainly due to the activation of protein kinase C (PKC) [11–14]. However, as a solely PKC-mediated effect cannot explain the remarkable efficacy of teleocidin on PAR2-mediated signaling, it remains elusive whether the ability to activate PKC is mechanistically linked to the inhibition of PAR2-induced signaling [2].



Figure 3.4-1. Structure of indolactam V (1) and teleocidins A2 and B1

Biosynthetically, the teleocidins are derived from (L)-trypto-phane via indolactam V [15–17]. All compounds of this class display a 3,4-disubstituted indole nucleus with a characteristic (L)-N-methyl-valine-containing bridge forming a 9-membered ring. Due to their powerful biological activity and their limited accessibility from natural sources, several total syntheses of indolactam V (1) [18–31] and teleocidins [32–38] have been developed, and a number of studies employing

total synthetic analogs have contributed to the profiling of indolactam V-related or -derived compounds with respect to PKC activation [13,39].

In contrast to these previous studies, the major goal of the present work was to synthesize and investigate novel indolactam V analogs with respect to their PAR2-inhibiting properties [2]. Our approach was aimed at deriving distinct structure-activity relationships (SAR) and to design derivatives depicting potent PAR2 antagonism combined with selectivity against PKC, the originally described adverse target of teleocidin A2.

RESULTS AND DISCUSSION

Synthesis

Our first task was to prepare substantial amounts (gram scale) of indolactam V (1) as a precondition for the planed structural variation. Careful analysis of the published syntheses of 1 [21-34] prompted us to consider the strategy of Kogan [23] and Xu [28] as most promising (Scheme 3.4-1). Key elements of this approach are the assembly of the nitro-indole derivative 4 from building blocks **5** and **6** [31] followed by conversion of the nitro group into a valinyl moiety and closure of the 9-membered ring through intramolecular peptide coupling [23].



Scheme 3.4-1. Retrosynthesis of indolactam V (1) according to Kogan and Xu.

The preparation of the 4-nitro-tryptophanol derivative **4** (by Pd-catalyzed condensation of 2iodo-3-nitroanililin **6** with the L-glutamine-derived aldehyde **5** in the presence of DABCO as a base) [28] proceeded smoothly on a multi-gram scale with 85% yield. However, our initial attempts to perform the subsequent planned steps according to the reported protocols were hampered by the instability of intermediates and low yields. We thus realized that a proper protection of the indole nitrogen appeared to be absolutely essential. After first evaluating both, tosyl and Boc, we identified the SES group (2-trimethylsilyl-ethansulfonyl) [40,41] as a superior protecting group for our purposes. In this case, all intermediates proved to be stable and readily
isolated and, even more importantly, all steps could be reproducibly performed on a multi gram scale with high yield.

As shown in Scheme 3.4-2, the resulting greatly improved synthesis of **1** commenced with the SES protection of **4** followed by reduction of the nitro group of **7** through catalytic hydrogenation. The resulting aniline **8** was then converted into the valine derivative **9** by S_N^2 -alkylation in virtually quantitative yield [23]. The acid-catalyzed hydrolysis of the cyclic *N*,*O*-acetal afforded the desired product **11** besides significant amounts of the double deprotected by-product **10** which, however, was easily re-protected with Boc₂O/NEt₃ to afford **11** in 91% combined yield from **9**. After protecting the primary alcohol functionality as a TBDPS ether, both the *N*-Boc group and the benzyl ester protecting groups, were cleaved off under standard conditions. Treatment of the resulting intermediate **14** with HATU as a coupling agent in the presence of DIPEA smoothly afforded the cyclized product **15**. In comparison with other indolactam V syntheses, the Eschweiler-Clark methylation of the secondary amino group in **15** was achieved in very high yield under appropriate conditions (CH₂O, NaCNBH₃, AcOH, MeCN, 0 °C) [42]. Treatment of the resulting compound **16** with TBAF finally afforded indolactam V (**1**) in high overall yield.

By means of a single crystal X-ray analysis of the hydro-tetrafluoroborate salt of **1** the relative and absolute configuration of the synthetic compound was unambiguously confirmed. The highresolution structure (Figure 3.4-2) shows the 9-membered ring preferring a twisted conformation with a virtually planar s-*cis*-configurated peptide unit positioned almost perpendicular (orthogonal) to the plane defined by the indole ring system. This characteristic 3D structure of the indole moiety with the polar unit bridging C-3 and C-4 appears to be the essential structural motif ensuring an effective interaction (binding) with the respective protein partners.



Figure 3.4-2. Structure of the hydro-tetrafluoroborate salt of indolactam V (1-HBF4) in the crystalline state.

Having successfully developed an efficient synthetic scheme, allowing us to prepare indolactam V (1) in gram amounts, we next turned our attention to the synthesis of various derivatives (Scheme 3.4-3) [30,43–45]. For this purpose, we preferentially used the TBS-protected compound **17a** as a safe (biologically inactive) key intermediate. In a first set of experiments we prepared a small set of N1 derivatives by simply reacting the indole **17a** with different acylating and benzylating reagents and subsequent fluoride-induced cleavage of the silyl protecting group (Scheme 3.4-3). The compounds (**20-25**) thus prepared are listed in Chart 3.4-1 together with some other indolactam V derivatives obtained in the course of the synthesis development.



Scheme 3.4-2. Improved synthesis of indolactam V (1) performed on a gram scale.

Reagents and conditions: a) NaH, SES-CI, THF, -20 °C, 30 min; b) Pd/C, H₂, MeOH, rt, 12 h; c) 2,6-lutidine, $C_2H_4C_{12}$, 80 °C, 12 h; d) pTsOH.H₂O, CH_2C_{12} , rt, 4 h; e) Boc₂O, Et₃N, MeCN, rt; f) TBDPS-CI, imidazole, DMF, rt, 3 h; g) TFA, CH_2C_{12} , 0 °C -> rt, 6 h; h) Pd/C, H₂, MeOH, rt, 3 h; i) HATU, DIPEA, THF, rt, 48 h; j) CH₂O, NaCNBH₃, AcOH, MeCN, 0 °C -> rt, 1 h; k) TBAF, THF, 0 °C to rt, 2 h. 24 h.



Chart 3.4-1. Various derivatives of indolactam V prepared according to Scheme 3.

As also shown in Scheme 3.4-3, a variety of C-7-substituted derivatives were synthesized starting from TBS-protected indolactam V (**17a**). Using the iodinated compound **26** [30,43–45] as a key intermediate Pd-catalyzed cross-coupling and carbonylation methods [46] were applied to introduce different substituents at C-7. As before, the silyl protecting group was cleaved off only in the final step under standard conditions (TBAF). The allylation product **27** was synthesized employing allyl-Bpin under Suzuki type conditions [47,48]. Compounds **31a-31e** in turn were smoothly obtained under Sonogashira conditions [49]. Two of the product alkynes (**31a** and **31b**) were hydrogenated in the presence of Pd/C to the corresponding alkanes **32a** and **32b**, respectively. Carboylative Suzuki coupling of **26** with phenyl boronic acid afforded the ketone **28**. In a related fashion, the alkoxy-carbonylated products **30a** and **30b** and the amides **30c** and **30d**, respectively, were prepared by Pd-catalyzed reaction of **26** with different alcohols and amines under CO atmosphere [50,51]. Compound **29** was obtained by carbonylative cross-coupling using phenylacetylene [52] and subsequent TBAF-induced 6-endo-dig cyclisation. The structure of **29** was again unambiguously proven by X-ray crystal structure analysis (Figure 3.4-3).



Scheme 3.4-3. Preparation of various derivatives of indolactam V (1).

Reagents and conditions: a) TBSCI, imidazole, TBAI, DMF, rt, 12 h; b) NaH, R-X, DMF, -20 °C to rt, 40 min – 12 h; c) TBAF, THF, 0 °C to rt, 2 h; d) I₂, pyridine, 1,4-dioxane, 0 °C to rt, 2.5 h; e) allyl-Bpin, cat. Pd(PPh₃)₄, CsF, THF, reflux, 24 h; f) PhB(OH)₂, CO, cat. PdC_{I2}(PPh₃)₂, 1,4-dioxane, Et₃N, 60 °C, 24 h; g) phenylacetylene, CO, cat. PdC_{I2}(PPh₃)₂, Cul, 1,4-dioxane, Et₃N, rt, 12 h; h) ROH or RNH₂, CO, cat. PdC_{I2}(PPh₃)₂, 1,4-dioxane, Et₃N, rt, 12 h; h) ROH or RNH₂, CO, cat. PdC_{I2}(PPh₃)₂, 1,4-dioxane, Et₃N, 60 °C, 12 h; i) alkyne, cat. PdC_{I2}(PPh₃)₂, Cul, 1,4-dioxane, Et₃N, rt, 12 h; j) Pd/C, H₂, rt, 5 h.



Figure 3.4-3. Structure of the cyclized indolactam V derivative 29 in the crystalline state.

Biological Investigations

The various compounds synthesized as described above were biologically evaluated with respect to (1) inhibition of PAR2-induced Ca^{2+} mobilization and (2) intracellular PKC activation.

First, physiological Ca²⁺ release induced by the PAR2 specific tethered ligand SLIGKV-NH₂ was determined in the human breast adenocarcinoma cell line MDA-MB-231 which is categorized triple negative due to the lack of expression of hormone receptors or HER2. Indolactam V derivatives were tested in the presence of the EC₅₀ determined for the agonistic ligand SLIGKV-NH₂ (7.46 μ M) to achieve IC₅₀ values for PAR2 inhibition. The data shown in Table 3.4-1 indicate that indolactam V (**1**) itself displays a 4-fold potency loss in inhibiting PAR2 induced Ca²⁺ release (IC₅₀ = 72.1 ± 4.4 nM) compared to teleocidin (IC₅₀ = 18.1 ± 1.7 nM).

Changes at the pseudopeptidic bridge (N-demethylation or O-protection with a bulky silyl group) led to inactive compounds. Derivatization at the indole nitrogen caused significant effects: Small acyl substituents such as acetyl (**18a**) ($IC_{50} = 26.1 \pm 8.0 \text{ nM}$) or methoxylcarbonyl (**22**) ($IC_{50} = 25.3 \pm 6.3 \text{ nM}$) enhanced activity, as did fluorinated benzylic residues (e.g. **24c**) ($IC_{50} = 24.0 \pm 5.9 \text{ nM}$). Interestingly, substituents at C-7 of the indole nucleus (R2) also caused significant changes.

While some groups (benzoyl, alkynyl or aminocarbonyl) did not improve activity, the allylated compound **27** proved to be quite active ($IC_{50} = 2.25 \pm 0.39$ nM). Excellent results, however, were observed for the alkoxycarbonylated indolactam V derivatives 30a and 30b, the latter inhibiting the PAR2 induced intracellular Ca²⁺ mobilization at single-digit nanomolar concentrations ($IC_{50} = 1.57 \pm 0.90$ nM). Thus, compared to the starting compound indolactam V (**1**) the derivative **30b** displayed a 46-fold efficacy increase in inhibiting PAR2-induced intracellular signaling. Comparable concentration-dependent inhibition curves of PAR2-induced Ca²⁺ mobilization by indolactam V (**1**) and indolactam V analogs **18a**, **22** and **30b** in MDA-MB-231 breast cancer cells are displayed in Figure 3.4-4. Furthermore, cytotoxicity assessment of selected derivatives revealed no notable effect on cell viability (Supplemental Figure 3.4-1).



Figure 3.4-4. Concentration-dependent inhibition of PAR2 induced Ca²⁺ mobilization by indolactam V (black) and indolactam V derivative 18a (blue), 22 (red) and 30b (green) in MDA-MB-231 breast cancer cells.

Data points represent mean values \pm SEM from a minimum of three independent experiments performed in triplicates and data of each experiment was normalized to PAR2 activating peptide SLIGKV-NH₂ induced Ca²⁺ release.

Intracellular PKC activation: To date, teleocidin derivatives are mainly categorized as tumorpromoting agents. Thus, within our medicinal chemistry approach aiming at targeting PAR2 with indolactame-derived compounds, a putative PKC activation represents an undesirable off-target effect. To characterize the potential of indolactam V derivatives to activate intracellular PKC, phosphorylation induction of the specific endogenous PKC substrate MARCKS was determined in the presence of the respective compounds (Figure 3.4-5). As expected, the positive control PMA (10 nM) induced a strong phosphorylation up to 3.5-fold compared to DMSO control representing a significant PKC activation. Furthermore, MARCKS was phosphorylated up to 4fold compared to the untreated control in the presence of 25 nM teleocidin A2. In contrast to teleocidin, the level of MARCKS phosphorylation induced by indolactam V (1) was comparable to the level of the DMSO control (Figure 3.4-5).

Importantly, the novel indolactam V derivatives **18a** and **24c** did not activate PKC, whereas the analogs **22** and **24b** displayed moderate activation of intracellular PKC. While the respective PKC activation potential of analogs **24a**, **24d** and **27** is comparable to teleocidin, compounds **30a** and **30b** were able to phosphorylate MARCKS by a 3-fold increase compared to negative control.

R^3 H OR^4 R^2 R^1	R ¹	R ²	R ³	R^4	PAR2 ^[a] IC ₅₀ [nM] (mean± SEM)
TC-A2	Н	tertalkyl	Me	Н	18.1 ± 1.7
1	Н	Н	Me	Н	72.1 ± 4.4
17b	Н	Н	Me	TBDPS	>10000
18a	Ac	Н	Me	Н	26.1 ± 8.0
18b	Ts	Н	Me	Н	791 ± 48
19a	Н	Н	Н	Н	> 1000
19b	Ts	Н	Н	Н	> 1000
20	i-butyryl	Н	Me	Н	206 ± 56
21	benzoyl	Н	Me	Н	116 ± 11
22	Мос	Н	Me	Н	25.3 ± 6.3
23	Bn	Н	Me	Н	78.8 ± 18.3
24a	2-F-Bn	Н	Me	Н	205 ± 54
24b	3-F-Bn	Н	Me	Н	26.3 ± 6.1
24c	4-F-Bn	Н	Me	Н	24.0 ± 5.9
24d	3-MeO-Bn	Н	Me	Н	45.1 ± 8.9
25	4-F-Bn	Н	Me	Н	2450 ± 430
27	Н	allyl	Me	Н	2.25 ± 0.39
29	Н	cyclyzed	Me	Н	> 2000
30a	Н	CO ₂ Me	Me	Н	6.96 ± 1.73
30b	Н	CO ₂ Et	Me	Н	1.57 ± 0.90
30c	Н	CONHMe	Me	Н	1994 ± 562
30d	Н	CONHEt	Me	Н	235 ± 29
31e	Н	alkynyl	Ме	Н	18.3 ± 2.1

Table 3.4-1. IC_{50} values of indolactam V analogues for inhibition of PAR2-induced intracellular Ca^{2+} mobilization in MDA-MB-231 breast cancer cells.

[a] Intracellular Ca^{2+} mobilization assay. PAR2 induced intracellular Ca^{2+} mobilization was induced with the determined EC_{50} concentration of the PAR2 activating peptide SLIGKV-NH₂. Data represent mean +SEM of a minimum three independent determinations performed in triplicates. Statistical analysis of specificity of single analogues was performed using an unpaired Student's t-Test.



Figure 3.4-5. Phosphorylation of PKC substrate MARCKS in MDA-MB-231 cells by derivatives of indolactam V.

Cells were treated for 10 min with 25 nM of each compound. The solvent DMSO represents the control. Gö6983 is a commercially available PKC inhibitor. Data represent mean values ±SEM from three independent experiments performed in triplicates, 'ns' not statistically significant, ** ρ <0.01, *** ρ <0.001.

Moreover, PAR2 inhibition data combined with selectivity data concerning PKC activation (represented by MARCKS phosphorylation) were visualized in a two-dimensional efficacy plot (Figure 3.4-6). IC_{50} values reflecting inhibition of PAR2, our target of interest, are shown on the x-axis, whereas the fold increase in MARCKS phosphorylation, reflecting the PKC activating potential, is depicted on the y-axis. Potent derivatives (IC_{50} PAR2 <30 nM) with no or moderate (up to 2-fold compared to DMSO control) off-target activity towards PKC are depicted in green, whereas highly potent PAR2 inhibitors with more pronounced PKC activity (up to 3-fold induction of MARCKS phosphorylation) are displayed in yellow. Indolactam V (1) is illustrated in yellow based on its IC_{50} for PAR2 which is above 70 nM. Moreover, derivatives with unfavourable selectivity profiles comparable to teleocidin (>3-fold induction of MARCKS phosphorylation) are visualized in red.



Figure 3.4-6. Scatterplot summarizing the inhibition of PAR2 induced Ca²⁺ release and the activation of PKC by selected analogues of indolactam V.

 IC_{50} values versus PAR2 activating peptide SLIGKV-NH₂ are shown on the x-axis. Y-axis depicts fold increase in phosphorylation of endogenous MARCKS protein, representing PKC activation. See Table 3.4-1 for exact IC_{50} values for PAR2 inhibition.

With respect to further selectivity assessment, our previous work revealed that teleocidin markedly reduced Ca²⁺ mobilization of the Gq-coupled GPCR PAR1, however, with a 20-fold potency loss compared to PAR2 inhibition [2]. Noteworthy, in this study novel indolactam derivatives were identified confirming a clear preference for PAR2 inhibition (Supplemental Figure 3.4-2).

Finally, the effect on cancer cell migration, a notorious cause for the poor prognosis of triple negative breast cancer, was evaluated. After 24 hours of incubation of the most potent indolactam derivative **30b** (IC_{50} for PAR2 1.57 ± 0.90 nM) migration of triple negative MDA-MB-231 cells was inhibited by 25% (Figure 3.4-7).





(A) Image of the scratch wound assay in MDA-MB-231 cells. First row depicts control cells treated with DMSO and second row shows treatment with **30b** at t=0 and t=24h, respectively. The initial scratch wound mask is marked with blue lines. Scratch wound after 24h is marked in yellow. Indolactam V derivative **30b** was applied at a concentration of 100 nM. (B) The quantification of migration is shown in a bar graph. X-axis shows time after inserting the initial scratch wound and y-axis depicts relative wound density. *P*-value of 0.0002 was

calculated using Sidak's multiple comparisons test. Data represent mean +SEM of quadruplicates of three independent experiments.

CONCLUSION

To investigate the potential of novel derivatives of the natural product teleocidin as inhibitors of the human proteinase-activated receptor 2 (PAR2), we have first developed (1) a powerful total synthetic access to indolactam V and (2) novel derivatization options such as carbonylative transformations of C-7-iodinated intermediates. From a small library of synthetic compounds we could then derive distinct structure-activity relationships with respect to the inhibition of PAR2. Furthermore, we found a particularly active compound (**30b**) with the potential to significantly inhibit tumor cell migration. Moreover, derivatives with IC_{50} values for PAR2 blockade in the two-digit nanomolar range, also exhibiting a good selectivity towards the tumor-promoting target PKC, have been identified. Importantly, the present study demonstrates that activation of PKC is not mandatory for inhibiting PAR2 signaling. Thus, our medicinal chemistry approach has revealed novel indolactam V derivatives depicting high efficacy and promising selectivity in PAR2 antagonism providing the basis for the development of a novel therapeutic anti-cancer strategy.

EXPERIMENTAL SECTION

Chemical Synthesis

Detailed synthetic procedures and characteristic compound data are given in the Supporting Information.

BIOLOGICAL EVALUATION

Cell Culture

Human breast adenocarcinoma MDA-MB-231 (European Collection of Cell cultures, catalog no. 92020424) was used to examine the effect of indolactam V derivatives on endogenous PAR2 and PAR1. Cells were grown in Leibovitz's L15 (Biochrom) containing 15% fetal calf serum (FCS), 2 mM glutamin, 100 units·mL⁻¹ Penicillin and 100 µg·mL⁻¹ Streptomycin and cultivated in humidified atmosphere at 37°C. Trypsin-free Cell Dissociation Buffer (Life Technologies) was applied for cell dissociation during passaging and seeding.

Intracellular Calcium Mobilization

Kinetic measurements of mobilization of intracellular calcium in MDA-MB-231 cells were performed in black 96-well clear bottom plates (Greiner Bio-One). Cells were seeded overnight at 2×10⁴ cells per well and on the day of the experiment loaded with the indicator dye Quest Fluo-8TM AM (4 μ M) (AAT Bioquest) in HBSS and 1% FCS. To examine inhibitory effects on PAR2 induced Ca²⁺ mobilization, dilution series (1:5) of derivatives in HHBS were prepared starting at concentrations of 2 μ M. Wells contained HHBS, 2 mM Probenecid (AAT Bioquest) and compounds in a total volume of 100 μ L. Stimulation of the named receptors was performed with the PAR2-activating peptide SLIGKV-NH₂ (Sigma-Aldrich) at its determined EC₅₀ using the injector unit from Tecan Infinite M1000 Pro microplate reader after 16s of baseline measurement. Fluorescence was measured using excitation at λ = 490nm and emission at λ = 525nm. Calcium ionophore A23187 (Sigma-Aldrich) was used to generate the maximum fluorescence signal and H₂O served as a control for background fluorescence. For calculation of IC₅₀, the logarithmic concentration of the inhibitor was plotted against maximum fluorescence change in % of the A23187 induced Ca²⁺ release and using non-linear regression analysis in GraphPad Prism v. 6.07 (GraphPad Software).

Cellular Protein Kinase C (PKC) Activation

The PathScan[®] Phospho-MARCKS (Ser152/156) Sandwich ELISA (Cell Signaling Technology, catalog no. 7251) was used to measure the level of cellular PKC activation in MDA-MB-231 cells according to the manufacturer's information. The ELISA detects the specific endogenous phosphorylation of MARCKS at serine residues 152 and 156 by PKC as described [53]. Cells were seeded overnight at 1.8×10⁶ cells in FCS-free Leibovitz's L15 culture medium to 10 cm dishes (Greiner Bio-One) and treated with the compounds at their determined IC₅₀ values for inhibition of PAR2 induced Ca²⁺ release for exactly 10 min. Cell lysates of controls and compound-treated cells were generated using lysis buffer supplemented with 1 mM phenylmethanesulfonyl fluoride (PMSF). Lysate protein concentrations were determined with Bradford protein assay and adjusted to 0.3 mg mL⁻¹ with sample diluent. Phosphorylated MARCKS protein was detected colorimetrically by biotinylated phospho-MARCKS antibody, horseradish peroxidase (HRP) coupled streptavidin and HRP substrate tetramethylbenzidine (TMB) using Tecan Infinite M200 Pro microplate reader.

Scratch Wound Assay

MDA-MB-231 cells were seeded at a density of 6×10⁴ cells per well in an image lock 96 well plate (IncuCyte[®], Essen Bioscience, Ann Arbor, USA) and incubated over night at 37° C. Scratch wound was inserted with IncuCyte[®] Woundmaker from Essen Bioscience and cells

were washed twice with PBS. Automatic image acquisition and analysis were done with IncuCyte[®] ZOOM over twenty-four hours.

Statistics

Presented data were obtained from a minimum of three independent experiments and expressed as mean \pm SEM. Statistical analysis of data was held with equal sample values using one-way ANOVA followed by Dunnett's post hoc test, when groups were compared with a control and/ or comparison of mean values of only two groups, an unpaired t-test was applied. Two-way ANOVA was chosen when comparing two different groups in a grouped analysis followed by Sidak's multiple comparisons test. *P*<0.05 was chosen to announce statistically significant difference. Data analysis was done with GraphPad Prism v. 6.07 (GraphPad Software).

<u>Supplemental Information</u>: Figure S1: Cytotoxicity of indolactam V derivatives. Figure S2: 3D Plot summarizing the inhibition of PAR2 and PAR1 induced Ca2+ release and the activation of PKC by indolactam V derivatives (phosphorylation of PKC substrate MARCKS and scratch wound assay were performed by Julia Sperlich).

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3.4.1 Supplemental Material



Supplemental Figure 3.4-1. Cytotoxicity of indolactam V derivatives.

Cells were treated for 48 hours with 25 nM of the displayed derivatives. Data represent mean ±SEM of three independent experiments performed in quadruplicates. Statistical analysis was calculated using one-way ANOVA.

Supplemental Method:

MDA-MB-231 breast cancer cells were cultured as described in the Experimental Section. 2.8×10⁵ cells per mL were seeded in 384 well plates with the CyBio[®] robotic station and incubated over night at 37°C. Compounds were added at the described concentration and incubated for 48 hours. Viability of cells was measured with CellTiterGlo[®] kit from Promega (Madison, USA) and normalized to DMSO treated cells. Staurosporine served as positive control. 'ns' means not significant.



Supplemental Figure 3.4-2. 3D Plot summarizing the inhibition of PAR2 and PAR1 induced Ca2+ release and the activation of PKC by indolactam V derivatives.

 IC_{50} values versus PAR2 activating peptide SLIGKV-NH2 are shown on the x-axis, IC_{50} values versus PAR1 activating peptide TFLLR-NH₂ are shown on the y-axis. Z-axis depicts phosphorylation of endogenous MARCKS protein, representing PKC activation.

Supplemental Method:

Kinetic measurements of PAR1 induced mobilization of intracellular Ca²⁺ in MDA-MB 231 cells were performed as described in the Experimental Section for PAR2. PAR1 stimulation was performed with the PAR1-activating peptide TFLLR-NH₂ (Sigma-Aldrich) at its determined EC₅₀ concentration.

4 Discussion

B reast cancer is the most common cancer in women worldwide, with nearly 1.7 million new cases and 521.907 deaths in 2012 [1]. Despite the chemotherapeutic breakthrough in cancer therapy in the 1960's [228], little advances in the development of innovative and targeted therapies have been made for the breast cancer subtype triple negative breast cancer (TNBC), which is often resistant to chemotherapy. Hence, a high medical need for new selective drugs exists. TNBC-patients thus still depend on chemotherapeutics, such as paclitaxel or doxorubicin, that have no specific target in breast tumors and destroy healthy and malignant cells alike [177,229]. For non-TNBC patients, a much wider range of targeted therapy forms exist, such as the drug trastuzumab, which increases the survival rate and the chance for good prognosis after therapy much more compared to TNBC patients [12,13]. The difficulties in developing targeted TNBC therapies arise from the heterogeneity of morphological, genetic and inflammatory characteristics: features of basal-like breast tumors are combined with high inflammation and mutations in tumor suppressor genes, such as BRCA1 [5,6,10,11].

Terrestrial and marine organisms are a vast source for natural compounds inhering diverse biological and pharmacological activities which have the potential to be pharmaceutical drugs and have long been used in cancer therapy. In this study, three promising natural compounds were characterized in their potential to inhibit NF-κB signaling, PAR2-dependent calcium mobilization and characteristics of the aggressive breast cancer subtype TNBC: 1) pseudopterosin isolated from *Antillogorgia elisabethae*, 2) azaphilone isolated from *Coniella fragariae* and 3) teleocidin isolated from *Streptomyces mediocidius*. The most promising derivatives of the azaphilone and teleocidin class and pseudopterosin were evaluated on their abilities to reduce migration, invasion and proliferation of TNBC cells. The following chapters discuss these abilities with respect to being putative anti-cancer agents and their potential to target TNBC.

4.1 Pseudopterosin Inhibits NF-κB Signaling in TNBC by Agonizing the Glucocorticoid Receptor Alpha (GRα)

4.1.1 Inhibition of NF-κB and NF-κB Target Genes by Pseudopterosin

The class of pseudopterosin was first discovered in 1986 and proved to be a potent antiinflammatory agent in *in vivo* mouse ear inflammation assays [183,186,187]. Inflammatory signals are important in the innate immune response, but chronic inflammation can lead to cancer development. A high amount of inflammatory signals characterizes the tumor microenvironment (TME) of TNBC, triggered by constitutive activation of NF-κB signaling [35]. In chapter 3.1 and 3.2, we illuminated a novel molecular mechanism of the anti-inflammatory activity of an extract containing pseudopterosin A, B, C and D (PsA-D) in the context of TNBC and bidirectional communication of breast cancer and cancer-associated immune cells. To the best of our knowledge, only one study has investigated inhibitory abilities of pseudopterosin on cancer (non-small cell lung cancer and ER⁺ breast cancer cells) showing significant cytotoxicity [185].

TNBCs are often resistant to cytotoxic chemotherapy. As an alternative approach, the inhibition of NF- κ B signaling has also been shown to be an effective way to constrain TNBC: Targeting TNF α , reduces tumor growth, but does not disturb normal breast tissue [230]; inhibition of I κ B phosphorylation results in reversal of epithelial-to-mesenchymal transition (EMT), decreases invasion, migration and increases apoptosis [231]; inhibition of NF- κ B nuclear translocation results in reduced endogenous secretion of interleukins 6 and 8 in TNBC cells [232].

Chapters 3.1 and 3.2 showed for the first time that pseudopterosin can also inhibit the NF-κB signaling pathway in the TNBC cell line MDA-MB-231. Pseudopterosin effects were analyzed on two different TNBC cell lines and two different immune-derived cell types and revealed potent inhibitory activity of NF-kB through reduced phosphorylation of p65 as well as IkBa. This was accompanied by a reduction of the inflammatory cytokines IL-6, IL-8, MCP-1 and TNF α after stimulus as well as inhibition of endogenous cytokine secretion on both protein and mRNA level. Additionally, pseudopterosin proved to be more potent than the marketed drug indomethacin and significantly reduced the inflammatory cytokines IL-8 as well as MCP-1 also in the aggressive lung cancer cell line A549 (chapter 3.1.2). Pseudopterosin significantly blocked the invasion of cells out of spheroids and into the surrounding ECM-like matrix. The four inflammatory cytokines IL-6, IL-8, MCP-1 and TNFα are equally involved in increased invasive and migratory behavior of TNBC cells [97,117,119]. The reduction in the invasive behavior of TNBC cells may be explained by the decrease of subsequent release of IL-6, IL-8, MCP-1 and TNFα mediated by pseudopterosin in this signaling pathway. In summary, our results suggest that the downregulation of constitutive activation of NF-KB signaling correlates with the previously described anti-inflammatory abilities of pseudopterosin [183,186,187].

The bidirectional communication of cancer cells and cancer-associated immune cells in the tumor microenvironment (TME) supports tumor progression by initiating angiogenesis, proliferation and migration to other organs [31,37,38]. M2 macrophages are associated with fast proliferation and ER negativity and differentiate in the presence of TNBC conditioned medium [233]. In breast cancer tissue the amount of tumor-associated macrophages (TAMs) can reach

50% in rare cases, leading to poor prognosis [40]. TAMs communicate in paracrine manner with breast cancer cells allowing co-migration and subsequent invasion of healthy tissue [234]. In order to simulate the inter-cell communication in the TME, conditioned medium is often used as it contains secreted inflammatory cytokines and chemokines, which enhance the migration as well as the invasion of cancer cells [235,236]. Conditioned medium from monocytes, for example, contains MCP-1 and IL-8 as secreted factors which promote the migration of inflammatory breast carcinomas [237]. In this study, the cultivation of either MDA-MB-231 cells with immune-cell conditioned medium or PBMC/THP-1 monocytes with breast cancer conditioned medium increased the expression of IL-6, TNF α and MCP-1. Interestingly, pseudopterosin significantly decreased the elevated expression of IL-6 and MCP-1 in MDA-MB-231 as well as THP-1 cells and also the elevated expression of IL-6, TNFα and MCP-1 in PBMCs. I confirmed these results in a second experiment, in a co-culture of MDA-MB-231 cells with PBMCs, showing equal inhibitory activity of pseudopterosin on IL-6 and IL-8 expression. Breast cancer cells in co-culture with macrophages show an increased invasive behavior compared to mono-culture due to elevated NF-κB activation [109]. Therefore I recommend investigating the promising inhibitory potential of pseudopterosin in future studies using cocultures of MDA-MB-231 cells with PBMCs in a 3D invasion assay and not mono-cultures.

Despite the efficiency of immunosuppressive therapies for some breast cancer types, for patients with other cancer and breast cancer types it can have deleterious effects [34]. Pseudopterosin showed potent anti-inflammatory effects reducing NF-κB, inflammatory cytokines and inhibitory abilities in the bidirectional communication of MDA-MB-231, THP-1 as well as PBMC cells. Additionally, pseudopterosin reduced the invasiveness of TNBC cells. Therefore, pseudopterosin may serve as an agent improving the efficacy of the existing immunosuppressive therapies, which demands a more in-depths evaluation of its mode if action.

4.1.2 The Mode of Action of Pseudopterosin Depends on Agonizing the Glucocorticoid Receptor Alpha (GRα)

The mechanism of action of pseudopterosin has not been identified so far, which is essential in aiming a targeted therapies based on pseudopterosin. The results presented in this thesis suggest that a reduced NF- κ B signaling may be the mode of action of the anti-inflammatory abilities of pseudopterosin. In the following paragraphs, we discuss the likelihood of different interacting partners of pseudopterosin correlated with NF- κ B signaling.

Xiong *et al.* (2015) suggests the mechanism of action of pseudopterosin to be downstream of the NF-κB signaling pathway. A molecular modeling of PsA with 'nitrite oxide synthase' (NOS)

reveals compatibility with the active pocket and NOS is up-regulated by NF-κB signaling [238,239]. Our results showed a significant reduction of NF-κB signaling independent of stimulus (LPS or TNF α), suggesting the mode of action of pseudopterosin to be downstream of TLR4 or TNFR1. NF-κB signaling blockade is associated with reduced phosphorylated 'extracellular signal-regulated kinase' (ERK) levels and reduced proliferation of cancer cells [68,123,240]. Increased expression of proliferation markers such as Ki-67 and ERK are correlated with increased proliferation of TNBC compared to non-TNBC patients, resulting in worse prognosis [241,242]. Although we confirm that pseudopterosin reduced the proliferation rate of MDA-MB-231 cells significantly, it did not modulate the phosphorylation status of ERK. Besides ERK, NF-κB modulates additional signaling pathways, including epithelial-mesenchymal transition (EMT) [44] or invasiveness [97,119]. Therefore the mechanism of action is likely to be upstream of NF-κB transcriptional activation.

The blockade and reduction of the inflammatory cytokines IL-6, IL-8, MCP-1 and TNF α mediated by pseudopterosin as described in the previous chapter, can be caused by for example 1) reduction of cytokine-producing cells; 2) inhibition of receptor signaling; 3) binding to signaling components independent of receptor (such as IKK or IkB) or 4) interference with NF- κ B either by blocking the translocation into the nucleus or by blocking the binding to DNA response elements. As pseudopterosin is only moderately toxic to MDA-MB-231 cells and non-toxic to PBMCs, reduction of the cell amount as suggested in 1) cannot be explained by the inhibitory potential of pseudopterosin. However, our results of pseudopterosin inhibiting inflammatory cytokines point to a mode of action upstream of NF- κ B target gene expression as described above.

The aggressiveness of breast tumors results from high amounts of inflammatory cytokines in the tumor microenvironment (TME) [35,42]. Therefore, glucocorticoid receptor alpha (GR α) signaling is utilized in the clinics due to its anti-inflammatory and immunosuppressive effects resulting in increased response rates and improved survival of breast cancer patients [122,129]. The anti-inflammatory abilities of GR α result from NF- κ B blockade and subsequent downregulation of pro-inflammatory cytokines as well as upregulation of anti-inflammatory cytokines [130]. In this context, the reduction of IL-6, IL-8, MCP-1 and TNF α mediated by pseudopterosin may be explained by the activation of GR α signaling similar as described in 2). Indeed, pseudopterosin triggered GR α translocation into the nucleus similarly to the synthetic GR α ligand dexamethasone (Dex). Additionally, pseudopterosin activated a reportergene under the control of the GR α promotor and the knockdown of GR α increased cytokine expression and proliferation despite pseudopterosin treatment. In other words, the knockdown of GR α abolished the ability of pseudopterosin to decrease cytokine expression and proliferation. Although an *in*

vitro-binding study of pseudopterosin and GRα revealed only moderate IC_{50} values in the micromolar range (chapter 3.1.2), similar non-steroidal drugs to pseudopterosin are able to display a different GRα affinity and efficacy compared to Dex without causing dimerization or binding to classic GRα response elements (GREs) [243]. Alternatively, pseudopterosin may activate GRα by binding to one of the heat shock proteins (HSPs) rather than binding to GRα itself. This is similar to the anti-cancer agent tanespimycin which binds to the N-terminal domain or also cisplatin which binds to the C-terminal domain of HSP90 [244]. The inhibition of HSP70 or HSP90 contributes to reduce breast cancer cell proliferation, downregulating NF-κB, motility and invasion [245–247].

As pseudopterosin belongs to the class of terpenoids, its mechanism of action may be similar as described in 3) and 4): terpenoids modulate NF- κ B signaling by activating IKK, inhibiting NF- κ B-binding to DNA, phosphorylation of I κ B or the translocation of NF- κ B to the nucleus [248]. Even though seudopterosin may be able to act in a similar manner to the terpenoids, however, by identifying GR α as a possible interaction partner, it is unlikely that pseudopterosin modulates NF- κ B signaling components similar to 3) and 4). In conclusion, the mode of action of pseudopterosin is attributed to the activation of GR α , possibly through binding to a distinct binding pocket as Dex.

Despite the anti-inflammatory characteristics of glucocorticoids (GCs), such as Dex, their clinical use is a heavily debated question due to the development of severe side effects, such as venous thromboembolism, avascular necrosis, development of chronic diseases [125,126], suppression of chemotherapy induced apoptosis [127,128] or survival of tumor cells [138]. Chapter 2.3.2 describes these contradictory effects on breast cancer progression with respect to GRa expression. A vast array of uniquely modified GRa subspecies allows diverse receptor behaviors regulating either transcriptional activation (transactivation) or transcriptional repression (transrepression). It is assumed, that the beneficial anti-inflammatory effects of GC are only mediated by repression of pro-inflammatory transcription factors, whereas unwanted metabolic side effects are attributed to the GRα transactivation [127,249]. Transactivation of GRa is defined by dimerization of the receptor followed by binding to specific sequences in the DNA – GR α positive response elements (GREs) or negative GREs (nGREs) – enabling the decondensation of the chromatin structure by coregulators [250]. In contrast, transrepression is defined as a direct interaction of GRα monomers with transcription factors such as NF-κB and subsequent negative modulation of survival-related cytokines, without binding to the DNA to regulate expression of IkB or p65/p50 [127,249]. The ability of GR α -monomers to bind directly to NF-kB thereby mediating transrepression [127,249] and the absence of nGREs in the promotor region of cytokines [135] points to pseudopterosin activating the mechanism of GRa

transrepression. Other non-steroidal drugs, which show similar capabilities compared to pseudopterosin, likewise only address the mechanism of transrepression [251]. Different ligands have been developed, that favor the activities of transrepression, without inducing the metabolic side effects of the transactivation [247,251] or specifically downregulate GR α transactivation [252]. However, the approach of developing compounds which only address the transrepression turns out to be too simplistic, because some side effects are also mediated by transrepression or even by both mechanisms [247]. N-terminal isoforms of GR α , for example, are able to act both transrepressive as well as transactivative [253]. Due to limited crystal structures of GR α bound to different GRE sequences or to full-length DNA, the understanding of different conformational changes of the receptor remains difficult [254] and further research is needed to illuminate the different mechanisms of GR α signaling.

In summary, our results show that pseudopterosin acts differently to Dex, as it did not show any modulation of ERK phosphorylation. Pseudopterosin might activate the mechanism of transrepression of GR α , thereby inhibiting NF- κ B and inflammatory cytokines indirectly. This underlines the higher potency of pseudopterosin compared to Dex to reduce proliferation and to be used as anti-proliferative agent.

4.1.3 Future Perspectives

Pseudopterosin has been shown to be a potent anti-inflammatory compound in in vivo experiments [183,186,187] and has been assessed in phase II clinical trials as a wound-healing agent [178,255]. Aside from studies on anti-inflammation, until now, most research focused on its ability to inhibit bacterial or viral growth [185,256–258] and, to our knowledge, only one other study investigated the cytotoxicity of pseudopterosin derivatives on lung and breast cancer cells [185]. Pseudoperosin exhibited strong anti-inflammatory effects at low concentrations in vivo. In this work we identified the NF-kB signaling pathway as main driver for the anti-inflammatory activity with pseudopterosin showing an IC_{50} value in the micromolar range (24.4 μ M). This discrepancy of potent in vivo anti-inflammation and reduced capabilities in vitro may be explained by pseudopterosin acting as a prodrug. Zhong et al. (2008) used a Suzuki-Miyaura cross coupling, to synthesize a pseudopterosin with a C-glycoside (PsA-OMe C-glycoside), without the possibility to cleave the sugar moiety, which results in an enhanced hydrolytic stability. As the C-glycoside analogue exhibits similar bioactivity in the mouse-ear-inflammation assay (PsA 8 µg/ear compared to C-glycoside 17 µg/ear), they conclude that pseudopterosin displays its full potential with the intact structure, not likely to be a prodrug [259]. To improve the in vitro pharmacological activities of pseudopterosin and to further explore its anti-inflammatory abilities, a structure-activity-relationship (SAR) analysis might help to identify a simplified derivative with improved potency on NF-kB signaling and at the same time reduce cytotoxicity

and multi-target effects. The functional optimization and toxicity evaluation is required to analyze pseudopterosin's ability to inhibit tumor growth *in vivo*. For the establishment of a xenograft model, inoculation of human MDA-MB-231 cells or a comparable breast cancer cell line in mice is suggested. After administration of an optimized pseudopterosin derivative, the tumor size and the survival of the tumor cells can be analyzed. Additionally, inhibitory abilities of pseudopterosin can be evaluated in a 3D spheroid model containing a heterogenic subpopulation of patient-derived breast cancer cells.

Cytokines are involved in distinct processes beside inflammation: MCP-1 is involved in regulating angiogenesis [121], TNFα increases expression of a multidrug resistance-related ABC transporter [110] and IL-8 is an indicator for tamoxifen resistance [93]. As pseudopterosin significantly inhibited IL-8, MCP-1 and TNFα expression in this study, a putative role in reducing multidrug resistance or reducing angiogenic processes requires further evaluation. Cytokines and chemokines are important in the inter-cell communication of tumor cells and tumor-associated immune cells and thus crucial cancer-survival factors they promote multi-drug resistance [93,110], migration, invasion [85,86], angiogenesis [97,121] and tumor growth [260,261]. Current research and applied clinical therapies therefore target cytokines with specific antibodies. In future studies, I suggest investigating further the potential of pseudopterosin to serve as anti-cancer agent in breast cancer therapy, due to its promising abilities to inhibit the expression and secretion of four different cytokines.

4.2 A new Azaphilone Derivative Inhibits NF-κB Signaling in TNBC

F ungi are a vast source of biologically active compounds and have been used extensively in the medicines against human and animal diseases, the famous penicillin G for example, was already discovered in 1929 [262]. One class of fungal-derived secondary metabolites, with a vast number of existing derivatives, is azaphilone. This class represents a collection of structurally highly variable secondary metabolites produced by a diversity of fungi including *Monascus, Chaetomium* [193], *Penicillium* [194], *Aspergillus, Bulgaria* [195] or *Talaromyces* [196]. Some azaphilones are commercialized as food colorants due to their color spectra ranging from yellow to red [193] but an increasing amount of studies investigate biological activities including anti-microbial, anti-malarial, anti-fungi, anti-virus as well as anti-inflammatory activities [206,212] and numerous more reviewed by Gao *et al.* (2013). Especially anti-cancer activities nowadays are the focus of many studies, one study analyzing a panel of 39 different cancer types [210]. To my knowledge, inhibitory effects of azaphilones in MDA-MB-231 cells, on NF-κB signaling and on migratory potential are not described, as yet. In chapter 3.3, new

naturally occurring azaphilone derivatives, extracted from Coniella fragariae (named coniellin A-G), were investigated on cytotoxic as well as anti-inflammatory effects. Seven derivatives were isolated, five of them showing cytotoxicity in the range of 19-22 µM and two derivatives showing no effects on MDA-MB-231 cells. Compound 1 exhibited the best inhibitory activity on NF-κB with an IC_{50} value of 4.4 μ M followed by compound **7** with 11.3 μ M. Compound **4** and **5** showed less potency with IC₅₀ values in the range of 30-40 μ M and compound 2 and 3 showed no effects. The double bond at C-14/C-15 (1) seems to be important for NF- κ B inhibitory activities, as well as the 15S (5) compared to the 15R isomer (6) and the methyl-group at 15-OH (7). Compound 1 also significantly inhibited migration and invasion of MDA-MB-231 cells. Chaetomugilin I displays cytotoxic effects on the same breast cancer cell line, which compared to compound 1 (coniellin A), contains no lactone ring but an additional chloride at C-5 [210]. Monascusazaphilol exhibits similar anti-inflammatory activities compared to compound 1 with strong inhibitory effects on LPS-induced TNFα release in human leukemia cells [263]. Monascin downregulates NF-KB expression and increases IKB levels in hepatic stellate cells [264]. The lactone ring in monascusazaphilol and monascin is, different to compounds 1-3, not located on the position C-7/8 but on C-6/7. These results suggest that the location of the lactone ring is not essential for the modulation of NF-kB. The difference between the structure of monascin and monascusazaphilol is a ketone compared to a hydroxy group on C-5, suggesting the functional group is of no importance, because both compounds inhibit NF-kB signaling. However, monascin exhibits a good deal more biological activities, modulating also cyclin D1 thereby inducing cell cycle arrest, enabling an anti-inflammatory response by blocking JNK as well as ERK pathways, reducing adhesion and reactive oxygen species [265]. Additional studies are needed to determine whether the pleiotropic effects of monascin are reflected by compound 1 and monascusazaphilol as well.

The heat shock protein 90 (HSP90) is an important regulator of tumor growth, adhesion, invasion, metastasis, angiogenesis as well as apoptosis and is a potential target to inhibit tumor development and tumor progression [244]. Rubropunctatin displays moderate cytotoxicity against lung cancer with an IC₅₀ value of 28 μ M, but was not tested against breast cancer. Surprisingly, this azaphilone derivative shows a high binding affinity to HSP90 in the low nanomolar range and inhibits HSP90 ATPase activity [266]. SAR analysis reveals a requirement for the additional 6-internal ether, 4-carbonyl conjugated double bonds of rubropunctatin, not present in monascin, to exert anti-cancer effects, leaving the side chain with little to no influence on activity [267].

Aspergilone A [268] or longirostrerone A [269] both display cytotoxic effects against ER⁺ breast cancer cells at concentrations of 25 µg/mL and 0.24 µM, respectively. Whether the additional

cyclohexanone on C-3 or the benzyl rings on C-7 of these derivatives are necessary to exert cytotoxic activity against this specific breast cancer subtype remains to be determined. Antiinflammatory activity of monaphilols are linked to the blockade of LPS-induced NO production and anti-proliferative effects indicate an essential role for the saturated side chain on the ketone group [270]. Monascusazaphilol resembles monaphilol A and B, mainly differing in the ketone versus hydroxy group at the lactone ring as well as at C-8 and the length of the side chain 2, suggesting the functional groups and the length of the side chain to be of no importance for their activity. Sassafrin A, resembling compound **1**, inhibits NO production [204]. Helotialin A and B, resembling compound **4**, contain a carboxy group or a hydrogen at C-5 instead of an aldehyde group, respectively, displaying effects against HIV-1 replication [271]. Further studies of potential inhibitory activity of sassafrins and heliotialins against NF-κB signaling are needed to determine the correlation of the activity to the specific feature in their chemical structure.

The pyrano-quinone core that is present in some azaphilones, such as sclerotiorin, is of importance for the biological activity [212]. Anti-cancer activities and subsequent apoptosis of pancreas, lung and colorectal cancer cells after sclerotiorin treatment is associated with the modulation of important regulators in cancer progression [198,206]. However, Son *et al.* (2016) describes an azaphilone derivative, gneumsanol E, with a missing pyrano-quinone core, but cytotoxic activity with an IC₅₀ value of 4.3 μ M in HL-60 cells and 28 μ M in mouse mammary carcinoma [212]. The effect may result from two additional double bonds at the side chain 2 [212]. The pyrano-quinone core seems to be important in the biological activities of most azaphilones, but contradicting results are described, showing a derivative with potent cytotoxic activity in mouse mammary carcinoma, missing the pyrano-quinone core.





Scheme 4.2-1. Structures of azaphilone derivatives with potent biological anti-cancer related activities.

Atom numbers were adapted from Yu *et al.* (2018) and transferred accordingly to all derivatives [213]. Specific functional groups are accentuated with dashed circles and a color scheme: aldehydes and lactone rings are pictured in red, ketone groups in green, hydroxyl groups in olive, cyclohexanone and benzyl rings in magenta and side chain 1 and 2 in blue.

4.2.1 Future Perspectives

Azaphilone derivatives exhibit cytotoxicity against several different cancer cell lines such as Panc-1, A549, HL-60 [198,208,210,212] and MCF-7 [268,269,272] and can be isolated from different microorganisms including microbes, fungi as well as viruses [196,198,206,210,271]. A wide range of biological activities have been described including the induction of apoptosis [198], inhibition of HSP90 [266] as well as lipooxigenase-1 [203], downregulation of NF-κB [210] or anti-inflammatory effects [263]. Due to the high medical need of therapeutically active compounds targeting the aggressive breast cancer subtype TNBC, novel agents with specific anti-cancer properties are needed, such as the investigated natural compound pseudopterosin in chapter 3.1 and 3.2. Previous studies described inhibitory effects of azaphilones on NF-KB signaling in the context of liver damage [264], but to my knowledge, no other study further investigated a correlation of azaphilone activity on NF-kB blockade and aggressive characteristics in TNBC cells. In this study, one azaphilone derivative was identified, coniellin A isolated from Coniella fragariae, as the most potent derivative in inhibiting NF-kB and exhibiting anti-migratory and anti-invasive properties. Further analysis is needed to clarify whether the blockade of NF-KB by coniellin A is due to a modulation of, for example, IKK or of IKB expression. To date, no consistent SAR exists to clarify which residues on the azaphilone core structure increase or decrease anti-cancer activities or modulate regulators in cancer progression. Nevertheless, the promising results of coniellin A support further structure optimizations towards more efficacious NF-kB inhibition and lower cytotoxicity to improve the biological activity, because this is necessary conducting in vivo experiments.

Coniellin A showed promising inhibitory abilities addressing migration and invasion of TNBC cells in initial experiments, but additional testing to determine the mechanism of action of its inhibitory potential is necessary. A full SAR study on the length of the side chain, the location of the lactone ring or the role of the functional groups is crucial for further improvements of the chemical structure. A molecular docking using the crystal structure of the p65/p50 complex [273] can provide insights into the mechanism of action of azaphilones inhibiting NF- κ B and other signaling components such as IKK or I κ B.

4.3 Teleocidin Derivatives are Potent Inhibitors of PAR2dependent Calcium Mobilization

n chapter 3.4, the third class of natural compounds, the class of teleocidins, were studied regarding inhibitory activity on TNBC characteristics. The advantage of bacteria to other organisms, for example from marine origin, is their simple and cheap cultivation methods,

guaranteeing, in most cases, the isolation of large amounts of compounds. Bacteria produce secondary metabolites with potent bioactivity also used in clinical trials in cancer chemotherapy, for example doxorubicin [229] or actinomycin D, both isolated from *Streptomyces* [274]. *Streptomyces* is the main organism producing indolactam V and teleocidins A/B and other structurally related compounds such as olivoretine and blastmycetine [215–218,221,222]. Lyngbyatoxin A is produced by a marine cyanobacterium and structurally identical to teleocidin A1 [217,220]. Natural compounds have served medicine throughout the history, however, they can also be the cause of tumor development, together with different environmental factors. Teleocidin A and B are inducers of tumor progression, due to activation of protein kinase C (PKC) [275]. PKC is known to increase proliferation of breast tumor cells and leads to increased secretion of pro-survival factors [225]. In contrast, teleocidin A2 also inhibits protease-activated receptor (PAR2)-dependent calcium mobilization in tumor cells and thus is assigned a tumor suppressor function in different tumor cells and is associated with bad prognosis in patients [164–167].

In chapter 3.4, the potential of different indolactam V (1) derivatives in a structure-activityrelationship (SAR) analysis was investigated in the context of PAR2-dependent calcium mobilization. Activation of the tumor promotor PKC was analyzed using phosphorylation of the endogenous PKC substrate MARCKS and specificity to PAR2 was analyzed towards a second member of the receptor family, PAR1. The derivative 30b showed the highest inhibitory ability against PAR2-dependent calcium mobilization with an IC₅₀ value of 1.6 nM, followed by derivatives 27 and 30a (IC_{50} value of 2.3 nM and 7.0 nM) and teleocidin A2 with an IC_{50} value of 18 nM. Indolactam V showed less potency, with an IC_{50} value of only 72 nM. The presence of a functional group on residue 2 (R2) seem to be important for the potent activity of the three derivatives, as indolactam V misses a functional groups at this position. However, the potency can be recovered by substituting R1 with an acetyl group (18a), methoxycarbonyl 'Moc' (22), 3-Fn-Bn (24b) or 4-Fn-Bn (24c) showing similar potency to teleocidin A2 in the range of 24-26 nM. Concerning the fluorinated benzyl ring, the position of the Fluor was important for the potency: in para- and meta-position, derivatives 24c and 24b showed activity with IC₅₀ values of 24 and 26 nM, whereas in ortho-position the activity of the derivative 24a was reduced to 200 nM. An additional fluorinated benzyl ring on position N-10 near the hydroxyl group on C-14 as in derivative 25 completely abolished its activity. Substitution on R4 reduced the potency dramatically as seen in **17b**, suggesting an essential role for the hydroxyl group on C-14. A methyl group at R3 was equally essential for PAR2 inhibition, as substitution with hydrogen resulted in the loss of potency (19a/b). The substitution of the acetyl group on R1 of the derivative **18a** to i-butyryl (**20**), a tosyl group (**19b**) or benzoyl (**21**), reduced the inhibitory activity. Different functional groups on R2 are accepted, for example ethyl ester (**30b**), methyl ester (**30a**), an allyl group (**27**) or an alkynyl group (**31e**), but cyclization (**29**), N-methoxy amide (**30c**) or N-ethoxy amide (**30d**) were not tolerated at this position.



Figure 4.3-1. SAR of teleocidin/indolactam.

The free hydroxy group on C-14 and the methyl group on N-13 both are essential functional groups for the biological activity. Substitutions on N1 and C-7 with different functional groups are allowed. However, the most potent derivative contains an ethyl ester on R2 and hydrogen on R1.

PKC activation is an undesired off-target effect of the teleocidin class. Therefore, the aim was to identify a derivative with PAR2 inhibitory activity in nanomolar concentrations, but at the same time with low potency to activate PKC. A strong increase of the MARCKS phosphorylation of 4-fold after teleocidin A2 treatment confirmed its effects as described in the literature. The derivatives **30a** and **30b** showed a lower potential to phosphorylate MARKCS of only 3-fold compared to control. Indolactam V and the derivatives **18a** and **24c** displayed the least ability to phosphorylate MARCKS similar to DMSO control. Substitution of the hydrogen residues on R2 seemed to be favorable for the PKC activation, showing an increase in the ability to activate PKC, as shown by the derivatives **27**, **30a** and **30b**. The substitution of the fluorine (**24b**), in the meta-position of the benzyl ring, to a methoxy group (**24d**) also resulted in an increase in PKC activation. In summary, activation of PKC was not necessarily correlated with PAR2-dependent calcium inhibition. A reduction of PKC activation was not associated to a reduction of PAR2 inhibition.

To determine the selectivity on PAR2 inhibition, the ability to inhibit PAR1-dependent calcium mobilization was investigated: teleocidin A2 inhibited PAR1 with an IC_{50} value of 350 nM, which is a 20-fold increase compared to PAR2-dependent inhibition. In comparison, the derivatives **22**, **18a** and **30a** were increased by 12-fold, 10-fold and 10-fold and **30b** showed an increase to PAR2 by 15-fold, respectively. The fluorinated benzyl ring is not favorable for PAR2 specificity as these compounds showed the lowest increase in IC_{50} values of PAR1 compared to of PAR2 inhibition: the derivatives **24c**, **24d**, **24a** were increased only by 6-fold, 3.5-fold and by 1.3 fold.

The teleocidin derivative **30b** was identified as a potent inhibitor of PAR2-dependent calcium mobilization with an IC₅₀ value of 1.6 nM, moderate PKC activation of 3-fold increase compared to control and less specificity to PAR1-dependent calcium mobilization, with a 15-fold increase compared to PAR2. PAR2 as well as PAR1 are known to promote pro-metastatic processes [276] and especially PAR2 is correlated with VEGF and aggressive tumor types in ER⁻ breast cancer patients [167]. The migration of malignant cells from an existing tumor to other organs, for example developing visceral metastasis or brain metastasis [14,277], is a huge problem in the therapy of TNBC. Thus, **30b**, as the most potent derivative against PAR2 signaling, was tested on the migratory behavior of MDA-MB-231 cells, showing a significant reduction. In summary, novel teleocidin derivatives were identified, which reduce cancer-related signaling and thus, in contrast to the literature, are also potent tumor suppressive agents.

4.3.1 Future Perspectives

Until now, the research of teleocidins has focused on tumor promoting activity, mostly on PKC or other inflammatory signals [217,223,224]. In this thesis, SAR analysis revealed novel teleocidin derivatives as inhibitors of PAR2-dependent calcium mobilization with **30b** as the most potent derivative. Further improvements on the structure can reduce the abilities concerning PKC and PAR1 activation and thus provide higher specificity towards PAR2-dependent calcium release. Teleocidin A2 does not compete with the radiolabeled protease-cleaved N-terminal sequence of PAR2, suggesting another mechanism than inhibiting the N-terminus [169]. Two antagonist of PAR2 showed distinct mechanism to modulate the receptor. AZ8838 for example, binds to a pocket near the extracellular surface of PAR2, whereas AZ3451 binds to an allosteric binding site outside the helical bundle [278]. In future studies, I suggest to conduct a molecular docking with PAR2 and **30b** to help identify the binding pocket, which is responsible for the inhibitory ability similar to AZ8838 or AZ3451. Likewise a molecular docking with PAR1 may reveal the modulation site of the unspecific binding. **30b** shows no cytotoxicity in MDA-MB-231 cells at a concentration of 25 nM, stressing the need for additional studies in *in vivo* xenograft models in the future.

Vorapaxar is the only marketed drug targeting PAR1 [170]. PAR2 antagonists were not approved as yet, due to inhibitory activity only in the micromolar range and also solubility problems as a result of high molecular weight or low specificity [279]. **30b** thus may be a promising candidate for further *in vivo* studies and as a novel agent for targeted TNBC therapy.

4.4 Summary

The main objective in this thesis was to characterize three natural occurring compounds as candidates for developing targeted therapies of the aggressive breast cancer subtype triple negative. Therefore, stable reportergene cell lines to analyze modulatory abilities on different signaling pathways, multiplex immunized magnetic beads, 3D cell culture and co-cultures were established. The modulation of proliferation, invasion and migration of TNBC cells was observed using realtime imaging.

Pseudopterosin, the marine natural product isolated from *Antillogorgia elisabethae*, was able to inhibit NF- κ B, significantly reduce the phosphorylation of p65, I κ B as well as reduce IL-6, IL-8, MCP-1 and TNF α after stimulus as well as inhibition of endogenous cytokine secretion on both protein and mRNA level. Moreover, pseudopterosin activated the translocation of GR α into the nucleus and – in the absence of GR α – pseudopterosin was not able to reduce cytokine expression and proliferation anymore. Anti-inflammatory effects of pseudopterosin, the blockade of invasion and proliferation in TNBC cells may be explained by pseudopterosin agonizing GR α as a molecular mode of action and subsequent transrepression of NF- κ B activation.

Azaphilones, a class of fungal natural compounds, show various biological activities against numerous cancer cell lines. Seven new azaphilone derivatives were identified from the fungus *Coniella fragariae.* Five derivatives exhibited cytotoxic activity against TNBC cells and two exhibited inhibitory activity against NF-κB in the low micromolar range. Coniellin A was the most promising azaphilone derivative showing significant anti-migratory and anti-invasive abilities in TNBC cells.

Teleocidin is the third natural compound investigated in this thesis and was isolated from the bacterium *Streptomyces mediocidius*. A distinct SAR for the inhibition of PAR2-dependent calcium mobilization was observed, identifying one derivative with a particular potent ability in the nanomolar range and no toxicity on TNBC cells. Additionally, this derivative showed significant inhibition of the migratory behavior of TNBC cells. Analysis of receptor specificity revealed a low ability to activate the well described "off-target" PKC and to inhibit PAR1-dependent calcium mobilization demonstrating improved abilities compared to teleocidin A2.

In summary, pseudopterosin revealed potent inhibitory abilities in NF- κ B-dependent inflammatory processes and bidirectional communication of tumor cells and tumor-associated immune cells, acting as an agonist of GR α . Additionally, two novel natural product derivatives were identified with inhibitory abilities on TNBC-related signaling pathways, migration and invasion. This study has helped to improve our understanding of the mechanism of action of three natural compounds and underlines their great potential to cover the high medical need of therapeutically active agents and to develop medical drugs targeting the aggressive breast cancer subtype TNBC.

5 References

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Abbreviations

Α
ABC ATP-binding casette
В
BRCA1BReast CAncer 1
C
CAFsCancer associated fibroblasts
CMconditioned medium
D
DAPI 4',6-Diamidin-2-phenylindol
Dex dexamethasone
DMSO dimethyl sulfoxide
DNAdesoxyribonucleic acid
Ε
ECMextracellular matrix
EGF epidermal growth factor
EMT epithelial-to-mesenchymal transition
ERestrogen receptor
ER ⁻ estrogen-receptor negative
ER ⁺ estrogen-receptor positiv
ERK Extracellular signal-regulated kinase
F
FCSfetal calf serum
FITC fluorescein isothiocyanate
G
GAPDHglyceraldehyde 3-phosphate dehydrogenase
GFPgreen fluorescent protein
GPCR G protein-coupled receptor
G-protein guanine nucleotide-binding protein
GREs glucocorticoid response elements
GRαglucocorticoid receptor alpha
Н

HER2 human epidermal growth factor receptor-2

HRP	horseradish peroxidase
HSP	heat shock protein

I

IC ₅₀ half maximal inhibitory	concentration
IKK inhibitor of κB kinase, inhibito	or of κB kinase
IL-6	Interleukin 6
IL-8	Interleukin 8
ΙκΒ	inhibitor of κB

L

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LPS.....lipopolysaccharide
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М

M1	classical-activated macrophages
M2	. alternatively-activated macrophages
M-CM	MDA-MB-231 conditioned medium
MCP-1	monocyte chemoattractant protein 1
Mregs	regulatory macrophages
mRNA	messenger ribonucleic acid
MyD88 . <i>my</i>	eloid differentiation primary response
gene 88	

Ν

nc siRNAnon-coding silencing RNA
NF-ĸB nuclear factor kappa-light-chain-enhancer
of activated B-cells
nGREsnegative glucocorticoid response
elements
NLSnuclear localization sequence
NOSnitrite oxide synthase
NSAID non-steroidal anti-inflammatory drug

Ρ

PAMPs.pathogen-associated molecular patterns
PAR2 Proteinase-activated receptor 2
PBMC peripheral blood mononuclear cells
PCR polymerase chain reaction
PKC protein kinase C

PsA	pseudopterosin A
PsA-D	pseudopterosins A, B, C and D
PsE	Pseudopterosin E

R

Rac1	Ras-related C	3 botulinum	toxin s	substrate	1
RIP1		receptor-inte	ractin	g protein	1

S

SAR	structure-activity-relationship
SD	standard deviation
SEM	standard error of the mean
SES	2-trimethylsilyl-ethansulfonyl
siRNA	silencing RNA

Т

TAMtumor associated macrophages TCCFcytoplasmic total corrected cell fluorescence

THP-CM	THP-1 conditioned medium
TIR	
TLR	Toll-like receptor
TME	tumor microenvironment, tumor
microenviron	ment
TNBC	triple negative breast cancer
TNFR1 to	umor necrosis factor α receptor 1
ΤΝFα	tumor necrosis factor alpha
TRADD TNF re	eceptor-associated protein with a
death domair	1
U	
ULA	ultra-low-attachment

V

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VEGF..... vascular endothelial growth factor

Lebenslauf

Ausbildung

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Konferenzteilnahmen und Symposien

- 06/2018 Dechema: 3D CellCulture 2018: "How close to '*in vivo*' can we get? <u>Models, Applications, Translation</u>"; Freiburg, Deutschland
- 09/2017 <u>GRK2158 Symposium: Natural compounds and analogs against therapy</u> resistant tumors and microorganisms; Düsseldorf, Deutschland; Poster: *"Pharmacological characterization of the natural products pseudopterosin* and tylophorinine in the tumor microenvironment of triple negative breast cancer"
- 06/2017 <u>Cell Symposium: Cancer, Inflammation & Immunity</u>, San Diego, U.S.; Poster: "The natural product pseudopterosin inhibits cytokine release and bidirectional communication in the tumor microenvironment of triple negative breast cancer cells."
- 12/2016 <u>Cell Symposium: Hallmarks of Cancer</u>, Ghent, Belgien; Poster: "*The natural product pseudopterosin inhibits bidirectional communication in the microenvironment of triple negative breast cancer*"
- 05/2015 <u>Cell Symposium: Cancer, Inflammation & Immunity</u>, Sitges, Spanien

Betreute Abschlussarbeiten

09/2018 Janina Betz, in Kooperation mit der Universität Bath, Master of Science: "Cancer cell-fibroblast context-dependent actions of marine natural products in 2D and 3D models of human pancreatic adenocarcinoma" 09/2018 Vanessa Mundorf, in Kooperation mit der Universität Bath, Master of Science: "Cancer cell-fibroblast context-dependent actions of plant natural products in 2D and 3D models of human pancreatic adenocarcinoma" 08/2017 Lars Frangenberg, Bachelor of Science: "Pharmakologische Charakterisierung neuer Naturstoffderivate in zwei- und dreidimensionalen Tumorzellsystemen" 08/2016 Angelika Hörst, Bachelor of Science: "High-Throughput Screening neuer Pseudopterosin-Derivate" 08/2016 Anne-Kathrin Wiele. Bachelor of Science: "Aufklärung der pharmakologischen Wirkung von Pseudopterosin in der bidirektionalen Kommunikation zwischen Immun- und Tumorzellen" 08/2015 Anastasia Nikiforov, Bachelor of Science: "Molekulare Untersuchungen zum Tumormikromilieu metastasierender Brustkrebszellen" 08/2015 Rüggeberg, Bachelor of "Untersuchungen Nicole Science: zur pharmakologischen Wirkung des marinen Naturstoffs Pseudopterosin in der Immunantwort humaner Monozyten"

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Eidesstattliche Erklärung

Ich versichere an Eides Statt, dass die Dissertation von mir selbständig und ohne unzulässige fremde Hilfe unter Beachtung der "Grundsätze zur Sicherung guter wissenschaftlicher Praxis an der Heinrich-Heine-Universität Düsseldorf" erstellt worden ist. Die Dissertation wurde in der vorgelegten oder in ähnlicher Form noch bei keiner anderen Fakultät eingereicht. Ich habe bisher keine erfolglosen und erfolgreichen Promotionsversuche unternommen.

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