

Pharmacological Characterization of Natural Products as Drug Candidates for the Treatment of Multidrug-Resistant Tumors

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Abstract

Despite continuous advancements in the development and improvement of anticancer drugs and therapies, multidrug resistance (MDR) of tumor cells and tumor-associated cells constitutes a major problem for efficacious treatment of cancer patients. MDR, which describes concurrent resistance to an array of structurally and functionally unrelated drugs, leads to reduced drug efficacy and subsequent therapy failure. One mechanism of cancer MDR is the overexpression of certain ATP-binding cassette (ABC) transporters, with P-glycoprotein (P-gp, ABCB1), multidrug resistance-related protein 1 (MRP1, ABCC1) and breast cancer resistance protein (BCRP, ABCG2) being the most prominent and best studied ones. They actively translocate substrate drugs to the extracellular space, thereby preventing drugs from efficiently targeting the tumor cells. One strategy to overcome transporter-mediated MDR is inhibition of transport by non-cytotoxic small molecules. In this thesis, natural products and derivatives were screened for their ability to inhibit ABC transporters in human cancer cell lines and for their cytotoxic activity in these cells. Among the more than 200 test compounds, promising candidates were identified and further characterized.

Two derivatives of the plant secondary metabolite goniotalamin demonstrated selective inhibition of P-gp in colon carcinoma cells and were able to sensitize these cells to treatment with the chemotherapeutic drug doxorubicin. Further studies revealed an increased intracellular doxorubicin accumulation and ATPase assays as well as molecular docking studies proposed their competitive mode of P-gp inhibition. In addition, derivatives with improved cytotoxic activity compared to the natural product in different sensitive and resistant cancer cell lines were identified and structure-activity relationships (SAR) were described.

In the class of isocoumarins, three novel 3,4-dihydroisocoumarins demonstrated dual inhibition of P-gp and BCRP in colon and breast cancer cells, respectively, and could partially reverse their resistance to chemotherapy. Transporter inhibition was dependent on distinct structural features and a novel parallel SAR for both transporters was revealed.

Furthermore, novel colchicine-derived triazoles were analyzed and three derivatives with improved cytotoxicity based on increased inhibition of tubulin polymerization were identified.

Thus, these studies revealed several candidate compounds as putative starting points for future development of novel drugs for the therapy of multidrug-resistant and sensitive tumors.

Zusammenfassung

Trotz kontinuierlicher Fortschritte in der Entwicklung und Verbesserung von Medikamenten und Therapien gegen Krebs stellt die Multiresistenz von Tumorzellen und Tumor-assoziierten Zellen ein bedeutendes Problem für die effektive Behandlung von Krebspatienten dar. Multiresistenz beschreibt die gleichzeitige Resistenz gegenüber strukturell und funktionell nicht verwandten Wirkstoffen und führt zu einer reduzierten Wirkstoffeffizienz und schließlich zum Therapieversagen. Ein Mechanismus der Tumor-Multiresistenz ist die Überexpression von bestimmten ATP-Bindekassette (ABC) Transportern, wobei *P-glycoprotein* (P-gp, ABCB1), *multidrug resistance-related protein 1* (MRP1, ABCC1) und *breast cancer resistance protein* (BCRP, ABCG2) die bedeutendsten und am besten erforschten Transporter sind. Sie transportieren Wirkstoff-Substrate aktiv in den Extrazellularraum und verhindern dadurch einen wirkungsvollen Angriff auf die Tumorzellen. Eine Möglichkeit, um die durch Transporter hervorgerufene Multiresistenz pharmakologisch zu überwinden, ist die Inhibition des Transports durch nichttoxische niedermolekulare Verbindungen. In dieser Arbeit wurden Naturstoffe und Derivate bezüglich ihrer Inhibition von ABC-Transportern in humanen Tumorzelllinien sowie ihrer Zytotoxizität gescreent. Unter den über 200 Testverbindungen konnten vielversprechende Wirkstoffkandidaten identifiziert und weitergehend charakterisiert werden.

Zwei Derivate des pflanzlichen Sekundärmetaboliten Goniothalamin zeigten eine selektive Inhibition von P-gp in Darmkrebszellen und konnten diese für die Behandlung mit dem Chemotherapeutikum Doxorubicin sensitivieren. Weitere Untersuchungen zeigten eine erhöhte intrazelluläre Doxorubicin-Akkumulation und ATPase-Assays sowie molekulares Docking wiesen auf eine kompetitive Inhibition von P-gp hin. Zusätzlich wurden Derivate identifiziert, die eine verbesserte zytotoxische Aktivität als der Naturstoff in verschiedenen sensitiven und resistenten Tumorzelllinien aufwiesen und Struktur-Aktivitäts-Beziehungen konnten beschrieben werden.

In der Klasse der Isocoumarine zeigten zwei neue 3,4-Dihydroisocoumarine eine duale Inhibition von P-gp und BCRP in Darm- beziehungsweise Brustkrebszellen und konnten die Chemotherapieresistenz dieser Zellen zum Teil aufheben. Die Inhibition der Transporter war von bestimmten Strukturmerkmalen abhängig und parallele Struktur-Aktivitäts-Beziehungen für beide Transporter wurden aufgezeigt.

Des Weiteren wurden neue von Colchicin abgeleitete Triazole untersucht und drei Derivate gefunden, die im Vergleich zum Naturstoff eine erhöhte Zytotoxizität aufwiesen, welche auf einer stärkeren Inhibition der Tubulin-Polymerisation beruhte.

Somit konnten durch die im Rahmen dieser Dissertation durchgeführten Untersuchungen Kandidaten unterschiedlicher Naturstoffklassen identifiziert werden, die als mögliche Ausgangspunkte für die weitere Entwicklung von neuen Wirkstoffen zur Behandlung von multiresistenten sowie sensitiven Tumoren dienen können.

Table of Contents

Abstract	I
Zusammenfassung.....	III
Table of Contents.....	V
List of Figures.....	VII
List of Tables	VIII
List of Abbreviations	IX
1 Introduction.....	1
1.1 Cancer	1
1.2 Multidrug Resistance (MDR).....	1
1.3 ATP-Binding Cassette (ABC) Transporters.....	2
1.3.1 General Structure and Function.....	2
1.3.2 Roles in Human Health and Disease.....	4
1.3.3 ABC Transporters and Cancer.....	5
1.4 P-glycoprotein (P-gp).....	6
1.5 Multidrug Resistance-Related Protein 1 (MRP1).....	8
1.6 Breast Cancer Resistance Protein (BCRP).....	10
1.7 Modulation of ABC Transporters.....	11
1.7.1 Inhibition of ABC Transporter Activity.....	11
1.7.2 Alternative Approaches to Target MDR	13
1.8 Natural Products in Drug Discovery and Development	14
1.8.1 Goniothalamin	15
1.8.2 Isocoumarins.....	16
1.8.3 Colchicine.....	17
1.9 Aims.....	18
2 Publications.....	20
2.1 Chapter I.....	20
2.2 Chapter II	32
2.2.1 Appendix to Chapter II.....	65
2.3 Chapter III	66
2.4 Chapter V	91
3 Discussion	126

3.1	Goniothalamine Derivatives as Cytotoxic Compounds and Inhibitors of P-gp Activity	126
3.1.1	Cytotoxic Activities of Goniothalamine Derivatives	127
3.1.2	Inhibition of P-gp Activity by Goniothalamine Derivatives.....	128
3.1.3	Future Perspectives	131
3.2	Isocoumarins as Dual Inhibitors of P-gp and BCRP	133
3.2.1	Inhibition of P-gp and BCRP Activity by 3,4-Dihydroisocoumarins.....	133
3.2.2	Cytotoxic Activities of 3,4-Dihydroisocoumarins	135
3.2.3	Future Perspectives	136
3.3	Colchicine Derivatives as Drug Candidates against Sensitive and Resistant Tumors.....	137
3.4	Summary	139
4	References.....	141
5	Appendix.....	168
5.1	List of Test Compounds.....	168
5.1.1	Goniothalamine Derivatives.....	168
5.1.2	Isocoumarin Derivatives.....	170
5.1.3	Colchicine Derivatives	171
	Danksagung	i
	Lebenslauf	iii
	Eidesstattliche Erklärung.....	vi

List of Figures

Figure 1. Mechanisms of drug resistance in cancer cells.....	2
Figure 2. Topology model of human P-gp	7
Figure 3. Topology model of human MRP1	9
Figure 4. Topology model of human BCRP.....	10
Figure 5. Structure of (<i>R</i>)-(+)-goniothalamine.....	15
Figure 6. Structures of coumarin, isocoumarin and 3,4-dihydroisocoumarin.....	16
Figure 7. Structure of colchicine.....	17
Figure 8. Goniothalamine derivatives which are cytotoxic in cancer cell lines.....	127
Figure 9. Goniothalamine derivatives which selectively inhibit P-gp transport.....	129
Figure 10. 3,4-dihydroisocoumarins which dually inhibit P-gp and BCRP transport.....	134
Figure 11. Colchicine derivatives with improved cytotoxicity and inhibition of tubulin polymerization.....	138

List of Tables

Table 1. Selection of clinically relevant P-gp drug substrates	7
Table 2. Selection of clinically relevant MRP1 drug substrates.....	9
Table 3. Selection of clinically relevant BCRP drug substrates.....	11
Table 4. Relative mRNA expression of P-gp, MRP1 and BCRP in a panel of human cancer cell lines.....	65
Table A1. Goniiothalamine derivatives	168
Table A2. Isocoumarin derivatives.....	170
Table A3. Colchicine derivatives.....	171

List of Abbreviations

ABC	ATP-binding cassette
ADME	absorption, distribution, metabolism, excretion (of drugs)
AML	acute myeloid leukemia
ATP	adenosine triphosphate
BCRP	breast cancer resistance protein
Cdr1p	<i>Candida</i> drug resistance protein 1
Cdr2p	<i>Candida</i> drug resistance protein 2
CFTR	cystic fibrosis transmembrane conductance regulator
C _q	threshold cycle
CYP	cytochrome P450
DNA	deoxyribonucleic acid
FDA	United States Food and Drug Administration
GAPDH	glyceraldehyde 3-phosphate dehydrogenase
GSH	glutathione
MDR	multidrug resistance
MDR1	multidrug resistance protein 1
MHC	major histocompatibility complex
mRNA	messenger RNA
MRP	multidrug resistance-related protein
NBD	nucleotide-binding domain
NF- κ B	nuclear factor kappa B
PCR	polymerase chain reaction
Pgh1	P-glycoprotein homologue 1
P-gp	P-glycoprotein
qPCR	quantitative real-time PCR
RNA	ribonucleic acid
ROS	reactive oxygen species
SAR	structure-activity relationship
SD	standard deviation
SEM	standard error of the mean
siRNA	short interfering RNA
TAP	transporter associated with antigen processing
TBS	<i>tert</i> -butyldimethylsilyl
TKI	tyrosine kinase inhibitor
TMD	transmembrane domain

1 Introduction

1.1 Cancer

Cancer is defined as a group of related diseases, which all involve abnormal cell growth and the potential to invade surrounding tissues and other body parts. More than 100 distinct types of cancer are known and subtypes of cancer exist in specific organs. Despite the complexity and heterogeneity, there are certain principles which lead to malignant transformation of normal cells and are possibly shared by all types of cancer: the six hallmarks of cancer as proposed by Hanahan and Weinberg in 2000 [1]. They are 1) self-sufficiency in growth signals, 2) insensitivity to anti-growth signals, 3) evading apoptosis, 4) limitless replicative potential, 5) sustained angiogenesis, and 6) tissue invasion and metastasis. During the multistep process of tumorigenesis, cells gain these capabilities by emergence of genomic instability accompanied by dynamic changes in their genome [2].

According to the latest Global Burden of Disease Study, the number of new cancer cases worldwide was 17.5 million in 2015 and there were 8.7 million cancer deaths, representing cancer the second common cause of death after cardiovascular diseases [3]. Despite emerging progress in cancer prognosis and treatment, these numbers are constantly rising, mainly due to population growth and increased life expectancy. In Germany, the number of new cancer cases was 476 120 and the number of cancer deaths was 222 972 in 2014 [4].

1.2 Multidrug Resistance (MDR)

During the last years, constant progress in developing novel drugs for effective cancer treatment has been made and especially targeted and patient-specific therapies as well as immunotherapies were in the focus of research. Nonetheless, emergence of resistance mechanisms and multidrug resistance (MDR) in tumors poses a major problem in cancer therapy. The phenomenon of MDR describes resistance to diverse drugs which are structurally and functionally not related, which leads to treatment failure and increases cancer death rates. It is estimated that MDR causes treatment failure in about 90% of patients with metastatic tumors [5]. Therapy resistance of tumors can be intrinsic or acquired during the therapy and multiple mechanism mediating resistance are known [6,7]. They include increased drug efflux or decreased drug uptake, drug sequestration, mutations in drug targets,

target overexpression, inhibition of apoptosis pathways, increased drug metabolism or activation of DNA repair mechanisms (Figure 1).

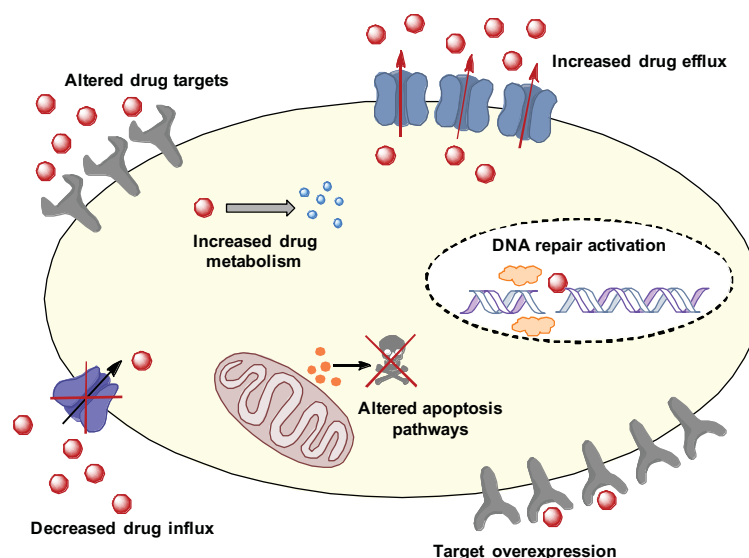


Figure 1. Mechanisms of drug resistance in cancer cells. Different mechanisms contributing to the development of drug resistance in tumors.

Probably the most important and most prevalent resistance mechanism is the overexpression of certain ATP-binding cassette (ABC) transporters, which mediate resistance by actively transporting drugs out of cells, thus inhibiting intracellular drug accumulation and impairing drug activity and efficacy [8]. This phenomenon was first described in 1973 by Danø [9].

1.3 ATP-Binding Cassette (ABC) Transporters

1.3.1 General Structure and Function

The ABC transporter superfamily represents one of the largest and oldest protein families with members in all kingdoms of life [10]. In humans, there are 49 transporters, which are divided into seven subgroups A to G based on sequence homology and gene structure [11]. The active translocation of substrates across membranes of cells and organelles coupled to ATP hydrolysis, which provides the energy for the process, is common for all ABC transporters [12]. Transport is usually against the substrate's concentration gradient. While prokaryotic ABC transporters can be divided into importers and exporters, most eukaryotic ABC transporters are exporters. The substrate spectrum of ABC transporters is broad, ranging from small molecules, like ions, amino acids or sugars, to large peptides, lipids and proteins [11].

The general structure of ABC transporters consists of four functional domains in two homologous halves, each containing one transmembrane domain (TMD) and one nucleotide-binding domain (NBD, the eponymous ATP-binding cassette). Eukaryotic full transporters encode all four units as one polypeptide, whereas half transporters express one TMD and one NBD as a single peptide and need to dimerize (homodimers or heterodimers) for functionality [13].

The NBDs bind and hydrolyze ATP and contain several highly conserved motifs, making them the hallmark of the transporter family [14,15]. The Walker A motif binds the γ -phosphate of ATP, the Walker B motif is essential for attack of the water molecule and hydrolysis, the signature motif (C-loop, LSGGQ) is involved in ATP binding and interaction between the two NBDs [16]. Two ATP molecules are positioned between the Walker A motif of one NBD and the signature motif of the second NBD, respectively [17,18]. Additional conserved motifs are involved in ATP binding and hydrolysis or communication between the NBDs or NBD and TMD [16].

In contrast to the NBDs, the TMDs of different transporters do not share significant sequence similarities. TMDs consist of six to ten α -helices and the two TMDs of a transporter form two bundles and constitute the translocation pathway, which is located at their interface and open to one side [19]. Through conformational rearrangements, the transporter switches between inward-facing and outward-facing conformation (alternating access) [20–22].

To date, the transport mechanism of ABC transporters has not been fully elucidated and there are different hypotheses concerning interplay and sequence of ATP- and substrate-binding, ATP hydrolysis, conformational changes and substrate release [23]. One is the ATP switch model [24]. According to this model, substrates enter the translocation pathway of the transporter in its inwards-facing conformation either from the cytoplasm or the inner leaflet of the membrane and bind to a high-affinity substrate-binding site. Binding causes conformational changes and a switch in the NBDs from low-affinity to high-affinity for ATP. Upon binding of two ATP molecules, the NBDs change from the open to the closed dimer configuration. Either ATP-binding or dimerization are supposed to be the “power stroke” for changes in the TMD conformation to the outward-facing conformation accompanied by lowered affinity of the substrate-binding site and in turn substrate release. ATP hydrolysis and dissociation then returns the transporter to its original state. Another model, the reciprocating twin-channel model or constant contact model, describes alternation of substrate translocation

and ATP hydrolysis between the two functionally separate halves of the transporter without complete dissociation of the NBDs [25,26].

1.3.2 Roles in Human Health and Disease

ABC transporters have important roles for human health and tissue homeostasis. They are expressed in various tissues throughout the human body, where they facilitate the transport of endogenous substrates as well as xenobiotics [27,28]. Endogenous substrates include lipids, amino acids, bile salts and sugars and their transport contributes to homeostasis. Furthermore, ABC transporters are expressed in barrier tissues, like the blood-brain barrier, placenta, liver, kidneys and the gastrointestinal tract. In these tissues, they transport endogenous as well as exogenous toxic substrates to protect the body or inhibit the penetration of drugs and toxins into sensitive compartments like the brain or the fetus. In the gastrointestinal tract, ABC transporters inhibit absorption of drugs and toxic compounds, whereas in the liver and kidney, their excretion is promoted [29]. Hence, they support a chemoimmunity defense system of the body [30]. Besides protection against harmful compounds, ABC transporters also modulate absorption, distribution, metabolism and excretion (ADME) of pharmacological agents. ABC transporters at the apical membrane of the small intestine epithelium contribute to poor absorption and low bioavailability of orally administered drugs. The major transporters there are P-gp, MRP2, MRP4 and BCRP [31]. The influence of ABC transporters on drug distribution is particularly striking at the blood-brain barrier, where transporters like P-gp, MRP1 and BCRP on one hand protect the brain from potentially toxic agents, but on the other hand limit penetration of drugs targeting the central nervous system [32]. P-gp and cytochrome P450 (CYP) 3A4, a major enzyme of phase I drug metabolism, have overlapping substrate specificities and can be induced by the same signaling pathways. A dynamic interplay between both proteins in ADME was demonstrated [33]. ABC transporters expressed in liver and kidney are important mediators of biliary and renal elimination of drugs and metabolites [34]. Having this in mind, it is not unexpected that drug-drug interactions and food-drug interactions might be mediated by ABC transporters and can lead to altered drug ADME, undesirable side effects or even toxicity. Therefore, investigation of drug-transporter interactions is critical for drug design and development and are an integral part of guidelines of drug regulatory agencies [35].

Apart from this, further functions of ABC transporters in regulating homeostasis are known. Their expression in stem cells contributes to stem cell integrity, differentiation and tissue regeneration [36,37]. Moreover, ABC transporters play a role in immune function.

TAP1 (ABCB2) and TAP2 (ABCB3) are part of the macromolecular complex that loads peptides onto MHC class I molecules for antigen presentation to cytotoxic T-cells. Thus, TAP1 and TAP2 are indispensable for proper immune function [38]. Furthermore, their downregulation contributes to immune evasion of cancer cells [39].

Defects in ABC transporters are associated with distinct human hereditary diseases. Probably the most prominent example is cystic fibrosis, which is caused by mutations of the cystic fibrosis transmembrane conductance regulator (CFTR, ABCC7). CFTR is an atypical ABC transporter. It does not transport substrates, but is rather an ATP-gated chloride and bicarbonate channel [40,41]. Other conditions and associated transporters are Tangier disease (familial alpha-lipoprotein deficiency; ABCA1) [42], Stargardt disease (juvenile macular dystrophy; ABCA4) [43] and progressive familial intrahepatic cholestasis type 2 and 3 (ABCB11, ABCB4) [44,45].

Not only human ABC transporters affect human health. ABC transporter overexpression in pathogens like bacteria, fungi or parasites can confer drug resistance [46]. In *Plasmodium falciparum*, one of the malaria pathogens, overexpression of the P-glycoprotein homologue Pgh1 is associated with resistance to the drug mefloquine [47]. Fungi of the *Candida* genus cause opportunistic infections (candidiasis), which especially threaten immunocompromised patients. Resistance to widely used antifungal azoles is frequently caused by Cdr1p- and Cdr2p-mediated drug efflux in *Candida albicans* [48,49].

1.3.3 ABC Transporters and Cancer

As mentioned in chapter 1.2, ABC transporters are key mediators of cancer MDR. In this context, three ABC transporters are most frequently overexpressed and have therefore been in the focus of extensive studies since their discovery: P-glycoprotein (P-gp, ABCB1), multidrug resistance-associated protein 1 (MRP1, ABCC1) and breast cancer resistance protein (BCRP, ABCG2) [46]. They will be introduced in the following chapters. Upregulation of these transporters can also be observed in cancer stem cells, which are important for metastasis and recurrence of the disease, as well as tumor-associated cells of the tumor microenvironment, including tumor endothelial cells [50,51]. In cancer cell lines, upregulation of transporter expression can be induced by continuous treatment with chemotherapeutic drugs, which serves as an *in vitro* model for acquired resistance [52–54]. *In vivo*, acquired resistance can also be recapitulated by intermittent or continuous drug treatment [55].

Several more ABC transporters have been identified as anticancer drug transporters and associated with resistance, especially members of the ABCC family, but their clinical implications have not been fully clarified yet. In addition, studies focusing on profiling ABC transporter expression in cancer cell lines or patient tumor samples were performed, aiming at identifying further transporters mediating MDR [56–58].

Numerous studies have been performed with the aim of correlating ABC transporter expression in tumor samples of different entities before or after treatment with clinical drug resistance and treatment response, but the assessment proved to be difficult and results were sometimes inconsistent. Reasons are heterogeneity of clinical samples, lack of standard protocols for expression analysis and the possible cooperation of different mechanisms mediating resistance in a single tumor [59,60].

ABC transporters do not solely influence drug efflux and resistance in cancer cells. Studies have demonstrated that ABC transporters might contribute to some of the hallmarks of cancer (see 1.1), mediate tumor progression and influence tumor metabolism and the tumor microenvironment by controlling the release of signaling molecules and metabolites [61,62]. In several studies, ABC transporter expression in tumors of different origin could be associated with differentiation status of cancer cells and disease progression and aggressiveness independent from drug efflux [63–65].

1.4 P-glycoprotein (P-gp)

P-gp (MDR1, ABCB1), the first eukaryotic ABC transporter described and probably the best studied one to date, is a 170 kDA protein (1280 amino acids) expressed in the cell membrane. It was discovered in 1976 by Juliano and Ling in colchicine-resistant Chinese hamster ovary cells, which exhibited cross-resistance to several other anticancer drugs [66]. The structure of P-gp is depicted in Figure 2. It has two homologous halves with one TMD and one NBD each, which are encoded as one single polypeptide. Each TMD is composed of six α -helices [67,68]. The translocation pathway between TMD1 and TMD2 is open to the cytoplasm and the inner leaflet of the membrane. The crystal structure of human P-gp has not been obtained yet. In February 2018, the structure of a human-mouse chimeric P-gp in complex with the monoclonal antibody UIC2 and two molecules of the inhibitor zosuquidar was resolved [69]. The chimeric transporter consists of the human extracellular region and the mouse intracellular region and shares around 90% sequence identity with the human protein.

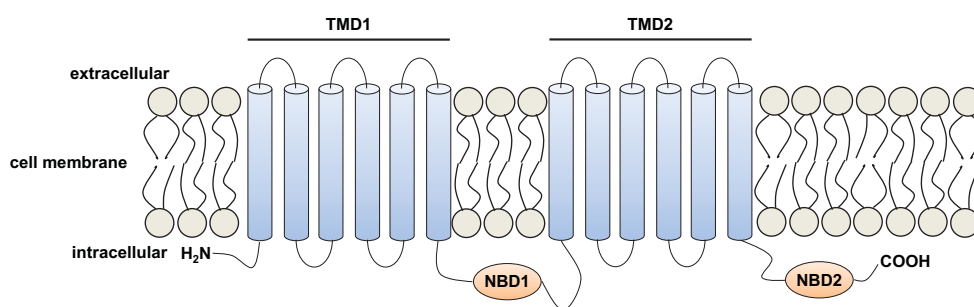


Figure 2. Topology model of human P-gp. The full transporter P-gp consists of one polypeptide divided into two TMDs and two NBDs.

In the drug-binding pocket of P-gp, proposed as a central cavity at the interface of TMD1 and TMD2, at least four distinct drug-binding sites have been identified [67,70,71]. Their partial overlapping and poly-specificity might help to explain the broad range of compounds that interact with P-gp. Furthermore, a substrate-induced fit mechanism was supposed with flexible binding sites that adjust to the bound molecule [72]. P-gp substrates are in general organic molecules with a molecular weight between 200 Da and 1900 Da. Substrates can be aromatic, linear or circular, neutral or cationic. Most substrates are weakly amphiphatic and relatively hydrophobic [73,74]. Regarding this great molecular variety, it is not surprising that P-gp transports a wide variety of commonly used drugs and that its overexpression in tumor cells mediates MDR against a broad spectrum of anticancer drugs. A selection of P-gp drug substrates is listed in Table 1.

Table 1. Selection of clinically relevant P-gp drug substrates. Example drugs were taken from [75] and [76].

Drug type	Examples
Anticancer drugs	Cisplatin Daunorubicin Doxorubicin Etoposide Gefitinib Imatinib Mitoxantrone Paclitaxel Vinblastine
Immunosuppressants	Cyclosporine A Sirolimus Tacrolimus Valspodar (PSC833)
Statins	Lovastatin Simvastatin
Antibiotics	Erythromycin Rifampin

	Tetracycline
Antivirals	Ritonavir Saquinavir
Antidepressants	Fluoxetine
Antiarrhythmics	Digoxin Propafenone Verapamil
Antimycotics	Ketoconazole
Opioids	Methadone Morphine

In healthy tissues, P-gp is normally highly expressed at apical membranes in organs with barrier function, including liver, kidneys, small intestine, colon, blood-brain barrier and placenta, where its main role is protection against toxic xenobiotics and endogenous compounds, such as lipids, bile acids, bilirubin and steroids [77,78].

Overexpression of P-gp in cancer cells confers strong resistance to the widest variety of chemotherapeutic drugs, including anthracyclines, taxanes, *Vinca* alkaloids, epipodophyllotoxins and tyrosine kinase inhibitors (Table 1). Regarding the clinical relevance of P-gp expression, early studies in the 1980s revealed frequent overexpression associated with treatment failure in tumors of the liver, kidney and colon, tissues with an intrinsically high P-gp expression [79,80]. In acute myeloid leukemia (AML), P-gp expression in leukemia cells was found in about 30% of patients with initial disease and in about 50% of patient with relapsed disease and has been associated with reduced treatment response and poor survival. In addition, the P-gp expression increased with patient age [81,82]. In solid tumors like breast or lung cancer, study results about P-gp as a prognostic factor were often inconsistent and no clear correlations to tumor response and patient survival could be identified [60].

1.5 Multidrug Resistance-Related Protein 1 (MRP1)

In 1992, MRP1 (ABCC1) was discovered in a multidrug-resistant small cell lung cancer cell line selected by continuous doxorubicin treatment [83]. MRP1 is a 190 kDa protein (1531 amino acids) with a structure similar to that of P-gp, but it has an additional N-terminal TMD (TMD0) composed of five α -helices (Figure 3). TMD0 does not contribute to substrate translocation; it rather seems to be necessary for membrane localization of the transporter [84,85]. In 2017, the crystal structure of bovine MRP1, which shares 91% sequence identity with human MRP1, was obtained by Johnson and Chen, leading to the observation of a single

bipartite drug-binding site, which is able to recognize diverse substrates and is only accessible from the cytoplasm [86].

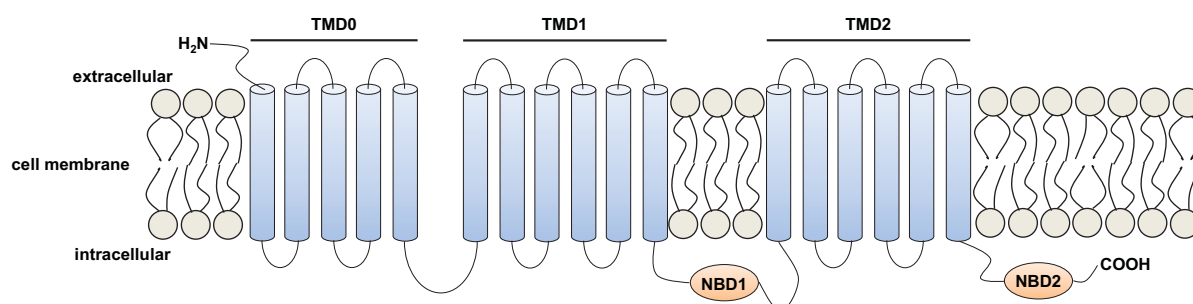


Figure 3. Topology model of human MRP1. The full transporter MRP1 consists of one polypeptide divided into three TMDs and two NBDs.

MRP1 is ubiquitously expressed, with highest levels at basolateral membranes of kidney, colon, small intestine, lung, blood-brain barrier, heart and skeletal muscles, breast, testes and placenta [87]. Like P-gp, physiological role of MRP1 is protection against xenobiotics, but the substrate spectrum of both transporters is different. MRP1 is able to transport organic anions and compounds conjugated with glutathione (GSH), glucuronic acid or sulfate, which are typical products of phase II metabolizing enzymes [88]. Furthermore, efflux of some substrates by MRP1 is only possible in co-transport with GSH [89]. Clinically relevant drug substrates of MRP1 are listed in Table 2.

Table 2. Selection of clinically relevant MRP1 drug substrates. Example drugs were taken from [90] and [91].

Drug type	Examples
Anticancer drugs	Daunorubicin Doxorubicin Etoposide Imatinib Methotrexate Vinblastine
Statins	Atorvastatin Rosuvastatin
Antibiotics	Ciprofloxacin Grepafloxacin
Antivirals	Ritonavir Saquinavir

Similar to P-gp, overexpression of MRP1 in cancer cells leads to resistance against different chemotherapeutic drugs. Several studies have revealed a high expression rate of MRP1 in lung and breast cancer samples, but as for P-gp, correlation of expression and

clinical prognosis has been difficult and often controversial [92–95]. Only in primary untreated pediatric neuroblastoma, MRP1 serves as a prognostic factor. Here, high levels of MRP1 are an independent indicator for therapy resistance and poor outcome [96].

1.6 Breast Cancer Resistance Protein (BCRP)

BCRP, the “youngest” of the three ABC transporters discussed here, is a 72 kDA protein (655 amino acids) and was discovered independently by three groups in the late 1990s. In 1998, it was identified in multidrug-resistant MCF-7 breast cancer cells generated by treatment with doxorubicin and verapamil as well as in the placenta [97,98]. One year later, BCRP was found in tumor cell lines resistant to mitoxantrone [99]. In June 2017, the crystal structure of human BCRP was resolved by Taylor and colleagues [100]. BCRP is a half transporter and is composed of one TMD with six membrane-spanning α -helices and one NBD (Figure 4). In contrast to P-gp and MRP1, the NBD of BCRP is at the N-terminal end of the peptide. Transporter dimerization is required for functionality.

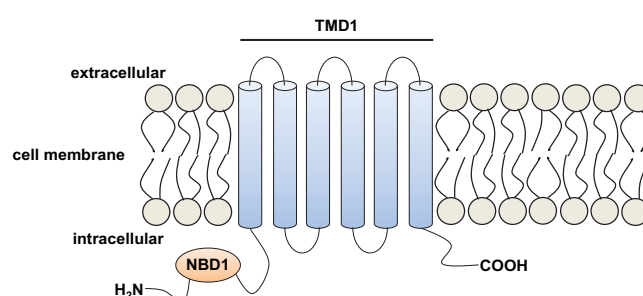


Figure 4. Topology model of human BCRP. The half transporter BCRP consists of one TMD and one NBD. Transporter dimerization is necessary for functionality.

The translocation pathway consists of two cavities, but only cavity 1 is accessible from the cytoplasm and the inner leaflet of the membrane in the inward-facing state. It was proposed that the substrate enters cavity 1 and upon binding of ATP and conformational change to the outward-facing state, the substrate moves to cavity 2 and gets expelled [100]. As for P-gp, BCRP is supposed to possess multiple poly-specific substrate-binding sites, but in contrast, it was proposed that they are symmetric in each monomer and demonstrate allosteric communication between them [101]. Latest molecular docking studies from October 2017 verified this symmetry and revealed three distinct drug-binding sites [102].

In normal human tissues, BCRP is highly expressed in apical membranes in placenta, liver, small intestine, colon, breast and blood-brain barrier, where it is involved in tissue defense

and protection [29,103]. In addition, hematopoietic progenitor cells and stem cells of other organs express BCRP [36]. BCRP has a broad substrate spectrum, partially overlapping with P-gp and MRP1 and transports a wide variety of endogenous compounds and xenobiotics. They can be unmodified or sulfate and glucuronide conjugates [104]. Some clinically relevant drug substrates are listed in Table 3.

Table 3. Selection of clinically relevant BCRP drug substrates. Example drugs were taken from [75] and [104].

Drug type	Examples
Anticancer drugs	Bisantrene Doxorubicin Imatinib Methotrexate Mitoxantrone SN-38 Topotecan
Statins	Pravastatin Rosuvastatin
Antibiotics	Ciprofloxacin Norfloxacin
Antivirals	Lamivudine Nelfinavir Zidovudine

Overexpression of BCRP in cancer cells mediates resistance to various drugs used in cancer treatment (Table 3). As for P-gp and MRP1, correlation of transporter expression with therapy response or outcome in tumors has been difficult and controversial [105]. BCRP is expressed in different stem cells and was also detected in putative cancer stem cells, which are usually therapy-resistant [106]. However, it remains unclear if BCRP plays a role in maintaining stemness of cancer cells [107].

1.7 Modulation of ABC Transporters

Since the discovery of ABC transporters and the characterization of their roles in cancer MDR, the search for therapies to treat multidrug-resistant tumors has been in the focus of anticancer research.

1.7.1 Inhibition of ABC Transporter Activity

The first strategy to overcome transporter-mediated MDR was the development of ideally non-toxic, selective inhibitors of transport activity. Combination of these inhibitors with

chemotherapeutic drugs would enhance intracellular drug accumulation and efficacy, thereby reversing the resistance of the tumors.

The largest number of inhibitors has been described against P-gp. The calcium channel blocker verapamil, widely used for treatment of hypertension, arrhythmia and coronary heart disease, was the first drug identified as P-gp inhibitor in 1981 [108]. It competitively inhibits P-gp as an alternative substrate in the low micromolar range with an inhibitory constant 4- to 5-times lower compared to its primary target, the L-type calcium channel [109,110]. Other so-called first generation inhibitors, established pharmacological agents, are cyclosporine A (immunosuppressant) and quinidine (antiarrhythmic) [111,112]. Although they exhibited promising activities in preclinical studies, most clinical trials were not successful and had to be stopped in phase II [113,114]. This was due to toxic side effects, immunosuppression, low efficacy and unspecific inhibition of other ABC transporters. The second generation P-gp inhibitors were mainly derivatives of the first generation compounds, for example, valspodar (PSC833), a non-immunosuppressive analogue of cyclosporine A, and biricodar (VX-710) [115,116]. They proved to be more selective for P-gp and inhibit its transport activity in the high nanomolar range combined with less cytotoxic activity. Nevertheless, they did not improve outcome of patients with, for example, leukemia, lung, breast, ovarian or prostate cancer in clinical trials and failed because of unfavorable pharmacokinetic interactions caused by inhibition of CYP enzymes and subsequent systemic toxicity [117–120]. Third generation inhibitors were designed based on quantitative structure-activity relationship (SAR) studies and combinatorial chemistry to improve P-gp selectivity and reduce interaction with CYP enzymes with the aim to overcome the limitations of the previous generations. Examples are zosuquidar (LY335979), tariquidar (XR-9576) and laniquidar (R101933) [121–123]. Despite promising results of *in vitro* and *in vivo* studies, clinical trials revealed only limited or no clinical activity in the treatment of cancer patients [124,125]. Latest P-gp inhibiting compounds, the fourth generation, are mostly compounds derived from natural sources (see 1.8) and approved anticancer drugs like tyrosine kinase inhibitors (TKIs), for example, imatinib and nilotinib [126,127].

As for P-gp, the search for inhibitors of MRP1-mediated and BCRP-mediated drug efflux has been going on since their discovery. In case of MRP1, no candidate compound to enter clinical studies has been identified so far. Most inhibitors lack specificity for MRP1 and display cross-inhibition of other ABC transporters or organic anion transporters or their potency of inhibition is not sufficient for clinical application [91]. Examples are biricodar, the

quinoline derivative MK-571, which inhibits most MRP homologs, general inhibitors of organic anion transporters, like probenecid and sulfinpyrazone, and tricyclic isoxazoles (LY402913, LY475776) [128–131].

One of the first specific BCRP inhibitors was the mycotoxin fumitremorgin C, but its use in patients is hindered by its intrinsic neurotoxic effects [132]. Derivatives of fumitremorgin C were synthesized and Ko143 was identified as a highly potent and specific inhibitor with minimal toxicity [133]. Unspecific BCRP inhibitors include the P-gp inhibitors elacridar and tariquidar and the P-gp and MRP1 inhibitor biricodar [134–136]. Furthermore, several natural products (see 1.8) and TKIs have been identified as inhibitors of MRP1 and BCRP transport function in recent years [126,127]. In a clinical trial in 2014, the TKI sorafenib showed promising activities when combined with irinotecan in patients with metastatic colorectal cancer, which can in part be attributed to the inhibition of BCRP [137].

1.7.2 Alternative Approaches to Target MDR

Besides direct inhibition of ABC transporter function, several other approaches to reverse cancer MDR and to target resistant cancer cells have been proposed and evaluated *in vitro* and *in vivo*.

One strategy is targeting ABC transporters by using monoclonal antibodies. Especially several P-gp targeting antibodies have been evaluated. On the one hand, it has been demonstrated that binding of these antibodies to extracellular epitopes of the transporter inhibits its transport function by interfering with conformational changes crucial for substrate translocation [138–140]. On the other hand, attraction of immune cells for cell killing has been shown in cervical carcinoma cells *in vitro* and *in vivo* [141].

Another approach is to interfere with ABC transporter expression via different mechanisms, including microRNAs, RNA interference with siRNAs or compounds, which inhibit or down-regulate expression, for example, by targeting certain signaling pathways [142]. Inhibitors of expression might as well be useful to prevent the development of MDR when applied already at the beginning of chemotherapy [55].

Several studies focused on the development of novel cancer therapeutics, which are not substrates of ABC transporters and might therefore successfully target resistant cancer cells. Examples are the microtubule-targeting epothilones and second generation taxanes [143–146]. Another possibility to evade efflux or to specifically target MDR cells is encapsulation

of anticancer drugs or drug-inhibitor combinations in nanoparticles or liposomal formulations [147].

Noteworthy, ABC transporter overexpressing cancer cells exhibit the phenomenon of collateral sensitivity, which describes hypersensitivity of these cells to certain compounds compared to sensitive tumor cells [148–150]. It has been hypothesized that overexpression of ABC transporters renders cancer cells more sensitive to reactive oxygen species (ROS), changes in energy levels, membrane perturbation or to extrusion of endogenous substrates [151]. Therefore, identification and further development of drugs that specifically target resistant cancer cells might be another useful strategy to overcome MDR.

1.8 Natural Products in Drug Discovery and Development

Nature represents a vast source of compounds for the development of therapeutics against different conditions. Organisms, such as plants, bacteria, marine invertebrates or fungi, produce secondary metabolites mainly for defense, interaction or attraction of other organisms [152]. For centuries, traditional herbal medicine has been used to treat various conditions and in certain regions and cultures, they still play an important role. In modern drug research, natural products often serve as lead structure for further drug development and optimization [153,154]. Of all small-molecule drugs approved worldwide between 1981 and 2014, only 35% were not inspired by a natural product. Regarding exclusively small-molecule anticancer drugs, the percentage of truly synthetic ones even drops to 17%, highlighting the importance of natural products in cancer drug discovery [155]. Successful and widely used anticancer agents derived from natural products include paclitaxel [156], *Vinca* alkaloids [157] and doxorubicin [158].

The search for inhibitors of ABC transporters mediating resistance in cancer revealed several natural products as potent drug candidates [159,160]. Curcuminoids from turmeric powder of *Curcuma longa* and derivatives, especially curcumin, demonstrate inhibitory activity towards P-gp, MRP and BCRP [161–163]. Noteworthy, curcumin is one of the most extensively studied natural compounds with anticancer activities and is currently evaluated in several clinical trials. Cyclosporin A is an immunosuppressive peptide drug produced by the fungus *Tolypocladium inflatum* [164,165]. In addition, it was identified as an early P-gp inhibitor with high-affinity binding capacity to P-gp (see 1.7.1). Fumitremorgin C, a mycotoxin produced by *Aspergillus fumigatus*, was one of the first identified inhibitors of

BCRP [166]. Moreover, numerous nutritional components have been screened for their ability to inhibit ABC transporter activity [167–169].

In the present thesis, different classes of natural compounds were studied. They are briefly introduced in the following sections.

1.8.1 Goniiothalamin

The secondary metabolite goniiothalamin (Figure 5), a six-membered styryl-lactone, was first isolated from the plant *Cryptocarya caloneura* in 1967 by Hlubucek and Robertson [170]. It can primarily be found in Southeast Asian plants of the *Goniiothalamus* genus [171,172]. *Goniiothalamus* is a large genus of paleotropical Annonaceae and comprises over 160 species. In traditional medicine, *Goniiothalamus* species have long been used to treat various conditions, for example, fever, scabies, swellings and rheumatism [173].

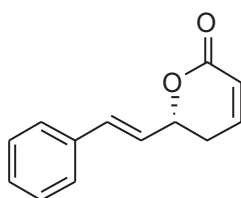


Figure 5. Structure of (R)-(+)-goniiothalamin.

Since the late 1990s, the pharmacological activities of goniiothalamin have been studied and activities against bacteria [174], fungi [175], *Trypanosoma* [176], *Plasmodium* [177] and various cancer types were identified [178–182].

Effects in cancer cells and mechanisms of action have been further elucidated. In different cancer cell lines, induction of apoptosis through caspase activation and cell cycle arrest were shown [181,183]. In addition, goniiothalamin inhibits migration of lung cancer cells and induces production of ROS [179,184]. *In vivo*, delay of tumor development and tumor progression were demonstrated in mouse models of transgenic prostate carcinoma and of colitis-induced and sporadic colon carcinomas [185,186]. In both models, goniiothalamin mainly repressed tumorigenesis by decreasing expression of pro-inflammatory mediators in tumor tissue and microenvironment. Anti-inflammatory activities of goniiothalamin have been demonstrated *in vitro* in cancer cells as well as immune cells [187,188].

Goniiothalamin and its activities have primarily been studied in sensitive cancer cell lines. Regarding MDR, only two P-gp expressing cell lines were employed in cytotoxicity assays. Tian and colleagues analyzed the cytotoxicity of goniiothalamin and two additional styryl-

lactones on parental HepG2 and doxorubicin-resistant HepG2-R hepatocellular carcinoma cells. They demonstrated goniothalamin to be equally effective in killing both cell lines [189]. The resistant ovarian carcinoma cell line NCI-ADR/RES was used in several studies, in which goniothalamin and novel derivatives were analyzed for cytotoxicity or antiproliferative activity [178,180,190,191]. They demonstrated the toxicity of goniothalamin in this cell line and identified derivatives with improved potency. Derivatives with improved cytotoxic activity were also identified in sensitive cancer cell lines, for example, N-acylated azagoniothalamin derivatives [191].

Studies on goniothalamin and derivatives in this thesis focused on one hand on the elucidation of cytotoxicity in different sensitive and resistant cancer cell lines with overexpression of P-gp, MRP1 and BCRP. Moreover, the influence of goniothalamins on the transport function of these ABC transporters was analyzed for the first time [192,193].

1.8.2 Isocoumarins

Isocoumarins are isomers of coumarin, possessing an inverted lactone ring (Figure 6). These secondary metabolites are abundant in bacteria, fungi, lichens, marine sponges and to a lesser extent in higher plants. To date, around 400 isocoumarins and dihydroisocoumarins have been isolated from natural sources, many of them exhibiting diverse biological and pharmacological activities [194]. Recently isolated isocoumarins and dihydroisocoumarins are flavoroseoside from the fungus *Malbranchea flavorosea* and orychophramarin A-D from seeds of *Orychophragmus violaceus* [195,196]. Orychophramarin A demonstrated induction of apoptosis and cell cycle arrest in HCT-116 colon carcinoma cells *in vitro* [196].

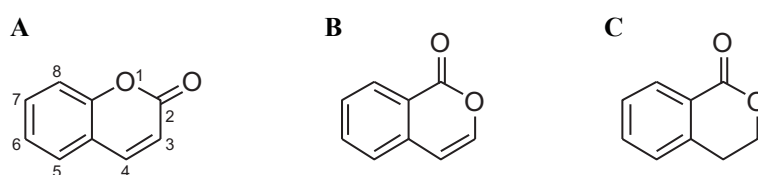


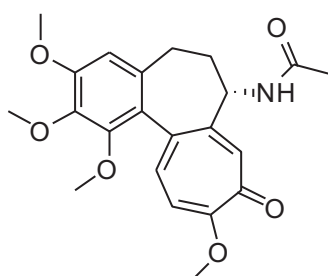
Figure 6. Structures of (A) coumarin, (B) isocoumarin and (C) 3,4-dihydroisocoumarin.

Due to the large structural diversity of natural and synthetic isocoumarin compounds, a great variety of activities has been observed. Among them are anticancer effects, such as cytotoxicity and inhibition of metastasis [197–200], antibacterial, antifungal and antimalarial activities [201–203], enzyme inhibition [204,205] and inhibition of inflammation [206,207].

Several coumarins with P-gp and BCRP inhibiting activities have been identified and characterized yet. Both, natural as well as synthetic coumarins, demonstrated inhibitory activity against P-gp [214–217]. Synthetic 4-aryl coumarins and the indolyl coumarin COUFIN were identified as dual inhibitors of P-gp and BCRP activity [218,219]. In contrast, isocoumarins have not been in the focus of the search for MDR reversing drug candidates so far.

Here, the cytotoxicity of natural compound isocoumarins as well as novel compounds in sensitive and resistant cancer cell lines was analyzed. In addition, their inhibitory potential towards P-gp, MRP1 and BCRP transport activity was evaluated.

Colchicine (Figure 7) is an alkaloid from the autumn crocus *Colchicum autumnale*. It was isolated from the seeds of the plant and named colchicine by Geiger in 1833 [220]. Interestingly, although colchicine has been used for the treatment of gout for a long period of time, with its first use being attributed to Byzantine Christian physician Alexander of Tralles in the sixth century AD [221], it was approved by the United States Food and Drug Administration (FDA) only in 2009.



Regarding the mechanism of action, colchicine binds to tubulin heterodimers at the interphase of the α - and β -subunits, resulting in a curved tubulin-colchicine complex [222,223]. This conformational change inhibits tubulin polymerization and microtubule formation, which in turn blocks mitosis and leads to apoptotic cell death [224]. Microtubules, a part of the cytoskeleton, play key roles in, for example, cell division, intracellular transport, signaling and motility, making them an interesting target in cancer therapy [225]. Microtubule-targeting taxanes and *Vinca* alkaloids are widely used in cancer chemotherapy. Due to its high toxicity not only in cancer cells, but also in healthy cells, colchicine is currently not used in cancer treatment. Despite its potent cytotoxicity, the natural product and various novel derivatives are in the focus of lead compound identification programs within anticancer drug research [226–229]. Optimization of colchicine-derived derivatives focuses on more favorable pharmacological profiles with less toxicity in healthy tissues by applying, for example, prodrug, codrug or nanoformulation approaches [230].

Colchicine is a substrate of P-gp. Moreover, treatment of cancer cell lines with colchicine induces P-gp overexpression [231,232]. In addition, up-regulation of expression of P-gp or other ABC transporters represents a common mechanism of resistance development against microtubule-targeting chemotherapeutic drugs in clinical practice [233]. Therefore, it will be advantageous, if novel colchicine derivatives lack this feature [234].

In this thesis, novel colchicine-derived triazoles were examined regarding cytotoxicity and inhibition of microtubule assembly in different human cancer cell lines [235].

1.9 Aims

The development of MDR in cancer patients remains a large problem in effective tumor treatment and cure, as it does not only confer resistance against one drug, but against a variety of unrelated drugs. This leads to reduced therapy efficacy and loss of treatment options. Although constant progress is achieved in the improvement of anticancer therapies, resistance might evolve against classic cytotoxic chemotherapy as well as novel types of targeted and patient-specific therapy. Thus, as illustrated before, it is of utmost importance to find novel treatment strategies effective against multidrug-resistant tumors. This work focuses on overcoming MDR mediated by the overexpression of ABC transporters by using natural products and their derivatives. ABC transporters actively transport drugs out of cells, thereby preventing them from efficiently attacking tumor cells [8,76].

As part of an interdisciplinary project, the aims of the thesis were 1) to analyze the ability of natural products and derivatives to inhibit the transport function of the endogenously expressed human ABC transporters P-gp, MRP1 and BCRP in colon, lung and breast cancer cell lines with intrinsic or acquired therapy resistance, and 2) to assess their cytotoxic activity in sensitive as well as resistant cancer cell lines, thereby identifying novel lead compounds for the treatment of multidrug-resistant tumors. The test compounds were either isolated from plant material or derived by chemical syntheses by the *Institute of Bioorganic Chemistry, Heinrich-Heine-Universität Düsseldorf* and by *MicroCombiChem GmbH, Wiesbaden* and altogether, more than 300 compounds were provided for *in vitro* testing. To accomplish the objectives of the thesis, a variety of cell-based assays had to be developed and optimized to allow miniaturized and semi-automated high-throughput screening of compounds in 96-well and 384-well microtiter plate format, respectively. Promising compounds among the goniothalamine derivatives and the isocoumarins were identified and further explored regarding their molecular mechanism of action.

Furthermore, novel colchicine derivatives from the *Department of Chemistry, Universität zu Köln* were characterized regarding cytotoxicity in leukemia and solid tumor cells and inhibition of microtubule formation in order to identify potential lead structures for the development of new microtubule-targeting drugs, which in addition might have the potential to overcome resistance to clinically used tubulin inhibitors.

2 Publications

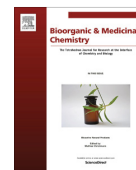
2.1 Chapter I

- Title: Synthesis and cytotoxic activities of goniiothalamine and derivatives
- Authors: Anja Weber, Katja Döhl¹, **Julia Sachs**¹, Anja C.M. Nordschild, Dennis Schröder, Andrea Kulik, Thomas Fischer, Lutz Schmitt, Nicole Teusch, Jörg Pietruszka
- ¹: These authors contributed equally for the paper
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- Contribution: cytotoxicity assay, data analysis, contribution to manuscript writing



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Synthesis and cytotoxic activities of goniothalamins and derivatives



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ABSTRACT

Substituted goniothalamins containing cyclopropane-groups were efficiently prepared in high yields and good selectivity. Antiproliferative activity was measured on three human cancer cell lines (A549, MCF-7, HBL-100), to show which of the structural elements of goniothalamins is mandatory for cytotoxicity. We found that the configuration of the stereogenic centre of the δ -lactone plays an important role for cytotoxicity. In our studies only (*R*)-configured goniothalamins showed antiproliferative activity, whereby (*R*)-configuration accords to natural goniothalamine (*R*)-**1**. Additionally, the δ -lactone needs to be unsaturated whereas our results show that the vinylic double bond is not mandatory for cytotoxicity. Furthermore, with a two-fold *in vitro* and *in vivo* strategy, we determined the inhibitory effect of the compounds to the yeast protein Pdr5. Here, we clearly demonstrate that the configuration seems to be of minor influence, only, while the nature of the substituent of the phenyl ring is of prime importance.

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1. Introduction

In the past, the natural product (*R*)-(+)-goniothalamine and its derivatives were shown to display biological activity against a broad range of cell lines,^{1–5} bacteria⁶ and fungi.^{6,7} Goniothalamine can be isolated from plants of the genus *Goniothalamus*, which grow in tropic and subtropic areas of Asia and Oceania.⁸ In 1967 Hlubucek et al. isolated goniothalamine for the first time from *Cryptocarya caloneura* and determined the stereogenic centre to be (*S*)-configured.⁹ This was revealed in 1979, when Meyer elucidated the structure of natural occurring goniothalamine as (*R*)-configured by the synthesis of both enantiomers.¹⁰

Goniothalamine, (*R*)-**1**, and its derivatives have an α,β -unsaturated δ -lactone as a central element, which can be used as a Michael-acceptor system with corresponding nucleophiles. Furthermore it seems, that the vinylic double bond and the configuration of the stereogenic centre in the lactone is essential for its cytotoxicity (Fig. 1).

In addition to the naturally-occurring (*R*)-(+)-goniothalamine (**1**), Fátima et al. showed that the (*S*)-enantiomer [(*S*)-**1**] and the two

derivatives, (*S,E*)-6-(2-cyclohexylvinyl)-5,6-dihydro-2*H*-pyran-2-one [(*S*)-**2**] and (*S,E*)-6-(4-methoxystyryl)-5,6-dihydro-2*H*-pyran-2-one [(*S*)-**3**], have a higher potential towards the inhibition of kidney cell proliferation in comparison to the natural goniothalamine (*R*)-**1**, with IC₅₀-values in the micromolar range (Fig. 2).^{1–5} They could also show, that the fully hydrogenated derivatives (*R*)-6-phenethyltetrahydro-2*H*-pyran-2-one and (*S*)-6-phenethyltetrahydro-2*H*-pyran-2-one have no cytotoxic effect.¹

Further biological studies were reported by Wach et al. in 2010: They showed that naturally occurring (*R*)-goniothalamine [(*R*)-**1**] can inhibit the nucleocytoplasmic transport.¹¹ In 2013 Bruder et al. synthesized new goniothalamine derivatives, with an additional methyl groups at the now quaternary stereogenic centre of γ - and δ -lactones and substituents at the phenyl group. No increase in anti-proliferative activity of (*E*)-6-methyl-6-styryl-5,6-dihydro-2*H*-pyran-2-one and (*E*)-5-methyl-5-styrylfuran-2(5*H*)-one relative to natural product (*R*)-**1** was observed. By adding a trifluoromethyl groups to the phenyl group of the methylated compounds, they could improve biological activity.¹² Pilli et al. synthesized 29 novel goniothalamine analogues and reported that aza-analogues and γ -pyrones had no increased antiproliferative activity, while tri- and tetra-methoxylated goniothalamine derivatives showed a promising increase in cytotoxicity.¹³ All in all goniothalamine and its derivatives show a wide variety of biological effects,

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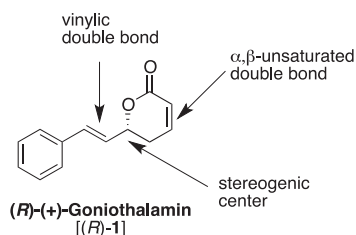


Fig. 1. Structure of the natural (R)-(+)-goniothalamin and its structural elements.

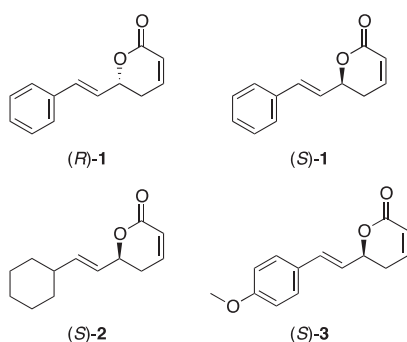


Fig. 2. Structure of goniothalamin (R)-1 and some derivatives.

e.g., anti-inflammatory,¹⁴ anti-nociceptive¹⁴ anti-tumour activity¹⁵ as well as plant growth inhibition.^{15,16}

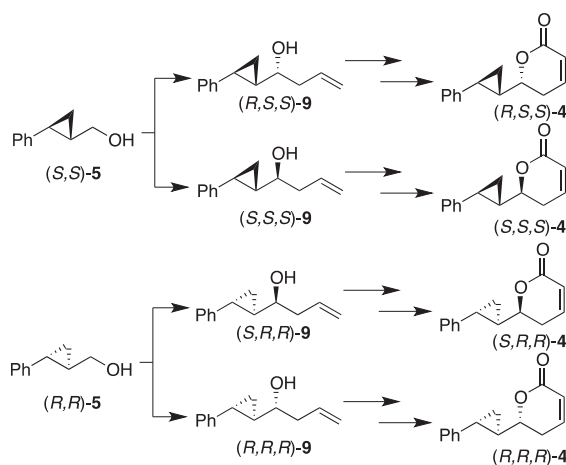
Here we would like to present our enantioselective synthetic route towards goniothalamin and derivatives. In addition, the corresponding results of cytotoxicity tests of these compounds on human lung adenocarcinoma cell line A549, human breast adenocarcinoma cell line MCF-7 as well as on the triple negative human breast cancer cell line HBL-100 demonstrate a first hint for a structure-activity relationship. With these cytotoxicity tests, we want to elucidate, which structural elements of the vinyl-lactone are essential for biological activity. Furthermore, we also performed assays with the *Saccharomyces cerevisiae* multidrug exporter Pdr5. Pdr5 is a functional homologue of Cdr1, an important drug efflux pump of the clinical relevant fungi *Candida albicans*. Consequently, the development of new inhibitors against these multidrug exporter proteins plays an important role in our daily fight against resistance fungi. Therefore, we analyzed goniothalamin derivatives with respect to their inhibitory capacity against Pdr5.

2. Results

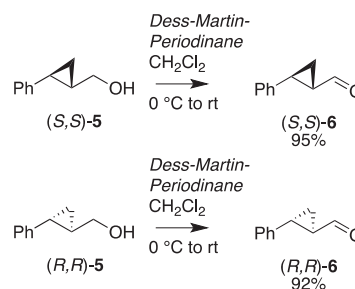
2.1. Synthesis of cyclopropane derivatives

To show the importance of different structural elements of goniothalamin, we decided to synthesize different derivatives and demonstrate the influence of the vinylic double bond on the cytotoxicity of the compound; more specifically, we synthesized cyclopropane derivatives **4** with the cyclopropyl unit instead of the vinylic double bond.

Synthesis of goniothalamin derivatives **4** was performed starting from cyclopropyl alcohol **5**, which can be synthesized from corresponding cinnamic alcohol using a previously reported enzymatic kinetic resolution (Scheme 1).¹⁷ Both diastereoisomers of cyclopropyl alcohol **5** could readily be converted into the corresponding aldehydes (S,S)-**6** and (R,R)-**6** with the use of Dess-Martin-



Scheme 1. Synthesis scheme for cyclopropane derivatives **4**.

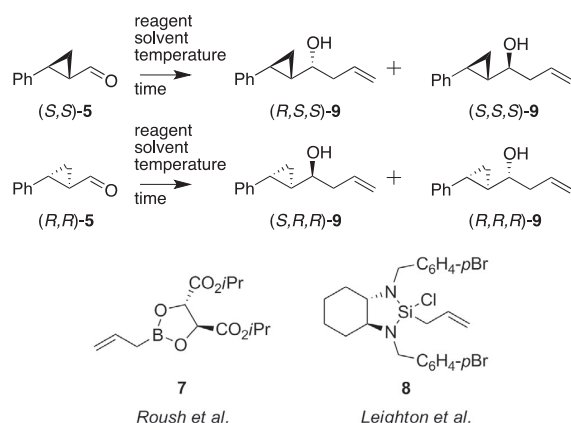


Scheme 2. Oxidation of alcohol **5** to the corresponding aldehydes **6**.

periodinane^{18–22} in very good yields of 95% and 92% (Scheme 2). It has to be mentioned that the cyclopropyl aldehydes (S,S)-**6** and (R,R)-**6** are not stable against oxygen and directly oxidize to the corresponding acids under air, they have to be stored under an argon atmosphere.

Stereoselective allyl addition of the enantiomerically-pure aldehydes **6** with allylboronic acid ester **7**^{24,25} and Leighton reagent **8**^{26,27} gave the homoallylic alcohols **9** in good yields (Scheme 3). Using the allylboronate **7** from Roush et al., we found a 78:22-mixture of diastereoisomers (R,S,S)-**9** and (S,S,S)-**9** in 93% yield (Table 1, entry 1), with the anti-product (R,S,S)-**9** being the major product, due to the control of reagent **7**. To improve the diastereomeric ratio we used the Leighton reagent **8**, which gave the anti-product (R,S,S)-**9** > 99:1 in 75% yield (Table 1, entry 2). Due to the fact of good separation of diastereoisomers (R,S,S)-**9** and (S,S,S)-**9** by column chromatography and shorter reaction times, we decided to use allylboronic acid ester **7** for the synthesis of homoallylic alcohols (S,R,R)-**9** and (R,R,R)-**9**. With the allylboronic acid ester **7** from Roush et al. we could obtain the major syn-product (R,R,R)-**9** in a diastereomeric ratio of 77:23 and a yield of 72%.

Additionally, we started an alternative synthesis furnishing homoallylic alcohols (S,R,R)-**9** and (R,R,R)-**9** from enantiomerically-pure ethyl ester (R,R)-**10**.²³ also assessable by kinetic resolution.²⁸ First we converted enantiomerically pure ethyl ester (R,R)-**10** (>98% ee) into the corresponding Weinreb-amide (R,R)-**11** in 99% yield, followed by a Grignard reaction to the ketone (R,R)-**12**.^{29–32} As a side product we obtained the alcohol, (1R,2R)-4-(2-phenylcyclopropyl)hepta-1,6-dien-4-ol (**16**), from a reaction of



Scheme 3. Stereoselective allyl addition towards homoallylic alcohols **9**.

Table 1
Conditions for stereoselective allyl addition of aldehydes **6** towards homoallylic alcohols **9**.

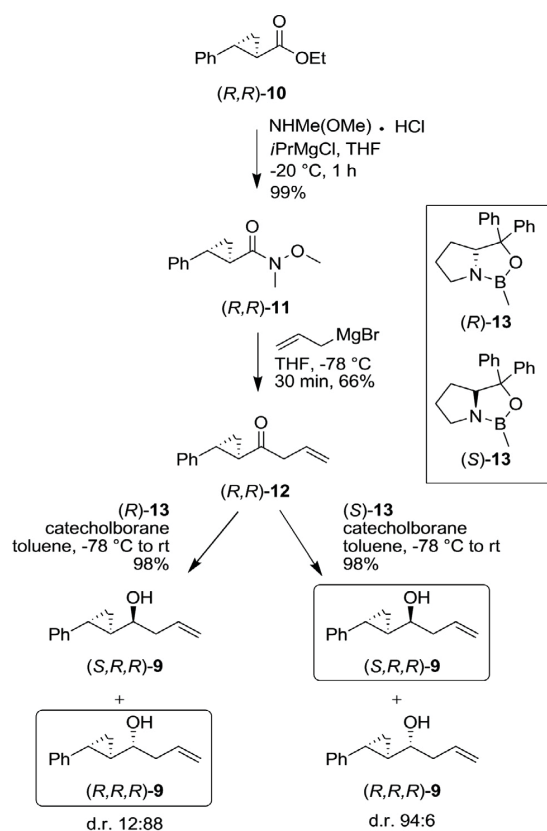
Starting-material	Reagent	Conditions	d.r. (<i>anti:syn</i>)	Yield
(<i>S,S</i>)- 6	7	Toluene –78 °C to rt 5 h	78:22 (<i>R,S,S</i>)- 9 :(<i>S,S,S</i>)- 9	93%
(<i>S,S</i>)- 6	8	CH ₂ Cl ₂ –12 °C, 20 h	>99:1 (<i>R,S,S</i>)- 9 :(<i>S,S,S</i>)- 9	75%
(<i>R,R</i>)- 6	7	Toluene –78 °C to rt 5 h	23:77 (<i>S,R,R</i>)- 9 :(<i>R,R,R</i>)- 9	72%

ketone **12** with two equivalents of *Grignard*-reagent. Subsequently, the ketone (*R,R*)-**12** could be reduced by CBS-reagent (*R*)-**13** or (*S*)-**13** in the presence of catecholborane to the homoallylic alcohols (*S,R,R*)-**9** and (*R,R,R*)-**9**.^{33–36} In the reaction with (*S*)-**13** the *syn*-product (*R,R,R*)-**9** is preferred (d.r. 12:88). The anti-product (*S,R,R*)-**9** as the major product can be obtained by use of (*R*)-CBS reagent (*R*)-**13** (d.r. 94:6) (Scheme 4).

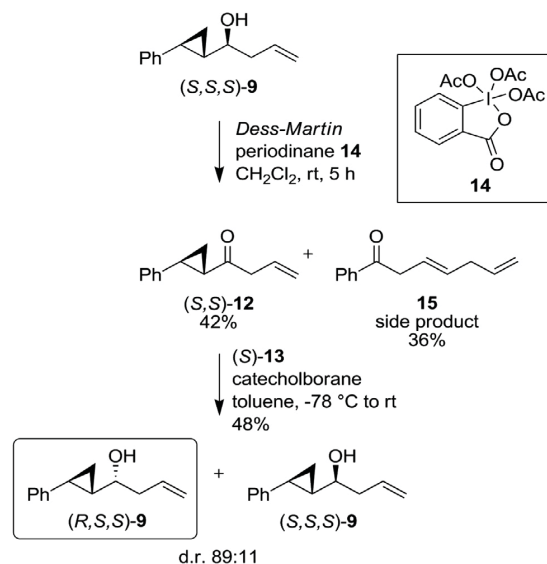
We used the homoallylic alcohols **9** as perfect precursors for the synthesis of natural products like constanolactone A-F,^{37,38} halicholactone,³⁹ neohalicholactone,³⁹ and solandelactone A-H.⁴⁰ Accordingly we had no use for homoallylic alcohol (*S,S,S*)-**9**, but we could readily convert it into the desired homoallylic alcohol (*R,S,S*)-**9** by the previously established method. Starting from (*S,S,S*)-**9** (>98% ee), the reaction pathway was performed for the (*S,S*)-configured homoallylic alcohols (*R,S,S*)-**9** and (*S,S,S*)-**9**: First the (*S,S,S*)-**9** alcohol was oxidized to the corresponding ketone (*S,S*)-**12** by Dess-Martin periodinane (**14**) (42% yield); as a side-product upon prolonged reaction time and acid formation, the ring-opening product **15** could be identified. Subsequent CBS-reduction was conducted with the (*S*)-**13** enantiomer of the CBS-reagent, to obtain the anti-diastereomer (*R,S,S*)-**9** (d.r. 89:11) in 48% yield (Scheme 5). Homoallylic alcohols (*R,S,S*)-**9** and (*S,S,S*)-**9** could readily be separated by flash column chromatography.

To establish the unsaturated lactone unit, we focused on a ring closing metathesis starting from the homoallylic alcohols **9**. The first step was the esterification with acryloyl chloride (**16**) providing dienes **17** in very good yields (89–95%) (Scheme 6).⁴¹

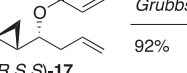
Subsequently, dienes **17** were converted into the corresponding α,β -unsaturated δ -lactones **4** with Grubbs 1st generation catalyst (Scheme 7). We used titaniumtetraisopropylate⁴¹ as a Lewis acid to avoid the formation of a ruthenium-chelate complex, which



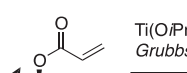
Scheme 4. Reaction scheme for the synthesis of homoallylic alcohols (*S,R,R*)-**9** and (*R,R,R*)-**9** via CBS-reduction.



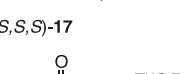
Scheme 5. Reaction scheme for the synthesis of homoallylic alcohols (*R,S,S*)-**9** and (*S,S,S*)-**9** via oxidation-reduction sequence.



 $\text{Ti}(\text{O}i\text{Pr})_4$, CH_2Cl_2
Grubbs I (10 mol%)
 92%
 (R,S,S)-17 $\longrightarrow$ (R,S,S)-4



 $\text{Ti}(\text{O}i\text{Pr})_4$, CH_2Cl_2
Grubbs I (10 mol%)
 71%
 (S,S,S)-17 $\longrightarrow$ (S,S,S)-4



 $\text{Ti}(\text{O}i\text{Pr})_4$, CH_2Cl_2
Grubbs I (10 mol%)
 90%
 (R,R,R)-17 $\longrightarrow$ (R,R,R)-4

18 $\xrightarrow[\text{b.) acryloyl chloride (16), Pd(PPh}_3)_4, \text{rt, 14.5 h}]{\text{a.) Zn, I}_2, \text{DMA, 80 } ^\circ\text{C, 2 h}}$ **19** (59–89% yield)

19 $\xrightarrow[\text{7.5 vol\% } i\text{PrOH, 87\% (\%ee > 99)}]{\text{ADH}_7 (246 \text{ U}), \text{KP}_i (100 \text{ mM, pH 7)}}$ **(R)-20**

19 $\xrightarrow[\text{7.5 vol\% } i\text{PrOH, 85\% (\%ee > 99)}]{\text{ADH}_{18} (246 \text{ U}), \text{KP}_i (100 \text{ mM, pH 7)}}$ **(S)-20**

(R)-20 $\xrightarrow[\text{b.) DMAP, EDC}\cdot\text{HCl, CH}_2\text{Cl}_2, 0^\circ\text{C to rt, 2 h}]{\text{a.) LiOH, THF, } 0^\circ\text{C, o.n.}}$ **(R)-21** (87–90% yield)

(S)-20 $\xrightarrow[\text{b.) DMAP, EDC}\cdot\text{HCl, CH}_2\text{Cl}_2, 0^\circ\text{C to rt, 2 h}]{\text{a.) LiOH, THF, } 0^\circ\text{C, o.n.}}$ **(S)-21** (87–90% yield)

(R)-21 $\xrightarrow{\text{Hoveyda-Grubbs 2nd, DDQ, CH}_2\text{Cl}_2, 40^\circ\text{C, 24 h}}$ **(R)-23** (55–91% yield)

(S)-21 $\xrightarrow{\text{Hoveyda-Grubbs 2nd, DDQ, CH}_2\text{Cl}_2, 40^\circ\text{C, 24 h}}$ **(S)-23** (55–91% yield)

(R)-23 $\xrightarrow[\text{b.) THF, } -78^\circ\text{C, 4 h}]{\text{a.) LDA, THF, } -78^\circ\text{C, 5 min}}$ **(R)-24**

(R)-23 $\xrightarrow[\text{b.) THF, } -78^\circ\text{C, 4 h}]{\text{a.) LDA, THF, } -78^\circ\text{C, 5 min}}$ **(R)-25**

(S)-23 $\xrightarrow[\text{b.) THF, } -78^\circ\text{C, 4 h}]{\text{a.) LDA, THF, } -78^\circ\text{C, 5 min}}$ **(S)-24**

(S)-23 $\xrightarrow[\text{b.) THF, } -78^\circ\text{C, 4 h}]{\text{a.) LDA, THF, } -78^\circ\text{C, 5 min}}$ **(S)-25**

Reagent for final step: $\text{Ph-S(=O)}_2\text{-N(CH}_3)_2$ (22–93% yield)

R = 4-F-C₆H₅
 R = 4-NO₂-C₆H₅
 R = C₆H₅
 R = C₆H₁₁
 R = 4-CH₃O-C₆H₅

makes the catalyst unavailable for the metathesis. The ring closing metathesis was conducted in moderate to excellent yields (71–92%),⁴² providing the target cyclopropyl lactones **4**, which are versatile precursors for the synthesis of other natural products.^{37–40}

2.3. Biological activities of goniothalamine derivatives

To show the influence of different substituents on the aromatic ring and of a cyclohexane derivatives, we synthesized the compounds according to a previously reported chemoenzymatic approach.^{43,44} The synthesis started with a *Negishi*-coupling of bromide **18** to acid chloride **16** yielding the corresponding vinylketone **19**. This was followed by a stereoselective alcoholdehydrogenase [from *Lactobacillus brevis* (ADH_{LB}) and *Thermoanaerobacter* sp. (ADH_T)] based reduction furnishing the two enantiomerically alcohols **20**. Cyclisation yielded the desired lactones **21**. Subsequent cross-metathesis with different styrene derivatives **22** led to saturated goniotalamin derivatives **23**. Finally, oxidation to the α,β -unsaturated lactones **1**, **2**, **3**, **24** and **25** was performed by using *N*-*tert*-butylphenylsulfonimidoyl chloride (**Scheme 8**). With this approach, we could synthesize the ten enantiomerically pure goniotalamin derivatives depicted in **Fig. 3**.

(a) *Inhibition of the multidrug exporter Pdr5*: With the *in vivo* liquid drug assay we demonstrated that all of the tested compounds are cytotoxic to yeast up to a concentration of 100 $\mu\text{g/mL}$ except for compound (*R*)-**3** which showed no cytotoxicity at all (data not shown). First, we compared a *S. cerevisiae* strain containing the wild-type protein (Pdr5 wt) to a yeast strain expressing the dead mutant (Pdr5 EQ). We were able to show that with compound (*R*)- and (*S*)-**2** the Pdr5 wt strain was more resistant than the mutant strain. For (*R*)-**1**, (*R*)-**3**, (*R*)-**24** and (*S*)-**25** there was no obvious difference detectable between both strains (Table 2).

In the transport assay the tested compounds were compared to Pd5 wt without additives, which was set to 100% transport activity. All of them displayed inhibitory effects at the highest tested concentration (Table 3). The test with lower concentrations showed that only compounds (R)-**1** and (R)-**3** were able to inhibit the transport activity clearly. (R)- and (S)-**2** only inhibit the transport efficiency with the 500 µg/mL. However, the lowest concentration did not affect the transport activity. Compound (S)-**24**

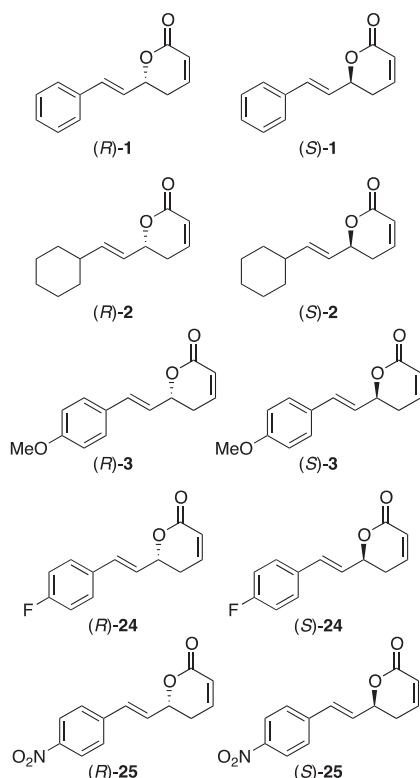


Fig. 3. Synthesized enantiomerically-pure goniotalamin derivatives.

Table 2
Relative transport activity of Pdr5 wt.

Compound	Relative fluorescence intensity [%]		
	500 µg/mL (*125 µg/mL)	50 µg/mL	5 µg/mL (*13 µg/mL)
(R)-1	–2	10	60
(R)-2	–8	18	91
(S)-2	0	37	86
(R)-3	0	4	44
(R)-25	*21	–	*54
(S)-24	10	75	99

Table 3
ATPase activity of Pdr5 wt.

Compound	[%] ATPase activity		
	500 µg/mL (*250 µg/mL)	50 µg/mL (*125 µg/mL)	5 µg/mL (*13 µg/mL)
(R)-1	24.3 ± 6.5	30.0 ± 1.2	71.7 ± 2.1
(R)-2	56.0 ± 11.1	79.0 ± 4.4	98.0 ± 1.0
(S)-2	53.0 ± 2.6	74.7 ± 9.0	88.0 ± 1.7
(R)-3	0.0 ± 0	8.7 ± 2.1	25.7 ± 3.1
(R)-25	*50.0 ± 1.0	*51.0 ± 0	*79.3 ± 7.6
(S)-24	26.3 ± 2.5	40.7 ± 1.5	71.0 ± 0.0

Data represent mean values ± SD of at least three independent experiments.

showed the same behavior, while (R)-25 showed an inhibition to 50% transport activity with the 500 µg/mL and 50 µg/mL and no inhibitory effect with the lowest concentration.

Only compound (R)-3 was able to inhibit the ATPase activity of Pdr5 completely and the lowest concentration of this compound

Table 4
Cytotoxicity against human cancer cell lines after 48 h compound incubation.

Compound	IC ₅₀ (µM)		
	A549	MCF-7	HBL-100
rac-1	34.7 ± 0.8	>100	22.4 ± 3.8
(R)-1	5.7 ± 1.5	55.5 ± 6.4	20.9 ± 5.4
(S)-1	70.3 ± 14.4	>100	>100
(R)-2	31.0 ± 0.3	>100	29.0 ± 3.7
(S)-2	68.6 ± 0.5	>100	48.2 ± 4.8
(R)-25	15.4 ± 0.2	28.8 ± 2.3	6.7 ± 0.5
(S)-25	>100	>100	>100
(R)-3	>100	>100	>100
(S)-3	>100	88.7 ± 5.6	>100
(R)-24	10.2 ± 0.3	76.2 ± 6.3	14.1 ± 2.3
(S)-24	>100	>100	>100
(R,S,S)-4	11.6 ± 0.2	48.2 ± 2.0	14.4 ± 0.5
(R,R,R)-4	62.8 ± 1.6	>100	43.4 ± 2.8
(S,S,S)-4	15.2 ± 0.3	68.3 ± 9.7	34.9 ± 1.5
(R,R,R)-26	>100	>100	>100
(R,R)-27	>100	>100	>100
(S,S,S)-26	>100	>100	>100
(R,S,S)-26	>100	>100	>100
(R,S)-27	>100	>100	>100

Data represent mean values ± SEM of at least three independent experiments.

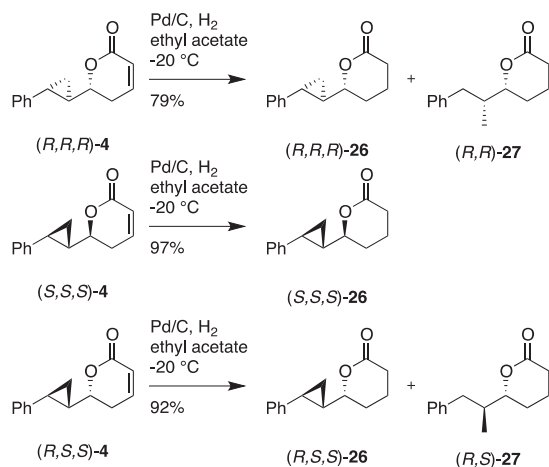
reduced ATPase activity by 75%. Both, (R)- and (S)-2 showed the same inhibition profile for the ATPase activity, independently of their configuration. The highest concentration is only able to inhibit the ATPase activity to 50% and at the lowest concentration used in the assay no inhibitory effect was detected at all. (R)-1, (R)-25 and (S)-24 demonstrated an identical behavior. Higher concentrations inhibited ATPase activity to 30–50% while at lower concentrations nearly no influence was detected.

In summary, we demonstrated that the (R)- or (S)- configuration showed cytotoxic and inhibitory effects, respectively. No differences were detected between (R)- and (S)-2 in all three assays using Pdr5 wt. The higher resistance of the *wildtype yeast* strain against this compound and a comparison of ATPase and transport assay leads to the conclusion that this compound is a substrate of Pdr5. Compound (R)-1, (R)-25 and (S)-24 showed only a cytotoxic effect in the *in vivo* assay and the two *in vitro* assays demonstrated no big differences concerning their behavior as an inhibitor of Pdr5. Only compound (R)-3, which showed no cytotoxicity in the *in vivo* assay, displayed a quantitative inhibition in both *in vitro* assays. This leads to the obvious conclusion that an inhibition of Pdr5 is independent of the stereogenic configuration [(R) or (S)] rather the substituent of the phenyl ring plays the dominant role.

(b) Cytotoxicity assessment: The results for the cytotoxicity assessment are summarized in Table 4. Due to different sensitivities of cancer entities towards cytotoxic compounds, we used three different cell lines of various histological origin [A549 (human lung adenocarcinoma cell line), MCF-7 (estrogen receptor positive human breast adenocarcinoma cell line), HBL-100 (triple negative human breast cancer cell line)].

First, we evaluated the racemic mixture of natural goniotalamin (1) (Table 4, entry 1). It displayed acceptable antiproliferative activity on the lung carcinoma cell line A549 as well as on the triple negative breast cancer cell line HBL-100. By characterizing the cytotoxicity of enantiomerically-pure (R)- and (S)-goniotalamin [(R)-1 and (S)-1] (entry 2 and 3, Table 4), respectively, the natural occurring (R)-goniotalamin 1 demonstrated higher efficacy in all three cell lines, in comparison to its enantiomer (S)-goniotalamin 1.

To explore the influence of the phenyl ring on cell viability, we synthesized the cyclohexyl derivative 2. The (R)-enantiomer showed a six-fold lower cytotoxicity in A549 human lung adenocarcinoma cells in comparison to the natural goniotalamin (R)-1 (Table 4, entry 4). Moreover, in the MCF-7 and HBL-100 the natural



Scheme 9. Hydrogenation of unsaturated lactone **19a**, **19b** and **18** to corresponding saturated lactone (*R,R,R*)-**26** (79%), (*S,S,S*)-**26** (97%) and (*R,S,S*)-**26** (92%) with methyl-compound (*R,R*)-**27** (3%) and (*R,S*)-**27** (4%) as a side product.

product displays reduced cytotoxicity. The (*S*)-**2** shows even lower cytotoxicity in comparison to the natural goniothalamin (*R*)-**1** (Table 4, entry 5). Comparing cyclohexyl derivative (*S*)-**2** with the phenyl-derivative (*S*)-**1**, the cytotoxicity is roughly on the same low level.

Antiproliferative activity of more electron-poor *p*-nitrophenyl-substituted goniothalamins **25** could not increase cytotoxicity for the (*S*)-compound **25** (Table 4, entry 7). The enantiomer (*R*)-**25** demonstrates a threefold increased cytotoxicity against human breast cancer cell line HBL-100, in contrast to the natural (*R*)-enantiomer **1** (Table 4, entry 6).

The highly-activated *p*-methoxyphenyl goniothalamins **3** did not affect cell viability in any of the tested cell lines (Table 4, entry 8 and 9). Using deactivated *para*-fluorinated goniothalamins **24** only the (*R*)-enantiomer showed notable IC_{50} values (Table 4, entry 10), which are less cytotoxic compared to the naturally-occurring (*R*)-enantiomer **1**. In summary, we demonstrated the (*R*)-configuration of the lactone ring to be mandatory for cytotoxic activity of the compound cluster. Furthermore, our data suggest that the substituent does not significantly influence the identified structure activity relationship.

Additionally, we characterized cyclopropane derivatives **4** of the natural goniothalamin. The derivatives **4** demonstrated clear cytotoxicity, except for compound (*R,R,R*)-**4**, which did not influence cell viability of breast adenocarcinoma cell line MCF-7 (Table 4, entry 13). In contrast, it displayed moderate activity on the two other cancer cell lines. Cyclopropane derivative (*R,S,S*)-**4** showed comparable biological activity to (*R*)-**1**.

Hydrogenation of lactone (*R,R,R*)-**4** and (*R,S,S*)-**4** led not only to the corresponding saturated lactone (*R,R,R*)-**26** and (*R,S,S*)-**26**, but also to compounds (*R,R*)-**27** and (*R,S*)-**27** derived from the ring-opening reaction as a side product (Scheme 9). The last entry of Table 4 shows no detectable cytotoxicity for cyclopropanes (*R,R,R*)-**26**, (*S,S,S*)-**26** and (*R,S,S*)-**26** on any cell line tested. The same holds true for the goniothalamin derivatives (*R,R*)-**27** and (*R,S*)-**27**. In conclusion, our data demonstrate a saturated lactone moiety to be compulsory for antiproliferative activity of goniothalamins.

Furthermore, our cytotoxicity results of cyclopropane-containing goniothalamins **4** confirm the external double bond not to be mandatory for the biological activity of the compound. There is evidence that the molecule needs a certain conformational rigidity

to show cytotoxic activity; however, in our case this rigidity is provided from either a cyclopropane ring or a (*E*)-double bond.

3. Conclusion

Comparing the cytotoxicity results the following three conclusions can be drawn:

First, the configuration of the stereogenic centre plays an important role for cytotoxicity. Only the (*R*)-configured goniothalamins with the vinylic double bond demonstrated cytotoxicity. The (*R*)-configuration is in accordance to natural goniothalamin (*R*)-**1**. However, looking at the inhibition of the multidrug exporter Pdr5, no pronounced configurational preference was observed, while the substitution pattern mattered.

Second, the double bond in the lactone ring is essential for the biological activity. With saturated lactones, no antiproliferative activity could be detected (derivatives **26**).

Third, the vinylic double bond is not mandatory, but a certain rigidity is required for cytotoxicity. However, this rigidity is not absolute, which is exemplified by the achieved biological activity of the cyclopropane derivatives **4**. These results are in agreement with literature, where also goniothalamin epoxides show good biological activity.^{51–54} Chandraratna et al. reported in 1996, that cyclopropyl groups can be used as double bond isosteres for retinoid analogues, which show activity towards the retinoic acid receptors (RARs) and retinoid X receptors (RXRs).⁵⁵ These results show the same effect of a mandatory rigidity for biological activity and is in perfect accordance with our findings.

4. Experimental

4.1. General procedures

Unless specified, the reactions were carried out by standard Schlenk-technique under dry Ar/N₂ and magnetic stirring. Glassware was oven-dried at 120 °C overnight. Solvents were dried and purified by conventional methods prior to use; tetrahydrofuran (THF) was freshly distilled from sodium/benzophenone. Solvents for chromatography (petroleum ether, ethyl acetate) were distilled prior to use. Column and flash column chromatography were performed on silica gel 60, 0.040–0.063 mm (230–400 mesh). TLC was performed on pre-coated plastic sheets (Polygram SIL G/UV254, Macherey-Nagel) with detection by coloration with ceric phosphomolybdic acid solution [phosphomolybdic acid (25 g), Ce (SO₄)₂·H₂O (10 g), concentrated H₂SO₄ (60 mL), H₂O (940 mL)]. Compounds **1–3** and **24–25** were synthesized according to a reported protocol.^{43,44}

4.2. Synthesis of (*S,S*)-(2-Phenylcyclopropyl)carbaldehyde [(*S,S*)-**6**] and (*R,R*)-(2-Phenylcyclopropyl)carbaldehyde [(*R,R*)-**6**]

To a solution of 3.00 g (20.2 mmol, 1.0 equiv.) enantiomerically pure (*S,S*)-(2-phenylcyclopropyl)methanol [(*S,S*)-**5**]¹⁷ (>98% ee) in 230 mL CH₂Cl₂ under a nitrogen atmosphere at 0 °C was added 12.0 g (28.3 mmol, 1.4 equiv.) Dess-Martin-periodinane (**14**).^{18–23} The solution was stirred 1 h at 0 °C and 14 h at room temperature. After complete conversion of the starting material (TLC), the solution was hydrolysed with a 1:1-mixture of 1 M sodium thiosulfate solution and saturated sodium bicarbonate solution. The mixture was stirred until both layers were clear. The aqueous layer was extracted several times with dichloromethane. The organic layers were combined, dried with MgSO₄, filtered and the solvent was evaporated under reduced pressure. The crude product was purified by flash column chromatography. After purification 2.8 g

(19.2 mmol, 95%, 98% ee) of the (S,S)-aldehyde **6** could be isolated. The spectroscopic data is in agreement with literature data.⁵⁶

(R,R)-(2-Phenylcyclopropyl)methanol [(R,R)-**5**] has been used according to the same protocol, using 1.4 g (9.3 mmol, 1.0 equiv.) (R,R)-(2-phenylcyclopropyl)methanol (**5**) (> 98% ee) in 106 mL CH₂Cl₂, 5.5 g (13.0 mmol, 1.4 equiv.) Dess-Martin-periodinane, resulting in 1.3 g (8.6 mmol, 92%) (R,R)-(2-phenylcyclopropyl)carbaldehyde (**6**) (> 98% ee).

R_f = 0.6 (PE:EE = 75:25). (S,S)-**6** [α]_D²⁰ = +395 (c = 1.5, CHCl₃, >98% ee).⁵⁷ (S,S)-**6** [α]_D²⁰ = +391 (c = 1.5, CHCl₃, >98% ee). (R,R)-**6** [α]_D²⁰ = -411 (c = 1.2, CHCl₃, >98% ee).^{58,59} MS (EI, 70 eV): *m/z* (%) = 146 (25) [(M + H)⁺], 145 (34) [M⁺], 117 (100) [(M-CHO)⁺], 115 (68) [(C₈H₇)⁺], 91 (26) [(C₇H₇)⁺]. IR (ATR, film): 3032, 2832, 2732, 1702 (C=O), 1605, 1499, 1458, 1400, 1334, 1181, 1080, 1055, 1029, 965, 940, 922, 859, 759, 697 cm⁻¹. ¹H NMR (CDCl₃, 600 MHz): δ [ppm] = 1.53 (ddd, ³J_{3a,1} = 8.3 Hz, ³J_{3a,2} = 6.7 Hz, ²J_{3a,3b} = 5.0 Hz, 1 H, 3-Ha), 1.75 (ddd, ³J_{3b,2} = 9.2 Hz, ³J_{3b,1} = 5.2 Hz, ²J_{3b,3a} = 5.0 Hz, 1 H, 2-Hb), 2.18 (dddd, ³J_{1,3a} = 8.3 Hz, ³J_{1,3b} = 5.2 Hz, ³J_{1,CHO} = 4.6 Hz, ³J_{1,2} = 4.0 Hz, 1 H, 1-H), 2.63 (ddd, ³J_{2,3b} = 9.2 Hz, ³J_{2,3a} = 6.7 Hz, ³J_{2,1} = 4.0 Hz, 1 H, 2-H), 7.10–7.32 (m, 5 H, arom. CH), 9.33 (d, ³J_{CHO,1} = 4.6 Hz, 1 H, CHO). ¹³C NMR (CDCl₃, 151 MHz): δ [ppm] = 16.5 (C-3), 26.6 (C-1), 33.8 (C-2), 126.7 (o-C), 127.1 (p-C), 128.6 (m-C), 139.0 (i-C), 199.7 (CHO).

4.3. Synthesis of (1R,1'S,2'S)-1-(2-Phenylcyclopropyl)but-3-en-1-ol [(R,S,S)-**9**] and (1S,1'S,2'S)-1-(2-Phenylcyclopropyl)but-3-en-1-ol [(S,S,S)-**9**]

4.3.1. Method A: Allyl addition with Roush-reagent

In a Schlenk-flask was dissolved 10.8 g (38.3 mmol, 2.0 equiv.) allylboronic ester **7** in 100 mL toluene. The solution was cooled to -78 °C and a solution of 2.6 g (17.7 mmol, 1.0 equiv., >98% ee) aldehyde (S,S)-**6** in 20 mL toluene was added via syringe. The solution was stirred for 5 h at -78 °C. At this temperature the reaction-mixture was washed with 50 mL of a saturated ammonium-carbonate solution. The solution was warmed to room temperature and the aqueous phase was extracted three times with Et₂O and two times with ethyl acetate. The combined organic layers were dried with MgSO₄, filtered and the solvent was evaporated under vacuum. The diastereomeric ratio was determined by ¹H NMR (78:22). The crude product was purified by column chromatography (PE:EE = 90:10, then 80:20). 2.5 g (13.2 mmol, 71%, >98% ee) of (1R,1'S,2'S)-1-(2-Phenylcyclopropyl)but-3-en-1-ol [(R,S,S)-**9**], 324 mg (1.7 mmol, 10%) of a diastereomeric mixture and 386 mg (2.1 mmol, 12%, 98% ee) (1S,1'S,2'S)-1-(2-phenylcyclopropyl) but-3-en-1-ol [(S,S,S)-**9**] could be isolated (93%).

4.3.2. Method B: Allyl addition with Leighton-reagent⁶⁰

To a solution of 309.1 mg (0.6 mmol, 1.5 equiv.) of the Leighton-reagent (S,S)-**8** in 3 mL dichloromethane, 54.8 mg of aldehyde (S,S)-**6** dissolved in 1 mL dichloromethane was slowly added at -10 °C under an argon atmosphere. The reaction mixture was stirred 20 h at -10 °C. Afterwards at -10 °C, 5 mL of ethyl acetate and 5 mL of a 1 M HCl solution was added to hydrolyse. The mixture was stirred for 10 min at -10 °C and allowed to warm to room temperature. The reaction mixture was extracted three times with ethyl acetate. The combined organic layers were dried with MgSO₄, filtered and the solvent was evaporated under vacuum. The diastereomeric ratio was determined by ¹H NMR (>99:1).

After column chromatography (PE:EE 70:30) 68 mg (0.3 mmol, 75%, 98% ee) of homoallylic alcohol (R,S,S)-**9** could be isolated.

4.3.3. Method C: CBS-reduction⁶¹ of ketone (S,S)-**12**

To a solution of 276 mg (1.48 mmol, 1.00 equiv.) of ketone (S,S)-**12** in 4.14 mL toluene was added under an argon atmosphere

185 mg molecular sieve (powder, 4 Å) and 3 mL (2.96 mmol, 2.0 equiv. 1 M in toluene) (S)-CBS-reagent (S)-**13**. The reaction mixture was cooled to -78 °C and 3 mL (2.96 mmol, 2.0 equiv., 1 M in toluene) of catecholborane was slowly added. The mixture was stirred overnight, where it warms to room temperature. After full conversion of starting material (TLC), the mixture was again cooled to -78 °C and 600 μ L of absolute methanol was added. The mixture was warmed to room temperature and 10 mL of Et₂O and 10 mL of a 2:1 mixture of 1 M NaOH and saturated NaHCO₃ solution was added. The reaction mixture was extracted four times with Et₂O. The combined organic layers were washed with 1 M HCl and brine. The organic layers were dried with MgSO₄, filtered and the solvent was evaporated under vacuum. The crude product was purified by column chromatography (PE:EE = 90:10). 121 mg (0.64 mmol, 43%, >98% ee) of homoallylic alcohol (R,S,S)-**9** and 15 mg (80 μ mol, 5%, 98% ee) of homoallylic alcohol (S,S,S)-**9** could be isolated.

R_f = 0.4 (PE:EE = 75:25). R_f = 0.6 (PE:EE = 70:30). (R,S,S)-**9** [α]_D²⁰ = +84 (c = 1.0, CHCl₃, >98% ee). (S,S,S)-**9** [α]_D²⁰ = +94 (c = 0.9 CHCl₃, >98% ee).

4.3.3.1. (1R,1'S,2'S)-1-(2'-Phenylcyclopropyl)but-3-en-1-ol [(R,S,S)-**9**]. HPLC: column: Chiracel OB (250 mm · 4.6 mm, Fa. Daicel); solvent: heptane:2-propanol = 90:10, flowrate: 0.5 mL/min; pressure: 23 bar, detection:

UV 220 nm; t_R [(R,S,S)-**9**] 10.8 min; t_R [(S,R,R)-**9**] 13.4 min. MS (EI, 70 eV): *m/z* (%) = 188 (<5) [M⁺], 170 (8) [(M-H₂O)⁺], 147 (20) [(M-C₃H₅)⁺], 142 (7) [(C₁₁H₁₀)⁺], 129 (100) [(C₁₀H₉)⁺], 107 (54) [(C₈H₁₁)⁺], 104 (69) [(C₇H₄O)⁺], 91 (69) [(C₇H₇)⁺], 77 (20) [(C₆H₅)⁺]. Elemental analysis C₁₃H₁₆O (188.27 g/mol): calc.: C 82.94 H 8.57; found: C 82.68 H 8.68. IR (ATR, film): 3387 (OH), 3072, 3002, 2978, 2903, 1641, 1604, 1497, 1464, 1431, 1413, 1277, 1090, 1067, 1047, 1030, 991, 913, 749, 697 cm⁻¹. ¹H NMR (CDCl₃, 600 MHz): δ [ppm] = 0.95 (m_c, 2 H, 3'-H), 1.28 (dddd, ³J_{1',3'} = 8.0 Hz, ³J_{1',1} = 7.6 Hz, ³J_{1',2'} = 6.3 Hz, ³J_{1',2} = 4.6 Hz, 1 H, 1'-H), 1.76 (d, ³J_{OH,1} = 3.6 Hz, 1 H, OH), 1.94 (ddd, ³J_{2',3'} = 8.2 Hz, ³J_{2',3'} = 6.0 Hz, ³J_{2',1'} = 4.6 Hz, 1 H, 2'-H), 2.35 (dddt, ²J_{2a,2b} = 14.0 Hz, ³J_{2a,1} = 7.7 Hz, ³J_{2a,3} = 7.6 Hz, ⁴J_{2a,4} = 1.2 Hz, 2-Ha), 2.46 (dddt, ²J_{2b,2a} = 14.0 Hz, ³J_{2b,3} = 6.7 Hz, ³J_{2b,1} = 4.6 Hz, ⁴J_{2b,4} = 1.4 Hz, 1 H, 2-Hb), 3.26 (dddd, ³J_{1,2a} = 7.7 Hz, ³J_{1,1'} = 7.6 Hz, ³J_{1,2b} = 4.6 Hz, ³J_{1,OH} = 3.6 Hz, 1 H, 1-H), 5.1 (ddt, ³J_{4a,3} = 10.2 Hz, ²J_{4a,4b} = 2.0 Hz, ⁴J_{4a,2} = 1.1 Hz, 1 H, 4-Ha), 5.17 (ddt, ³J_{4b,3} = 17.1 Hz, ²J_{4b,4a} = 2.0 Hz, ⁴J_{4b,2} = 1.4 Hz, 1 H, 4-Hb), 5.90 (dddd, ³J_{3,4b} = 17.1 Hz, ³J_{3,4a} = 10.2 Hz, ³J_{3,2a} = 7.6 Hz, ³J_{3,2b} = 6.7 Hz, 1 H, 3-H), 7.07–7.27 (m, 5 H, arom. CH). ¹³C NMR (CDCl₃, 151 MHz): δ [ppm] = 13.4 (C-3'), 20.9 (C-2'), 28.9 (C-1'), 41.6 (C-2), 74.4 (C-1), 118.0 (C-4), 125.6 (arom. CH), 126.1 (arom. CH), 128.3 (arom. CH), 134.6 (C-3), 142.6 (i-C).

4.3.3.2. (1S,1'S,2'S)-1-(2'-Phenylcyclopropyl)but-3-en-1-ol [(S,S,S)-**9**]. R_f = 0.3 (PE:EE = 75:25), m.p.: 35 °C. [(S,S,S)-**9**] [α]_D²⁰ = +94 (c = 0.9 CHCl₃ > 98% ee); HPLC: column: Chiracel OB (250 mm · 4.6 mm, Fa. Daicel); solvent: heptane:2-propanol = 90:10; flowrate 0.5 mL/min; pressure: 23 bar; detection: UV 220 nm; t_R [(S,S,S)-**9**] 10.4 min; t_R [(R,R,R)-**9**] 16.2 min. MS (EI, 70 eV): *m/z* (%) = 188 (<5) [M⁺], 170 (12) [(M-H₂O)⁺], 147 (18) [M-C₃H₅)⁺], 142 (17) (C₁₁H₁₀)⁺], 129 (100) [(C₁₀H₉)⁺], 107 (54) [(C₈H₁₁)⁺], 104 (54) [(C₇H₄O)⁺], 91 (65) [(C₇H₇)⁺], 77 (18) [(C₆H₅)⁺]. Elemental analysis: C₁₃H₁₆O (188.27 g/mol): calc.: C 82.94 H 8.57; found: C 82.32 H 8.51. IR (ATR, film): 3387 (OH), 3072, 3002, 2978, 2903, 1641, 1604, 1497, 1464, 1431, 1413, 1277, 1090, 1067, 1047, 1030, 991, 913, 749, 697 cm⁻¹. ¹H NMR (CDCl₃, 600 MHz): δ [ppm] = 0.97 (ddd, ³J_{3'a,1'} = 8.4 Hz, ³J_{3'a,2'} = 5.1 Hz, ²J_{3'a,3'b} = 5.1 Hz, 1 H, 3'-Ha), 1.04 (ddd, ³J_{3'b,2'} = 8.9 Hz, ³J_{3'b,1'} = 5.6 Hz, ²J_{3'b,3'a} = 5.1 Hz, 1 H, 3'-Hb), 1.28 (dddd, ³J_{1',3'a} = 8.4 Hz, ³J_{1',1} = 7.8 Hz, ³J_{1',3'b} = 5.6 Hz, ³J_{1',2'} = 4.5 Hz, 1 H, 1'-H), 1.69

(d, $^3J_{\text{OH},1'} = 3.5$ Hz, 1 H, OH), 1.84 (ddd, $^3J_{2',3'b} = 8.9$ Hz, $^3J_{2',3'a} = 5.1$ Hz, $^3J_{2',1'} = 4.5$ Hz, 1 H, 2'-H), 2.37 (dddt, $^2J_{2a,2b} = 15.2$ Hz, $^3J_{2a,3} = 7.8$ Hz, $^3J_{2a,1} = 7.8$ Hz, $^4J_{2a,4} = 1.2$ Hz, 1 H, 2-Ha), 2.48 (dddt, $^2J_{2b,2a} = 15.2$ Hz, $^3J_{2b,3} = 6.4$ Hz, $^3J_{2b,1} = 4.6$ Hz, $^4J_{2b,4} = 1.3$ Hz, 1 H, 2-Hb), 3.25 (dddd, $^3J_{1,1'} = 7.8$ Hz, $^3J_{1,2a} = 7.8$ Hz, $^3J_{1,2b} = 4.6$ Hz, $^3J_{1,\text{OH}} = 3.5$ Hz, 1 H, 1-H), 5.13 (ddt, $^3J_{4a,3} = 10.3$ Hz, $^2J_{4a,4b} = 2.0$ Hz, $^5J_{4a,2} = 1.0$ Hz, 1 H, 4-Ha), 5.14 (ddt, $^3J_{4b,3} = 16.9$ Hz, $^2J_{4b,4a} = 2.0$ Hz, $^5J_{4b,2} = 1.4$ Hz, 1 H, 4-Hb), 5.87 (dddd, $^3J_{3,4b} = 16.9$ Hz, $^3J_{3,4a} = 10.3$ Hz, $^3J_{3,2a} = 7.8$ Hz, $^3J_{3,2b} = 6.4$ Hz, 1 H, 3-H), 7.05–7.27 (m, 5 H, arom. CH). ^{13}C NMR (CDCl_3 , 151 MHz): δ [ppm] = 13.5 (C-3'), 20.9 (C-2'), 28.9 (C-1'), 41.9 (C-2), 74.5 (C-1), 118.1 (C-4), 125.7 (arom. CH), 126.0 (arom. CH), 128.3 (arom. CH), 134.5 (C-3), 142.3 (i-C).

4.4. Synthesis of (1*S*,1'*R*,2'*R*)-1-(2-Phenylcyclopropyl)but-3-en-1-ol [(*S*,*R*,*R*)-**9**] and (1*R*,1'*R*,2'*R*)-1-(2-Phenylcyclopropyl)but-3-en-1-ol [(*R*,*R*,*R*)-**9**]

4.4.1. Method C: CBS-reduction⁶¹ of ketone (*R*,*R*)-**12**

84 mg (451 μmol , 1.0 equiv.) of ketone (*R*,*R*)-**12** with 0.90 mL (0.90 mmol, 2.0 equiv. 1 M in toluene) of (*S*)-CBS-reagent (*S*)-**13** and 0.90 mL (0.90 mmol, 2.0 equiv. 1 M in toluene) catecholborane have been reduced via method C for (*R*,*S*,*S*)-**9** and (*S*,*S*,*S*)-**9**. After purification 10 mg (53 μmol , 11%, 81% ee) of homoallylic alcohol (*S*,*R*,*R*)-**9** and 75 mg (398 μmol , 88%, 95% ee) of homoallylic alcohol (*R*,*R*,*R*)-**9** have been isolated. The diastereomeric ratio was 12:88. The spectroscopic data accords to (*R*,*S*,*S*)-**9** and (*S*,*S*,*S*)-**9**.

Analogously, 100 mg (540 μmol , 1.0 $\text{\AA}$ q) of ketone (*R*,*R*)-**12** was reduced with 1.08 mL (1.08 mmol, 2.0 equiv. 1 M in toluene) of (*R*)-CBS-reagent (*R*)-**13** and 0.54 mL (1.08 mmol, 2.0 equiv. 2 M in toluene) catecholborane. After purification 60 mg (319 μmol , 93%, 81% ee) of homoallylic alcohol [(*S*,*R*,*R*)-**9**] and 6.0 mg (32 μmol , 5%, 98% ee) of homoallylic alcohol (*R*,*R*,*R*)-**9** have been isolated. The spectroscopic data accords to (*S*,*R*,*R*)-**9** and (*R*,*R*,*R*)-**9**.

4.5. Synthesis of (1*S*,2*S*)-*N*-Methoxy-*N*-methyl-2-phenylcyclopropanecarboxamide [(*S*,*S*)-**11**] and (1*R*,2*R*)-2-*N*-Methoxy-*N*-methyl-2-phenylcyclopropanecarboxamide [(*R*,*R*)-**11**]

Under an argon atmosphere 198 mg (1.0 mmol, 1.0 equiv.) of ester (*S*,*S*)-**10** was dissolved in 4 mL abs-THF and 152 mg (1.6 mmol, 1.5 equiv.) *N*-methoxy-*N*-methylamino hydrochloride was added and cooled to -20°C . With the use of a syringe pump 1.6 mL of an isopropylmagnesium chloride solution (2 M in THF, 3.1 mmol, 3.0 equiv.) was added over 45 min. After full addition the mixture stirred for 20 min at -20°C (control via TLC). After full conversion, the solution was hydrolysed with saturated ammonium carbonate solution. The mixture was warmed to room temperature and diethylether and water was added until both layers were clear. The layers were separated and the aqueous layer was extracted three times with diethylether. The combined organic layers were dried with MgSO_4 , filtered and the solvent was evaporated. After column chromatography (PE:EE 80:20, then 70:30) 160 mg (0.8 mmol, 78%) of the Weinreb-amide (*S*,*S*)-**11** could be isolated as a colourless oil. The spectroscopic data accord to literature.⁶²

Analogous to this method, 400 mg (2.1 mmol, 1.0 equiv.) of ester (*R*,*R*)-**10** was dissolved in 7.2 mL abs-THF. Afterwards, 312 mg (3.2 mmol, 1.5 equiv.) *N*-methoxy-*N*-methylamino hydrochloride was added. At -20°C 3.2 mL of an isopropylmagnesium chloride solution (2 M in THF, 6.3 mmol, 3.0 equiv.) was added over 45 min. After 30 min complete conversion was detected. After workup, 426 mg (2.1 mmol, 99%) of (*R*,*R*)-**11** was isolated.

$R_f = 0.35$ (PE:EE = 70:30); (*S*,*S*)-**11** [$\alpha_D^{20} = +228$ ($c = 1.0$, CHCl_3 , 97% ee); (*R*,*R*)-**11** [$\alpha_D^{20} = -233$ ($c = 0.8$, CHCl_3 , 98% ee); HPLC: column: Chiracel OD-H (250 mm $\cdot$ 4.6 mm, Fa. Daicel); solvent: heptane:2-propanol = 98:2; flowrate: 0.5 mL/min; pressure: 33 bar; detection: UV 225 nm; t_R [(*R*,*R*)-**11**]: 26.2 min; t_R [(*S*,*S*)-**11**]: 29.1 min; MS (EI, 70 eV): m/z (%) = 205 (25) [M^+], 145 (100) [($\text{C}_{10}\text{H}_9\text{O}$) $^+$], 127 (84) [($\text{C}_6\text{H}_9\text{NO}_2$) $^+$], 117 (72) [(C_9H_9) $^+$], 115 (71) [(C_9H_7) $^+$], 91 (33) [(C_7H_7) $^+$]. HRMS (ESI, positiv-Ion): calc.: 228.1001 ($\text{C}_{12}\text{H}_{15}\text{NO}_2\text{Na}$) [(M^+Na) $^+$] found: 228.0995 ($\text{C}_{12}\text{H}_{15}\text{NO}_2\text{Na}$) [(M^+Na) $^+$]. Elemental analysis: $\text{C}_{12}\text{H}_{15}\text{NO}_2$ (205.25 g/mol): calc.: C 70.22 H 7.37 N 6.82; found: C 69.90 H 7.48 N 6.85; IR (ATR, film): 3029, 3005, 2967, 2937, 1651 ($\text{C}=\text{O}$), 1605, 1498, 1460, 1439, 1421, 1394, 1368, 1174, 1119, 1097, 1022, 1004, 995, 939, 749, 698 cm^{-1} . ^1H NMR (CDCl_3 , 600 MHz): δ [ppm] = 1.31 (ddd, $^3J_{3a,1} = 8.4$ Hz, $^3J_{3a,2} = 6.4$ Hz, $^2J_{3a,3b} = 4.3$ Hz, 1 H, 3-Ha), 1.63 (ddd, $^3J_{3b,2} = 9.1$ Hz, $^3J_{3b,1} = 5.4$ Hz, $^2J_{3b,3a} = 4.3$ Hz, 1 H, 3-Hb), 2.42 (br, 1 H, 1-H), 2.51 (ddd, $^3J_{2,3b} = 9.1$ Hz, $^3J_{2,3a} = 6.4$ Hz, $^3J_{2,1} = 4.2$ Hz, 1 H, 2-H), 3.24 (s, 3 H, CH₃), 3.69 (s, 3 H, OCH₃), 7.12–7.30 (m, 5 H, arom. CH). ^{13}C NMR (CDCl_3 , 151 MHz): δ [ppm] = 16.5 (C-3), 21.6 (C-1), 25.9 (C-2), 32.6 (NCH₃), 61.7 (OCH₃), 126.3 (arom. CH), 128.3 (arom. CH), 128.6 (arom. CH), 140.8 (i-C), 173.1 (NCO).

4.6. Synthesis of (1'*S*,2'*S*)-1-(2'-Phenylcyclopropyl)but-3-en-1-ol [(*S*,*S*)-**12**] and (1'*R*,2'*R*)-1-(2'-Phenylcyclopropyl)but-3-en-1-ol [(*R*,*R*)-**12**]

According to a procedure from Mohapatra and Datta²⁹ and Lee et al.³² 100 mg (487 μmol , 1.0 equiv.) of Weinreb-amide (*S*,*S*)-**11** was dissolved in 2.5 mL abs-THF. The mixture was cooled to -78°C and 0.6 mL (584 μmol , 1.2 equiv.) of a 1 M allylmagnesium bromide solution in diethylether was added slowly. The mixture was stirred for 30 min at -78°C (reaction control by TLC). After full conversion it was hydrolysed with 5 mL of water and 0.8 mL of 1 M HCl. The mixture was warmed to room temperature and the two layers have been separated. The aqueous layer was extracted with diethylether three times. The combined organic layers were dried with MgSO_4 , filtered and the solvent was evaporated. The crude product was purified by column chromatography (PE:EE 95:5). 70 mg (376 μmol , 77%, 97% ee) of ketone (*S*,*S*)-**12** could be isolated as a colourless oil.

(*R*,*R*)-**12** could be synthesized with the same protocol, using 119 mg (580 μmol , 1.0 equiv.) of (1*R*,2*R*)-*N*,*N*-methoxymethyl-2-phenylcyclopropanecarboxamide [(*R*,*R*)-**11**] at -78°C with 1.16 mL (1.16 mmol, 2.0 equiv.) of a 1 M allylmagnesium bromide solution in diethylether. The mixture was stirred for 30 min at -78°C and hydrolysis with saturated ammonium carbonate solution at -60°C . The mixture of two layers was warmed to room temperature and 2 mL of 1 M HCl was added. It was added water and ether until both layers were clear. The layers have been separated and the organic layer was extracted with diethylether a couple of times, followed by two times with ethyl acetate. The combined organic layers were dried with MgSO_4 , filtered and the solvent was evaporated. The crude product was purified by column chromatography (PE:EE 95:5). 70 mg (38 μmol , 66%, 98% ee) of ketone (*R*,*R*)-**12** could be isolated as a colourless oil.

$R_f = 0.2$ (PE:EE = 70:30); (*S*,*S*)-**12** [$\alpha_D^{20} = +475$ ($c = 1.2$, CHCl_3 , 97% ee); (*R*,*R*)-**12** [$\alpha_D^{20} = -479$ ($c = 0.6$, CHCl_3 , >98% ee); HPLC: column: Chiracel OD-H (250 mm $\cdot$ 4.6 mm, Fa. Daicel); solvent: heptane : 2-propanol = 99.8:0.2; flowrate: 0.5 mL/min, pressure: 33 bar; detection: UV 225 nm; t_R [(*S*,*S*)-**12**]: 29.4 min; t_R [(*R*,*R*)-**12**]: 32.3 min. MS (EI, 70 eV): 186 (8) [M^+], 145 (91) [($\text{M}-\text{C}_3\text{H}_5$) $^+$], 127 (78), 117 (100) [(C_9H_9) $^+$], 115 (85) [(C_9H_7) $^+$], 91 (45) [(C_7H_7) $^+$], 69 (19) [($\text{C}_4\text{H}_5\text{O}$) $^+$]. Elemental analysis: $\text{C}_{13}\text{H}_{14}\text{O}_2$ (186.25 g/mol) calc.: C 83.83 H 7.58; found: C 83.40 H 7.63, IR (ATR, film): 3081, 3031, 1698 ($\text{C}=\text{O}$),

1636, 1604, 1497, 1457, 1432, 1398, 1342, 1212, 1180, 1120, 1058, 1044, 1016, 993, 918, 837, 749, 698 cm⁻¹. ¹H NMR (CDCl₃, 600 MHz): δ [ppm] = 1.39 (ddd, ³J_{3'a,1'} = 8.1 Hz, ³J_{3'a,2'} = 6.6 Hz, ²J_{3'a,3'b} = 4.2 Hz, 1 H, 3'-H_a), 1.69 (ddd, ³J_{3'b,2'} = 9.1 Hz, ³J_{3'b,1'} = 5.3 Hz, ²J_{3'b,3'a} = 4.2 Hz, 1 H, 3'-H_b), 2.23 (ddd, ³J_{1',3'a} = 8.1 Hz, ³J_{1',3'b} = 5.3 Hz, ³J_{1',2'} = 4.0 Hz, 1 H, 1'-H), 2.53 (ddd, ³J_{2',3'b} = 9.1 Hz, ³J_{2',3'a} = 6.6 Hz, ³J_{2',1'} = 4.0 Hz, 1 H, 2'-H), 3.36 (dt, ³J_{2,3} = 6.8 Hz, ⁴J_{2,4} = 1.4 Hz, 2 H, 2-H), 5.17 (ddt, ³J_{4a,3} = 17.2 Hz, ²J_{4a,4b} = 3.0 Hz, ⁴J_{4a,2} = 1.4 Hz, 1 H, 4-H_a), 5.20 (ddt, ³J_{4b,3} = 10.2 Hz, ²J_{4b,4a} = 3.0 Hz, ⁴J_{4b,2} = 1.4 Hz, 1 H, 4-H_b), 5.97 (ddt, ³J_{3,4a} = 17.2 Hz, ³J_{3,4b} = 10.2 Hz, ³J_{3,2} = 6.8 Hz, 1 H, 3-H), 7.08–7.29 (m, 5 H, arom. CH). ¹³C NMR (CDCl₃, 151 MHz): δ [ppm] = 19.2 (C-3'), 29.2 (C-2') 31.8 (C-1'), 48.7 (C-2), 119.0 (C-4), 126.1 (arom. CH), 126.6 (arom. CH), 128.5 (arom. CH), 130.5 (C-3), 140.3 (i-C), 206.6 (C-1).

4.7. Synthesis of (1*R*,1'*S*,2'*S*)-1-(2'-Phenylcyclopropyl)but-3-en-1-yl acrylate [(*R,S,S*)-**17**]

850 mg (4.51 mmol, 1.00 equiv.) of homoallyl alcohol (*R,S,S*)-**9** was dissolved in 15 mL absolute dichloromethane under an argon atmosphere. The solution was cooled to –78 °C when 2.32 mL ethyldiisopropylamine (13.5 mmol, 3.00 equiv.) and 55 mg (0.45 mmol, 0.1 equiv.) DMAP was added. To this mixture 550 μL (6.77 mmol, 1.50 equiv.) acryloyl chloride was added slowly. The mixture was stirred 2 h at –78 °C until no starting material could be detected (TLC control). After hydrolysis with a saturated ammonium chloride-solution at –78 °C the mixture was warmed to RT and the two layers were separated. The aqueous phase was extracted three times with dichloromethane. The organic layers were combined, dried with MgSO₄, filtered and the solvent was evaporated under reduced pressure. The crude product was purified via column chromatography (PE:EE = 90:10). The clean product (*R,S,S*)-**17** could be isolated as a colourless oil [1.06 g (4.37 mmol, 97%, >98% ee)].

Dienes (*S,S,S*)-**17** and (*R,R,R*)-**17** have been synthesized according to the same protocol.

4.7.1. (1*R*,1'*S*,2'*S*)-1-(2'-Phenylcyclopropyl)but-3-en-1-yl acrylate [(*R,S,S*)-**17**]

R_f = 0.8 (PE:EE = 70:30); [α]_D²⁰ = +147 (c = 1.0, CHCl₃); MS (EI, 70 eV): m/z (%) = 241 (<5) [M⁺], 207 (<5) [(C₁₃H₁₃O₂)⁺], 187 (<5) [(C₁₃H₁₅O)⁺], 170 (19) [(C₁₃H₁₄)⁺], 161 (28) [(C₁₁H₁₃O)⁺], 142 (10) [(C₁₁H₁₀O)⁺], 129 (56) [(C₁₀H₉)⁺], 117 [(C₉H₉)⁺], 104 (40) [(C₈H₈)⁺], 91 (34) [(C₇H₇)⁺], 70 (15) [(C₆H₇)⁺], 55 (100) [(C₃H₅O)⁺]. Elemental analysis: C₁₆H₁₈O₂ (242.31 g/mol): calc.: C 79.31 H 7.49; found: C 79.22 H 7.58. IR (ATR, film): 3077, 3028, 2944, 1721 (C=O), 1619, 1638, 1605, 1498, 1404, 1295, 1270, 1195, 1092, 1047, 983, 916, 809, 751, 698 cm⁻¹. ¹H NMR (CDCl₃, 600 MHz): δ [ppm] = 0.96 (ddd, ³J_{3'a,2'} = 8.9 Hz, ³J_{3'a,1'} = 5.5 Hz, ²J_{3'a,3'b} = 5.3 Hz, 1 H, 3'-H_a), 1.03 (ddd, ³J_{3'b,1'} = 8.7 Hz, ³J_{3'b,2'} = 5.3 Hz, ²J_{3'b,3'a} = 5.3 Hz, 1 H, 3'-H_b), 1.35 (dddd, ³J_{1',3'b} = 8.7 Hz, ³J_{1',1'} = 8.6 Hz, ³J_{1',3'a} = 5.5 Hz, ³J_{1',2'} = 4.5 Hz, 1 H, 1'-H), 2.07 (ddd, ³J_{2',3'a} = 8.9 Hz, ³J_{2',3'b} = 5.3 Hz, ³J_{2',1'} = 4.5 Hz, 1 H, 2'-H), 2.49 (dddd, ²J_{2'a,2'b} = 14.3 Hz, ³J_{2'a,3'} = 7.5 Hz, ³J_{2'a,1'} = 6.8 Hz, ⁴J_{2'a,4'a} = 1.2 Hz, ⁴J_{2'a,4'b} = 1.2 Hz, 1 H, 2'-H_a), 2.54 (dddd, ²J_{2'b,2'a} = 14.3 Hz, ³J_{2'b,3'} = 6.6 Hz, ³J_{2'b,1'} = 5.5 Hz, ⁴J_{2'b,4'a} = 1.3 Hz, ⁴J_{2'b,4'b} = 1.3 Hz, 1 H, 2'-H_b), 4.62 (ddd, ³J_{1',1'} = 8.6 Hz, ³J_{1',2'a} = 6.8 Hz, ³J_{1',2'b} = 5.5 Hz, 1 H, 1'-H), 5.08 (dddd, ³J_{4'a,3'} = 10.2 Hz, ²J_{4'a,4'b} = 2.1 Hz, ⁴J_{4'a,2'a} = 1.2 Hz, 1 H, 4'-H_a), 5.21 (dddd, ³J_{4'b,3'} = 17.0 Hz, ²J_{4'b,4'a} = 2.1 Hz, ⁴J_{4'b,2'b} = 1.3 Hz, ⁴J_{4'b,2'a} = 1.2 Hz, 1 H, 4'-H_b), 5.81 (dd, ³J_{3a,2} = 10.4 Hz, ²J_{3a,3b} = 1.5 Hz, 1 H, 3-H_a), 5.83 (dddd, ³J_{3',4'b} = 17.0 Hz, ³J_{3',4'a} = 10.2 Hz, ³J_{3',2'a} = 7.5 Hz, ³J_{3',2'b} = 6.6 Hz, 1 H, 3'-H), 6.11 (dd, ³J_{2,3b} = 17.3 Hz, ³J_{2,3a} = 10.4 Hz, 1 H, 2-H), 6.40 (dd, ³J_{3b,2} = 17.3 Hz, ²J_{3b,3a} = 1.5 Hz, 1 H, 3-H_b), 7.04–7.29 (m, 5 H, arom. CH). ¹³C NMR

(CDCl₃, 151 MHz): δ [ppm] = 13.3, 21.5, 26.3, 38.9, 76.8, 117.9, 125.7, 126.1, 128.3, 128.7, 130.7, 133.4, 142.2, 165.9.

4.7.2. (1*S*,1'*S*,2'*S*)-1-(2'-Phenylcyclopropyl)but-3-en-1-yl acrylate [(*S,S,S*)-**17**] and (1*R*,1'*R*,2'*R*)-1-(2'-Phenylcyclopropyl)but-3-en-1-yl acrylate [(*R,R,R*)-**17**]

(*S,S,S*)-**17**: 514 mg (2.12 mmol, 89%), colourless oil. [(*R,R,R*)-**17**: 1.3 g (5.4 mmol, 95%), colourless oil. R_f = 0.8 (PE:EE = 70:30). [(*R,R,R*)-**17** [α]_D²⁰ = –38 (c = 1.0, CHCl₃). MS (EI, 70 eV): m/z (%) = 242 (<5) [M⁺], 201 (<5) [(M–C₃H₅)⁺], 187 (<5) [(C₁₃H₁₅O)⁺], 170 (26) [(C₁₃H₁₄)⁺], 161 (51) [(C₁₁H₁₃O)⁺], 142 (13) [(C₁₁H₁₀O)⁺], 129 (69) [(C₁₀H₉)⁺], 104 (44) [(C₈H₈)⁺], 91 (41) [(C₇H₇)⁺], 55 (100) [(C₃H₅O)⁺]. Elemental analysis: C₁₆H₁₈O₂ (242.31 g/mol): calc.: C 79.31 H 7.49; found: C 79.07 H 7.50. IR (ATR, film): 3078, 3028, 3008, 2942, 1720 (C=O), 1637, 1605, 1498, 1465, 1404, 1295, 1270, 1195, 1044, 983, 919, 808, 752, 697 cm⁻¹. ¹H NMR (CDCl₃, 600 MHz): δ [ppm] = 0.97 (ddd, ³J_{3'a,1'} = 8.5 Hz, ³J_{3'a,2'} = 5.3 Hz, ²J_{3'a,3'b} = 5.3 Hz, 1 H, 3'-H_a), 1.12 (ddd, ³J_{3'b,1'} = 8.9 Hz, ³J_{3'b,2'} = 8.9 Hz, ²J_{3'b,3'a} = 5.3 Hz, 1 H, 3'-H_b), 1.37 (dddd, ³J_{1',1'} = 8.6 Hz, ³J_{1',3'a} = 8.5 Hz, ³J_{1',3'b} = 5.5 Hz, ³J_{1',2'} = 4.5 Hz, 1 H, 1'-H), 1.88 (ddd, ³J_{2',3'b} = 8.9 Hz, ³J_{2',3'a} = 5.3 Hz, ³J_{2',1'} = 4.5 Hz, 1 H, 2'-H), 2.50–2.53 (m, 2 H, 2'-H), 4.61 (ddd, ³J_{1',1'} = 8.6 Hz, ³J_{1',2'} = 6.4 Hz, ³J_{1',2'} = 5.9 Hz, 1 H, 1'-H), 5.05 (dddd, ³J_{4'a,3'} = 10.2 Hz, ²J_{4'a,4'b} = 2.0 Hz, ⁴J_{4'a,2'} = 1.1 Hz, ⁴J_{4'a,2'} = 1.1 Hz, 1 H, 4'-H_a), 5.07 (dddd, ³J_{4'b,3'} = 17.1 Hz, ²J_{4'b,4'a} = 2.0 Hz, ⁴J_{4'b,2'} = 1.5 Hz, ⁴J_{4'b,2'} = 1.5 Hz, 1 H, 4'-H_b), 5.80 (dddd, ³J_{3',4'b} = 17.1 Hz, ³J_{3',4'a} = 10.2 Hz, ³J_{3',2'} = 7.0 Hz, ³J_{3',2'} = 6.9 Hz, 1 H, 3'-H), 5.84 (dd, ³J_{3a,2} = 10.4 Hz, ²J_{3a,3b} = 1.4 Hz, 1 H, 3-H_a), 6.15 (dd, ³J_{2,3b} = 17.3 Hz, ³J_{2,3a} = 10.4 Hz, 1 H, 2-H), 6.42 (dd, ³J_{3b,2} = 17.3 Hz, ²J_{3b,3a} = 1.4 Hz, 1 H, 3-H_b), 7.05–7.28 (m, 5 H, arom. CH). ¹³C NMR (CDCl₃, 151 MHz): δ [ppm] = 14.1 (C-3'), 21.6 (C-1'), 26.5 (C-2'), 39.2 (C-2'), 76.8 (C-1'), 117.9 (C-4'), 125.8 (arom. CH), 126.0 (arom. CH), 128.4 (arom. CH), 128.7 (C-2), 130.7 (C-3), 133.3 (C-3'), 141.8 (i-C), 165.6 (C-1).

4.8. Synthesis of (6*R*,1'*S*,2'*S*)-6-(2'-Phenylcyclopropyl)-5,6-dihydro-2*H*-pyran-2-one [(*R,S,S*)-**4**]

According to Ghosh et al.⁴¹ 1.06 g (4.37 mmol, 1.00 equiv.) diene (*R,S,S*)-**17** was dissolved under an argon atmosphere in 700 mL dichloromethane. Subsequently 388 μL (1.31 mmol, 0.30 equiv.) tetrakis(isopropyl)pyridinium salt was added and the solution was refluxed for 30 min. Afterwards, 360 mg (0.44 mmol, 0.10 equiv.) Grubbs-I catalyst was added and the purple solution was refluxed for 16 h under absence of oxygen (control by TLC). After complete conversion the solution was distilled until 10 mL of solution were left over. The crude product was then transferred to a silica column (PE:EE = 95:5, then 90:10, then 80:20) and purified twice via column chromatography. The product (*R,S,S*)-**4** could be isolated as a colourless solid [859 mg (4.00 mmol, 92%)].

Lactones (*S,S,S*)-**4** and (*R,R,R*)-**4** have been synthesized according to the same protocol.

4.8.1. (6*R*,1'*S*,2'*S*)-6-(2'-Phenylcyclopropyl)-5,6-dihydro-2*H*-pyran-2-one [(*R,S,S*)-**4**]

R_f = 0.2 (PE:EE = 75:25). Melting point: 65 °C. [α]_D²⁰ = +264 (c = 0.9, CHCl₃). MS (EI, 70 eV): m/z (%) = 214 (16) [M⁺], 169 (6) [(M–CHO₂)⁺], 145 (8) [(C₁₀H₉O)⁺], 129 (26) [(C₁₀H₉)⁺], 117 (43) [(C₉H₉)⁺], 103 (14) [(C₈H₈)⁺], 97 (100) [(C₅H₅O₂)⁺], 69 (23) [(C₄H₄O)⁺]. IR (ATR, film): 3059, 3026, 2898, 1710 (C=O), 1605, 1498, 1415, 1381, 1246, 1152, 1062, 1021, 950, 937, 876, 816, 761, 698 cm⁻¹. ¹H NMR (CDCl₃, 600 MHz): δ [ppm] = 1.02 (ddd, ³J = 8.6 Hz, ²J = 5.5 Hz, ³J = 5.5 Hz, 1 H), 1.05 (ddd, ³J = 8.9 Hz, ²J = 5.5 Hz, ³J = 5.5 Hz, 1 H), 1.46 (dddd, ³J = 8.6 Hz, ³J = 7.7 Hz, ³J = 5.5 Hz, ³J = 4.5 Hz, 1 H), 2.12 (ddd, ³J = 8.9 Hz, ³J = 5.5 Hz,

$^3J = 4.5$ Hz, 1 H), 2.25–2.53 (m, 2 H), 4.05 (ddd, $^3J = 7.7$ Hz, $^3J = 7.7$ Hz, $^3J = 7.7$ Hz, 1 H), 6.05 (ddd, $^3J = 9.8$ Hz, $^4J_{3,5} = 1.9$ Hz, $^4J = 1.9$ Hz, 1 H), 6.90 (ddd, $^3J = 9.8$ Hz, $^3J = 4.8$ Hz, $^3J = 4.0$ Hz, 1 H), 7.10–7.28 (m, 5 H, arom. CH). ^{13}C NMR (CDCl_3 , 151 MHz): δ [ppm] = 12.1, 21.3, 25.8, 29.4, 80.8, 121.7, 125.7, 126.3, 128.3, 141.4, 144.8, 164.3.

4.8.2. (S)-6-((1S,2S)-2-Phenylcyclopropyl)-5,6-dihydro-2H-pyran-2-one [(S,S,S)-4**]** and **(R)-6-((1R,2R)-2-phenylcyclopropyl)-5,6-dihydro-2H-pyran-2-one [(R,R,R)-**4**]**

[(S,S,S)-**4**]: (322 mg, 1.51 mmol, 71%), colourless oil. [(R,R,R)-**4**]: 1.04 g (4.30 mmol, 90%), colourless oil. $R_f = 0.2$ (PE:EE = 75:25). $R_f = 0.2$ (PE:EE = 80:20). [(R,R,R)-**4**] $[\alpha]_D^{20} = +0.7$ ($c = 0.9$, CHCl_3 , 97% ee). [(S,S,S)-**4**] $[\alpha]_D^{20} = -0.8$ ($c = 1.1$, CHCl_3 , 94% ee). HPLC: column: Chiralpak AS (250 mm $\times$ 4.6 mm, Fa. Daicel); solvent: heptane:2-propanol = 80:20; flowrate: 0.5 mL/min, pressure: 10 bar; detection: UV 205 nm; t_R [(R,R,R)-**4**] 42.2 min; t_R [(S,S,S)-**4**] 48.1 min. MS (EI, 70 eV): m/z (%) = 214 (10) $[\text{M}^+]$, 169 (6) $[(\text{M}-\text{CHO}_2)^+]$, 145 (8) $[(\text{C}_{10}\text{H}_9\text{O})^+]$, 129 (23) $[(\text{C}_{10}\text{H}_9)^+]$, 117 (44) $[(\text{C}_9\text{H}_9)^+]$, 104 (41) $[(\text{C}_8\text{H}_9)^+]$, 97 (100) $[(\text{C}_5\text{H}_5\text{O}_2)^+]$, 91 (32) $[(\text{C}_7\text{H}_7)^+]$, 77 (10) $[(\text{C}_6\text{H}_5)^+]$, 69 (25) $[(\text{C}_4\text{H}_5\text{O})^+]$, 68 (35) $[(\text{C}_4\text{H}_5\text{O})_2^+]$. HRMS (ESI, positive-ion): calc.: 237.0886 ($\text{C}_{14}\text{H}_{14}\text{O}_2\text{Na}$) $[(\text{M} + \text{Na})^+]$ found: 237.0884 ($\text{C}_{14}\text{H}_{14}\text{O}_2\text{Na}$) $[(\text{M} + \text{Na})_2^+]$. Elemental analysis: $\text{C}_{14}\text{H}_{14}\text{O}_2$ (214.26 g/mol): calc.: C 78.48 H 6.59; found: C 78.14 H 6.67. IR (ATR, film): 3027, 1713 ($\text{C}=\text{O}$), 1605, 1499, 1465, 1417, 1383, 1247, 1153, 1076, 1063, 1031, 938, 916, 754, 698 cm^{-1} . ^1H NMR (CDCl_3 , 600 MHz): δ [ppm] = 1.11 (ddd, $^3J_{3'a,1'} = 8.5$ Hz, $^3J_{3'a,2'} = 5.5$ Hz, $^2J_{3'a,3'b} = 5.5$ Hz, 1 H, 3'-Ha), 1.21 (ddd, $^3J_{3'b,2'} = 9.0$ Hz, $^3J_{3'b,1'} = 5.5$ Hz, $^2J_{3'b,3'a} = 5.5$ Hz, 1 H, 3'-Hb), 1.49 (dddd, $^3J_{1',3'a} = 8.5$ Hz, $^3J_{1',6} = 8.0$ Hz, $^3J_{1',3'b} = 5.5$ Hz, $^3J_{1',2'} = 4.5$ Hz, 1 H, 1'-H), 1.96 (ddd, $^3J_{2',3'b} = 9.0$ Hz, $^3J_{2',3'a} = 5.5$ Hz, $^3J_{2',1'} = 4.5$ Hz, 1 H, 2'-H), 2.50–2.59 (m, 2 H, 5-H), 4.04 (ddd, $^3J_{6,5} = 9.5$ Hz, $^3J_{6,1'} = 8.0$ Hz, $^3J_{6,5} = 5.8$ Hz, 1 H, 6-H), 6.04 (ddd, $^3J_{3,4} = 9.7$ Hz, $^3J_{3,5} = 2.3$ Hz, $^3J_{3,5} = 1.4$ Hz, 1 H, 3-H), 6.89 (ddd, $^3J_{4,3} = 9.7$ Hz, $^3J_{4,5} = 5.1$ Hz, $^3J_{4,5} = 3.1$ Hz, 1 H, 4-H), 7.06–7.29 (m, 5 H, arom. CH). ^{13}C NMR (CDCl_3 , 151 MHz): δ [ppm] = 13.8 (C-3'), 20.5 (C-1'), 26.2 (C-2'), 29.6 (C-5), 80.7 (C-6), 121.6 (C-3), 125.9 (arom. CH), 126.1 (arom. CH), 128.5 (arom. CH), 141.4 (i-C), 144.9 (C-4), 164.2 (C-2).

4.9. Biological methods

4.9.1. Cell culture

Human lung adenocarcinoma cell line A549 (German Collection of Microorganisms and Cell Cultures, Braunschweig, Germany) was cultured in DMEM medium (Thermo Fisher Scientific, Waltham, MA, USA) supplemented with 10% heat inactivated fetal bovine serum (h.i. FBS; Thermo Fisher Scientific, Waltham, MA, USA), 100 U/mL penicillin and 100 $\mu\text{g}/\text{mL}$ streptomycin (Thermo Fisher Scientific, Waltham, MA, USA).

Human breast adenocarcinoma cell line MCF-7 (German Collection of Microorganisms and Cell Cultures, Braunschweig, Germany) was cultured in RPMI 1640 medium (Thermo Fisher Scientific, Waltham, MA, USA) supplemented with 10% h.i. FBS, 1x MEM non-essential amino acids (Biochrom, Berlin, Germany), 1 mM sodium pyruvate (Biochrom, Berlin, Germany), 10 $\mu\text{g}/\text{mL}$ human insulin (Biochrom, Berlin, Germany), 100 U/mL penicillin and 100 $\mu\text{g}/\text{mL}$ streptomycin.

Triple negative human breast cancer cell line HBL-100 (Cell Lines Service, Eppelheim, Germany) was cultured in Mc Coy's 5A medium (Thermo Fisher Scientific, Waltham, MA, USA) supplemented 10% h.i. FBS, 2 mM L-glutamine, 100 U/mL penicillin and 100 $\mu\text{g}/\text{mL}$ streptomycin.

Cell lines were cultured at 37 °C and 5% CO_2 in a humidified atmosphere.

4.9.2. Cytotoxicity assay

Cytotoxicity was measured using the CellTiter-Glo Luminescent Cell Viability Assay (Promega, Madison, WI, USA) according to the manufacturer's protocol. This assay is based on a luciferase reaction to determine the ATP content, which is proportional to the rate of living cells. Cells were seeded in white 384-well plates (A549, HBL-100: $2 \cdot 10^3$ cells/well; MCF-7: $5 \cdot 10^3$ cells/well) in cell culture medium with the CyBi-Well 96-channel simultaneous pipettor (Analytik Jena AG, Jena, Germany). After 24 h incubation at 37 °C and 5% CO_2 , the test compounds were added and the cells were further incubated for 48 h. Afterwards, the CellTiter-Glo reagent was added and luminescence was measured using the Infinite m200 microplate reader (Tecan Group AG, Maennedorf, Switzerland).

IC_{50} values were determined by plotting the luminescence values against the logarithmic molar concentration of the compounds and subsequent curve fitting (nonlinear regression) using GraphPad Prism v. 6.07 (GraphPad Software, San Diego, CA, USA). The results are mean values of at least three independent experiments

4.9.2.1. Yeast strains. *S. cerevisiae* was cultured in YPD medium (10 g/liter yeast extract, 20 g/liter peptone, 2% glucose). The following *S. cerevisiae* yeast strain was used: YRE1001 (MATA *ura3-52 trp1-1 leu2-3112 his3-11,15 ade2-1 pdr1-3 pdr5pdr5promΔ::TRP1*).

4.9.2.2. Liquid drug assay. The test compounds were diluted in DMSO to final concentration of 20 mg/mL (except of **25** was diluted to a final concentration of 10 mg/mL). The assay was carried out in sterile 96-well microtiter plates (Falcon) with 6.25 μL of serial dilution of the test compounds, 193.75 μL of YPD medium and 50 μL of yeast culture at an OD_{600} of 0.15. Plates were incubated for 48 h at 30 °C, and OD_{600} was measured with an ELISA plate reader (BioRad).

4.9.2.3. Isolation of plasma membranes. Yeast cells were cultured to an OD_{600} of 1.5 in YPD at 25 °C. At this time point, the nitrogen sources was replenished by addition of a 10th volume 5x YP (50 g/liter yeast extract, 100 g/liter peptone). Cells were harvested at an OD_{600} of 3.5. The isolation of plasma membranes (PM) was performed as described.^{63,68}

4.9.2.4. Rhodamine 6G transport assay. Active transport of rhodamine 6 G (R6G) was measured, using a Tecan Infinite 200 PRO reader (Tecan), according to the protocol developed by Kolaczowski et al.⁶⁹ Isolated PM (6 μL of a 1 mg/mL stock solution) were resuspended in 200 μL of transport buffer (50 mM Hepes, pH 7.0, 5 mM MgCl_2 , 10 mM NaN_3 , and 150 mM R6G) and incubated at 30 °C in a 96-well-FIA-plate (Greiner). In addition, 5 μL of 3 different concentrations (500 $\mu\text{g}/\text{mL}$, 50 $\mu\text{g}/\text{mL}$ and 5 $\mu\text{g}/\text{mL}$) (except of **25**: 125 $\mu\text{g}/\text{mL}$, 13 $\mu\text{g}/\text{mL}$) of the test compounds were added. The active transport was started by addition of 10 mM ATP and the fluorescence was recorded for 20 min (excitation at 524 nm, emission at 558 nm; number of flashes: 30, integration time 2000 μs).

4.9.2.5. Atpase activity assay. Oligomycin (OM)-sensitive ATPase activity of Pdr5 in highly enriched PM was determined by a colorimetric assay in 96-well microtiter plate.^{64–66} 40 μL of the plasma membrane solution (20 $\mu\text{g}/\text{mL}$ stock solution) were incubated with 2 mM ATP, 5 mM MgCl_2 in 270 mM Tris-glycine buffer (pH 9.5) and 5 μL of the indicated test compound concentrations in a total volume of 100 μL . To reduce the background activities 0.2 mM ammonium molybdate, 10 mM NaN_3 and 50 mM KNO_3 , respectively, were added.^{64,67} In a second assay, OM (20 $\mu\text{g}/\text{mL}$) was added to the experiment under same conditions. After incubation at 30 °C for 20 min, the reaction was stopped by adding 25 μL of the reaction to 175 μL 40 mM H_2SO_4 . The amount of released inorganic

phosphate was determined by a colorimetric assay, using Na₂HPO₄ as standard. The difference of both assays corresponds to OM-sensitive ATPase activity of Pdr5.⁶⁸

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2.2 Chapter II

Title:	Selective inhibition of the P-gp transporter by goniothalamine derivatives sensitizes resistant cancer cells to chemotherapy
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Selective inhibition of the P-gp transporter by goniotalamin derivatives sensitizes resistant cancer cells to chemotherapy

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Abstract

Background: Overexpression of efflux transporters of the ATP-binding cassette (ABC) transporter family, primarily P-glycoprotein (P-gp), is a frequent cause of multidrug resistance in cancer and leads to failure of current chemotherapies. Thus, identification of selective P-gp inhibitors might provide a basis for the development of novel anticancer drug candidates.

Purpose: The natural product goniotalamin and 21 derivatives were characterized regarding their cytotoxic activities in pairs of resistant cancer cell lines and corresponding sensitive parental cell lines. Moreover, the ability of goniotalamin and derivatives to inhibit ABC transporter function was analyzed for the first time.

Methods: Cytotoxicity of the natural product derivatives and reversal of resistance to chemotherapy were assessed. The influence of compounds on activity of ABC transporters was investigated by analyzing intracellular accumulation of fluorescent transporter substrates. Further insights into the mechanism of P-gp inhibition were gained by ATPase assay and molecular docking studies.

Results: Natural occurring goniotalamin (**R**)-**1** displayed comparable cytotoxicity in most sensitive and resistant cell lines tested. Two derivatives with improved cytotoxic activities were identified. Furthermore, selective inhibitors of P-gp were discovered. The two most potent and non-toxic inhibitors (**R**)-**3** and (**S**)-**3** displayed the ability to increase intracellular accumulation of doxorubicin, thereby sensitizing P-gp overexpressing tumor cells to chemotherapy by decreasing doxorubicin IC₅₀ value up to 15-fold. Results of the P-gp ATPase assay and molecular docking studies revealed these compounds to inhibit P-gp by acting as transporter substrates.

Conclusion: Novel derivatives with enhanced cytotoxic efficacy compared to the natural product goniotalamin were identified. Additionally, non-toxic derivatives with selective P-gp inhibitory activity were characterized. Analyzing the mode of action revealed novel goniotalamin derivatives displaying the ability of reversing P-gp mediated chemotherapy resistance.

Keywords

Goniothalamine; multidrug resistance; P-glycoprotein; cancer chemotherapy; resistance reversal

Abbreviations

ABC, ATP-binding cassette; BCRP, breast cancer resistance protein; DMSO, dimethylsulfoxide; MDR, multidrug resistance; MRP1, multidrug resistance-associated protein 1; P-gp, P-glycoprotein; SAR, structure-activity relationship

Introduction

Despite emerging progress in the development of novel anticancer therapies, multidrug resistance (MDR) remains a major challenge in tumor treatment (Gottesman et al., 2002; Khamisipour et al., 2016). MDR describes a multifactorial phenomenon against a variety of marketed anticancer drugs (Gillet and Gottesman, 2010). Most common mechanisms of MDR encompass altered drug metabolism through the expression of phase II conjugating enzymes, including glutathione transferase P1 (Bräutigam et al., 2015), or increased expression of efflux pumps of the ATP-binding cassette (ABC) transporter superfamily in the tumor, thereby extruding chemotherapeutic drugs (Gottesman et al., 2002). To date, three ABC transporters have been most extensively studied (El-Awady et al., 2016): P-glycoprotein (P-gp, ABCB1), multidrug resistance-associated protein 1 (MRP1, ABCC1) and breast cancer resistance protein (BCRP, ABCG2). P-gp, the best characterized transporter mediating MDR, is normally expressed in the liver transport epithelium, the gastrointestinal tract and in the blood-brain barrier (Cordon-Cardo et al., 1990). Among the ABC transporters, P-gp demonstrates the strongest resistance to a variety of chemotherapeutics including anthracyclines, taxanes or tyrosine kinase inhibitors (Sharom, 2008). Besides P-gp, MRP1 and BCRP as mediators of chemotherapeutic resistance are in the focus of current research (Lu et al., 2015; Westover and Li, 2015).

The styryl-lactone goniotalamin can be found in species of the *Goniotalamus* genus, which is endemic in Southeast Asia (Jewers et al., 1972; Seyed et al., 2014). Over the last decades, pharmacological effects of goniotalamin have been studied against pathogens such as *Trypanosoma* and *Plasmodium* and in various tumors. Amongst its anticancer activities, inhibition of inflammation (Vendramini-Costa et al., 2015), delay of tumor progression (Kido et al., 2016; Vendramini-Costa et al., 2017), induction of apoptosis and cell cycle arrest (Innajak et al., 2016; Semprebon et al., 2015) were described. Interestingly, to date, goniotalamin has not been characterized regarding ABC transporter inhibition.

In the current study, we analyzed the cytotoxic efficacy of the natural occurring goniotalamin (**(R)**-1 and its enantiomer (**(S)**-1, as well as 21 derivatives (Figure 1; Weber et al., 2017) on a panel encompassing sensitive and corresponding resistant human cancer cells. Moreover, their inhibitory activity towards the ABC transporters P-gp, MRP1 and BCRP was characterized for the first time.

Material and methods

Test compounds and chemicals

The chemical syntheses of goniothalamins were described before (Weber et al., 2017). Test compounds were dissolved in dimethyl sulfoxide (DMSO; Carl Roth, Karlsruhe, Germany). Calcein-AM, Hoechst 33342, PSC833, Ko143, MK-571 and doxorubicin were purchased from Sigma-Aldrich (St. Louis, MO, USA) and dissolved in DMSO, except for Hoechst 33342, which was dissolved in water. Hank's balanced salt solution was purchased from Biochrom (Berlin, Germany); hepes buffer was purchased from Lonza (Basel, Switzerland). Cell culture media and supplements were purchased from Thermo Fisher Scientific (Waltham, MA, USA), except for human recombinant insulin (Merck, Darmstadt, Germany).

Cell culture

HCT-15 colon adenocarcinoma cells (German Collection of Microorganisms and Cell Cultures [DSMZ], Braunschweig, Germany) were cultured in RPMI 1640 containing 10% fetal bovine serum (FBS), 100 U/mL penicillin and 100 µg/mL streptomycin. Small cell lung cancer cell line NCI-H69 and the multidrug-resistant progeny H69AR (American Type Culture Collection, Manassas, VA, USA) were cultured in RPMI 1640 (ATCC modification) containing 10% or 20% FBS, respectively, 100 U/mL penicillin and 100 µg/mL streptomycin. Multidrug-resistant MCF-7/MX breast cancer cells (generous gift from Dr. Erasmus Schneider, Wadsworth Center, New York State Department of Health, Albany, NY, USA) were cultured in DMEM containing 10% FBS, 100 U/mL penicillin and 100 µg/mL streptomycin. Culture of MCF-7 breast adenocarcinoma and A549 non-small cell lung adenocarcinoma cells (both DSMZ) was described in Weber et al., 2017.

Cells were maintained in a humidified atmosphere at 37 °C and 5% CO₂ and subcultured at 80 to 90% confluency.

Cell viability assay

Cytotoxicity of test compounds was determined after 48 h with the CellTiter-Glo Luminescent Cell Viability Assay (Promega, Madison, WI, USA) as described previously (Weber et al., 2017). Cell densities were as follows: A549, MCF-7/MX: 2·10³ cells/well; HCT-15: 3·10³ cells/well; H69AR, MCF-7: 5·10³ cells/well; NCI-H69: 7·10³ cells/well. Cell

seeding and addition of compounds were conducted with the CyBi-Well 96-channel simultaneous pipettor (Analytik Jena AG, Jena, Germany).

To analyze the ability of identified inhibitors of P-gp to sensitize P-gp expressing cancer cells to chemotherapy treatment, HCT-15 cells were either incubated with a serial dilution of doxorubicin alone or in combination with fixed concentrations of the test compounds. Fold change of doxorubicin IC₅₀ was calculated with the formula IC₅₀(doxorubicin)/IC₅₀(doxorubicin with modulator).

P-gp transport assay

Influence on the transport activity of P-gp was analyzed in P-gp expressing HCT-15 cells by monitoring the intracellular accumulation of calcein.

Cells ($5 \cdot 10^4$ cells/well) were seeded in black 96-well plates and incubated at 37 °C and 5% CO₂. After 24 h, the culture medium was replaced by Hank's balanced salt solution containing 10 mM hepes. The cells were pre-incubated with the test compounds, positive control (2.5 µM PSC833) or negative control (0.5% DMSO) in triplicates for 30 min and afterwards the P-gp substrate calcein-AM was added (0.5 µM). The fluorescence of calcein (excitation 485 nm, emission 520 nm) was measured over a period of 3 h with the Infinite M1000 pro microplate reader (Tecan Group AG, Maennedorf, Switzerland) at 37 °C.

Inhibition of transport activity was analyzed by determining the slope of the fluorescence-time curve (0-30 min) using linear regression. Data were normalized to PSC833 (100% inhibition) and DMSO (0% inhibition).

MRP1 transport assay

As calcein-AM is also a substrate of MRP1, its transport function was determined in MRP1-expressing H69AR ($7.5 \cdot 10^4$ cells/well) cells using 20 µM MK-571 as positive control.

Inhibition of transport activity was analyzed by determining the slope of the fluorescence-time curve (0-20 min) using linear regression. Data were normalized to MK-571 (100% inhibition) and DMSO (0% inhibition).

BCRP transport assay

Activity of the BCRP transporter was analyzed in BCRP-expressing MCF-7/MX cells ($5 \cdot 10^4$ cells/well). Ko143 (1 µM) was used as positive control and Hoechst 33342 (1 µM) as fluorescent BCRP substrate (excitation 355 nm, emission 460 nm).

Inhibition of transport activity was analyzed by determining the plateau of the fluorescence-time curve using non-linear regression (one-phase exponential fit). Data were normalized to Ko143 (100% inhibition) and DMSO (0% inhibition).

Flow cytometry

Accumulation of doxorubicin in P-gp expressing HCT-15 cells was analyzed. 24 h after cell seeding, test compounds and controls were incubated for 30 min at 37 °C. 2.5 μ M PSC833 and 0.5 % DMSO were used as positive or negative control. Afterwards, doxorubicin was added to a final concentration of 10 μ M. After 3 h, cells were collected and washed twice with ice-cold PBS. Doxorubicin fluorescence was measured with the CyFlow Cube 8 (Sysmex Corporation, Kobe, Japan) equipped with a 590/50 bandpass filter. Data were analyzed using FlowJo 10.3 (FlowJo LLC, Ashland, OR, USA). Live cells were gated based on forward/sideward scatter. Data were normalized to DMSO-treated cells.

P-gp ATPase assay

Influence of compounds on the P-gp ATPase activity was analyzed using the SB MDR1/P-gp PREDEASY ATPase Kit (Solvo Biotechnology, Szeged, Hungary) according to manufacturer's instructions. The amount of the liberated inorganic phosphate was determined by measuring the absorbance at 610 nm using the Infinite M200 microplate reader (Tecan Group AG, Maennedorf, Switzerland). Specific P-gp related ATPase activity was measured by calculating the difference between phosphate liberation in the presence or absence of sodium orthovanadate. Data were normalized to baseline or verapamil-stimulated ATPase activity, respectively.

Molecular docking

Selected compounds were evaluated in terms of their docking pose and binding energy with AutoDock 4 (Morris et al., 2009) on the homology model of human P-gp prepared as described previously (Tajima et al., 2014) by using mouse P-gp (PDB ID: 4M1M) as template structure. PSC833 was used as positive control. For blind docking calculations, whole protein surface was covered and for defined docking, residues at the drug-binding pocket were covered (Aller et al., 2009). Three independent docking calculations were conducted with 2,500,000 energy evaluations and 250 runs using Lamarckian Genetic Algorithm. Lowest binding energies and predicted inhibition constants were obtained from the docking log files

(dlg). For visualization of the docking results, Visual Molecular Dynamics (VMD) was used. VMD software was developed with NIH support by the Theoretical and Computational Biophysics group at the Beckman Institute, University of Illinois, USA, at Urbana-Champaign.

Data analysis and statistics

All experiments were performed in at least three independent replicates. GraphPad Prism v. 6.07 (GraphPad Software Inc., La Jolla, CA, USA) was used for data analyses. Results are presented as mean $\pm$ SEM. For statistical analysis comparing different groups, one-way ANOVA followed by Dunnett's multiple comparisons test was performed. $p < 0.05$ was chosen to define statistically significant difference.

Results

Goniothalamin and derivatives demonstrate cytotoxicity against sensitive and resistant cancer cells

We have recently reported distinct structure-activity relationship (SAR) of the cytotoxicity of goniothalamin and derivatives in lung and breast cancer cell lines (Weber et al., 2017). Here, we expanded our cell panel analysis towards multidrug-resistant and corresponding sensitive tumor cell lines. For the sensitive lung cancer cell line A549, which had already been included in our previous work, the panel of test compounds was expanded.

Natural occurring goniothalamin (**R**)-**1** displayed moderate cytotoxicity in most cell lines investigated (Table 1). IC₅₀ values varied between 29 μ M and 35 μ M in HCT-15, NCI-H69, H69AR and MCF-7/MX. In contrast, goniothalamin proved to be around 5-fold more efficacious in A549 (IC₅₀ 5.7 μ M) and less efficacious in MCF-7 (IC₅₀ 56 μ M).

Two candidates with improved cytotoxic activity on most cell lines investigated could be revealed. Compound (**R**)-**25** displayed a 1.9-fold lower IC₅₀ value in HCT-15 (IC₅₀ 15.9 μ M) and MCF-7 (IC₅₀ 28.8 μ M) compared to the naturally occurring (**R**)-**1**. In NCI-H69 and H69AR, cytotoxicity of compound (**R**)-**25** was 3- and 2.6-fold lower with IC₅₀ values of 10 μ M and 12 μ M, respectively. Regarding derivative (**R,S,S**)-**4**, IC₅₀ values were around 2-fold lower in HCT-15 (IC₅₀ 14.9 μ M) and in H69AR (IC₅₀ 15.3 μ M) and 1.8-fold lower in NCI-H69 (IC₅₀ 16.6 μ M) compared to natural goniothalamin (**R**)-**1**. According to our previous

study, (*R*)-enantiomers displayed cytotoxicity, while in most cases (*S*)-enantiomers were not cytotoxic. Additionally, compounds with saturated lactones did not exhibit cytotoxic effects either (derivatives 23 and 26).

Moreover, we could observe that most of the compounds with cytotoxic activity in sensitive cancer cell lines showed comparable results in the multidrug-resistant cell lines tested. Comparing MRP1-overexpressing H69AR cells to the sensitive parental NCI-H69 cells, the cytotoxic compounds (***R***-1, (***R***)-2, (***R***)-25, (***R***)-24, (***R,S,S***)-4, (***R,R,R***)-4 and (***S,S,S***)-4 demonstrated comparable IC₅₀ values. Compounds (***S***)-25, (***S***)-3, (***S***)-24 displayed efficacy merely in the sensitive cell line NCI-H69, however being rather weak.

Notably, comparing BCRP-overexpressing MCF-7/MX cells and sensitive parental MCF-7 cells, compounds with selectivity towards the resistant cells were identified. Derivative (***R***)-2 proved the best selectivity with a 2-fold higher efficacy towards MCF-7/MX.

Analysis of sensitive and resistant cancer cell lines for distinct ABC transporter expression

To identify tumor cell lines with selectively high expression of P-gp, MRP1 or BCRP for further ABC transporter studies, a systematic screening of transporter expression by qPCR was conducted. Levels of respective mRNA in the cell lines were quantified in relation to the reference gene GAPDH (Supplementary Table S1).

Based on our in-depth comparative analysis, the cell lines HCT-15, H69AR and MCF-7/MX were selected for further evaluation of the inhibitory effects of goniothalamine and derivatives on transporter activities.

Goniothalamine and derivatives selectively inhibit P-gp transport function

The influence of the natural product goniothalamine on the transport activity of ABC transporters has not been described before. To analyze influence on P-gp activity, intracellular calcein accumulation was determined (Figure 2A). The slope of the linear part of the fluorescence-time curve and the relative inhibition rates were calculated by normalizing data of the tested compounds to the positive control PSC833 (100% inhibition) and the negative control DMSO (0% inhibition).

Goniothalamine (***R***-1) dose-dependently inhibited P-gp transport activity (Figure 2B). Its relative inhibition compared to the positive control PSC833 was between $19.9 \pm 1.9\%$ at 10 μM and $41.1 \pm 8.2\%$ at 50 μM (mean $\pm$ SEM). Interestingly, two derivatives with

significantly improved P-gp inhibition compared to the natural product could be identified: **(R)-3** and **(S)-3**. Highest inhibition rates were achieved by compound **(R)-3** with relative values between $57.4 \pm 3.1\%$ (10 μM) and $98.5 \pm 2.3\%$ (50 μM). These rates were 2.4- to 3-fold higher compared to the natural product **(R)-1**. Inhibition of P-gp by **(S)-3** was lower compared to the (*R*)-enantiomer with rates between $42.5 \pm 3.1\%$ at 10 μM and $61.8 \pm 6.2\%$ at 50 μM . Noteworthy, derivatives **(R)-23e** and **(S)-23e** with saturated lactone moiety, displayed significantly lower inhibition rates compared to the unsaturated compounds. The differences were around 9-fold between compounds **(R)-3** and **(R)-23e** and around 2.5-fold between compounds **(S)-3** and **(S)-23e**. Compound **(R)-24** inhibited P-gp with similar rates compared to natural goniothalamine **(R)-1**. Relative inhibition varied between $22.2 \pm 2.1\%$ (10 μM) and $54.6 \pm 5.8\%$ (50 μM). Here, the (*S*)-enantiomer displayed lower inhibitory activity between $4.5 \pm 2.1\%$ (10 μM) and $26.1 \pm 2.2\%$ (50 μM). Compound **(R)-23a** with saturated lactone moiety inhibited P-gp significantly weaker than compound **(R)-24**, while the inhibition of compound **(S)-23e** was comparable to compound **(S)-24**. Regarding transport activity of MRP1 in H69AR cells and BCRP in MCF-7/MX cells, no modulation by the tested goniothalamins could be observed (Figure 2C, D), proving selectivity for the inhibition capacity of goniothalamins towards P-gp.

P-gp inhibiting goniothalamins increase intracellular doxorubicin accumulation

To further confirm inhibition of P-gp transport activity, intracellular accumulation of the chemotherapeutic drug doxorubicin, a proven P-gp substrate, was analyzed by flow cytometry.

HCT-15 cells were incubated with different concentrations of the newly identified inhibitors, derivatives **(R)-3** and **(S)-3**, as well as the structurally corresponding goniothalamins with saturated lactone moiety **(R)-23e** and **(S)-23e**, exhibiting significantly weaker inhibition of P-gp in the transporter screening assay (Figure 2B). PSC833 (2.5 μM) served as positive control. Incubation with compounds **(R)-3** and **(S)-3**, lead to a dose-dependent increase in doxorubicin fluorescence intensity compared to cells treated with DMSO as negative control (Figure 3A, B). In line with the transporter inhibition data, compound **(R)-3** showed stronger accumulation of doxorubicin in HCT-15 compared to compound **(S)-3**. In comparison to treatment with DMSO, compound **(R)-3** dose-dependently increased the accumulation around 1.7- to 2-fold, whereas compound **(S)-3** could only lead to a significant increase at 50 μM (1.7-fold).

In contrast, after incubation with derivatives **(R)-23e** and **(S)-23e**, doxorubicin accumulation in HCT-15 cells was not significantly different from DMSO-treated cells (Figure 3C, D).

P-gp inhibiting goniothalamins sensitize tumor cells to doxorubicin treatment

The non-cytotoxic goniothalamins **(R)-3** and **(S)-3** were identified as the most efficacious inhibitors of P-gp (Figure 2). Doxorubicin, a clinically established chemotherapeutic drug represents a well-known P-gp substrate. Cytotoxicity of doxorubicin alone or in combination with different concentrations of selected goniothalamine derivatives was determined in HCT-15 cells, selectively expressing P-gp.

The IC₅₀ value of doxorubicin on HCT-15 cells was $7.4 \pm 0.8 \mu\text{M}$ (mean $\pm$ SEM; Table 3). However, co-treatment with goniothalamins **(R)-3** and **(S)-3** lead to a dose-dependent decrease in IC₅₀ (Figure 4B, C; Table 2). The combination of doxorubicin with compound **(R)-3** resulted in an increased sensitivity towards doxorubicin treatment reflected by IC₅₀ values between $2.23 \pm 0.12 \mu\text{M}$ (10 μM **(R)-3**) and $0.47 \pm 0.06 \mu\text{M}$ (50 μM **(R)-3**). In comparison to the treatment with doxorubicin alone, the shifts corresponded to a reduction of 3.3-fold (for 10 μM) and 15.7-fold (for 50 μM), respectively. The addition of the control PSC833 resulted in a 20.5-fold decrease to an IC₅₀ of $0.36 \pm 0.04 \mu\text{M}$ (Figure 4A).

The combination of doxorubicin with the weaker P-gp inhibitor compound **(S)-3** reduced the doxorubicin IC₅₀ value 2.6- to 4.3-fold to $2.79 \pm 0.21 \mu\text{M}$ (10 μM **(S)-3**) and $1.73 \pm 0.47 \mu\text{M}$ (50 μM **(S)-3**). Treatment of the cells with the respective compounds alone had no effect on cell viability.

Moreover, the combination of doxorubicin and goniothalamine derivatives displaying only minor P-gp inhibiting activity, compounds **(R)-23e** or **(S)-23e**, had no significant influence on the cytotoxicity of doxorubicin in HCT-15 cells (Figure 4D, E; Table 2).

Goniothalamins influence basal and verapamil-stimulated P-gp ATPase activity

To gain insight into the mode of action of P-gp inhibitors, their influence on basal and verapamil-stimulated P-gp ATPase activity was characterized. Analyzing the basal P-gp ATPase activity revealed that both derivatives showed a stimulatory, concentration-dependent effect (Figure 4F). However, only for compound **(R)-3** a statistically significant increase was

noted. Here, the relative ATPase activity varied between $146.7 \pm 2.7\%$ at 5 μM and $170.4 \pm 3.7\%$ at 50 μM compared to untreated membranes. Incubation with compound **(S)-3**, a weaker inhibitor of P-gp (Figure 2B), resulted in relative ATPase activities varying between $104.3 \pm 4.3\%$ at 5 μM and $120.5 \pm 4.3\%$ at 50 μM , respectively.

Both derivatives dose-dependently inhibited the verapamil-stimulated ATPase activity in a similar range (Figure 4G), but statistically only significant at the highest concentration tested. The relative ATPase activity varied between $89.9 \pm 6.7\%$ at 5 μM and $69.0 \pm 7.5\%$ at 50 μM for derivative **(R)-3** and between $81.5 \pm 6.9\%$ at 5 μM and $69.5 \pm 0.4\%$ at 50 μM for derivative **(S)-3** compared to verapamil alone, which was set as 100% activity.

Molecular docking of goniothalamins

Molecular docking of the P-gp inhibiting goniothalamins **(R)-3** and **(S)-3** as well as the weak inhibitory goniothalamins **(R)-23e** and **(S)-23e** on the homology model of human P-gp was performed to gain insights into the possible binding mode.

Blind docking covering the whole protein surface revealed that the P-gp inhibiting goniothalamine derivatives were able to interact with P-gp even stronger than PSC833. Unlike PSC833, the derivatives **(R)-3** and **(S)-3** bound in close proximity of the drug-binding pocket (Figure 5A). Furthermore, compounds **(R)-3** and **(S)-3** bound slightly stronger than compounds **(R)-23e** and **(S)-23e** with lower predicted inhibition constants, confirming the P-gp inhibition data set presented in this study (Table 3). All tested derivatives formed hydrogen bonds with Phe104.

Results of the defined docking to the drug-binding domain suggested that compounds **(R)-23e** and **(S)-23e** bound slightly stronger than compounds **(R)-3** and **(S)-3**, which is in contrast to the P-gp inhibition data (Figure 5B). However, considering binding energies around -8 kcal/mol (Table 4) indicated that the goniothalamine derivatives might inhibit P-gp drug efflux by strong interaction at the drug-binding pocket of P-gp. Allocation of the amino acids responsible for interaction to the three different drug-binding sites (Ferreira et al., 2013) revealed that the newly described goniothalamine derivatives mainly interact with the substrate-binding R-site (rhodamine 123 site).

Discussion

Targeting ABC transporters provides a promising strategy to circumvent anticancer drug resistance. In the current study, the natural product goniiothalamine, its enantiomer and 21 derivatives were analyzed regarding their cytotoxicity on resistant cancer cells as well as concerning their potential to inhibit P-gp transport activity.

Cytotoxicity of goniiothalamine on multidrug-resistant cancer cells has been analyzed previously. Cytotoxicity assessment in the P-gp overexpressing ovarian cancer cell line NCI-ADR/RES demonstrated goniiothalamine to be effective in inhibiting tumor cell proliferation including growth inhibition of the multidrug-resistant cell line (Barcelos et al., 2014; Bruder et al., 2013). This is in accordance with our results, revealing comparable IC₅₀ values of natural occurring goniiothalamine (**R**)-**1** on all cell lines tested, except for A549 lung carcinoma cells. Furthermore, our previous work revealed novel derivatives, compounds (**R**)-**25** and (**R,S,S**)-**4**, to be around 2-fold more potent than goniiothalamine (**R**)-**1** against breast cancer cell lines MCF-7 and HBL-100 (Weber et al., 2017).

In the current study, we expanded the pharmacological evaluation towards MRP1- and BCRP-overexpressing cells. We confirmed superiority of the goniiothalamine derivatives (**R**)-**25** and (**R,S,S**)-**4** in P-gp expressing colon carcinoma cells and MRP1-expressing small cell lung cancer cells, thus serving as a starting point for development of drug candidates inducing cytotoxicity of P-gp or MRP1-expressing cancer cells. In BCRP-expressing breast cancer cells, compounds with selective cytotoxicity towards the resistant cells were identified, derivatives (**R**)-**2**, (**S**)-**2**, (**S**)-**3** and (**R**)-**24**.

In summary, distinct SAR regarding cytotoxicity could be confirmed: (*R*)-enantiomers are more potent than (*S*)-enantiomers, the unsaturated lactone ring is essential for activity and the vinylic double bond is not mandatory.

Overexpression of P-gp in tumor cells is the best characterized mechanism of cancer MDR (Efferth and Volm, 2017; Gottesman et al., 2002), and natural products have long been in the focus of researchers to identify new anti-tumor agents and ABC transporter inhibitors (Yu et al., 2016). To date, pharmacological effects of goniiothalamine against ABC transporters have not been studied. Our study demonstrates that the natural product (**R**)-**1** moderately inhibited P-gp transport function in a dose-dependent manner, but not the transport mediated by MRP1 or BCRP. Interestingly, regarding the SAR of goniiothalamines in inhibiting P-gp, we did not observe any differences between the (*R*)- and (*S*)-enantiomers of the natural product **1** or for the compounds with saturated lactone moiety **23c**, **23e** and **23a**. This is in contrast to the SAR

revealed for the cytotoxic effects. Comparing the enantiomers of the substituted derivatives **3** and **24**, increased P-gp inhibition was detected for the (*R*)-enantiomers. Saturation of the lactone moiety did not affect P-gp inhibition compared to the unsaturated compounds **23c** and **23a**, whereas the activity of **23e** was markedly reduced.

To further explore the molecular mechanism of the identified P-gp inhibitors, their influence on the intracellular doxorubicin accumulation was analyzed. In accordance with the P-gp inhibition data set, both compounds (***R***)-**3** and (***S***)-**3** lead to a dose-dependent increase of intracellular doxorubicin accumulation. In line, compound (***S***)-**3** enhanced doxorubicin accumulation to a lesser extent than compound (***R***)-**3**. Both compounds dose-dependently sensitized colon carcinoma cells to doxorubicin treatment.

Inhibition of P-gp has been reported via different mechanisms (Cihalova et al., 2015; Yuan et al., 2017). Substrates of P-gp usually stimulate basal ATPase activity, whereas slowly transported substrates stimulate the basal and inhibit the drug-stimulated activity. Both goniothalamine derivatives increased the basal ATPase activity of P-gp dose-dependently, but the effect was only significant for compound (***R***)-**3**, whereas the verapamil-stimulated ATPase activity was decreased dose-dependently. Both observations suggest that goniothalamines are transported by P-gp subsequently leading to transporter inhibition, but at a slower rate than verapamil.

Molecular docking of compounds (***R***)-**3** and (***S***)-**3** to homology-modeled human P-gp demonstrated interaction close to the drug-binding domain. Applying defined docking to only the drug-binding domain of P-gp resulted in stronger interaction and lowest binding energies. Allocation of interacting amino acids to the three different drug-binding sites suggests that interaction mainly occurs at the R-(rhodamine 123) site (Ferreira et al., 2013), potentially explaining the increase of intracellular doxorubicin, as anthracyclines are presumed to bind to the R-site.

We hypothesize that goniothalamine derivatives (***R***)-**3** and (***S***)-**3** specifically inhibit P-gp by acting as alternative substrates, thereby leading to increased intracellular accumulation of chemotherapeutic drugs, which are transported by P-gp. The increased drug accumulation in turn causes improved cytotoxicity and sensitizes cancer cells to chemotherapy (Figure 6). In conclusion, our results advocate further optimization of goniothalamine derivatives representing a versatile starting point for the development of novel drugs to overcome MDR in cancer therapy.

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Conflict of interests

The authors declare no conflict of interest.

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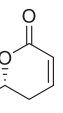
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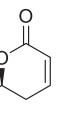
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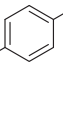
	Compound	Structure	R
1	(<i>R</i>)-1	(<i>R</i>)-I	A
2	(<i>S</i>)-1	(<i>S</i>)-I	A
3	(<i>R</i>)-23c	(<i>R</i>)-II	A
4	(<i>S</i>)-23c	(<i>S</i>)-II	A
5	(<i>R</i>)-2	(<i>R</i>)-I	B
6	(<i>S</i>)-2	(<i>S</i>)-I	B
7	(<i>R</i>)-25	(<i>R</i>)-I	C
8	(<i>S</i>)-25	(<i>S</i>)-I	C
9	(<i>R</i>)-3	(<i>R</i>)-I	D
10	(<i>S</i>)-3	(<i>S</i>)-I	D
11	(<i>R</i>)-23e	(<i>R</i>)-II	D
12	(<i>S</i>)-23e	(<i>S</i>)-II	D
13	(<i>R</i>)-24	(<i>R</i>)-I	E
14	(<i>S</i>)-24	(<i>S</i>)-I	E
15	(<i>R</i>)-23a	(<i>R</i>)-II	E
16	(<i>S</i>)-23a	(<i>S</i>)-II	E
17	(<i>R,S,S</i>)-4	(<i>R</i>)-I	F
18	(<i>R,R,R</i>)-4	(<i>R</i>)-I	G
19	(<i>S,S,S</i>)-4	(<i>S</i>)-I	F
20	(<i>R,R,R</i>)-26	(<i>R</i>)-II	G
21	(<i>R,R</i>)-27	(<i>R</i>)-I	H
22	(<i>S,S,S</i>)-26	(<i>R</i>)-II	F
23	(<i>R,S,S</i>)-26	(<i>S</i>)-II	G



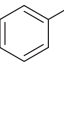
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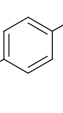
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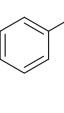
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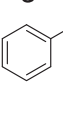
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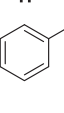
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H

Figure 1. Structures of natural compound goniotalamin (**(*R*)-1**) and derivatives.

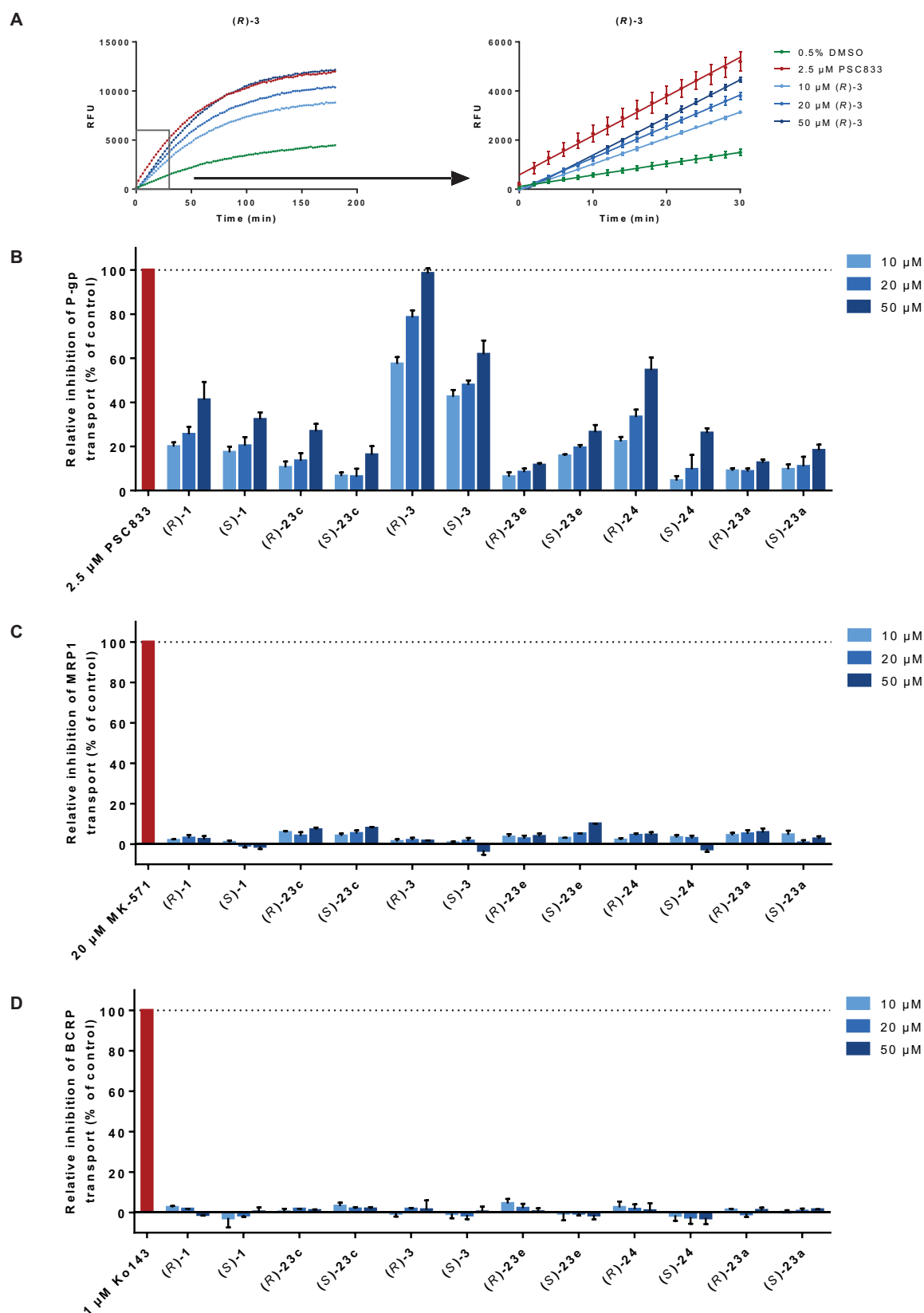


Figure 2. Influence of goniotalamins on transport activity of ABC transporters. P-gp transport activity was analyzed in HCT-15 cells (A-C). Intracellular accumulation of the P-gp substrate calcein-AM was measured (A, left). For quantification, the slope of the linear part of

the fluorescence-time curve (grey rectangle) was determined (**A**, right). Curves represent one exemplary measurement of the compound (**R**)-**3**. Data were normalized to PSC833 (100% inhibition) and DMSO (0% inhibition; **B**). MRP1 transport activity was analyzed in H69AR cells using calcein-AM and MK-571 as positive control (**C**). BCRP transport activity was analyzed in MCF-7/MX cells using Hoechst 33342 and Ko143 as positive control (**D**). Bars indicate mean $\pm$ SEM of at least three independent experiments performed in triplicates.

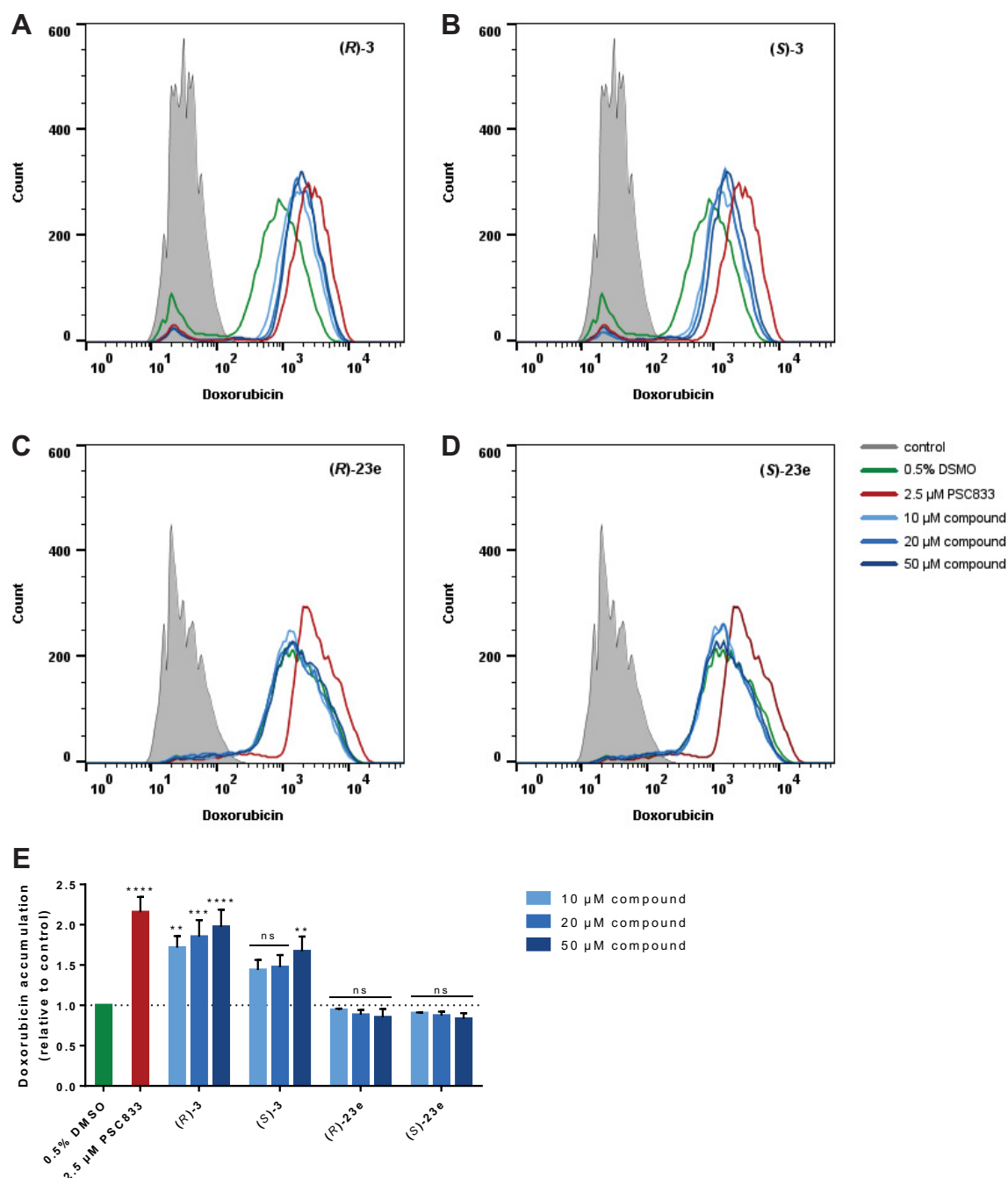


Figure 3. Influence on intracellular doxorubicin accumulation. HCT-15 cells were treated with different concentrations of goniothalamins (*(R)*-3 (A), (*(S)*-3 (B), (*(R)*-23e (C) or (*(S)*-23e (D) and subsequently, doxorubicin. Intracellular doxorubicin fluorescence was analyzed by flow cytometry. PSC833 and DMSO served as positive or negative control, respectively. Median fluorescence intensities were determined and normalized to DMSO (E). Histograms are exemplary for three independent experiments. Bar diagram represents mean $\pm$ SEM of three independent experiments. ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$; ns not significant, compared to 0.5% DMSO.

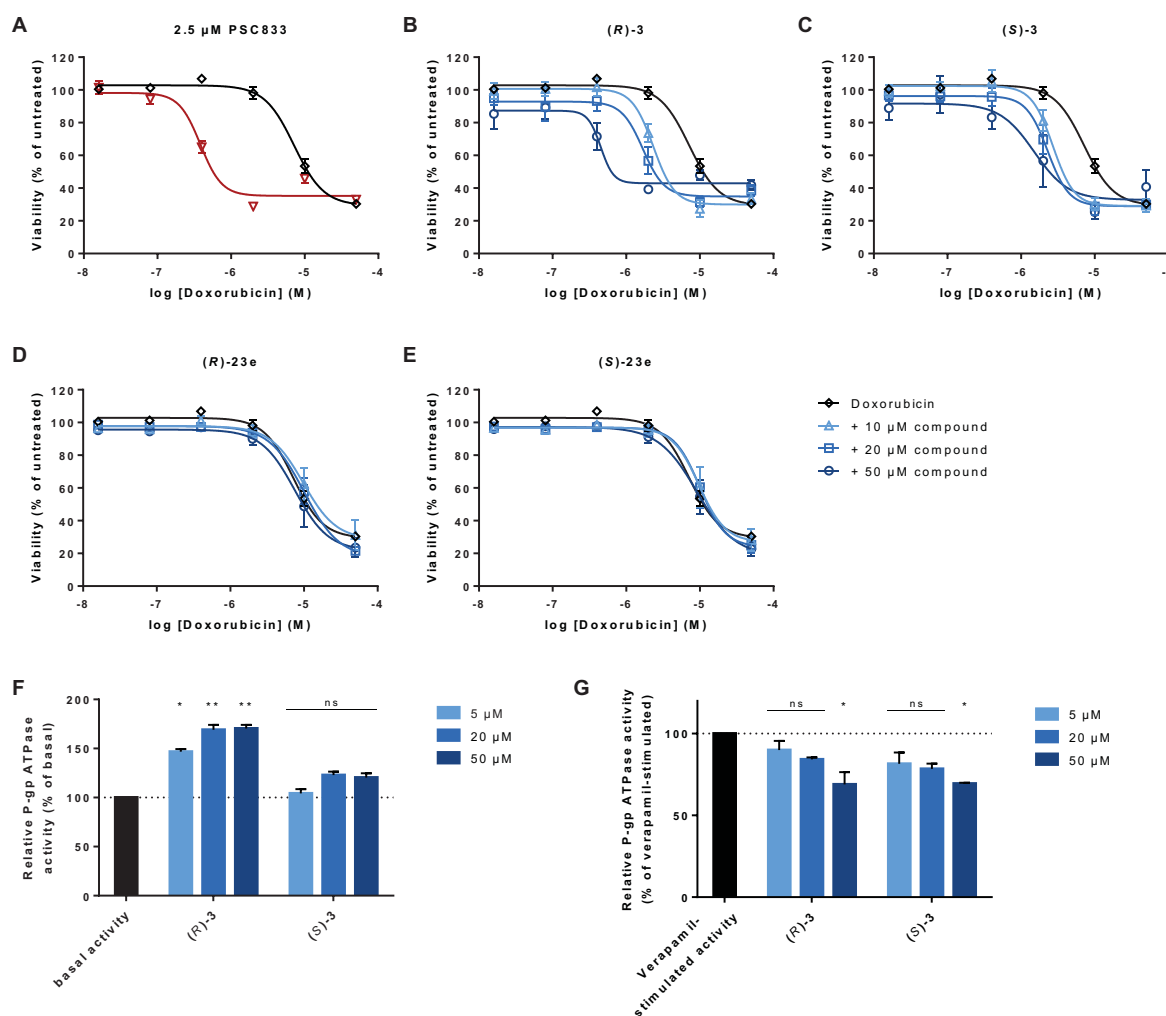


Figure 4. Sensitization of HCT-15 cells to doxorubicin treatment (A-E). HCT-15 were incubated with either doxorubicin alone ($\diamond$), doxorubicin combined with 2.5 μ M PSC833 (positive control; A), or doxorubicin in combination with 10 μ M (Δ), 20 μ M ($\square$) or 50 μ M ($\circ$) of the goniiothalamins (*R*)-3 (B), (*S*)-3 (C), (*R*)-23e (D) and (*S*)-23e (E), respectively. Viability was determined after 48 h. Graphs represent mean values $\pm$ SEM normalized to untreated cells of at least three independent experiments performed in quadruplicates. Effect of goniiothalamins (*R*)-3 and (*S*)-3 on P-gp ATPase activity (E, F). Vanadate-sensitive basal (E) and verapamil-stimulated (F) P-gp ATPase activities were determined in P-gp expressing cell membranes by measuring liberated inorganic phosphate. Activity of untreated membranes and verapamil-treated (40 μ M) was set as 100%, respectively. Bars represent mean $\pm$ SEM of three independent experiments. * $p < 0.05$; ** $p < 0.01$; ns not significant, compared to respective control.

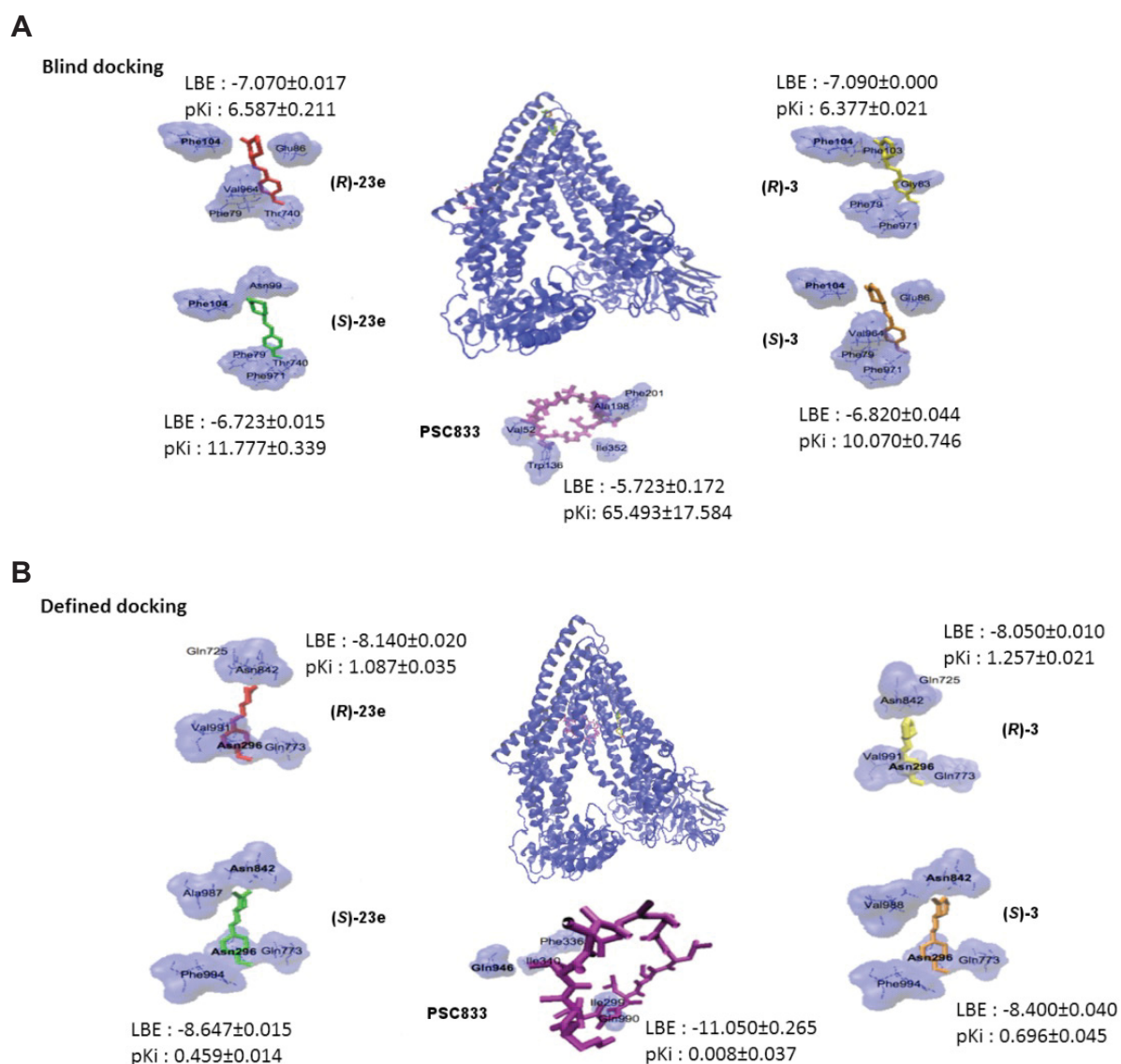


Figure 5. Molecular docking of goniotalamins on homology-modeled human P-gp. Goniotalamins (**(R)-3**, **(S)-3**, **(R)-23e**, **(S)-23e** and PSC833) were docked on the whole protein surface (blind docking; **A**) or the drug-binding site (defined docking; **B**). Lowest binding energies in kcal/mol (LBE) and predicted inhibition constant in μM (pKi) were determined as well as the interacting amino acids. Data represent mean $\pm$ SD of three independent dockings with 2,500,000 energy evaluations and 250 runs each.

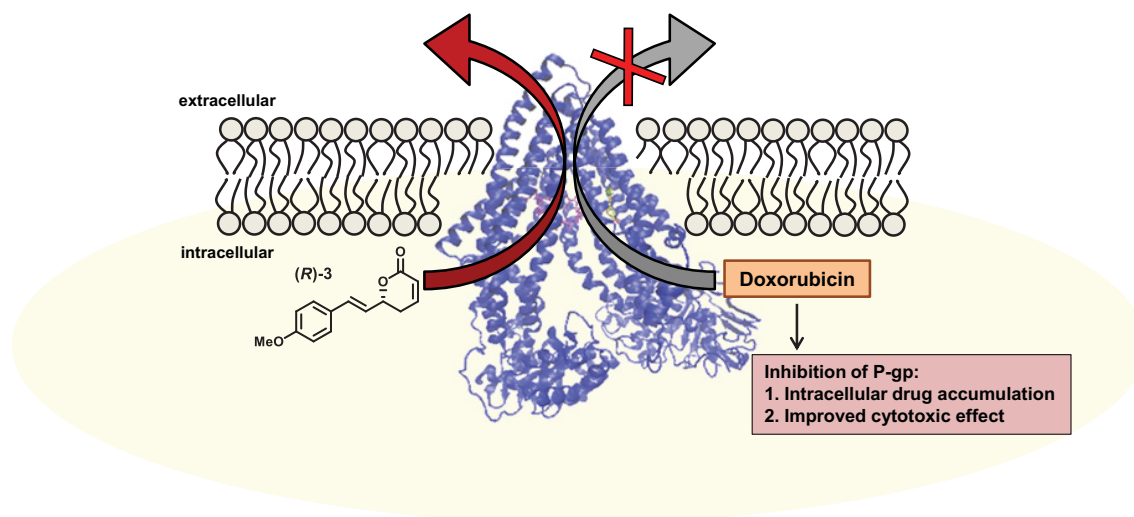


Figure 6. Hypothesized mechanism of goniiothalamins reversing multidrug resistance. Goniiothalamins inhibit P-gp specifically by acting as alternative P-gp substrates. Transporter inhibition leads to reduced efflux and subsequent intracellular accumulation of the chemotherapeutic drug and P-gp substrate doxorubicin. Accumulation of doxorubicin results in sensitization of cancer cells to chemotherapy.

Table 1

Cytotoxicity of goniothalamins on different human cancer cell lines.

Compound	IC ₅₀ (μM)					
	HCT-15	A549	MCF-7	MCF-7/MX	NCI-H69	H69AR
(<i>R</i>)-1	30.0 ± 3.2	5.7 ± 1.5 ^a	55.5 ± 6.4 ^a	35.5 ± 5.3	29.2 ± 0.4	>100
(<i>S</i>)-1	>100	70.3 ± 14.4 ^a	>100 ^a	>100	>100	>100
(<i>R</i>)-23c	>100	>100	>100	>100	>100	>100
(<i>S</i>)-23c	>100	>100	>100	>100	>100	15.3 ± 0.5
(<i>R</i>)-2	47.4 ± 1.8	31.0 ± 0.3 ^a	>100 ^a	48.3 ± 7.2	36.9 ± 3.3	43.6 ± 4.1
(<i>S</i>)-2	60.0 ± 2.3	68.6 ± 0.5 ^a	>100 ^a	54.2 ± 2.3	60.7 ± 4.6	30.3 ± 4.1
(<i>R</i>)-25	15.9 ± 1.8	15.4 ± 0.2 ^a	28.8 ± 2.3 ^a	37.9 ± 2.4	10.0 ± 0.7	>100
(<i>S</i>)-25	>100	>100 ^a	>100 ^a	>100	61.7 ± 4.6	>100
(<i>R</i>)-3	>100	>100 ^a	>100 ^a	>100	>100	>100
(<i>S</i>)-3	66.4 ± 4.0	>100 ^a	88.7 ± 5.6 ^a	64.4 ± 6.7	61.3 ± 3.3	>100
(<i>R</i>)-23e	>100	>100	>100	>100	>100	>100
(<i>S</i>)-23e	>100	>100	>100	>100	>100	>100
(<i>R</i>)-24	20.8 ± 1.3	10.2 ± 0.3 ^a	76.2 ± 6.3 ^a	41.6 ± 6.2	22.2 ± 3.1	>100
(<i>S</i>)-24	>100	>100 ^a	>100 ^a	>100	76.6 ± 7.1	15.3 ± 0.5
(<i>R</i>)-23a	>100	>100	>100	>100	>100	43.6 ± 4.1
(<i>S</i>)-23a	>100	>100	>100	>100	>100	30.3 ± 4.1
(<i>R,S,S</i>)-4	14.9 ± 2.2	11.6 ± 0.2 ^a	48.2 ± 2.0 ^a	62.7 ± 3.2	16.6 ± 0.6	>100
(<i>R,R,R</i>)-4	57.9 ± 3.1	62.8 ± 1.6 ^a	>100 ^a	>100	44.7 ± 3.1	>100

(S,S,S)-4	29.6 ± 3.3	15.2 ± 0.3 ^a	68.3 ± 9.7 ^a	44.7 ± 1.8	23.5 ± 1.4	>100
(R,R,R)-26	>100	>100 ^a	>100 ^a	>100	>100	>100
(R,R)-27	>100	>100 ^a	>100 ^a	>100	>100	>100
(S,S,S)-26	>100	>100 ^a	>100 ^a	>100	>100	>100
(R,S,S)-26	>100	>100 ^a	>100 ^a	>100	>100	>100

Data represent mean ± SEM of at least three independent experiments performed in quadruplicates.

^a IC₅₀ values published previously (Weber et al., 2017).

Table 2

Effects of selected goniothalamins on the sensitivity of HCT-15 cells to doxorubicin.

Compound	IC ₅₀ (μM)	<i>p</i> -value	fold change
Doxorubicin	7.37 ± 0.83	-	1.00
+ 2.5 μM PSC833	0.36 ± 0.04****	<0.0001	20.47
+ 10 μM (<i>R</i>)-3	2.23 ± 0.12**	0.0024	3.30
+ 20 μM (<i>R</i>)-3	1.63 ± 0.21***	0.0001	4.52
+ 50 μM (<i>R</i>)-3	0.47 ± 0.06****	<0.0001	15.68
+ 10 μM (<i>S</i>)-3	2.79 ± 0.21**	0.0087	2.64
+ 20 μM (<i>S</i>)-3	2.64 ± 0.49**	0.0019	2.79
+ 50 μM (<i>S</i>)-3	1.73 ± 0.47***	0.0007	4.26
+ 10 μM (<i>R</i>)-23e	9.90 ± 1.04	0.3382	0.74
+ 20 μM (<i>R</i>)-23e	9.51 ± 1.60	0.5376	0.77
+ 50 μM (<i>R</i>)-23e	7.71 ± 1.87	0.9996	0.96
+ 10 μM (<i>S</i>)-23e	9.37 ± 1.79	0.6181	0.78
+ 20 μM (<i>S</i>)-23e	9.63 ± 1.62	0.4692	0.77
+ 50 μM (<i>S</i>)-23e	8.66 ± 1.62	0.9509	0.85

PSC833 was used as positive control. Fold change of cytotoxicity was calculated by IC₅₀(doxorubicin)/IC₅₀(doxorubicin with modulator). Data represent mean ± SEM of at least three independent replicates performed in quadruplicates. ** *p* < 0.01; *** *p* < 0.001; **** *p* < 0.0001 compared to doxorubicin alone.

Table 3

Molecular docking of selected goniothalamins on the whole protein surface of homology-modeled human P-gp.

Compound	Lowest binding energy (kcal/mol)	Predicted inhibition constant (μ M)	Interacting amino acids	Amino acids involved in hydrogen bonds
PSC833	-5.723 ± 0.172	65.493 ± 17.584	6	-
(<i>R</i>)-3	-7.090 ± 0.000	6.377 ± 0.021	6	Phe104
(<i>S</i>)-3	-6.820 ± 0.044	10.070 ± 0.746	9	Phe104
(<i>R</i>)-23e	-7.070 ± 0.017	6.587 ± 0.211	8	Phe104
(<i>S</i>)-23e	-6.723 ± 0.339	11.777 ± 0.339	10	Phe104

Docking simulations were performed in three independent experiments with 2,500,000 energy evaluations

Table 4

Molecular docking of selected goniothalamins on the drug-binding domain of homology-modeled human P-gp. Numbers in brackets denote shared amino acids between sites.

Compound	Lowest binding energy (kcal/mol)	Predicted inhibition constant (μM)	Interacting amino acids	Amino acids involved in hydrogen bonds	Interacting amino acids in		
					H-site	R-site	M-site
PSC833	-11.050 ± 0.265	0.008 ± 0.037	13	Gln946	2 (1)	5 (2)	4 (3)
(<i>R</i>)-3	-8.050 ± 0.010	1.257 ± 0.021	10	Asn296	-	6 (1)	1 (1)
(<i>S</i>)-3	-8.400 ± 0.040	0.696 ± 0.045	10	Asn296, Asn842	-	5	-
(<i>R</i>)-23e	-8.140 ± 0.020	1.087 ± 0.035	11	Asn296	-	6 (1)	1 (1)
(<i>S</i>)-23e	-8.647 ± 0.015	0.459 ± 0.014	9	Asn296, Asn842	-	5	-

Docking simulations were performed in three independent experiments with 2,500,000 energy evaluations and 250 runs each. Data

Supplementary material

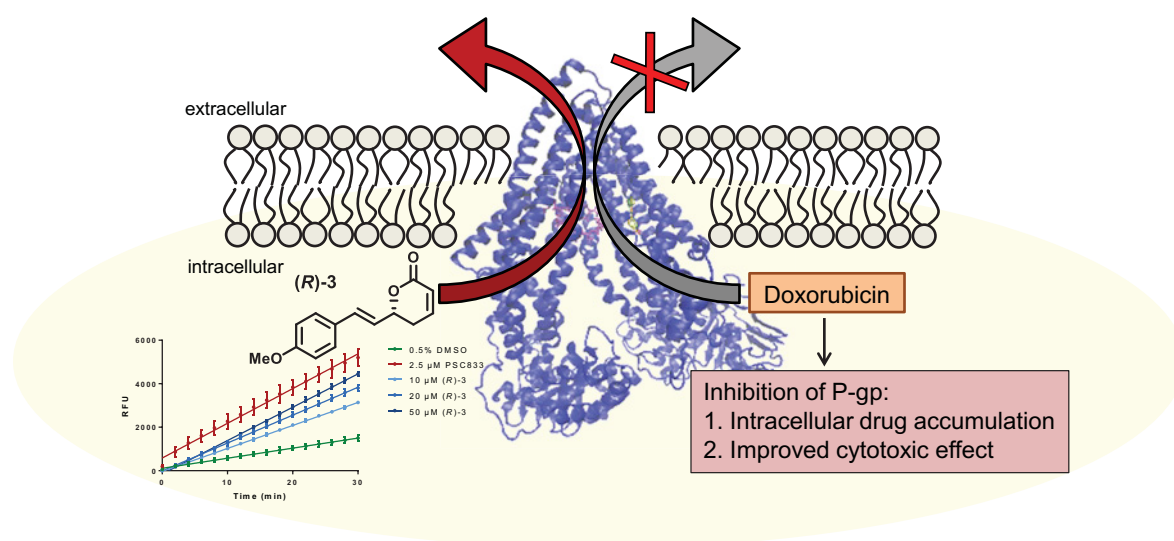
Supplementary Table 1

Relative mRNA expression of the ABC transporters P-gp, MRP1 and BCRP in different human cancer cell lines.

Cell line	ΔC_q (C_q gene - C_q GAPDH)		
	P-gp	MRP1	BCRP
HCT-15	6.4 ± 0.2	12.3 ± 0.4	13.8 ± 0.4
H69AR	20.5 ± 0.3	6.3 ± 0.3	22.7 ± 1.3
NCI-H69	17.3 ± 0.1	12.4 ± 0.3	22.9 ± 0.3
MCF-7/MX	14.8 ± 0.4	14.3 ± 0.1	0.6 ± 0.3
MCF-7	16.7 ± 0.1	13.5 ± 0.7	10.6 ± 0.3

1 μ g of total RNA was transcribed to first strand cDNA using Oligo(dT)₁₅ primer and the Reverse Transcription System (Promega, Madison, WI, USA). For the real-time PCR, 30 ng of cDNA, 0.75 μ M of each primer and the QuantiTect SYBR Green PCR Kit (Qiagen, Hilden, Germany) were used. Primer sequences were 5'-TGCACCACCAACTGCTTAGC-3' (forward) and 5'-GGCATGGACTGTGGTCATGAG-3' (reverse) for glyceraldehyde-3-phosphate dehydrogenase (GAPDH; reference gene), 5'-CCATGCTCAGACAGGATGTGA-3' (forward) and 5'-ATCATTGGCGAGCCTGGTAG-3' (reverse) for P-gp, 5'-TTACTCATTCAGCTCGTCTTGTC-3' (forward) and 5'-CAGGGATTAGGGTCGTGGAT-3' (reverse) for MRP1, 5'-TGAGCCTACAACTGGCTTAGA-3' (forward) and 5'-CCCTGCTTAGACATCCTTTTCAG-3' (reverse) for BCRP (synthesized by Eurofins Genomics, Ebersberg, Germany). Reactions were performed in triplicates on the CFX Connect Real-Time System (Bio-Rad, Hercules, CA, USA) under the following conditions: pre-incubation at 95 °C for 15 min, amplification (45 cycles) at 94 °C for 15 s, 55 °C for 25 s and 72 °C 10 s. Specificity of the PCR products was validated by melt curve analysis. The ΔC_q method was applied for data analyses. Data show mean $\pm$ SEM of three independent experiments performed in triplicates.

Graphical Abstract



2.2.1 Appendix to Chapter II

In Chapter II (2.2), expression analysis of P-gp, MRP1 and BCRP in five sensitive and resistant cancer cell lines was performed using quantitative real-time PCR (qPCR). These data were part of a systematic screening of ABC transporter expression in a larger cell line panel comprising 16 cell lines from different tumor entities (Table 4). Relative mRNA expression levels of P-gp, MRP1 and BCRP were determined and compared to the reference gene glyceraldehyde 3-phosphate dehydrogenase (GAPDH) by the ΔC_q method ($\Delta C_q = C_q \text{ gene} - C_q \text{ GAPDH}$; C_q : threshold cycle). Lower ΔC_q values represent higher mRNA expression.

Table 4. Relative mRNA expression of P-gp, MRP1 and BCRP in a panel of human cancer cell lines. Expression was determined by qPCR in relation to the reference gene GAPDH. Data represent mean $\pm$ SEM of three independent experiments performed in triplicates.

Entity	Cell line	$\Delta C_q (C_q \text{ gene} - C_q \text{ GAPDH}) (\text{mean} \pm \text{SEM})$		
		P-gp	MRP1	BCRP
Brain	U-251 MG	18.3 $\pm$ 0.1	12.3 $\pm$ 0.5	15.5 $\pm$ 0.4
	U-87 MG	19.0 $\pm$ 0.4	13.3 $\pm$ 0.2	12.1 $\pm$ 0.4
Breast	MCF-7	16.7 $\pm$ 0.1	13.5 $\pm$ 0.7	10.6 $\pm$ 0.3
	MCF-7/MX	14.8 $\pm$ 0.4	14.3 $\pm$ 0.1	0.6 $\pm$ 0.3
	MDA-MB-231	19.08 $\pm$ 0.2	11.8 $\pm$ 0.1	10.3 $\pm$ 0.3
	SK-BR-3	18.4 $\pm$ 1.0	11.4 $\pm$ 1.7	12.8 $\pm$ 0.3
	HBL-100	22.9 $\pm$ 0.8	11.8 $\pm$ 0.3	11.3 $\pm$ 0.3
Colon	HCT-15	6.4 $\pm$ 0.2	12.3 $\pm$ 0.4	13.7 $\pm$ 0.4
	Caco-2	4.6 $\pm$ 0.1	13.4 $\pm$ 0.1	9.2 $\pm$ 0.2
	HT-29	15.1 $\pm$ 0.6	12.3 $\pm$ 0.1	7.2 $\pm$ 0.1
Liver	HepG2	8.0 $\pm$ 0.6	11.5 $\pm$ 0.5	8.9 $\pm$ 0.5
Lung	NCI-H69	17.3 $\pm$ 0.1	12.4 $\pm$ 0.3	22.9 $\pm$ 0.3
	H69AR	20.5 $\pm$ 0.3	6.3 $\pm$ 0.3	22.7 $\pm$ 1.3
	A549	15.9 $\pm$ 0.2	11.0 $\pm$ 0.5	7.8 $\pm$ 0.1
	SK MES 1	11.2 $\pm$ 0.2	12.3 $\pm$ 0.4	9.9 $\pm$ 0.5
Pancreas	Panc-1	18.5 $\pm$ 0.3	8.9 $\pm$ 0.1	7.7 $\pm$ 0.2

Data were in part obtained by Vanessa Mundorf and Janina Betz.

U-87 MG, MDA-MB-231 and SK MES 1 cell lines were obtained from the European Collection of Authenticated Cell Cultures (Salisbury, UK). U-251 MG and HBL-100 cell lines were obtained from CLS Cell Lines Service (Eppelheim, Germany). All other cell lines were obtained from the American Type Culture Collection (Manassas, VA, USA). Cell lines were cultured according to manufactures' instructions. Gene expression analysis was performed as described in Chapter II, Supplementary material.

2.3 Chapter III

Title: Novel 3,4-dihydroisocoumarins as dual inhibitors of human P-gp and BCRP in multidrug-resistant tumors

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Contribution: cell culture, cytotoxicity assays, ABC transporter assays, data analysis, writing of the manuscript

Novel 3,4-dihydroisocoumarins as dual inhibitors of human P-gp and BCRP in multidrug-resistant tumors

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Abstract

Multidrug resistance (MDR) in tumors and pathogens remains a major problem in the efficacious treatment of patients by reduction of therapy options and subsequent treatment failure. Various mechanisms are known to be involved in the development of MDR with overexpression of ATP-binding cassette (ABC) transporters being the most extensively studied. These membrane transporters translocate a wide variety of substrates utilizing energy from ATP hydrolysis leading to decreased intracellular drug accumulation and impaired drug efficacy. One treatment strategy might be inhibition of transporter-mediated efflux by small molecules. Isocoumarins and 3,4-dihydrocoumarins represent a large group of natural products derived from various sources with great structural and functional variety, but have so far not been in the focus as potential MDR reversing agents. Thus, three natural products and nine novel 3,4-dihydroisocoumarins were designed and analyzed regarding cytotoxicity induction and inhibition of human ABC transporters P-glycoprotein (P-gp), multidrug resistance-associated protein 1 (MRP1) and breast cancer resistance protein (BCRP) in a variety of human cancer cell lines. Dual inhibitors of P-gp and BCRP could be identified and distinct, parallel structure-activity relationships for inhibition of both transporters were revealed. The strongest inhibitor of P-gp and BCRP, which inhibited the transporters up to between 80% to 90%, demonstrated the ability to reverse chemotherapy resistance in resistant cancer cell lines up to 5.6-fold. Thus, the novel 3,4-dihydroisocoumarins of this study might serve as a promising starting point for development of potent dual inhibitors of P-gp and BCRP for efficacious treatment of multidrug-resistant tumors.

Keywords

multidrug resistance, cancer chemotherapy, natural products, 3,4-dihydroisocoumarin, P-glycoprotein, breast cancer resistance protein

Abbreviations

MDR, multidrug resistance; ABC, ATP-binding cassette; P-gp, P-glycoprotein; MRP1, multidrug resistance-associated protein 1; BCRP, breast cancer resistance protein; TBS, *tert*-butyldimethylsilyl; LHMS, lithium bis(trimethylsilyl)amide; DDQ, 2,3-dichloro-5,6-dicyano-1,4-benzoquinone; FBS, fetal bovine serum

Introduction

Cancer remains the second most cause of death worldwide with 8.7 million case studies in 2015 [1]. Despite constant progress in antitumor drug development, multidrug resistance (MDR) poses a major problem in effective patient treatment. MDR is estimated to cause treatment failure in about 90% of patients with recurrent tumors [2]. MDR encompasses intrinsic or acquired resistance of pathogens or cancer cells to a spectrum of drugs, finally leading to reduction of treatment options and to therapy failure. Several mechanisms mediating MDR have been described, including mutations in drug targets, alterations in drug metabolism, decreased uptake or increased efflux of the drug [3,4].

Probably the most prominent and widespread mechanism is covered by increased drug transport from the cytoplasm through overexpression of ATP-binding cassette (ABC) transporters [5]. The ABC transporter superfamily, representing one of the oldest and largest protein families, is expressed from archaea to human. The common mechanism for all ABC transporters encompasses the active membrane translocation of a broad spectrum of substrates using energy from ATP hydrolysis [6].

Regarding MDR development accompanying antitumor therapy, three ABC transporters were identified as the main contributors. P-glycoprotein (P-gp, ABCB1), multidrug resistance-associated protein 1 (MRP1, ABCC1) and breast cancer resistance protein (BCRP, ABCG2) are frequently overexpressed in chemotherapy-resistant tumors, where they facilitate resistance to wide varieties of drugs commonly used in clinical practice [7]. Furthermore, recent studies have pronounced influence of ABC transporters in drug resistance mechanisms controlled by cancer stem cells, mainly responsible for recurrence of the disease [8].

Since the discovery of ABC transporter and characterization of their significant contribution to MDR, pharmacological strategies to overcome transporter-mediated MDR have been in the focus of various drug discovery approaches [9,10]. One focus is the development of small molecule inhibitors interfering with transporter activity in combination with chemotherapeutic drugs, thereby increasing intracellular drug accumulation and efficacy by reversing resistance [11–13]. ABC transporters involved in MDR have overlapping substrate spectrums. For example, several tyrosine kinase inhibitors, including dasatinib and imatinib, were demonstrated to become translocated to the extracellular space by P-gp and BCRP [14]. This leads to reduced efficacy of those drugs and subsequent therapy failure.

In most tumors, MDR is not only mediated by overexpression of one ABC transporter, but by expression of several transporters. Furthermore, P-gp and BCRP are co-expressed at the blood-brain barrier and prevent effective treatment of brain tumors [15]. Hence, the design of dual transporter inhibitors appears to be more efficacious in mentioned cases. To date, several dual inhibitors of P-gp and BCRP have been identified, including tariquidar and derivatives, aurones and chalcones [16–18]. Tariquidar entered clinical trials up to phase II to circumvent P-gp mediated MDR, but did not reveal sufficient clinical activity [19].

In principle, natural compounds and their derivatives play an important role in drug discovery and development [20,21]. In this context, various ABC transporter inhibitors from natural sources were identified and characterized in the last decades [22]. Early natural product P-gp inhibitors like cyclosporine A or its synthetic derivative PSC833 were tested in clinical trials up to phase III, but failed due to toxicity or other unintended side effects [23,24]. Among them were several dual inhibitors of P-gp and BCRP, for example some aurones, chalcones or flavonoids [18,25–27].

Isocoumarins, isomers of coumarin, represent a large class of secondary metabolites, which can be found in bacteria, fungi, lichens, marine sponges and to a lesser extent in higher plants [28]. To date, several hundred different isocoumarins and dihydroisocoumarins from nature have been identified and derivatives have been synthesized. Owing to their great structural diversity, diverse biological and pharmacological activities of isocoumarins like cytotoxicity and antimetastatic effects against various cancer types, including breast, colon, melanoma [29–32], inhibition of inflammation [33,34] or different enzymes, such as aromatase and kallikrein peptidases as potential cancer targets [35,36], as well as antibacterial, antifungal and antimalarial activities [37–39] could be identified. Several natural or synthetic coumarins were identified as inhibitors of either P-gp, BCRP or both and activities were mostly moderate and compound concentrations between 10 μ M and 100 μ M had to be applied for transporter inhibition [40–44]. In contrast, isocoumarins have to our knowledge not been characterized as potential MDR-reversing agents to date.

In this study, the three natural compounds 6-methoxymellein (**3**), angelicoin B (**4**) and ellagic acid as well as nine novel 3,4-dihydroisocoumarins (Figure 1) were analyzed regarding cytotoxicity in cancer cells and inhibition of the endogenously expressed human ABC transporters P-gp, BCRP and MRP1.

Material and Methods

Chemicals and reagents

A549 and HCT-15 cells were purchased from the German Collection of Microorganisms and Cell Cultures (Braunschweig, Germany) and H69AR cells from the American Type Culture Collection (Manassas, VA, USA). Dr. Erasmus Schneider (Wadsworth Center, New York State Department of Health, Albany, NY, USA) kindly provided the MCF-7/MX cells. Cell culture media and supplements were purchased from Thermo Fisher Scientific (Waltham, MA, USA). Hoechst 33342 was purchased from Sigma-Aldrich (St. Louis, MO, USA) and dissolved in water. Calcein-AM, PSC833, Ko143, MK-571, doxorubicin and mitoxantrone (Sigma-Aldrich, St. Louis, MO, USA) were dissolved in dimethyl sulfoxide (DMSO; Carl Roth, Karlsruhe, Germany). Hepes buffer was purchased from Lonza (Basel, Switzerland). CellTiter-Glo Luminescent Cell Viability Assay was purchased from Promega (Madison, WI, USA). Microtiter plates were purchased from Greiner Bio-One (Kremsmünster, Austria).

Test compounds

3,4-dihydroisocoumarins were synthesized in three steps starting from α,β -unsaturated δ -lactones and freshly prepared Brassard's diene. The reaction was catalyzed by AlMe_3 as Lewis acid and Tf_2CH_2 as Brønsted acid in toluene at room temperature for 30 min leading to the major vinylogous (*E*)-configured Michael-product and the minor cyclic product. The isolated (*E*)-configured Michael-product was cyclized with the strong base lithium bis(trimethylsilyl)amide (LHMDS) at -78°C to room temperature for 16 h. Both fractions of the isochromenones from the first and second step were oxidized with 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) in toluene at room temperature for 4 h to the desired end product. Overall yields were between 30% and 84%.

Deprotection of isocoumarins (**R**)-**19** and (**S**)-**19** by boron trifluoride diethyl ether in dichloromethane at 0°C gave (**R**)-**19a** and (**S**)-**19a** in good to moderate yields between 36–47%. The free hydroxy groups of the pentyl-derivative **16** and the unsubstituted isocoumarin **14** were protected by dimethylsulfate. This procedure gave 66% of the corresponding methyl-protected pentyl-isocoumarin **16a** and 51% of the methyl-protected isocoumarin **14a**.

Ellagic acid was provided by MicroCombiChem GmbH (Wiesbaden, Germany). All test compounds were dissolved in DMSO.

Cell culture

The human lung adenocarcinoma cell line A549 was cultured in DMEM medium supplemented with 10% fetal bovine serum (FBS), 100 U/mL penicillin and 100 µg/mL streptomycin. P-gp-expressing human colon adenocarcinoma cells HCT-15 were cultured in RPMI 1640 medium supplemented with 10% FBS, 100 U/mL penicillin and 100 µg/mL streptomycin. BCRP-expressing MCF-7/MX human breast adenocarcinoma cells were cultured in DMEM medium supplemented with 10% FBS, 100 U/mL penicillin and 100 µg/mL streptomycin. MRP1-expressing H69AR human small cell lung cancer cells were cultured in RPMI 1640 medium (ATCC modification) supplemented with 20% FBS, 100 U/mL penicillin and 100 µg/mL streptomycin.

Cells were maintained in a humidified atmosphere at 37 °C and 5% CO₂ and subcultured when confluency reached 80 to 90%.

Cell viability assay

Cytotoxic activity of test compounds was analyzed after 48 h using the CellTiter-Glo Luminescent Cell Viability Assay published previously [45] in 384-well plates with the following cell densities: A549, MCF-7/MX: $2 \cdot 10^3$ cells/well; HCT-15: $3 \cdot 10^3$ cells/well; H69AR: $5 \cdot 10^3$ cells/well. Pipetting was conducted with the CyBi-Well 96-channel simultaneous pipettor (Analytik Jena AG, Jena, Germany). For generation of dose-response curves and calculation of IC₅₀ values, the four-parameter logistic model was applied.

The ability of identified inhibitors of P-gp and BCRP to sensitize HCT-15 or MCF-7/MX cells to chemotherapy was analyzed by co-treatment with test compounds and doxorubicin or mitoxantrone, respectively. Cells were incubated with a serial dilution of the chemotherapeutic drug alone or in combination with fixed concentrations of the test compounds or positive controls (2.5 µM PSC833 and 1 µM Ko143, respectively). Fold change of drug IC₅₀ was calculated with the formula $IC_{50}(\text{drug alone})/IC_{50}(\text{drug with modulator})$.

Inhibition of ABC transporter activities

P-gp transport assay

The transport of calcein-AM by P-gp and its modulation by test compounds was analyzed in the P-gp-expressing HCT-15 cell line. $5 \cdot 10^4$ cells were seeded in black 96-well plates and incubated at 37 °C and 5% CO₂ for 24 h. The culture medium was removed and replaced by Hank's balanced salt solution supplemented with 10 mM hepes. Test compounds, 2.5 µM PSC833 (positive control) or 0.5% DMSO (negative control) were added in triplicates and incubated for 30 min at 37°C and 5% CO₂. The substrate calcein-AM was added (final concentration 0.5 µM) and the fluorescence (excitation 485 nm, emission 520 nm) was measured over 3 h with the Infinite m1000 pro microplate reader (Tecan Group AG, Maennedorf, Switzerland) at 37 °C. The transport activity of P-gp was analyzed by determining the slope of the linear part of the fluorescence-time curve (0-30 min) using linear regression. Normalization of the data to PSC833 (100% inhibition) and DMSO (0% inhibition) was performed.

BCRP transport assay

BCRP transport activity was determined in the BCRP-expressing MCF-7/MX cell line. Hoechst 33342 was applied as transporter substrate and 1 µM Ko143 as positive control and the assay was performed according to the P-gp assay. Fluorescence was measured at excitation wavelength of 355 nm and emission wavelength of 460 nm. The transport activity of BCRP was analyzed by determining the plateau of the fluorescence-time curve by using non-linear regression (one-phase exponential fit). Normalization of the data to Ko143 (100% inhibition) and DMSO (0% inhibition) was performed.

MRP1 transport assay

Transport activity of MRP1 was determined in MRP1-expressing H69AR cells using the substrate calcein-AM and 20 µM MK-571 as the positive control. Experimental procedure was according to the P-gp assay, except that $7.5 \cdot 10^4$ cells were seeded per well. The transport activity of MRP1 was analyzed by determining the slope of the linear part of the fluorescence-time curve (0-20 min) using linear regression. Normalization of the data to MK-571 (100% inhibition) and DMSO (0% inhibition) was performed.

Data analysis and statistics

Experiments in human cancer cell lines were performed in at least three independent replicates. Data were analyzed with GraphPad Prism v. 6.07 (GraphPad Software Inc., La Jolla, CA, USA) and results are presented as mean $\pm$ SEM. Different groups were compared statistically using one-way ANOVA followed by Dunnett's multiple comparisons test. Differences were considered significant when $p < 0.05$.

Results

Cytotoxic activity of 3,4-dihydroisocoumarins in human cancer cell lines

Cytotoxicity of twelve 3,4-dihydroisocoumarins was analyzed in the sensitive lung cancer cell line A549 as well as in three resistant cell lines HCT-15 (colon carcinoma), MCF-7/MX (breast carcinoma) and H69AR (lung carcinoma) either expressing the ABC transporter P-gp, BCRP or MRP1, respectively. Cells were incubated for 48 h with test compounds and cell viability was assessed.

IC₅₀ values of the tested 3,4-dihydroisocoumarins are summarized in Table 1. Most compounds did not display significant cytotoxic activities in the cancer cell lines and IC₅₀ values were above the highest concentrations applied in the assay. One exception were the enantiomers **(R)-19** and **(S)-19**. Both compounds were comparably cytotoxic in sensitive A549 cells and the BCRP-overexpressing MCF-7/MX cells. In A549 cells, IC₅₀ value of compound **(R)-19** was 47.5 ± 3.2 μ M (mean $\pm$ SEM) and IC₅₀ value of **(S)-19** was 42.0 ± 3.9 μ M. In MCF-7/MX cells, both compounds were slightly more toxic with IC₅₀ values of 28.1 ± 0.7 μ M and 33.5 ± 7.5 μ M, respectively. In contrast, in P-gp expressing HCT-15 cells, only **(R)-19** demonstrated a cytotoxic effect, whereas **(S)-19** was not toxic. Furthermore, the unprotected derivatives of **(R)-19** and **(S)-19**, **(R)-19a** and **(S)-19a**, were analyzed. In both cases, removal of the protecting *tert*-Butyldimethylsilyl (TBS) group lead to complete or near complete loss of cytotoxic activity in all cell lines.

Compound **16** was not cytotoxic in P-gp expressing HCT-15 cells and BCRP-expressing MCF-7/MX cells. In MRP1-expressing H69AR cells and sensitive A549 cells, only minor cytotoxic activity was observed. IC₅₀ values were 88.5 ± 4.6 μ M and 78.6 ± 2.2 μ M, respectively. Remarkably, the derivative **16a**, which differs from **16** by the methoxy group

instead of the hydroxy group at position 8, displayed slightly improved activity in A549 cells with an about 1.5-fold lower IC₅₀ value and a clearly improved activity in BCRP-expressing MCF-7/MX cells. In those cells, the IC₅₀ value was 10.6 ± 0.9 μ M. In P-gp or MRP1-expressing cell lines, no cytotoxicity could be observed.

The known natural products 6-methoxymellein (**3**), angelicoic acid (**4**) and ellagic acid did not show any cytotoxic effects against the tested cancer cell lines.

Identification of 3,4-dihydroisocoumarins as dual inhibitors of P-gp and BCRP

The twelve 3,4-dihydroisocoumarins were tested for their ability to inhibit the transport activity of the human ABC transporters P-gp, BCRP and MRP1 in cell lines overexpressing the respective transporter. Therefore, intracellular fluorescence of the transporter substrates calcein-AM and Hoechst 33341 were measured over time after cells had been treated with different concentrations of the test compounds. Inhibition rates of the compounds were normalized to the positive controls 2.5 μ M PSC833, 1 μ M Ko143 and 20 μ M MK-571, respectively. As a result, three compounds were identified as dual inhibitors of transport activity of P-gp and BCRP (Figure 2A, B).

Pentyl-derivative **16** was the strongest dose-dependent inhibitor of P-gp and BCRP among the tested compounds. Relative inhibition of P-gp was $36.3 \pm 5.3\%$ at a concentration of 10 μ M, $52.6 \pm 3.1\%$ at 20 μ M and $81.2 \pm 2.7\%$ at 50 μ M (mean $\pm$ SEM). Regarding inhibition of BCRP, the rates were comparable to P-gp with relative values between $35.3 \pm 3.4\%$ at 10 μ M and $89.1 \pm 4.6\%$ at 50 μ M. Interestingly, derivative **16a**, which differs from **16** only by the methoxy group instead of the hydroxy group at position 8, showed reduced inhibition rates against both transporters with a more pronounced effect for BCRP. Relative inhibition was between $18.4 \pm 0.5\%$ and $31.9 \pm 2.2\%$ for P-gp and $2.3 \pm 0.9\%$ and $14.9 \pm 2.2\%$ for BCRP.

Two additional compounds were identified as dual P-gp and BCRP inhibitors, (**R**)-**19** and (**S**)-**19**, but with lower activities compared to derivative **16**. Derivative (**R**)-**19** inhibited P-gp with relative rates between $23.6 \pm 4.2\%$ and $46.8 \pm 5.7\%$. Regarding (**S**)-**19**, highest P-gp inhibition was achieved at 10 μ M ($37.1 \pm 2.9\%$). Inhibition of BCRP was comparable. As both compounds bore protective groups at the hydroxy group at the ethyl chain at position 3, inhibition rates were compared to the deprotected derivatives (**R**)-**19a** and (**S**)-**19a**. In both

cases, the deprotected compounds showed clearly reduced inhibitory ability of P-gp with values between about 5% and 15% and completely abolished inhibitory ability of BCRP.

Two compounds without substitution at position 3 were analyzed, **14** and **14a**. They only differ in the substituent at position 8, which is either a hydroxy group (**14**) or methoxy group (**14a**). Both compounds did not inhibit BCRP and displayed only minor inhibitory activity against P-gp up to about 10% relative inhibition.

Furthermore, the two natural products and enantiomers 6-methoxymellein (**3**) and angelicoin B (**4**) were studied. They share the same structure with compound **14**, but are substituted with a methyl group at position 3. Relative rates of P-gp inhibition of 6-methoxymellein (**3**) and angelicoin B (**4**) did not differ significantly from those of derivative **14**. In case of BCRP, no inhibitory activity could be observed, as was the case for derivative **14**.

Compound **21**, a tricyclic derivative, weakly inhibited P-gp with a relative inhibition rate of $20.0 \pm 1.2\%$ at the highest concentration used. Its ability to inhibit BCRP could not be determined due to inherent fluorescence. The natural product ellagic acid did not display any inhibitory activity against P-gp or BCRP. It has to be noted that this compound could not be employed at a concentration of 50 μM due to its low solubility.

Regarding inhibition of MRP1 transport function in H69AR cells, none of the test compounds demonstrated any activity (Figure 2C).

Sensitization of resistant cancer cells to chemotherapy by 3,4-dihydroisocoumarins

Cancer cells overexpressing multidrug resistance related ABC transporters are characterized by reduced susceptibility to chemotherapeutic drugs, which are substrates of the respective transporters. These are for example doxorubicin and mitoxantrone. To determine if the identified P-gp and BCRP inhibitor derivative **16** is able to re-sensitize resistant cancer cells to chemotherapy, P-gp expressing HCT-15 cells and BCRP-expressing MCF-7/MX cells were co-treated with doxorubicin or mitoxantrone and different concentrations of compound **16**. IC_{50} values were determined after 48 h and compared to single treatment with the chemotherapeutic drugs. PSC833 (2.5 μM) and Ko143 (1 μM) served as respective positive controls.

In both cases, compound **16** was able to significantly sensitize the resistant cancer cells to chemotherapy. In HCT-15 cells, doxorubicin alone had an IC_{50} value of $8.5 \pm 0.7 \mu\text{M}$ (mean $\pm$ SEM; Table 2, Figure 3A). Addition of $20 \mu\text{M}$ of compound **16** did not lead to a change in IC_{50} , whereas the combination with $50 \mu\text{M}$ of the compound lead to a 3.7-fold decrease in IC_{50} to $2.3 \pm 0.08 \mu\text{M}$. In contrast, the compound **14**, which displayed minor P-gp inhibiting activity, did not sensitize the cells to doxorubicin. The positive control PSC833 reduced the IC_{50} of doxorubicin around 28-fold to a value of $0.3 \pm 0.08 \mu\text{M}$.

In BCRP-expressing MCF-7/MX cells, IC_{50} value of the drug mitoxantrone alone was $19.6 \pm 2.4 \mu\text{M}$ (Table 3, Figure 3B). Addition of the compound **16** revealed a dose-dependent decrease in IC_{50} up to 5.6-fold at $50 \mu\text{M}$ to $3.5 \pm 0.9 \mu\text{M}$. Like observed above, compound **14**, which did not inhibit BCRP transport function, did not have a significant influence on the sensitivity of the cells to mitoxantrone. The positive control Ko143 was able to increase the cytotoxicity of mitoxantrone around 65-fold to an IC_{50} value of $0.3 \pm 0.07 \mu\text{M}$.

Discussion

As MDR mediated by overexpression of ABC transporters remains a major issue in efficacious treatment of cancer or pathogenic diseases, it is of utmost importance to identify novel treatment strategies. One possibility is the development of inhibitors of transporter function. Although isocoumarins and 3,4-dihydroisocoumarins demonstrated various pharmacological activities, such as anticancer, anti-inflammatory, antifungal and antibacterial effects [28], to date, they have not been characterized as potential ABC transporter inhibitors. However, in previous manuscripts several natural or synthetic coumarins were described as mostly moderate inhibitors of either P-gp, BCRP or both transporters [40–44]. Thus, in this study 3,4-dihydroisocoumarins of natural or synthetic origin were evaluated for the first time as potential inhibitors of human ABC transporters.

Among the tested derivatives, three novel 3,4-dihydroisocoumarins were identified as dual inhibitors of both transporters, human P-gp and BCRP. Furthermore, the most potent inhibitor, derivative **16**, demonstrated inhibition of both transporters between 80% and 90% compared to the respective positive controls at the highest test concentration ($50 \mu\text{M}$). As proof of concept, P-gp expressing HCT-15 cells and BCRP-expressing MCF-7/MX cells were treated with derivative **16** in combination with the chemotherapeutic drugs doxorubicin and

mitoxantrone, broadly described as transporter substrates for P-gp and BCRP. Subsequently, co-treatment with 50 μ M of derivative **16** sensitized chemotherapy-resistant carcinoma cells HCT-15 to doxorubicin by decreasing the IC₅₀ value of doxorubicin-induced cytotoxicity by 3.7-fold and sensitized MCF-7/MX breast carcinoma cells to mitoxantrone by decreasing the IC₅₀ value of mitoxantrone-induced cytotoxicity by 5.6-fold. Moreover, enantiomers (**R**)-**19** and (**S**)-**19** inhibited transport function of P-gp and BCRP, but with lower potency compared to derivative **16**. Noteworthy, distinct structure-activity relationships for transporter inhibition could be identified and they run parallel for P-gp and BCRP inhibition: our data show that the hydroxy group at position 8 is mandatory for transporter inhibition and substitution by a methoxy group clearly reduces activity. Furthermore, a hydrophobic carbon chain at position 3 is indispensable for inhibition and this substituent seems to need a certain chain length. Compounds without alkyl substituent (derivative **14**) or with a methyl group (6-methoxymellein (**3**) and angelicoin B (**4**)) as well as compounds with hydrophilic chain (derivatives (**R**)-**19a** and (**S**)-**19a**) demonstrated significantly decreased inhibition of both transporters. However, those effects were more pronounced in BCRP inhibition than in P-gp inhibition.

Regarding these results, the 3,4-dihydroisocoumarin derivatives that we identified as dual P-gp and BCRP inhibitors with moderate activity might serve as a promising starting point for further development of more efficacious inhibitors to overcome MDR in cancer patients. Here, it would be interesting to further explore the influence of prolonged alkyl groups at position 8 or the effect of substituent variations on the inhibitory activities.

In addition to transporter inhibition, the cytotoxic activities of the test compounds were analyzed in sensitive A549 lung carcinoma cells as well as in resistant HCT-15 colon carcinoma, H69AR lung carcinoma and MCF-7/MX breast carcinoma cells. Noteworthy, most compounds did not exhibit any toxic effects in cancer cells. One exception was derivative **16a**, which proved selective cytotoxicity in BCRP-expressing MCF-7/MX cells with an IC₅₀ value of 10.6 μ M, but not in P-gp or MRP1-expressing cells. In sensitive A549 lung carcinoma cells, cytotoxicity was significantly lower with an IC₅₀ value of 53.6 μ M. Future studies might involve the analysis of the cytotoxic activity of **16a** in parental, chemotherapy-sensitive MCF-7 cells. Depending on putative selectivity for multidrug resistant tumor cells, insights into the mechanism of action and target would be helpful to find out more about collateral sensitivity in BCRP-overexpressing cancer cells and how to exploit this feature in tumor therapy [46,47]. Furthermore, to our knowledge, anticancer effects of

angelicoin B (**4**) have not been studied yet. However, the high IC₅₀ value of 6-methoxymellein (**3**) is in accordance with a previous study [48]. In contrast, the diverse pharmacological activities of ellagic acid have been extensively explored [49]. Although several anticancer activities of ellagic acid were identified *in vitro* and *in vivo*, including cell cycle arrest and apoptosis with moderate potency, such effects could not be recapitulated in our study.

In summary, our data reveal for the first time the potential of selected non-toxic 3,4-dihydroisocoumarins to function as dual inhibitors of the ABC transporters P-gp and BCRP, which are two of the main contributors to cancer MDR. These compounds might serve as a promising starting point for further optimization and development. In future studies, the underlying molecular mechanism of transporter inhibition will be analyzed by P-gp and BCRP ATPase assays and molecular docking studies.

Funding

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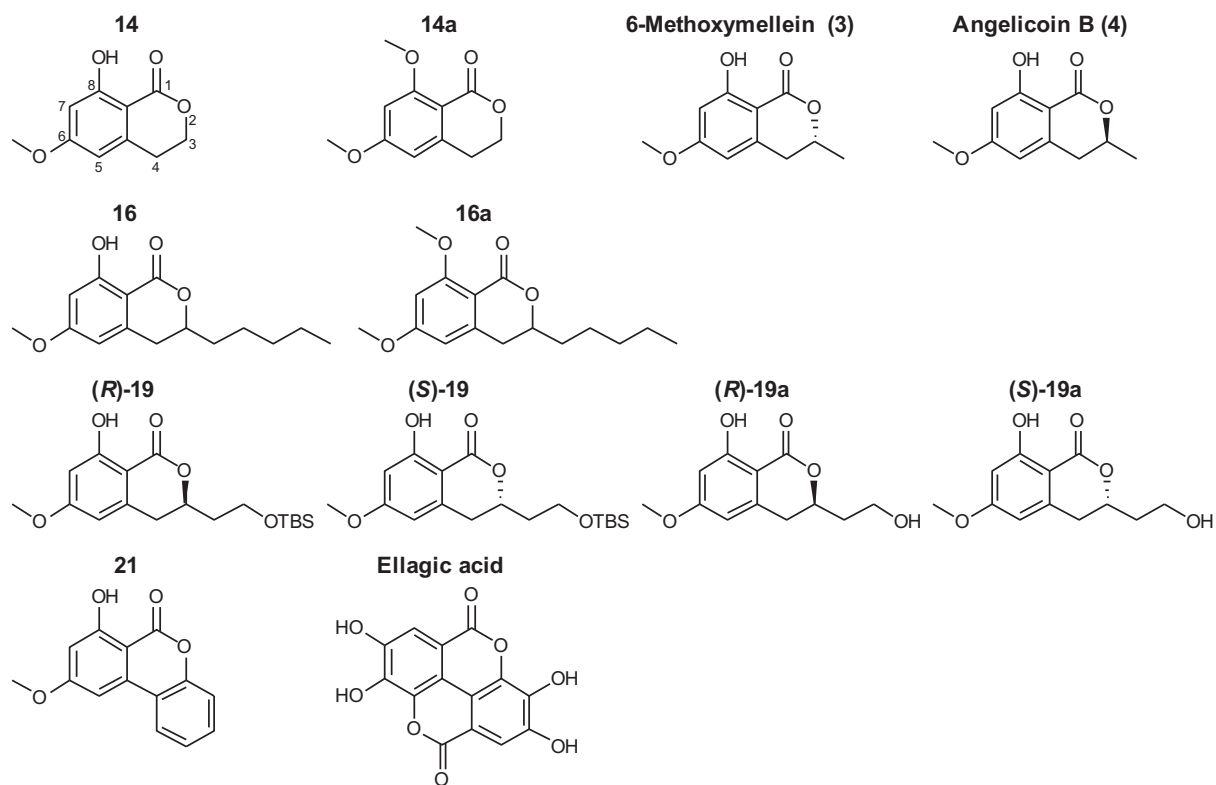


Figure 1. Structures of natural and synthetic 3,4-dihydroisocoumarins.

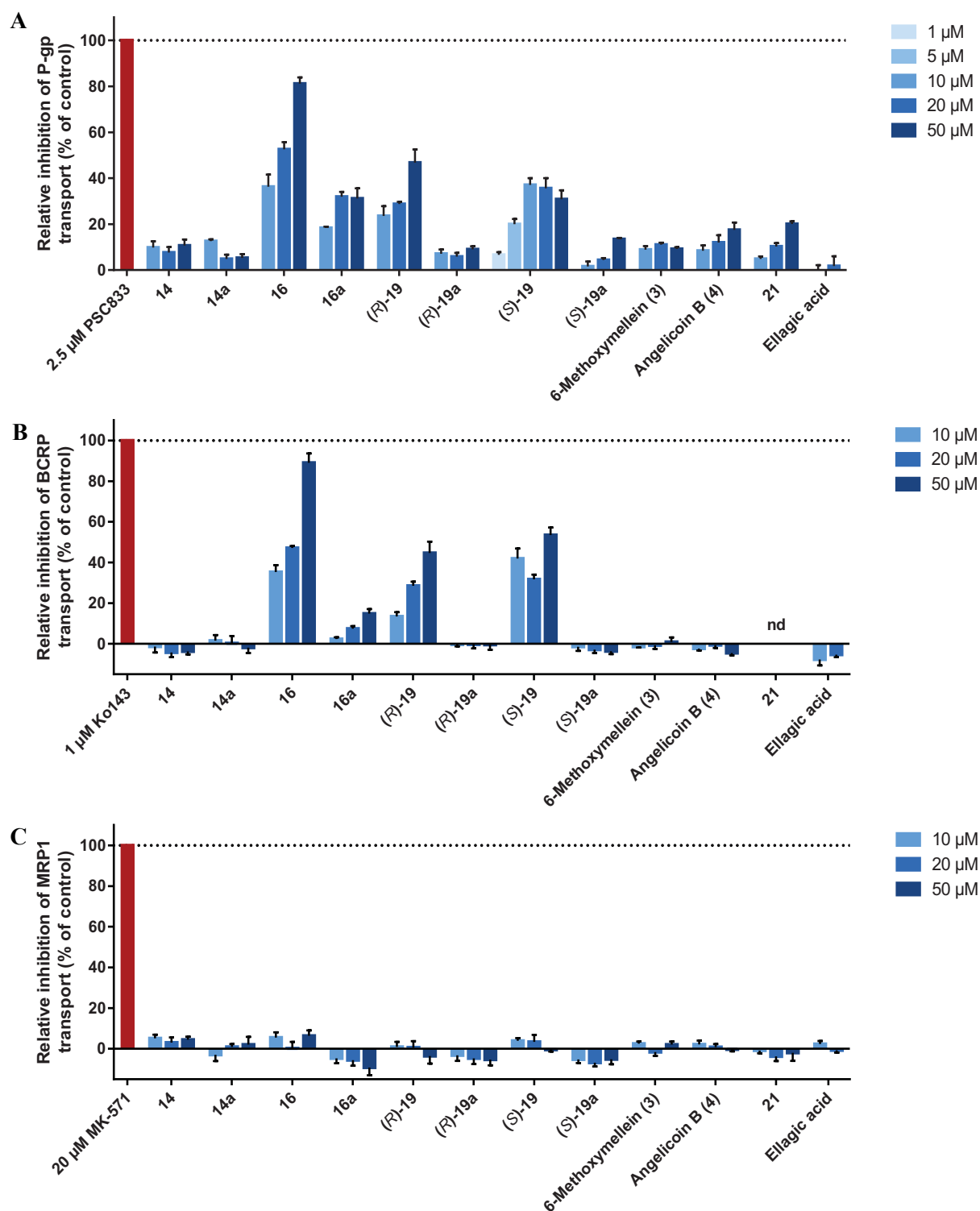


Figure 2. Influence of 3,4-dihydroisocoumarins on ABC transporter activity. Cancer cell lines overexpressing **(A)** P-gp (HCT-15), **(B)** BCRP (MCF-7/MX) or **(C)** MRP1 (H69AR) were incubated with test compounds and intracellular fluorescence of the substrates calcein-AM (P-gp, MRP1) or Hoechst 33342 (BCRP) was measured over 3 h. Data were normalized to DMSO (0% inhibition) and the positive controls PSC833, Ko143 and MK-571, respectively (100% inhibition). Bars represent mean $\pm$ SEM of at least three independent experiments. nd: not determined because of intrinsic fluorescence.

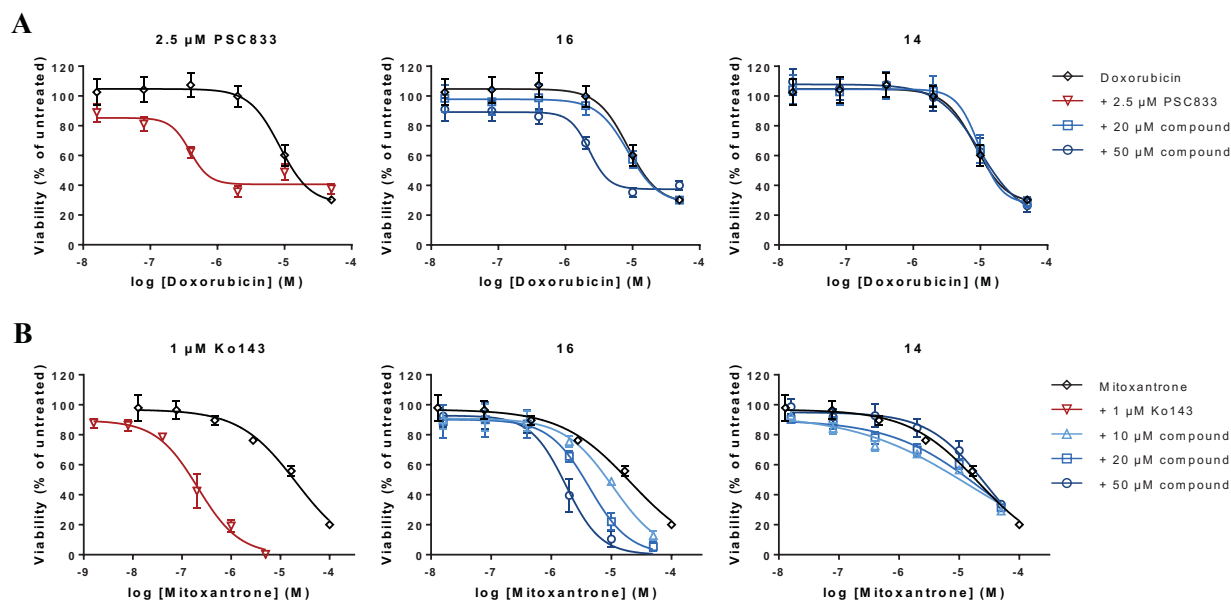


Figure 3. Sensitization of resistant cancer cells to chemotherapy by selected 3,4-dihydroisocoumarins. **(A)** P-gp expressing HCT-15 and **(B)** BCRP-expressing MCF-7/MX were treated with either doxorubicin or mitoxantrone alone, in combination with positive controls PSC833 or Ko143 or in combination with varying concentrations of test compounds **16** and **14**. Cell viability was determined after 48 h. Data represent mean $\pm$ SEM normalized to untreated cells of at least three independent experiments performed in quadruplicates.

Table 1

Cytotoxicity of 3,4-dihydroisocoumarins on human tumor cell lines.

Compound	IC ₅₀ (μM)			
	A549	HCT-15	MCF-7/MX	H69AR
14	>100	>100	>100	>100
14a	>100	>100	>100	>100
16	78.6 ± 2.2	>100	>100	88.5 ± 4.6
16a	53.6 ± 5.6	>100	10.6 ± 0.9	>100
(<i>R</i>)-19	47.5 ± 3.2	57.1 ± 7.5	28.1 ± 0.7	>100
(<i>R</i>)-19a	>100	>100	>100	>100
(<i>S</i>)-19	42.0 ± 3.9	>100	33.5 ± 7.5	86.8 ± 9.2
(<i>S</i>)-19a	>100	>100	89.8 ± 4.9	>100
6-Methoxymellein (3)	>100	>100	>100	>100
Angelicoin B (4)	>100	>100	>100	>100
21	>50	>50	>50	>50
Ellagic acid	>50	>50	>50	>50

Data represent mean ± SEM of at least three independent experiments performed in quadruplicates.

Table 2

Sensitization of HCT-15 cells to doxorubicin treatment by selected 3,4-dihydroisocoumarins.

Compound	IC ₅₀ (μM)	<i>p</i> -value	fold change
Doxorubicin	8.5 ± 0.7	-	1.00
+ 2.5 μM PSC833	0.3 ± 0.03**	0.0017	28.33
+ 20 μM 16	8.7 ± 1.6	0.9999	0.98
+ 50 μM 16	2.3 ± 0.08*	0.0129	3.70
+ 20 μM 14	9.6 ± 1.0	0.9498	0.89
+ 50 μM 14	10.6 ± 2.1	0.6313	0.80

PSC833 was used as positive control. Fold change of cytotoxicity was determined by dividing the IC₅₀ value of cells treated with doxorubicin alone by the IC₅₀ value of cells treated with doxorubicin in combination with the respective test compound. Data show mean ± SEM of three independent experiments performed in quadruplicates. * $p < 0.05$; ** $p < 0.01$ compared to doxorubicin alone (One-way ANOVA followed by Dunnett's multiple comparisons test).

Table 3

Sensitization of MCF-7/MX cells to mitoxantrone treatment by selected 3,4-dihydroisocoumarins.

Compound	IC ₅₀ (μM)	<i>p</i> -value	fold change
Mitoxantrone	19.6 ± 2.4	-	1.00
+ 1 μM Ko143	0.3 ± 0.07****	<0.0001	65.33
+ 10 μM 16	21.1 ± 1.4	0.9795	0.93
+ 20 μM 16	5.3 ± 1.1****	<0.0001	3.70
+ 50 μM 16	3.5 ± 0.9****	<0.0001	5.60
+ 10 μM 14	19.4 ± 2.6	>0.9999	1.01
+ 20 μM 14	25.5 ± 1.0	0.0942	0.77
+ 50 μM 14	25.0 ± 1.3	0.0988	0.78

Ko143 was used as positive control. Fold change of cytotoxicity was determined by dividing the IC₅₀ value of cells treated with mitoxantrone alone by the IC₅₀ value of cells treated with mitoxantrone in combination with the respective test compound. Data show mean ± SEM of three independent experiments performed in quadruplicates. **** *p* < 0.0001 compared to doxorubicin alone (One-way ANOVA followed by Dunnett's multiple comparisons test).

2.4 Chapter V

- Title: New colchicine-derived triazoles and their influence on cytotoxicity and microtubule morphology
- Authors: Persefoni Thomopoulou, **Julia Sachs**, Nicole Teusch, Aruljothi Mariappan, Jay Gopalakrishnan, Hans-Günther Schmalz
- Journal: ACS Medicinal Chemistry Letters, 2016 (Impact factor: 3.746)
- Reference: Thomopoulou P, Sachs J, Teusch N, Mariappan A, Gopalakrishnan J, Schmalz H-G. New colchicine-derived triazoles and their influence on cytotoxicity and microtubule morphology. ACS Med Chem Lett 2016;7:188–91.
- DOI: 10.1021/acsmedchemlett.5b00418
- Contribution: cytotoxicity assay, tubulin polymerization assay, data analysis, contribution to manuscript writing

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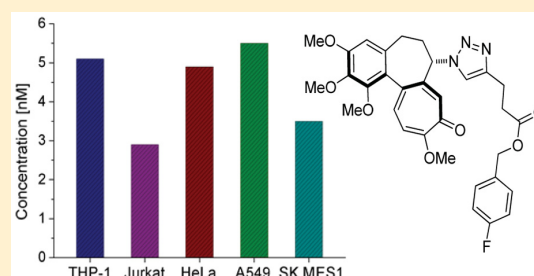
New Colchicine-Derived Triazoles and Their Influence on Cytotoxicity and Microtubule Morphology

Persefoni Thomopoulou,[†] Julia Sachs,[‡] Nicole Teusch,[‡] Aruljothi Mariappan,[§] Jay Gopalakrishnan,[§] and Hans-Günther Schmalz^{*,†}[†]Department of Chemistry, University of Cologne, Greinstr. 4, 50939 Cologne, Germany[‡]Technische Hochschule Koeln, Kaiser-Wilhelm-Allee, Building E39, 51373 Leverkusen, Germany[§]Center for Molecular Medicine and Institute of Biochemistry II of the University of Cologne, Robert-Koch-Str. 21, 50931 Cologne, Germany

Supporting Information

ABSTRACT: A series of new colchicinoids with a variable triazole unit at C-7 was synthesized through Cu(I)-catalyzed 1,3-dipolar cycloaddition (click-chemistry) of a colchicine-derived azide with various alkynes and the cytotoxicity against THP-1 and Jurkat cancer cell lines was used for structural optimization. Three particularly active compounds ($IC_{50} \leq 5$ nM) were additionally investigated with respect to their efficacy against relevant solid tumor cell lines (HeLa, A549, and SK MES 1). Besides distorting the microtubule morphology by tubulin depolymerization, one compound also exhibited a pronounced centrosome declustering effect in triple negative breast cancer cells (MDA-MB-231) and nonsmall cell lung cancer cells (H1975).

KEYWORDS: Colchicine, click chemistry, tubulin, antitumoral compounds, resistance



Microtubules are highly dynamic polymers of α,β -tubulin heterodimers that play a key role in essential cellular processes such as accurate cell division, intracellular transport, and cell motility.¹ Therefore, the tubulin polymerization/depolymerization equilibrium represents an attractive target for the development of anticancer drugs.^{2–5} Colchicine (**1**, Figure 1) is a long-known and powerful antimitotic agent extracted

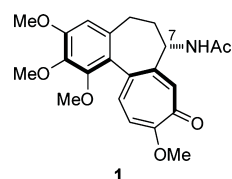
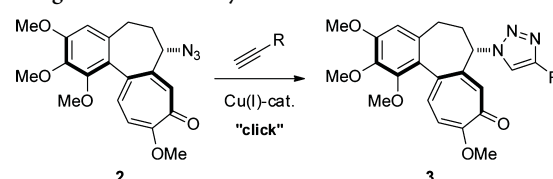


Figure 1. Natural product colchicine (**1**).

from *Colchicum autumnale* and clinically used mainly in the treatment of gout.⁶ It acts by destabilizing microtubules via depolymerization leading to cell cycle arrest in the metaphase and, as a consequence, apoptotic cell death. While the use of colchicine (**1**) in cancer chemotherapy is hampered by its toxicity,⁷ its remarkable biological activity motivates the search for new analogues with improved pharmacological properties.^{8–14}

In this context, we recently developed a “click conjugation” approach allowing a fast and efficient variation of the C7-side

chain at the colchicine core (Scheme 1).^{15–17} The method is based on the microwave-assisted Cu-catalyzed 1,3-dipolar

Scheme 1. Synthesis of C7-Modified Colchicine Derivatives through Click-Chemistry^a

^aReagents and conditions: $CuSO_4 \cdot 5H_2O$, (5 mol %), sodium ascorbate (10 mol %), $H_2O/tert\text{-}BuOH$ (1:1), μW (300 W), 85 °C, 20–45 min. See Chart 1 for structures and isolated yields.

cycloaddition^{18,19} of various alkynes to azide **2**, which is readily prepared from colchicine (**1**) in only four steps. Furthermore, it was shown that some of the resulting triazoles of type **3** exhibit promising levels of activity. We here report the continuation of this study, which has led to the identification of some new and highly active compounds.

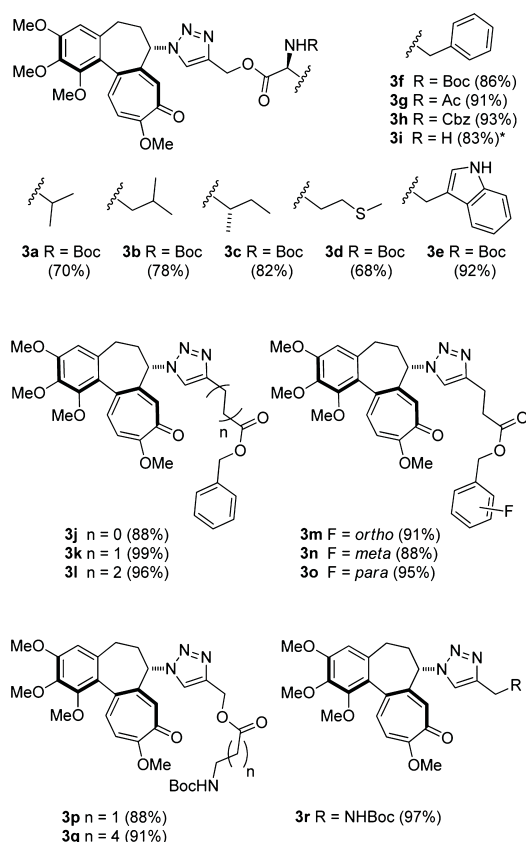
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Following an improved protocol (see [Supporting Information](#)) the azide **2** was obtained from *N*-deacetyl-colchicin^{15–17,20} through diazo transfer in 86% yield. The triazoles of type **3** were then prepared through “click chemistry” by microwave-induced heating of **2** and a terminal alkyne to 85 °C in the presence of 5 mol % of CuSO₄·5H₂O and 10 mol % of sodium ascorbate in a H₂O/*tert*-BuOH (1:1) solvent mixture. As a triazole of type **3** with an amino acid-derived side chain had shown significant activity in our previous study,^{15–17} we focused on compounds derived from (*N*-protected) amino acid propargyl esters. The synthesized library of new colchicine conjugates ([Chart 1](#)), however, not only comprises compounds

Chart 1. New Colchicine-Derived Triazoles of Type 3 Synthesized According to Scheme 1^a



^aYields of purified products are given in brackets. ***3i** was prepared from **3f** by treatment with TFA.

derived from amino acids (**3a–3i**) but also compounds with an *O*-benzylated carboxylic acid substituent (**3j–3o**) or a *N*-Boc-protected aminoalkyl side chain (**3p–3r**), respectively.

The cytotoxicity (IC₅₀) of all compounds was determined by means of a bioluminescence cell viability assay^{21,22} using THP-1 acute monocytic leukemia cells as well as Jurkat T cell lymphocytes. While all compounds were found to exhibit cytotoxic activity ([Table 1](#)), the phenylalanine derivative **3f** and the β -alanine ester **3p** stood out in the initial screening by inhibiting cell growth even at low nanomolar concentrations (IC₅₀ < 10 nM). Interestingly, these compounds carry a lipophilic moiety (phenyl or *tert*-butyl) in a certain distance to

Table 1. Cytotoxic Activity of Colchicine-Derived Compounds against THP-1 and Jurkat Cells^a

compd	IC ₅₀ [nM] THP-1	IC ₅₀ [nM] Jurkat
1	20.4 ± 2.7	13.5 ± 4.7
2	20.9 ± 0.7	
3a	8.1 ± 1.8	
3b	6.6 ± 5.5	
3c	17.3 ± 6.6	
3d	10.6 ± 9.3	
3e	7.3 ± 2.3	
3f	4.1 ± 1.7	15.3 ± 3.5
3g	25.5 ± 0.9	84.2 ± 5.9
3h	23.3 ± 4.8	21.4 ± 3.8
3i	24.0 ± 6.1	25.1 ± 2.9
3j	139.8 ± 34.6	
3k	31.0 ± 0.8	
3l	35.2 ± 12.8	16.7 ± 7.1
3m	12.7 ± 2.4	9.7 ± 3.1
3n	9.3 ± 0.2	4.9 ± 1.4
3o	5.1 ± 1.1	2.9 ± 1.6
3p	5.7 ± 2.2	5.0 ± 1.5
3q	5.4 ± 0.3	9.4 ± 4.0
3r	23.1 ± 10.1	

^aValues represent cytotoxic activity (IC₅₀) after 24 h (THP-1) or 48 h (Jurkat) compound incubation. Data shown are mean values ± SD from at least 3 independent experiments with biological replicates ≥ 3, respectively.

the colchicine core (i.e., the main pharmacophore), as does also the benzylester **3k**. Shortening or lengthening the linking unit to the lipophilic group resulted in a decrease of activity¹³ as illustrated by compounds **3i–3l** or **3p–3r** ([Table 1](#)). While phenylalanine derivatives **3g–3i** differing from **3f** only in the *N*-protecting group did not exhibit improved activities as compared to **3f**, the introduction of an additional fluorine substituent in the aryl moiety of **3k** led to an increase of the cytotoxic activity (compounds **3m–3o**). These results indicate a favorable hydrophobic interaction between the side chain and a lipophilic pocket of the target protein.

The three most active compounds, that is the phenylalanine derivative **3f**, the 4-fluorobenzyl ester **3o**, and the β -alanine ester **3p**, were further evaluated with respect to their cytotoxic activity against solid tumor cell lines, that is HeLa cervix carcinoma cells as well as A549 and chemotherapy resistant SK MES 1 lung cancer cells ([Table 2](#)). As a reference, paclitaxel, a marketed chemotherapeutic drug, was used as a strongly microtubule-stabilizing compound. Interestingly, compound **3o** proved to exhibit remarkable cytotoxic efficacy by inhibiting

Table 2. Cytotoxicity and Tubulin Polymerization Inhibition Activity of Compounds 3f, 3o, and 3p^a

compd	IC ₅₀ [nM] HeLa	IC ₅₀ [nM] A549	IC ₅₀ [nM] SK MES1	IC ₅₀ [μM] tubulin
paclitaxel	18.9 ± 1.7	13.2 ± 3.1	13.5 ± 3.2	
1	21.8 ± 1.1	23.9 ± 4.6	18.6 ± 1.8	6.7 ± 0.05
3f	70.7 ± 3.1	73.7 ± 20.5	39.2 ± 14.2	3.2 ± 0.3
3o	4.9 ± 2.7	5.5 ± 1.7	3.5 ± 0.9	2.1 ± 0.6
3p	20.0 ± 2.2	25.4 ± 4.1	9.6 ± 1.6	2.44 ± 0.4

^aCytotoxic activity (IC₅₀) after 48 h (*n* = 3). Inhibition of tubulin polymerization (*n* = 2). Data shown are mean values ± SD from *n* independent experiments.

cell growth already at concentrations below 6 nM clearly surpassing paclitaxel and colchicine (**1**). In addition, compounds **3f**, **3o**, and **3p** inhibited tubulin polymerization by 50% at concentrations of 2–3 μM in an *in vitro* assay. This demonstrates the strong cytotoxic activity of these compounds resulting from their microtubule-destabilizing activity, as expected.

In an additional set of experiments we explored the effect of colchicinoids **3f**, **3o**, and **3p** on the microtubule cytoskeleton morphology of MDA-MB-231 breast cancer cells by means of immune fluorescence microscopy. For this purpose, the cells were incubated with **3f**, **3o**, or **3p** at concentrations of 100 nM and microtubules, centrosomes, and DNA were visualized using fluorescence stains (Figure 2). Centrosomes are the major

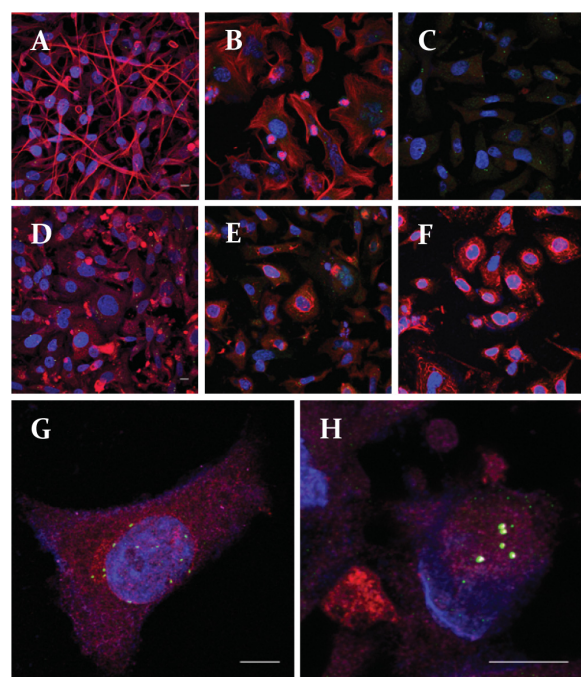


Figure 2. Microtubule morphology of MDA-MB-231 breast cancer cells after 24 h incubation with different compounds: (A) control (untreated cells); (B) paclitaxel (100 nM); (C) **1** (100 nM); (D) **3f** (100 nM); (E) **3o** (100 nM); (F) **3p** (100 nM). Centrosome declustering: (G) **3f** (100 nM) in MDA-MB-231 breast cancer cells; (H) **3f** (500 nM) in H1975 lung cancer cells. Microtubules (red) and centrosomes (green) were stained with antibodies, while DAPI (4,6-diamidino-2-phenylindole) was used to visualize DNA (blue). The white scale bars correspond to a distance of 10 μm .

microtubule organizing centers of animal cells.^{1,23} While untreated cells (A) showed a typical microtubule network, incubation with 100 nM of paclitaxel as a microtubule-stabilizing agent resulted in the expected segregation of the cells (B). As a second control, colchicine completely collapsed the microtubule network (C). As Figure 2 clearly shows, compounds **3f**, **3o**, and **3p** also suppressed the formation of the microtubule network (at 100 nM); however, short microtubule fragments (tending to localize around the cell nucleus) were still visible (D–F).^{13,14}

In contrast to normal cells, which contain a pair of centrosomes, cancer cells exhibit extra number of centrosomes,

which contributes to cancer cell invasion.^{24,25} The amplified centrosomes in cancer cells are clustered together so that cancer cells are able to avoid multipolar mitosis. Thus, preventing centrosome clustering has been recognized to be an attractive cancer target.^{26,27} Interestingly, the phenylalanine derivative **3f** (as the only one of the investigated compounds) was found to exhibit a strong centrosome declustering effect on MDA-MB-231 breast cancer cells (G) and also on H1975 small cell lung cancer cells (H). Notably, H1975 cells have been identified to be a therapy resistant cancer against currently available tyrosine receptor kinase inhibitors.²⁸

In conclusion, we have demonstrated that readily available colchicine-derived triazoles of type 3 may exhibit remarkable biological effects in dependence of the side chain structure. Besides pronounced cytotoxic activities the remarkable centrosome-declustering effect exhibited by compound **3f** may justify further investigation. Since tubulin heterodimers are core structural components also of centrosomes,²⁹ targeting their regulatory mechanisms by small molecules may offer interesting new options for therapeutic intervention in cancer chemotherapy.³⁰

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsmedchemlett.5b00418.

Experimental procedures, characterization of all compounds, and protocols for biological assays (PDF)

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Author Contributions

P.T. performed the synthesis, purification, and characterization of new colchicinoids. J.S. carried out the antiproliferation and tubulin polymerization assays. A.M. performed the immune fluorescence microscopic studies. N.T., J.G., and H.G.S. initiated and supervised the project. The manuscript was written, based on a first draft by P.T., through contributions of all authors. All authors have given approval to the final version of the manuscript.

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Notes

The authors declare no competing financial interest.

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Supporting Information

General information

Experimental procedures

Preparation of amino acid-derived alkynes

Preparation of *N*-Boc protected aminoalkanoic acid-derived alkynes

Preparation of 4-pentynoic acid fluorobenzyl esters

Preparation of alkynoic acid benzyl esters

Improved protocol for the synthesis of the colchicine-derived azide **2**

General Procedure for the Cu(I)-catalyzed 1,3-dipolar cycloaddition (click-conjugation)

Compound **3a**

Compound **3b**

Compound **3c**

Compound **3d**

Compound **3e**

Compound **3f**

Compound **3g**

Compound **3h**

Compound **3j**

Compound **3k**

Compound **3l**

Compound **3m**

Compound **3n**

Compound **3o**

Compound **3p**

Compound **3q**

Compound **3r**

Preparation of compound **3i**

Biological Data

Materials and Methods

References

General Information

All moisture sensitive reactions were carried out under argon atmosphere using Schlenk flasks and needle / syringe techniques. Glassware was flame dried under vacuum and flushed with argon once cooled down to room temperature. Syringes and needles were dried in an oven at 80 °C and were flushed with argon directly prior use. *NMR spectra* were recorded on *Bruker AV 300* instrument. Chemical shifts (δ) are given in ppm relative to the solvent reference as an internal standard (CDCl_3 , δ (^1H): 7.24 ppm, δ (^{13}C): 77.0 ppm). The assignments are supported by HMBC, HMQC and H,H-COSY spectra. Data are reported as follows: chemical shift (multiplicity (s for singlet, d for doublet, dd for doublet of doublets, t for triplet, q for quartet, quint for quintet, m for multiplet), coupling constant [Hz], integration, assignment). *High resolution mass spectra (HRMS)* were recorded on a *Thermo Fisher LTQ Orbitrap XL – FTMS Analyzer (HR-ESI-MS)*. *Fourier transform infrared spectra (FT-IR)* were recorded on *Perkin Elmer FT-IR Paragon 1000* spectrometer. Absorption bands are given in wave numbers ($\tilde{\nu}$, cm^{-1}). *Melting points (Mp)* were measured on *Buchi B-545* melting point apparatus and are uncorrected. *Optical rotation* $[\alpha]_{\text{D}}$ was measured on *Perkin-Elmer* polarimeter 343 plus at 20 °C and $\lambda = 589$ nm (cuvette length: 1.0 dm, volume: 1.0 mL). Concentration is given in g/100 mL. *Microwave* promoted reactions were carried out in a CEM Discover microwave synthesizer in sealed microwave flasks equipped with a stir bar. *Flash chromatography* was performed using *silica gel for chromatography* supplied by *Acros* (0.035–0.070 mm, 60 Å).

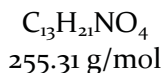
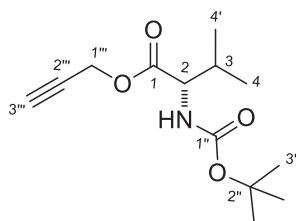
Experimental Procedures

Preparation of amino acid-derived alkynes:

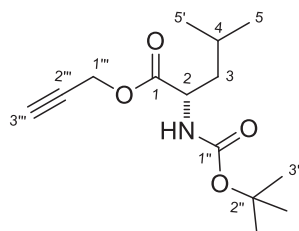
To a 0.2 M solution of 1.00 eq. *N*-protected L-amino acid in dry CH₂Cl₂ were added 1.20 eq. of DCC or DIC (as specified below) followed by 1.20 eq. of freshly distilled propargyl alcohol. The reaction mixture was cooled to 0 °C before 0.20 eq. of DMAP were added. After stirring at room temperature for 20 h water was added and the organic phase was washed successively with 1 N aqueous HCl and saturated aqueous NaCl.* The organic layer was dried over MgSO₄ and concentrated under reduced pressure. Purification by column chromatography afforded the desired amino acid-derived propargyl esters as colorless oils or white solids.

*In case of DCC the resulting urea formed during the reaction was firstly filtered off before extraction was followed as described above.

(*S*)-Prop-2-yn-1-yl 2-((*tert*-butoxycarbonyl)amino)-3-methylbutanoate (SI-1)¹

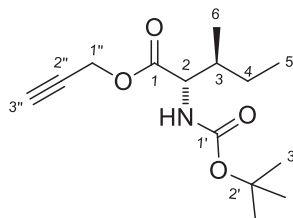


¹H NMR (300 MHz, CDCl₃): δ [ppm] = 0.91 (d, ³J_{H,H} = 6.9 Hz, 3H, H-4), 0.98 (d, ³J_{H,H} = 6.9 Hz, 3H, H-4'), 1.45 (s, 9H, H-3''), 2.09 – 2.27 (m, 1H, H-3), 2.48 (ψ-t, ⁴J_{H,H} = 2.2 Hz, 1H, H-3'''), 4.27 (dd, ³J_{H,H} = 8.5 Hz, 4.7 Hz, 1H, H-2), 4.68 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.2 Hz, 1H, H-1'''), 4.80 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.2 Hz, 1H, H-1'''), 5.00 (d, ³J_{H,H} = 8.5 Hz, 1H, NH). ¹³C NMR (75 MHz, CDCl₃): δ [ppm] = 17.5 (C-4), 18.9 (C-4'), 28.3 (C-3''), 31.3 (C-3), 52.4 (C-1'''), 58.4 (C-2), 75.2 (C-3'''), 77.2 (C-2'''), 79.7 (C-2''), 155.6 (C-1''), 172.3 (C-1). HRMS (ESI): calcd for [M+Na]⁺ (C₁₃H₂₁NNaO₄): 278.13628; found: 278.13638. FT-IR (ATR): $\tilde{\nu}$ [cm⁻¹] = 3294 (w), 3282 (w), 2966 (m), 2931 (w), 2874 (w), 1746 (m), 1709 (s), 1501 (m), 1462 (w), 1456 (w), 1390 (m), 1366 (m), 1350 (m), 1307 (m), 1242 (m), 1173 (s), 1154 (s), 1089 (m), 1014 (m), 992 (m), 937 (w), 866 (w), 778 (w). R_F: 0.28 (CyHex/EtOAc, 5/1). Mp.: 34 °C [Lit.¹: Oil]. [α]_D = -9.2 (CHCl₃, c = 1.020, 20 °C). Yield: 77% (reaction scale: 5.00 mmol; reagent: DCC).

(S)-Prop-2-yn-1-yl 2-((tert-butoxycarbonyl)amino)-4-methylpentanoate (SI-2)²

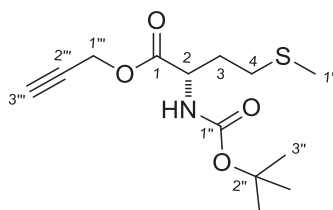
$C_{14}H_{23}NO_4$
269.34 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 0.95 (d, 6H, H-5, H-5'), 1.45 (s, 9H, H-3''), 1.48 – 1.57 (m, 1H, H-4), 1.59 – 1.81 (m, 2H, H-3), 2.48 (ψ-t, ⁴J_{H,H} = 2.1 Hz, 1H, H-3'''), 4.29 – 4.41 (m, 1H, H-2), 4.68 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.1 Hz, 1H, H-1'''), 4.78 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.1 Hz, 1H, H-1''), 4.87 (d, ³J_{H,H} = 7.9 Hz, 1H, NH). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 21.8 (C-5), 22.8 (C-5'), 24.8 (C-4), 28.3 (C-3''), 41.6 (C-3), 52.0 (C-2), 52.2 (C-1'''), 75.2 (C-3'''), 77.1 (C-2'''), 79.8 (C-2''), 155.4 (C-1''), 172.6 (C-1). **HRMS** (ESI): calcd for [M+Na]⁺ (C₁₄H₂₃NNaO₄): 292.15248; found: 292.15190. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3362 (w), 2956 (m), 2931 (m), 2869 (m), 2127 (w), 1747 (s), 1700 (s), 1506 (s), 1469 (m), 1452 (m), 1437 (m), 1390 (m), 1365 (s), 1270 (m), 1250 (s), 1155 (s), 1120 (m), 1046 (m), 1021 (s), 991 (m), 953 (m), 937 (m), 870 (w), 850 (w), 779 (m). **R_f**: 0.31 (CyHex/EtOAc, 5/1). **[α]_D** = -16.7 (CHCl₃, c = 0.890, 20 °C). **Yield**: 50% (reaction scale: 5.00 mmol; reagent: DCC).

(2S,3S)-Prop-2-yn-1-yl 2-((tert-butoxycarbonyl)amino)-3-methylpentanoate (SI-3)¹

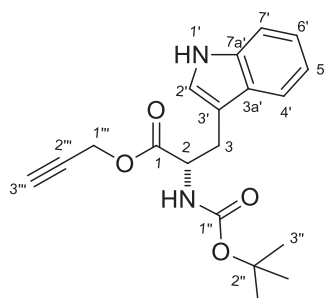
$C_{14}H_{23}NO_4$
269.34 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 0.91 – 0.97 (m, 6H, H-5, H-6), 1.10 – 1.30 (m, 2H, H-4), 1.45 (s, 9H, H-3'), 1.97 – 1.80 (m, 1H, H-3), 2.48 (ψ-t, ⁴J_{H,H} = 2.2 Hz, 1H, H-3'''), 4.25 – 4.36 (m, 1H, H-2), 4.67 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.2 Hz, 1H, H-1''), 4.79 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.2 Hz, 1H, H-1'), 5.02 (d, ³J_{H,H} = 8.4 Hz, 1H, NH). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 11.6 (C-5), 15.5 (C-6), 24.9 (C-4), 28.3 (C-3'), 38.1 (C-3), 52.3 (C-1''), 57.8 (C-2), 75.8 (C-3'''), 77.3 (C-2''), 79.5 (C-2'), 155.3 (C-1'), 171.8 (C-1). **HRMS** (ESI): calcd for [M+Na]⁺ (C₁₄H₂₃NNaO₄): 292.15193; found: 292.15191. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3379 (w), 3291 (w), 2966 (m), 2932 (m), 2876 (w), 1745 (m), 1709 (s), 1500 (m), 1455 (m), 1365 (m), 1337 (m), 1293 (m), 1247 (m), 1154 (s), 1084 (m), 1044 (m), 1018 (m), 993 (m), 937 (w), 860 (w), 778 (w). **R_f**: 0.33 (CyHex/EtOAc, 5/1). **[α]_D** = +1.7 (CHCl₃, c = 0.650, 20 °C). **Yield**: 77% (reaction scale: 5.00 mmol; reagent: DCC).

(S)-Prop-2-yn-1-yl 2-((*tert*-butoxycarbonyl)amino)-4-(methylthio)butanoate (SI-4)¹

$C_{13}H_{21}NO_4S$
287.38 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.45 (s, 9H, H-3''), 1.90 – 2.20 (m, 1H, H-3), 2.11 (s, 3H, H-1'), 2.13 – 2.23 (m, 1H, H-3), 2.51 – 2.59 (m, 3H, H-3''', H-4), 4.37 – 4.50 (m, 1H, H-2), 4.70 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.4 Hz, 1H, H-1'''), 4.80 (dd, ²J_{H,H} = 15.6 Hz, ⁴J_{H,H} = 2.4 Hz, 1H, H-1'''), 5.24 (d, ³J_{H,H} = 7.5 Hz, 1H, NH). ¹³C NMR (75 MHz, CDCl₃): δ [ppm] = 15.3 (C-1'), 28.2 (C-3''), 29.7 (C-4), 31.7 (C-3), 52.5 (C-2), 52.6 (C-1'''), 75.4 (C-3'''), 76.9 (C-2'''), 79.9 (C-2''), 155.2 (C-1''), 171.5 (C-1). HRMS (ESI): calcd for [M+Na]⁺ (C₁₃H₂₁NNaO₄S): 310.10835; found: 310.10854. FT-IR (ATR): $\tilde{\nu}$ [cm⁻¹] = 3351 (w), 3290 (w), 2974 (w), 2917 (w), 1747 (m), 1706 (s), 1506 (m), 1436 (m), 1390 (m), 1506 (m), 1345 (m), 1249 (m), 1159 (s), 1049 (m), 1023 (m), 991 (m), 956 (w), 861 (w), 780 (w). R_f: 0.19 (CyHex/EtOAc, 5/1). [α]_D = +7.3 (CHCl₃, c = 1.030, 20 °C). Yield: 82% (reaction scale: 5.00 mmol; reagent: DCC).

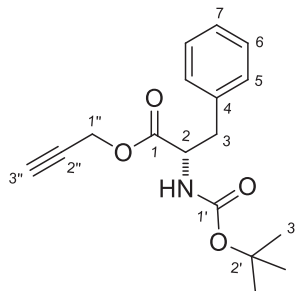
(S)-Prop-2-yn-1-yl 2-((*tert*-butoxycarbonyl)amino)-3-(1*H*-indol-3-yl)propanoate (SI-5)

$C_{19}H_{22}N_2O_4$
342.39 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.42 (s, 9H, H-3''), 2.49 (ψ-t, ⁴J_{H,H} = 2.3 Hz, 1H, H-3'''), 3.28 – 3.36 (m, 2H, H-3), 4.48 – 4.83 (m, 3H, H-2, H-1'''), 5.05 (d, ³J_{H,H} = 6.8 Hz, 1H, NH), 7.01 (s, 1H, H-2'), 7.05 – 7.23 (m, 2H, H-5', H-6'), 7.33 (d, ³J_{H,H} = 7.8 Hz, 1H, H-4'), 7.57 (d, ³J_{H,H} = 7.5 Hz, 1H, H-7'), 8.32 (s, 1H, H-1'). ¹³C NMR (75 MHz, CDCl₃): δ [ppm] = 27.7 (C-3), 28.3 (C-3''), 52.3 (C-1'''), 54.2 (C-2), 75.4 (C-3'''), 77.1 (C-2'''), 79.9 (C-2''), 109.7 (C-3'), 111.2 (C-4'), 118.6 (C-7'), 119.6 (C-6'), 122.1 (C-5'), 123.0 (C-2'), 127.6 (C-3a'), 136.1 (C-7a'), 155.2 (C-1''), 171.5 (C-1). HRMS (ESI): calcd for [M+Na]⁺ (C₁₉H₂₂N₂NaO₄): 365.14773; found: 365.1470. FT-IR (ATR): $\tilde{\nu}$ [cm⁻¹] = 3403 (w), 3295 (w), 2974 (w), 2929 (w), 2857 (w), 2127 (w), 1745 (m), 1696 (s), 1503 (m), 1456 (m), 1434 (w), 1391 (m),

1365 (m), 1273 (m), 1247 (s), 1161 (s), 1096 (w), 1059 (w), 1022 (w), 1008 (w), 991 (w), 856 (w), 778 (w), 742 (m). **R_F**: 0.08 (CyHex/EtOAc, 5/1). **Mp.**: 96 °C. [α]_D = +4.9 (CHCl₃, c = 0.980, 20 °C). **Yield**: 62% (reaction scale: 5.00 mmol; reagent: DCC; comment: THF was used instead of CH₂Cl₂ as a solvent).

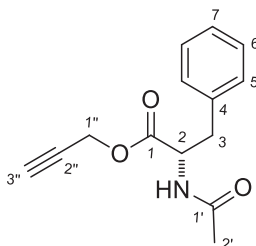
(S)-Prop-2-yn-1-yl 2-((*tert*-butoxycarbonyl)amino)-3-phenylpropanoate (SI-6)²



C₁₇H₂₁NO₄
303.35 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.41 (s, 9H, H-3'), 2.51 (ψ -t, ⁴J_{H,H} = 2.1 Hz, 1H, H-3''), 3.24 – 2.98 (m, 2H, H-3), 4.57 – 4.72 (m, 2H, H-2, H-1''), 4.77 (dd, ²J_{H,H} = 15.7 Hz, ⁴J_{H,H} = 2.1 Hz, 1H, H-1'), 4.96 (d, ³J_{H,H} = 7.8 Hz, 1H, NH), 7.13 – 7.19 (m, 2H, H-5), 7.25 – 7.34 (m, 3H, H-6, H-7). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 28.2 (C-3'), 38.0 (C-3), 52.6 (C-1''), 54.3 (C-2), 75.4 (C-3'', C-2''), 80.0 (C-2'), 127.1 (C-7), 128.5 (C-6), 129.3 (C-5), 135.6 (C-4), 155.0 (C-1'), 171.1 (C-1). **HRMS** (ESI): calcd for [M+Na]⁺ (C₁₇H₂₁NNaO₄): 326.13683; found: 326.13650. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3289 (w), 3280 (w), 2975 (w), 2931 (w), 1748 (s), 1711 (s), 1496 (s), 1454 (m), 1391 (m), 1366 (s), 1346 (m), 1249 (m), 1162 (s), 1079 (w), 1052 (m), 1022 (m), 995 (m), 950 (w), 857 (w), 778 (w), 747 (m). **R_F**: 0.32 (CyHex/EtOAc, 6/1). [α]_D = +13.9 (CHCl₃, c = 0.890, 20 °C). **Yield**: 46% (reaction scale: 5.00 mmol; reagent: DCC).

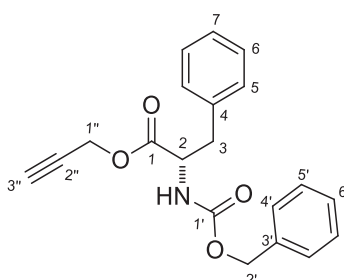
(S)-Prop-2-yn-1-yl 2-acetamido-3-phenylpropanoate (SI-7)



C₁₄H₁₅NO₃
245.28 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.97 (s, 3H, H-2'), 2.53 (ψ-t, ⁴J_{H,H} = 2.4 Hz, 1H, H-3''), 3.06 – 3.23 (m, 2H, H-3), 4.68 (dd, ²J_{H,H} = 15.5 Hz, ⁴J_{H,H} = 2.4 Hz, 1H, H-1''), 4.77 (dd, ²J_{H,H} = 15.5 Hz, ⁴J_{H,H} = 2.4 Hz, 1H, H-1''), 4.87 – 5.00 (m, 1H, H-2), 5.98 (d, ³J_{H,H} = 7.0 Hz, 1H, NH), 7.09 – 7.18 (m, 2H, H-5), 7.22 – 7.32 (m, 3H, H-6, H-7). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 23.0 (C-2'), 37.6 (C-3), 52.7 (C-1''), 52.9 (C-2), 75.5 (C-3''), 75.6 (C-2''), 127.2 (C-7), 128.5 (C-6), 129.3 (C-5), 135.5 (C-4), 169.6 (C-1'), 170.9 (C-1). **HRMS** (ESI): calcd for [M+Na]⁺ (C₁₄H₁₅NNaO₃): 268.09441; found: 268.09464. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3283 (m), 3062 (w), 3027 (w), 2942 (w), 2125 (w), 1745 (s), 1654 (s), 1538 (m), 1496 (m), 1453 (m), 1437 (m), 1373 (m), 1345 (m), 1272 (m), 1206 (m), 1174 (s), 1127 (m), 1080 (w), 1028 (w), 989 (w), 937 (w), 744 (m). **R_F**: 0.31 (CyHex/EtOAc, 4/5). **Mp.**: 68–69 °C. **[α]_D** = +5.6 (CHCl₃, c = 0.855, 20 °C). **Yield**: 88% (reaction scale: 0.20 mmol; reagent: DIC).

(S)-Prop-2-yn-1-yl 2-(((benzyloxy)carbonyl)amino)-3-phenylpropanoate (SI-8)²

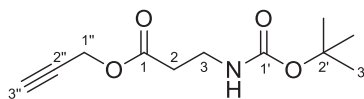


C₂₀H₁₉NO₄
337.37 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 2.51 (s, 1H, H-3''), 3.06 – 3.21 (m, 2H, H-3), 4.64 – 4.78 (m, 3H, H-2, H-1''), 5.09 (s, 2H, H-2'), 5.20 (d, ³J_{H,H} = 7.9 Hz, 1H, NH), 7.09 – 7.15 (m, 2H, H-5), 7.22 – 7.39 (m, 8H, H-6, H-7, H-4', H-5', H-6'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 37.9 (C-3), 52.7 (C-1''), 54.6 (C-2), 67.0 (C-2'), 75.5 (C-3''), 75.6 (C-2''), 127.2 (C-7), 128.1 (C-6), 128.2 (C-6'), 128.5 (C-5'), 128.6 (C-4'), 129.3 (C-5), 135.3 (C-4), 136.1 (C-3'), 155.6 (C-1'), 170.7 (C-1). **HRMS** (ESI): calcd for [M+Na]⁺ (C₂₀H₁₉NNaO₄): 360.12063; found: 360.12046. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3289 (w), 3062 (w), 3029 (w), 2948 (w), 2127 (w), 1745 (s), 1710 (s), 1505 (m), 1496 (m), 1453 (m), 1383 (w), 1341 (m), 1252 (m), 1204 (w), 1173 (s), 1079 (m), 1051 (s), 1026 (s), 993 (m), 907 (m), 729 (s). **R_F**: 0.14 (CyHex/EtOAc, 5/1). **Mp.**: 63–64 °C [Lit.²: 64–66 °C]. **[α]_D** = +17.3 (CHCl₃, c = 0.930, 20 °C). **Yield**: 78% (reaction scale: 0.20 mmol; reagent: DIC).

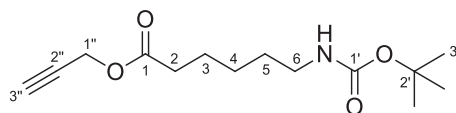
Preparation of N-Boc protected aminoalkanoic acid-derived alkynes:

The propargyl esters of N-Boc protected aminoalkanoic acids were prepared as described for the synthesis of the amino acid-derived propargyl esters (see page 3). The resulting products were isolated as colorless oils after purification by column chromatography.

Prop-2-yn-1-yl 3-((*tert*-Butoxycarbonyl)amino)propanoate (SI-9)¹

$C_{11}H_{17}NO_4$
227.26 g/mol

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.41 (s, 9H, H-3'), 2.50 (ψ-t, ⁴J_{H,H} = 2.4 Hz, 1H, H-3''), 2.57 (t, ³J_{H,H} = 6.1 Hz, 2H, H-2), 3.33 – 3.44 (m, 2H, H-3), 4.68 (d, ⁴J_{H,H} = 2.4 Hz, 2H, H-1''), 5.10 (s, 1H, NH). ¹³C NMR (75 MHz, CDCl₃): δ [ppm] = 28.2 (C-3'), 34.3 (C-2), 35.9 (C-3), 51.9 (C-1''), 75.0 (C-2''), 77.3 (C-3''), 79.3 (C-2'), 155.6 (C-1'), 171.5 (C-1). HRMS (ESI): calcd for [M+Na]⁺ (C₁₁H₁₇NNaO₄): 250.10498; found.: 250.10510. FT-IR (ATR): $\tilde{\nu}$ [cm⁻¹] = 3379 (w), 3295 (w), 2975 (w), 2933 (w), 1736 (m), 1702 (s), 1679 (s), 1692 (s), 1513 (m), 1503 (s), 1453 (w), 1391 (m), 1365 (m), 1270 (m), 1264 (s), 1157 (vs), 1065 (m), 1027 (m), 984 (m), 966 (m), 857 (w), 781 (w), 727 (w). R_F: 0.35 (CyHex/EtOAc, 5/1). Yield: 89% (reaction scale: 0.50 mmol; reagent: DIC).

Prop-2-yn-1-yl 6-((*tert*-butoxycarbonyl)amino)hexanoate (SI-10)

$C_{14}H_{23}NO_4$
269.34 g/mol

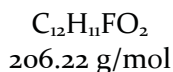
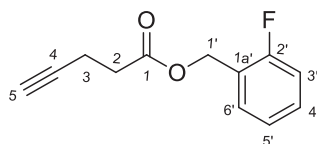
¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.23 – 1.40 (m, 2H, H-4), 1.44 (s, 9H, H-3'), 1.47 – 1.56 (m, 2H, H-5), 1.66 (quint, ³J_{H,H} = 7.5 Hz, 2H, H-3), 2.36 (t, ³J_{H,H} = 7.5 Hz, 2H, H-2), 2.51 (ψ-t, ⁴J_{H,H} = 2.4 Hz, 1H, H-3''), 3.06 – 3.19 (m, 2H, H-6), 4.67 (d, ⁴J_{H,H} = 2.4 Hz, 2H, H-1''), 4.70 (s, 1H, NH). ¹³C NMR (75 MHz, CDCl₃): δ [ppm] = 24.3 (C-3), 25.0 (C-4), 28.3 (C-3'), 29.6 (C-5), 33.6 (C-2), 40.2 (C-6), 51.6 (C-1''), 74.7 (C-2''), 77.6 (C-3''), 78.8 (C-2'), 155.8 (C-1'), 171.5 (C-1). HRMS (ESI): calcd for [M+Na]⁺ (C₁₄H₂₃NNaO₄): 292.15193; found: 292.15186. FT-IR (ATR): $\tilde{\nu}$ [cm⁻¹] = 3369 (w), 3290 (w), 2972 (w), 2933 (w), 2858 (w), 1735 (m), 1708 (s), 1693 (s), 1681 (s), 1518 (m), 1503 (m), 1451 (w), 1389 (w), 1365 (m), 1268 (m), 1264 (s), 1156 (vs), 1100 (w), 999 (w), 934 (w), 863 (w), 779 (w). R_F: 0.31 (CyHex/EtOAc, 5/1). Yield: 91% (reaction scale: 0.50 mmol; reagent: DIC).

Preparation of 4-pentynoic acid fluorobenzyl esters:

20.0 mg (0.20 mmol, 1.00 eq.) of 4-pentynoic acid and 42.0 mg (0.30 mmol, 1.50 eq.) of K₂CO₃ were dissolved in 0.20 mL dry DMF. Then, 30.0 μL (45.0 mg, 0.24 mmol, 1.20 eq.) of *ortho*-, *meta*- or *para*-substituted fluorobenzyl bromide was added dropwise and the reaction mixture was allowed to stir at room temperature for 20 h. Water was added followed by the extraction of the aqueous phase with MTBE (4x). The combined organic layers were dried over MgSO₄, filtered

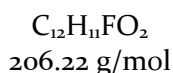
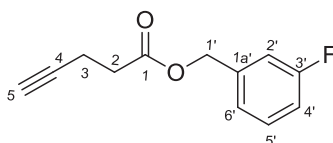
and concentrated under reduced pressure. Purification by column chromatography afforded the desired products as colorless oils in 88-94% yield as specified below.

2-Fluorobenzyl pent-4-ynoate (SI-11)

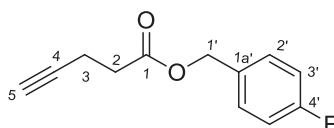


¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.97 (t, ⁴J_{H,H} = 2.5 Hz, 1H, H-5), 2.47 – 2.57 (m, 2H, H-3), 2.58 – 2.65 (m, 2H, H-2), 5.22 (s, 2H, H-1'), 7.02 – 7.11 (m, 1H, H-3'), 7.11 – 7.18 (m, 1H, H-5'), 7.28 – 7.35 (m, 1H, H-4'), 7.35 – 7.43 (m, 1H, H-6'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 14.3 (C-3), 33.3 (C-2), 60.4 (C-1'), 69.1 (C-4), 82.3 (C-5), 115.5 (d, ²J_{C,F} = 21.1 Hz, C-3'), 122.9 (d, ²J_{C,F} = 14.6 Hz, C-1a'), 124.1 (d, ⁴J_{C,F} = 2.6 Hz, C-5'), 130.3 (d, ³J_{C,F} = 8.1 Hz, C-4'), 130.6 (d, ³J_{C,F} = 2.8 Hz, C-6'), 161.0 (d, ¹J_{C,F} = 248.6 Hz, C-2'), 171.4 (C-1). **HRMS** (ESI): calcd for [M+Na]⁺ (C₁₂H₁₁FNaO₂): 229.06353; found: 229.06357. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3295 (w), 2966 (w), 1737 (s), 1619 (w), 1587 (w), 1493 (m), 1455 (m), 1418 (w), 1385 (w), 1353 (w), 1284 (m), 1232 (m), 1186 (m), 1159 (s), 1110 (w), 1031 (w), 990 (w), 966 (w), 940 (w), 840 (w), 757 (s), 645 (m). **R_F**: 0.31 (CyHex/EtOAc, 5/1). **Yield**: 93%.

3-Fluorobenzyl pent-4-ynoate (SI-12)



¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.97 (t, ⁴J_{H,H} = 2.5 Hz, 1H, H-5), 2.48 – 2.57 (m, 2H, H-3), 2.57 – 2.66 (m, 2H, H-2), 5.13 (s, 2H, H-1'), 6.95 – 7.15 (m, 3H, H-2', H-4', H-6'), 7.27 – 7.37 (m, 1H, H-5'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 14.3 (C-3), 33.3 (C-2), 65.6 (C-1'), 69.2 (C-4), 82.3 (C-5), 114.9 (d, ²J_{C,F} = 18.0 Hz, C-2'), 115.2 (d, ²J_{C,F} = 18.0 Hz, C-4'), 123.5 (d, ⁴J_{C,F} = 2.2 Hz, C-6'), 130.1 (d, ³J_{C,F} = 8.3 Hz, C-5'), 138.2 (d, ³J_{C,F} = 7.8 Hz, C-1a'), 162.9 (d, ¹J_{C,F} = 246.3 Hz, C-3'), 171.4 (C-1). **HRMS** (ESI): calcd for [M+Na]⁺ (C₁₂H₁₁FNaO₂): 229.06353; found: 229.06378. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3291 (w), 2956 (w), 1736 (s), 1618 (w), 1591 (m), 1487 (m), 1452 (m), 1382 (m), 1352 (m), 1254 (s), 1203 (m), 1154 (s), 1013 (w), 973 (w), 920 (m), 867 (m), 783 (s), 748 (m), 682 (s), 640 (s). **R_F**: 0.35 (CyHex/EtOAc, 5/1). **Yield**: 88%.

4-Fluorobenzyl pent-4-ynoate (SI-13)

$C_{12}H_{11}FO_2$
206.22 g/mol

1H NMR (300 MHz, $CDCl_3$): δ [ppm] = 1.97 (t, $^4J_{H,H} = 2.4$ Hz, 1H, H-5), 2.47 – 2.56 (m, 2H, H-3), 2.56 – 2.64 (m, 2H, H-2), 5.11 (s, 2H, H-1'), 7.01 – 7.09 (m, 2H, H-3'), 7.30 – 7.38 (m, 2H, H-2'). ^{13}C NMR (75 MHz, $CDCl_3$): δ [ppm] = 14.3 (C-3), 33.3 (C-2), 65.8 (C-1'), 69.1 (C-4), 82.3 (C-5), 115.5 (d, $^2J_{C,F} = 21.5$ Hz, C-3'), 130.3 (d, $^3J_{C,F} = 8.3$ Hz, C-2'), 131.6 (d, $^4J_{C,F} = 2.5$ Hz, C-1a'), 161.3 (d, $^1J_{C,F} = 247.1$ Hz, C-4'), 171.5 (C-1). **HRMS** (ESI): calcd for $[M+Na]^+$ ($C_{12}H_{11}FNaO_2$): 229.06353; found: 229.06376. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3296 (w), 2930 (w), 1731 (s), 1605 (w), 1510 (s), 1420 (w), 1382 (w), 1352 (w), 1281 (w), 1222 (s), 1166 (s), 1153 (s), 1098 (w), 1014 (w), 966 (w), 850 (w), 824 (m), 765 (w), 624 (m). **R_F**: 0.37 (CyHex/EtOAc, 5/1). **Yield**: 94%.

Preparation of alkynoic acid benzyl esters:

Note: The known benzyl esters of propynoic acid, 4-pentynoic acid and 6-heptynoic acid were prepared as described above for the fluorobenzyl esters using benzyl bromide (1.20 eq.) instead of fluorobenzyl bromide.

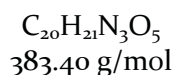
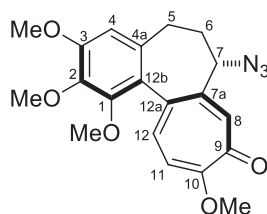
Improved protocol for the synthesis of the colchicine-derived azide 2:**I. Preparation of triflyl azide:**

To a solution of 1.02 g (15.69 mmol, 8.00 eq.) of NaN_3 in 8.45 mL of water were added 3.60 mL of toluene. The resulting suspension was cooled to 0 °C before 1.90 mL (3.19 g, 11.30 mmol, 5.77 eq.) of Tf_2O were added dropwise. The reaction mixture was stirred at 0 °C for 2 h followed by the addition of saturated aqueous $NaHCO_3$ until the gas evolution had ceased. The phases were separated and the aqueous phase extracted with 3.50 mL of toluene (2x). The combined organic layers (containing triflyl azide) were used directly in the next step.

II. Diazo transfer:

A solution of 700 mg (1.96 mmol, 1.00 eq.) of *N*-deacetylcolchicine in 5.25 mL of H_2O was diluted with 5.25 mL of methanol before 691 mg (8.23 mmol, 4.20 eq.) of $NaHCO_3$ and 25.0 mg (0.10 mmol, 5.00 mol%) $CuSO_4 \cdot 5H_2O$ were added. Then, the solution of triflyl azide (prepared as described above) was added dropwise. The reaction mixture was diluted with additional 15.0 mL of methanol and stirred at room temperature for 24 h before the organic solvent was removed under reduced pressure. The remaining residue was poured into 20.0 mL of water and

extracted with EtOAc (4x). The combined organic layers were washed with brine, dried over MgSO_4 and concentrated. Purification of the crude product by column chromatography (CyHex/EtOAc, 1/10) yielded 650 mg (1.69 mmol, 86%) of the desired azide **2** as a yellow solid.



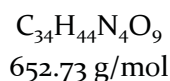
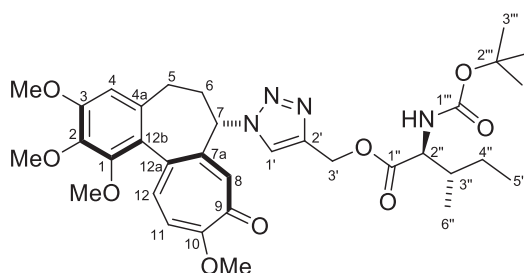
^1H NMR (300 MHz, CDCl_3): δ [ppm] = 1.82 – 1.95 (m, 1H, H-6), 2.30 – 2.51 (m, 2H, H-6, H-5), 2.51 – 2.61 (m, 1H, H-5), 3.69 (s, 3H, OMe), 3.92 (s, 6H, 2xOMe), 4.01 (s, 3H, OMe), 4.30 (dd, $^3J_{\text{H,H}} = 11.1$ Hz, 5.6 Hz, 1H, H-7), 6.56 (s, 1H, H-4), 6.87 (d, $^3J_{\text{H,H}} = 10.7$ Hz, 1H, H-11), 7.23 (d, $^3J_{\text{H,H}} = 10.7$ Hz, 1H, H-12), 7.67 (s, 1H, H-8). ^{13}C NMR (75 MHz, CDCl_3): δ [ppm] = 29.7 (C-5), 36.8 (C-6), 56.0 (OMe), 56.6 (OMe), 61.1 (2xOMe), 62.9 (C-7), 107.1 (C-4), 111.7 (C-11), 124.8 (C-12b), 132.6 (C-8), 134.0 (C-4a), 134.9 (C-12a), 135.0 (C-12), 141.3 (C-3), 147.3 (C-7a), 150.7 (C-1), 153.6 (C-2), 164.0 (C-10), 179.3 (C-9). **HRMS** (ESI): calcd for $[\text{M}+\text{Na}]^+$ ($\text{C}_{20}\text{H}_{21}\text{N}_3\text{NaO}_5$): 406.13730; found: 406.13700. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 2930 (br, w), 2832 (w), 2102 (s), 1707 (w), 1612 (m), 1568 (vs), 1484 (m), 1452 (m), 1395 (m), 1344 (m), 1316 (m), 1247 (s), 1174 (m), 1136 (s), 1087 (s), 1065 (m), 1021 (m), 1000 (m), 983 (m), 919 (m), 844 (m), 609 (w). **R_F**: 0.16 (CyHex/EtOAc, 1/10). **Mp.**: 155 °C [Lit.³: 159 °C]. $[\alpha]_{\text{D}} = -244.6$ (CHCl_3 , $c = 0.605$, 20 °C).

General Procedure for the Cu(I)-catalyzed 1,3-dipolar cycloaddition (click-conjugation):

In a microwave vial 50.0 mg (0.13 mmol, 1.00 eq.) of azide **2** were dissolved in 0.25 mL of *t*-BuOH. To this solution were subsequently added 0.25 mL of water and 0.14 mmol (1.10 eq.) of the respective alkyne. Then, 1.6 mg (6.50 μmol , 5.00 mol%) of $\text{CuSO}_4 \cdot 5 \text{H}_2\text{O}$ (dissolved in 20 μL of water) and 2.6 mg (13.0 μmol , 10.0 mol%) of sodium ascorbate were added. The microwave vial was sealed, placed into a microwave reactor and heated to 85 °C for 20–45 min (300 W). After cooling the reaction vessel to room temperature the reaction mixture was poured into water followed by extraction with EtOAc (4x). The combined organic layers were washed with brine, dried over MgSO_4 and concentrated under reduced pressure. Purification by column chromatography afforded the desired triazoles in 68–99% yield as specified below.

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 0.90 (d, ³J_{H,H} = 2.7 Hz, 3H, H-5''), 0.92 (d, ³J_{H,H} = 2.7 Hz, 3H, H-5''), 1.40 (s, 9H, H-3'''), 1.45 – 1.77 (m, 3H, H-4'', H-3'''), 2.49 – 2.68 (m, 2H, H-5, H-6), 2.68 – 2.91 (m, 2H, H-5, H-6), 3.77 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.98 (s, 3H, OMe), 4.23 – 4.37 (m, 1H, H-2''), 4.96 (d, ³J_{H,H} = 8.3 Hz, 1H, NH), 5.31 (s, 2H, H-3'), 5.39 (dd, ³J_{H,H} = 11.9 Hz, 5.0 Hz, 1H, H-7), 6.47 (s, 1H, H-8), 6.61 (s, 1H, H-4), 6.83 (d, ³J_{H,H} = 10.7 Hz, 1H, H-11), 7.30 (d, ³J_{H,H} = 10.7 Hz, 1H, H-12), 7.71 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 21.5 (C-5''), 22.7 (C-5''), 24.6 (C-4''), 28.1 (C-3'''), 29.5 (C-5), 35.2 (C-6), 41.1 (C-3''), 52.0 (C-2''), 56.0 (OMe), 56.3 (OMe), 58.2 (C-3'), 61.0 (OMe), 61.1 (OMe), 62.6 (C-7), 79.7 (C-2'''), 107.2 (C-4), 111.6 (C-11), 124.2 (C-1'), 124.7 (C-12b), 131.7 (C-8), 133.4 (C-4a), 134.2 (C-12a), 135.3 (C-12), 141.5 (C-3), 142.7 (C-2'), 147.4 (C-7a), 150.8 (C-1), 153.8 (C-2), 155.3 (C-1'''), 164.1 (C-10), 173.2 (C-1''), 178.6 (C-9). **HRMS** (ESI): calcd for [M+Na]⁺ (C₃₄H₄₄N₄NaO₉): 675.30005; found: 675.30004. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3363 (br, w), 2955 (m), 2286 (w), 1734 (m), 1706 (s), 1616 (m), 1585 (s), 1487 (s), 1457 (m), 1429 (m), 1398 (m), 1365 (m), 1348 (m), 1320 (m), 1252 (s), 1160 (s), 1138 (s), 1095 (s), 1045 (m), 1018 (m), 1001 (m), 982 (w), 923 (w), 900 (w), 844 (m), 788 (w), 710 (w), 667 (w). **R_f**: 0.28 (CyHex/EtOAc/EtOH, 4/1/1). **Mp.**: 108 °C. **Yield**: 78% (reaction time: 30 min).

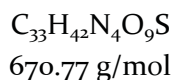
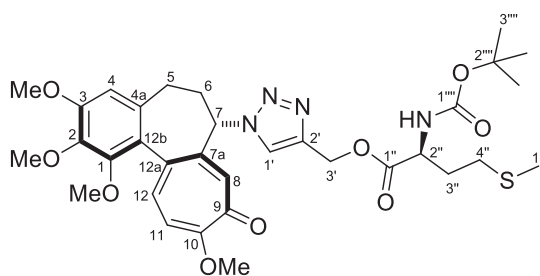
Compound 3c:



¹H NMR (300 MHz, CDCl₃): δ [ppm] = 0.81 – 0.92 (m, 6H, H-5'', H-6''), 1.05 – 1.24 (m, 1H, H-4''), 1.25 – 1.38 (m, 1H, H-4''), 1.41 (s, 9H, H-3'''), 1.85 (m, 1H, H-3''), 2.47 – 2.70 (m, 2H, H-5, H-6), 2.70 – 2.90 (m, 2H, H-5, H-6), 3.77 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.98 (s, 3H, OMe), 4.25 (dd, ³J_{H,H} = 8.4 Hz, 4.7 Hz, 1H, H-2''), 5.00 (d, ³J_{H,H} = 8.4 Hz, 1H, NH), 5.31 (s, 2H, H-3'), 5.39 (dd, ³J_{H,H} = 12.0 Hz, 5.2 Hz, 1H, H-7), 6.47 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.81 (d, ³J_{H,H} = 10.6 Hz, 1H, H-11), 7.29 (d, ³J_{H,H} = 10.6 Hz, 1H, H-12), 7.68 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 11.5 (C-5''), 15.5 (C-6''), 25.0 (C-4''), 28.2 (C-3'''), 29.7 (C-5), 35.3 (C-6), 37.8 (C-3''), 56.1 (OMe), 56.4 (OMe), 57.3 (C-2''), 58.1 (C-3'), 61.2 (OMe), 61.3 (OMe), 62.7 (C-7), 79.7 (C-2'''), 107.4 (C-4), 111.7 (C-11), 124.3 (C-1'), 124.8 (C-12b), 131.8 (C-8), 133.5 (C-4a), 134.4 (C-12a),

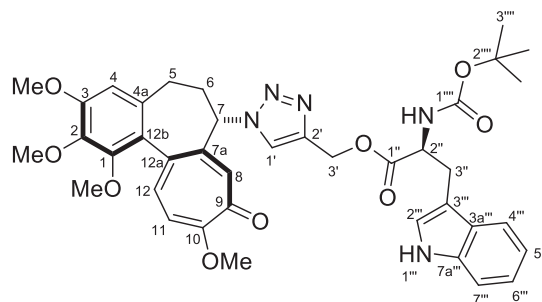
135.4 (C-12), 141.7 (C-3), 142.8 (C-2'), 147.4 (C-7a), 151.0 (C-1), 153.9 (C-2), 155.5 (C-1'''), 164.2 (C-10), 172.2 (C-1''), 178.7 (C-9). **HRMS** (ESI): calcd for $[M+Na]^+$ ($C_{34}H_{44}N_4NaO_9$): 675.30005; found: 675.30016. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3365 (br, w), 2965 (m), 2933 (m), 1736 (m), 1706 (s), 1616 (m), 1585 (s), 1573 (s), 1487 (s), 1457 (s), 1398 (m), 1364 (m), 1321 (m), 1252 (s), 1150 (s), 1095 (s), 1046 (m), 1018 (m), 1002 (m), 983 (w), 922 (w), 843 (w), 752 (s), 665 (w). **R_F**: 0.20 (CyHex/EtOAc/EtOH, 4/1/1). **Mp.**: 102 °C. **Yield**: 82% (reaction time: 45 min).

Compound 3d:



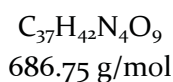
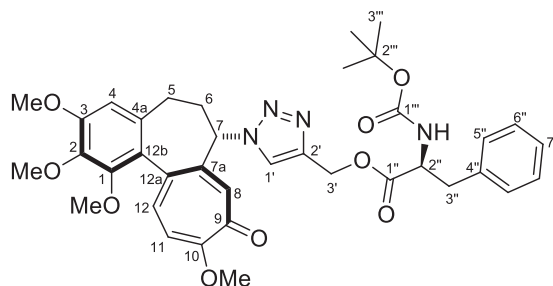
¹H NMR (300 MHz, $CDCl_3$): δ [ppm] = 1.41 (s, 9H, H-3'''), 1.86 – 1.99 (m, 2H, H-3''), 2.05 (s, 3H, H-1'''), 2.50 (t, $^3J_{H,H}$ = 7.3 Hz, 2H, H-4''), 2.28 – 2.55 (m, 2H, H-5, H-6), 2.69 – 2.89 (m, 2H, H-5, H-6), 3.77 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.98 (s, 3H, OMe), 4.36 – 4.47 (m, 1H, H-2''), 5.18 (d, $^3J_{H,H}$ = 7.4 Hz, 1H, NH), 5.32 (s, 2H, H-3'), 5.39 (dd, $^3J_{H,H}$ = 11.8 Hz, 4.4 Hz, 1H, H-7), 6.45 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.82 (d, $^3J_{H,H}$ = 10.8 Hz, 1H, H-11), 7.30 (d, $^3J_{H,H}$ = 10.8 Hz, 1H, H-12), 7.69 (s, 1H, H-1'). **¹³C NMR** (75 MHz, $CDCl_3$): δ [ppm] = 15.4 (C-1'''), 28.2 (C-3'''), 29.7 (C-5), 29.9 (C-4''), 31.7 (C-3''), 35.3 (C-6), 52.7 (C-2''), 56.1 (OMe), 56.4 (OMe), 58.5 (C-3'), 61.2 (OMe), 61.3 (OMe), 62.8 (C-7), 79.9 (C-2'''), 107.4 (C-4), 111.8 (C-11), 124.5 (C-1'), 124.8 (C-12b), 131.8 (C-8), 133.5 (C-4a), 134.4 (C-12a), 135.5 (C-12), 141.7 (C-3), 142.6 (C-2'), 147.5 (C-7a), 151.0 (C-1), 154.0 (C-2), 155.3 (C-1'''), 164.3 (C-10), 172.2 (C-1''), 178.7 (C-9). **HRMS** (ESI): calcd for $[M+Na]^+$ ($C_{33}H_{42}N_4NaO_9S$): 693.25647; found: 693.25592. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3348 (br, w), 2971 (w), 2933 (w), 1740 (m), 1707 (s), 1617 (m), 1585 (s), 1570 (s), 1486 (s), 1457 (m), 1397 (m), 1364 (m), 1348 (m), 1320 (m), 1282 (m), 1251 (s), 1162 (s), 1136 (s), 1095 (s), 1048 (m), 1019 (m), 1001 (m), 982 (w), 922 (w), 844 (w), 750 (s), 666 (w). **R_F**: 0.16 (CyHex/EtOAc/EtOH, 4/1/1). **Mp.**: 98–100 °C. **Yield**: 68% (reaction time: 40 min).

Compound 3e:

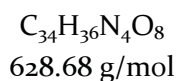
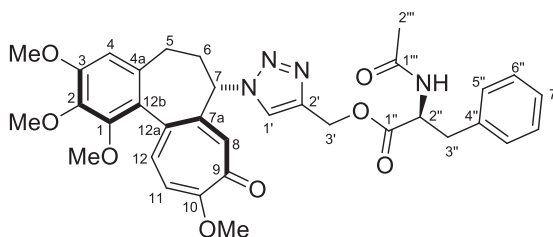


$C_{39}H_{43}N_5O_9$
725.79 g/mol

1H NMR (300 MHz, $CDCl_3$): δ [ppm] = 1.47 (s, 9H, H-3'''), 2.15 – 2.32 (m, 2H, H-6), 2.49 – 2.57 (m, 2H, H-5), 3.13 (dd, $^2J_{H,H} = 14.0$ Hz, $^3J_{H,H} = 8.3$ Hz, 1H, H-3''), 3.46 (dd, $^2J_{H,H} = 14.0$ Hz, $^3J_{H,H} = 3.3$ Hz, 1H, H-3''), 3.75 (s, 3H, OMe), 3.91 (s, 3H, OMe), 3.94 (s, 3H, OMe), 4.06 (s, 3H, OMe), 4.77 – 4.87 (m, 1H, H-2''), 4.98 (d, $^2J_{H,H} = 13.4$ Hz, 1H, H-3'), 5.20 (d, $^2J_{H,H} = 13.4$ Hz, 1H, H-3'), 5.26 (d, $^3J_{H,H} = 8.3$ Hz, 1H, NH), 5.37 (dd, $^3J_{H,H} = 11.0$ Hz, 7.1 Hz, 1H, H-7), 6.43 (s, 1H, H-1'), 6.54 (s, 1H, H-8), 6.58 (s, 1H, H-4), 6.96 (s, 1H, H-2'''), 6.97 (d, $^3J_{H,H} = 10.6$ Hz, 1H, H-11), 7.03 – 7.20 (m, 3H, H-4'', H-5'', H-6''), 7.44 (d, $^3J_{H,H} = 10.6$ Hz, 1H, H-12), 7.70 (d, $^3J_{H,H} = 6.6$ Hz, 1H, H-7''), 10.20 (s, 1H, H-1''). **^{13}C NMR** (75 MHz, $CDCl_3$): δ [ppm] = 28.3 (C-3'''), 29.1 (C-3''), 29.6 (C-5), 35.3 (C-6), 54.3 (C-2''), 56.1 (OMe), 56.5 (OMe), 58.7 (C-3'), 61.1 (OMe), 61.3 (OMe), 62.5 (C-7), 79.9 (C-2'''), 107.3 (C-4), 109.2 (C-3'''), 111.1 (C-4'''), 112.9 (C-11), 118.9 (C-7'''), 119.1 (C-6'''), 121.1 (C-5'''), 122.5 (C-1'), 123.4 (C-2'''), 124.5 (C-12b), 127.6 (C-3a'''), 131.1 (C-8), 133.4 (C-4a), 135.1 (C-12a), 136.2 (C-7a'''), 136.3 (C-12), 141.6 (C-2'), 142.7 (C-3), 148.2 (C-7a), 150.9 (C-1), 154.1 (C-2), 155.1 (C-1'''), 164.4 (C-10), 172.2 (C-1''), 179.1 (C-9). **HRMS** (ESI): calcd for $[M+Na]^+$ ($C_{39}H_{43}N_5NaO_9$): 748.29580; found: 748.29500. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3326 (br, w), 2966 (w), 2932 (w), 1734 (m), 1707 (m), 1615 (w), 1584 (m), 1559 (m), 1486 (m), 1456 (m), 1429 (m), 1397 (m), 1349 (m), 1321 (m), 1250 (vs), 1164 (s), 1137 (s), 1096 (s), 1044 (s), 1018 (m), 1000 (m), 983 (m), 922 (w), 899 (w), 845 (m), 814 (w), 743 (m), 609 (w). **R_f**: 0.35 (CyHex/EtOAc/EtOH, 2/1/1). **Mp.**: 191 °C. **Yield**: 92% (reaction time: 45 min).

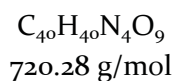
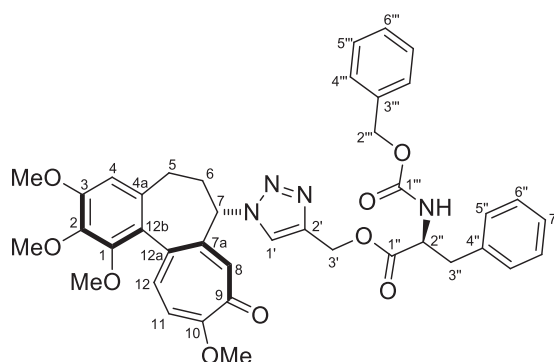
Compound 3f:

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.38 (s, 9H, H-3'''), 2.44 – 2.69 (m, 2H, H-5, H-6), 2.70 – 2.87 (m, 2H, H-5, H-6), 2.97 – 3.16 (m, 2H, H-3''), 3.78 (s, 3H, OMe), 3.93 (s, 3H, OMe), 3.95 (s, 3H, OMe), 3.98 (s, 3H, OMe), 4.50 – 4.64 (m, 1H, H-2''), 5.01 (d, ³J_{H,H} = 8.1 Hz, 1H, NH), 5.28 (s, 2H, H-3'), 5.37 (dd, ³J_{H,H} = 11.7 Hz, 5.4 Hz, 1H, H-7), 6.48 (s, 1H, H-8), 6.61 (s, 1H, H-4), 6.82 (d, ³J_{H,H} = 10.7 Hz, 1H, H-11), 7.05 – 7.26 (m, 5H, H-5'', H-6'', H-7''), 7.30 (d, ³J_{H,H} = 10.7 Hz, 1H, H-12), 7.52 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 28.2 (C-3'''), 29.6 (C-5), 35.3 (C-6), 38.0 (C-3''), 54.4 (C-2''), 56.1 (OMe), 56.4 (OMe), 58.5 (C-3'), 61.1 (OMe), 61.3 (OMe), 62.7 (C-7), 79.8 (C-2'''), 107.3 (C-4), 111.7 (C-11), 124.3 (C-1'), 124.8 (C-12b), 126.8 (C-7''), 128.4 (C-6''), 129.3 (C-5''), 131.8 (C-8), 133.5 (C-4a), 134.3 (C-12a), 135.4 (C-12), 138.9 (C-4''), 141.6 (C-3), 142.5 (C-2'), 147.4 (C-7a), 150.9 (C-1), 153.9 (C-2), 155.0 (C-1'''), 164.2 (C-10), 171.7 (C-1'), 178.7 (C-9). **HRMS** (ESI): calcd for [M+Na]⁺ (C₃₇H₄₂N₄NaO₉): 709.2844; found: 709.2855. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3376 (br, w), 2926 (m), 2846 (w), 1740 (m), 1706 (s), 1616 (m), 1585 (s), 1486 (s), 1455 (m), 1397 (m), 1349 (m), 1320 (m), 1252 (s), 1165 (s), 1136 (s), 1085 (m), 1048 (m), 1018 (m), 1000 (w), 844 (w), 701 (w). **R_F**: 0.32 (CyHex/EtOAc/EtOH, 1/15/2). **Mp.**: 120 °C. **Yield**: 86% (reaction time: 30 min).

Compound 3g:

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.97 (s, 3H, H-2'''), 2.51 – 2.70 (m, 2H, H-5, H-6), 2.71 – 2.87 (m, 2H, H-5, H-6), 3.00 – 3.19 (m, 2H, H-3''), 3.78 (s, 3H, OMe), 3.93 (s, 3H, OMe), 3.95 (s, 3H, OMe), 3.98 (s, 3H, OMe), 4.82 – 4.92 (m, 1H, H-2''), 5.26 – 5.32 (m, 2H, H-3'), 5.33 – 5.44 (m, 1H, H-7), 6.15 (d, ³J_{H,H} = 7.8 Hz, 1H, NH), 6.42 (s, 1H, H-8), 6.61 (s, 1H, H-4), 6.83 (d, ³J_{H,H} = 10.8 Hz, 1H, H-11), 7.04 – 7.12 (m, 2H, H-5''), 7.13 – 7.25 (m, 3H, H-6'', H-7''), 7.31 (d, ³J_{H,H} = 10.8 Hz, 1H, H-12), 7.56 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 23.0 (C-2'''), 29.6 (C-5), 35.3 (C-6), 37.5 (C-3''), 53.2 (C-2''), 56.1 (OMe), 56.4 (OMe), 58.5 (C-3'), 61.2 (OMe), 61.3 (OMe), 62.7 (C-7), 107.4 (C-4), 111.9 (C-11), 124.5 (C-1'), 124.8 (C-12b), 126.9 (C-7''), 128.5 (C-6''), 129.2 (C-5''), 131.7 (C-8), 133.5 (C-4a), 134.5 (C-12a), 135.5 (C-12), 135.9 (C-4''), 141.7 (C-3), 142.5 (C-2'), 147.5 (C-7a), 151.0 (C-1), 154.0 (C-2), 164.3 (C-10), 168.8 (C-1'''), 171.4 (C-1''), 178.7 (C-9). **HRMS** (ESI): calcd for [M+Na]⁺ (C₃₄H₃₆N₄NaO₈): 651.24253; found: 651.24205. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3291 (br, w), 2929 (w), 2847 (w), 2237 (w), 1741 (m), 1708 (m), 1659 (m), 1613 (m), 1584 (s), 1566 (s), 1486 (m), 1454 (m), 1397 (m), 1348 (m), 1320 (m), 1283 (m), 1251 (s), 1178 (s), 1136 (s), 1084 (s), 1042 (m), 1018 (m), 1000 (m), 981 (m), 919 (m), 844 (m), 816 (w), 729 (s), 701 (s), 675 (w), 645 (w). **R_F**: 0.19 (CyHex/EtOAc/EtOH, 2/4/1). **Mp.**: 99 °C. **Yield**: 91% (reaction time: 30 min).

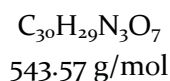
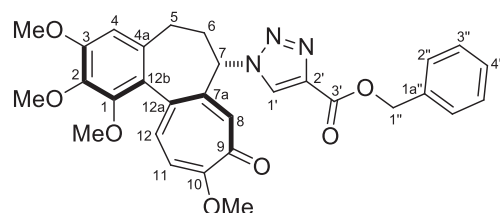
Compound 3h:



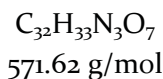
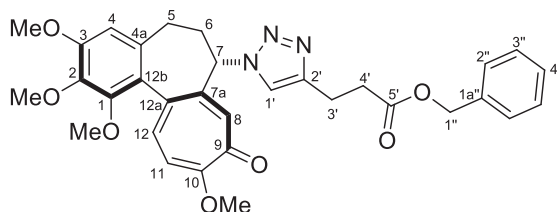
¹H NMR (300 MHz, CDCl₃): δ [ppm] = 2.44 – 2.68 (m, 2H, H-5, H-6), 2.68 – 2.84 (m, 2H, H-5, H-6), 3.04 – 3.15 (m, 2H, H-3''), 3.77 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.96 (s, 3H, OMe), 4.60 – 4.70 (m, 1H, H-2''), 5.24 – 5.31 (m, 3H, H-2'', NH), 5.29 (s, 2H, H-3'), 5.36 (dd, ³J_{H,H} = 11.7 Hz, 5.3 Hz, 1H, H-7), 6.46 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.81 (d, ³J_{H,H} = 10.8 Hz, 1H, H-11), 7.02 – 7.08 (m, 2H, H-5''), 7.13 – 7.25 (m, 3H, H-6'', H-7''), 7.37 – 7.35 (m, 6H, H-4'', H-5'', H-6'', H-12), 7.51 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 29.6 (C-5), 35.3 (C-6), 37.9 (C-3''), 54.8 (C-2''), 56.1 (OMe), 56.4 (OMe), 58.6 (C-3'), 61.2 (OMe), 61.3 (OMe), 62.7 (C-7), 69.9 (C-2'''),

107.4 (C-4), 111.8 (C-11), 124.4 (C-1'), 124.8 (C-12b), 127.0 (C-7''), 128.0 (C-5'''), 128.1 (C-6'''), 128.5 (C-6'', C-4'''), 129.3 (C-5''), 131.8 (C-8), 133.5 (C-4a), 134.4 (C-12a), 135.4 (C-12), 135.6 (C-4''), 136.2 (C-3'''), 141.7 (C-3), 142.5 (C-2'), 147.4 (C-7a), 151.0 (C-1), 154.0 (C-2), 155.6 (C-1'''), 164.3 (C-10), 171.3 (C-1''), 178.7 (C-9). **HRMS** (ESI): calcd for $[M+Na]^+$ ($C_{40}H_{40}N_4NaO_9$): 743.26875; found: 743.26799. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3430 (br, w), 2936 (w), 2837 (w), 2231 (w), 1711 (s), 1613 (m), 1573 (s), 1566 (s), 1536 (m), 1486 (m), 1454 (s), 1433 (m), 1396 (m), 1348 (m), 1320 (m), 1251 (vs), 1177 (s), 1137 (s), 1083 (s), 1018 (m), 1000 (m), 982 (m), 910 (m), 843 (m), 727 (vs), 697 (vs), 666 (m), 644 (m). **R_F**: 0.24 (CyHex/EtOAc/EtOH, 3/1/1). **Mp.**: 86 °C. **Yield**: 93% (reaction time: 30 min).

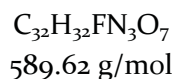
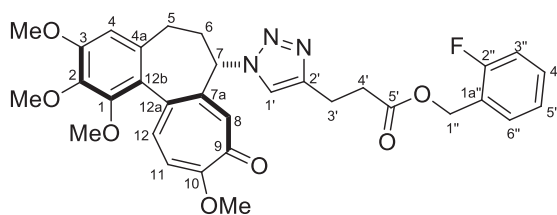
Compound 3j:



¹H NMR (300 MHz, $CDCl_3$): δ [ppm] = 2.46 – 2.67 (m, 2H, H-5, H-6), 2.67 – 2.82 (m, 2H, H-5, H-6), 3.77 (s, 3H, OMe), 3.91 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.97 (s, 3H, OMe), 5.38 (s, 2H, H-1''), 5.42 – 5.52 (m, 1H, H-7), 6.41 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.82 (d, $^3J_{H,H} = 10.8$ Hz, 1H, H-11), 7.30 – 7.51 (m, 6H, H-12, H-2'', H-3'', H-4''), 8.20 (s, 1H, H-1'). **¹³C NMR** (75 MHz, $CDCl_3$): δ [ppm] = 29.5 (C-5), 35.3 (C-6), 56.0 (OMe), 56.4 (OMe), 61.1 (OMe), 61.2 (OMe), 62.9 (C-7), 66.9 (C-1''), 107.3 (C-4), 111.8 (C-11), 124.6 (C-12b), 128.0 (C-1'), 128.3 (C-4''), 128.4 (C-3''), 128.5 (C-2''), 131.5 (C-8), 133.2 (C-4a), 134.1 (C-12a), 135.2 (C-1a''), 135.5 (C-12), 139.9 (C-2'), 141.6 (C-3), 146.8 (C-7a), 150.9 (C-1), 154.0 (C-2), 160.1 (C-3'), 164.2 (C-10), 178.5 (C-9). **HRMS** (ESI): calcd for $[M+Na]^+$ ($C_{30}H_{29}N_3NaO_7$): 566.18977; found: 566.18963. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3093 (w), 2936 (w), 2837 (w), 2238 (w), 1731 (s), 1614 (m), 1584 (s), 1566 (s), 1485 (s), 1454 (s), 1429 (m), 1397 (s), 1348 (s), 1320 (s), 1282 (m), 1250 (s), 1194 (s), 1162 (s), 1137 (s), 1094 (s), 1046 (m), 1033 (s), 1019 (s), 999 (s), 982 (m), 908 (s), 843 (m), 773 (m), 726 (s), 696 (s), 673 (m). **R_F**: 0.63 (CyHex/EtOAc/EtOH, 2/1/1). **Mp.**: 124–127 °C. **Yield**: 88% (reaction time: 20 min).

Compound 3k:

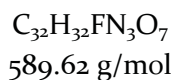
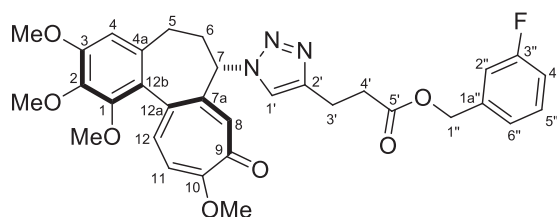
¹H NMR (300 MHz, CDCl₃): δ [ppm] = 2.41 – 2.66 (m, 2H, H-5, H-6), 2.67 – 2.76 (m, 2H, H-5, H-6), 2.80 (t, ³J_{H,H} = 7.0 Hz, 2H, H-4'), 3.08 (t, ³J_{H,H} = 7.0 Hz, 2H, H-3'), 3.76 (s, 3H, OMe), 3.91 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.97 (s, 3H, OMe), 5.11 (s, 2H, H-1''), 5.32 (dd, ³J_{H,H} = 11.8 Hz, 5.4 Hz, 1H, H-7), 6.49 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.82 (d, ³J_{H,H} = 10.8 Hz, 1H, H-11), 7.24 – 7.33 (m, 6H, H-12, H-2'', H-3'', H-4''), 7.35 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 20.9 (C-3'), 29.6 (C-5), 33.4 (C-4'), 35.2 (C-6), 56.0 (OMe), 56.3 (OMe), 61.1 (OMe), 61.2 (OMe), 62.4 (C-7), 66.2 (C-1'), 107.3 (C-4), 111.8 (C-11), 121.8 (C-1'), 124.8 (C-12b), 128.0 (C-4''), 128.1 (C-3''), 128.4 (C-2''), 131.8 (C-8), 133.5 (C-4a), 134.4 (C-12a), 135.3 (C-12), 135.8 (C-1a''), 141.5 (C-3), 146.4 (C-2'), 147.7 (C-7a), 150.9 (C-1), 153.8 (C-2), 164.1 (C-10), 172.4 (C-5'), 178.7 (C-9). **HRMS** (ESI): calcd for [M+Na]⁺ (C₃₂H₃₃N₃NaO₇): 594.22107; found: 594.22068. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3461 (br, w), 3133 (w), 2936 (m), 2838 (w), 2233 (w), 1730 (s), 1708 (s), 1615 (s), 1569 (s), 1485 (s), 1455 (s), 1427 (s), 1397 (s), 1347 (s), 1320 (s), 1282 (s), 1249 (s), 1177 (s), 1136 (s), 1093 (s), 1046 (s), 1017 (s), 1000 (s), 981 (s), 929 (m), 843 (m), 817 (m), 729 (s), 698 (s), 676 (m). **R_F**: 0.26 (CyHex/EtOAc/EtOH, 2/1/1). **Mp.**: 82 °C. **Yield**: 99% (reaction time: 20 min).

Compound 3l:

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 2.43 – 2.67 (m, 2H, H-5, H-6), 2.67 – 2.76 (m, 2H, H-5, H-6), 2.80 (t, ³J_{H,H} = 6.8 Hz, 2H, H-4'), 3.08 (t, ³J_{H,H} = 6.8 Hz, 2H, H-3'), 3.76 (s, 3H, OMe), 3.92 (s,

3H, OMe), 3.94 (s, 3H, OMe), 3.97 (s, 3H, OMe), 5.18 (s, 2H, H-1''), 5.34 (dd, $^3J_{\text{H,H}} = 11.6$ Hz, 5.4 Hz, 1H, H-7), 6.50 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.80 (d, $^3J_{\text{H,H}} = 10.8$ Hz, 1H, H-11), 6.98 – 7.15 (m, 2H, H-3'', H-5''), 7.24 – 7.36 (m, 3H, H-4'', H-6'', H-12), 7.38 (s, 1H, H-1'). **^{13}C NMR** (75 MHz, CDCl_3): δ [ppm] = 21.0 (C-3'), 29.7 (C-5), 33.4 (C-4'), 35.3 (C-6), 56.1 (OMe), 56.4 (OMe), 60.2 (C-1''), 61.2 (2xOMe), 62.5 (C-7), 107.4 (C-4), 111.7 (C-11), 115.3 (d, $^2J_{\text{C,F}} = 21.3$ Hz, C-3''), 121.2 (C-1'), 124.1 (d, $^4J_{\text{C,F}} = 2.3$ Hz, C-5''), 125.0 (C-12b), 130.1 (d, $^3J_{\text{C,F}} = 7.7$ Hz, C-4''), 130.6 (d, $^3J_{\text{C,F}} = 2.5$ Hz, C-6''), 131.8 (C-8), 133.6 (C-4a), 134.4 (C-12a), 135.3 (C-12), 138.6 (C-1a'')*, 141.6 (C-3), 145.8 (C-2'), 147.7 (C-7a), 151.0 (C-1), 154.0 (C-2), 162.5 (d, $^1J_{\text{C,F}} = 238.4$ Hz, C-2''), 164.2 (C-10), 172.3 (C-5'), 178.8 (C-9). **HRMS** (ESI): calcd for $[\text{M}+\text{H}]^+$ ($\text{C}_{32}\text{H}_{33}\text{FN}_3\text{O}_7$): 590.22971; found: 590.22980. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 2935 (w), 2837 (w), 2227 (w), 1733 (m), 1731 (m), 1614 (m), 1585 (s), 1573 (s), 1566 (s), 1487 (m), 1454 (m), 1427 (m), 1397 (m), 1347 (m), 1321 (m), 1281 (m), 1250 (vs), 1193 (m), 1178 (m), 1137 (s), 1094 (s), 1083 (s), 1047 (m), 1018 (m), 1001 (m), 982 (m), 906 (m), 842 (m), 816 (w), 759 (m), 726 (s), 674 (w), 644 (m). **R_F**: 0.17 (CyHex/EtOAc/EtOH, 3/1/1). **Mp.**: 58–59 °C. **Yield**: 91% (reaction time: 25 min). *Due to a very low signal intensity no $^2J_{\text{C,F}}$ -coupling could be observed.

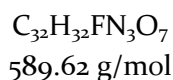
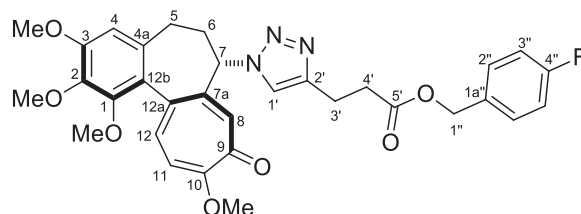
Compound 3m:



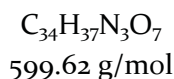
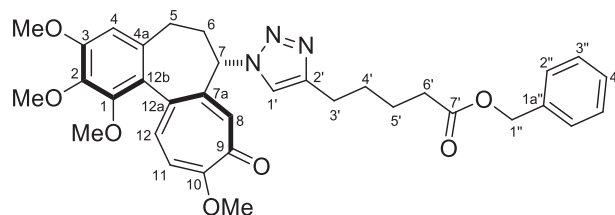
^1H NMR (300 MHz, CDCl_3): δ [ppm] = 2.44 – 2.66 (m, 2H, H-5, H-6), 2.67 – 2.79 (m, 2H, H-5, H-6), 2.82 (t, $^3J_{\text{H,H}} = 7.1$ Hz, 2H, H-4'), 3.08 (t, $^3J_{\text{H,H}} = 7.1$ Hz, 2H, H-3'), 3.75 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.97 (s, 3H, OMe), 5.10 (s, 2H, H-1''), 5.34 (dd, $^3J_{\text{H,H}} = 11.7$ Hz, 5.6 Hz, 1H, H-7), 6.49 (s, 1H, H-8), 6.59 (s, 1H, H-4), 6.80 (d, $^3J_{\text{H,H}} = 10.9$ Hz, 1H, H-11), 6.91 – 7.12 (m, 3H, H-2'', H-4'', H-6''), 7.23 – 7.31 (m, 2H, H-5'', H-12), 7.37 (s, 1H, H-1'). **^{13}C NMR** (75 MHz, CDCl_3): δ [ppm] = 21.0 (C-3'), 29.7 (C-5), 33.4 (C-4'), 35.3 (C-6), 56.1 (OMe), 56.4 (OMe), 61.2 (2xOMe), 62.5 (C-7), 65.5 (C-1''), 107.4 (C-4), 111.7 (C-11), 114.5 (d, $^2J_{\text{C,F}} = 21.4$ Hz, C-2''), 121.7 (C-1'), 123.5 (d, $^4J_{\text{C,F}} = 2.2$ Hz, C-6''), 124.9 (C-12b), 130.0 (d, $^3J_{\text{C,F}} = 8.6$ Hz, C-5''), 131.8 (C-8), 133.6 (C-4a), 134.4 (C-12a), 135.3 (C-12), 138.4 (C-1a'')*, 141.6 (C-3), 146.3 (C-2'), 147.7 (C-7a), 151.0 (C-1), 153.9 (C-2), 160.5 (d, $^1J_{\text{C,F}} = 220.7$ Hz, C-3''), 164.2 (C-10), 172.3 (C-5'), 178.8 (C-9). **HRMS** (ESI): calcd for $[\text{M}+\text{H}]^+$ ($\text{C}_{32}\text{H}_{33}\text{FN}_3\text{O}_7$): 590.22971; found: 590.22980. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 2935 (w), 2837 (w), 2234 (w), 1733 (m), 1616 (m), 1584 (s), 1569 (s), 1486 (m), 1457 (m), 1429 (m), 1397 (m), 1348 (m), 1320 (m), 1281 (m), 1250 (vs), 1177 (m), 1137 (s), 1094 (s), 1083 (s), 1046 (m), 1018 (m), 1001 (m), 982

(m), 907 (m), 843 (m), 787 (m), 726 (s), 683 (w), 644 (w). **R_F**: 0.19 (CyHex/EtOAc/EtOH, 3/1/1). **Mp.**: 54-55 °C. **Yield**: 88% (reaction time: 25 min). *Due to a very low signal intensity no $^3J_{\text{C,F}}$ -coupling could be observed.

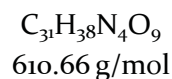
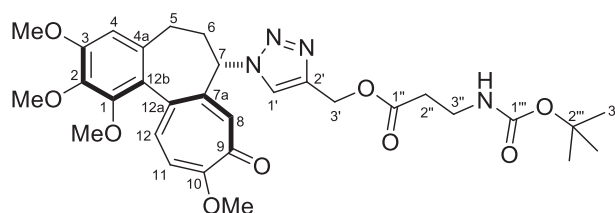
Compound 3n:



^1H NMR (300 MHz, CDCl_3): δ [ppm] = 2.41 – 2.68 (m, 2H, H-5, H-6), 2.69 – 2.76 (m, 2H, H-5, H-6), 2.79 (t, $^3J_{\text{H,H}} = 6.8$ Hz, 2H, H-4'), 3.07 (t, $^3J_{\text{H,H}} = 6.8$ Hz, 2H, H-3'), 3.76 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.98 (s, 3H, OMe), 5.08 (s, 2H, H-1''), 5.34 (dd, $^3J_{\text{H,H}} = 11.7$ Hz, 5.4 Hz, 1H, H-7), 6.48 (s, 1H, H-8), 6.59 (s, 1H, H-4), 6.81 (d, $^3J_{\text{H,H}} = 10.8$ Hz, 1H, H-11), 6.99 (d, $^3J_{\text{H,H}} = 8.6$ Hz, 1H, H-3''), 7.02 (d, $^3J_{\text{H,H}} = 8.6$ Hz, 1H, H-3''), 7.27 – 7.32 (m, 3H, H-2'', H-12), 7.37 (s, 1H, H-1'). **^{13}C NMR** (75 MHz, CDCl_3): δ [ppm] = 21.0 (C-3'), 29.7 (C-5), 33.4 (C-4'), 35.3 (C-6), 56.1 (OMe), 56.3 (OMe), 61.2 (2xOMe), 62.5 (C-7), 65.6 (C-1''), 107.3 (C-4), 111.7 (C-11), 115.3 (d, $^2J_{\text{C,F}} = 21.5$ Hz C-3''), 121.6 (C-1'), 124.9 (C-12b), 130.2 (d, $^3J_{\text{C,F}} = 8.0$ Hz, C-2''), 131.8 (C-8), 133.5 (C-4a), 134.2 (C-1a'')*, 134.4 (C-12a), 135.3 (C-12), 141.6 (C-3), 146.1 (C-2'), 147.7 (C-7a), 150.9 (C-1), 153.9 (C-2), 162.5 (d, $^1J_{\text{C,F}} = 255.5$ Hz, C-4''), 164.1 (C-10), 172.4 (C-5'), 178.8 (C-9). **HRMS** (ESI): calcd for $[\text{M}+\text{H}]^+$ ($\text{C}_{32}\text{H}_{33}\text{FN}_3\text{O}_7$): 590.22971; found: 590.22978. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 2935 (w), 2832 (w), 2234 (w), 1730 (m), 1614 (m), 1572 (s), 1510 (m), 1486 (m), 1460 (m), 1427 (m), 1397 (m), 1347 (m), 1320 (m), 1282 (m), 1250 (vs), 1221 (s), 1177 (m), 1137 (s), 1094 (s), 1083 (s), 1046 (m), 1017 (m), 1001 (m), 981 (m), 907 (m), 824 (m), 726 (s), 676 (w), 643 (m). **R_F**: 0.20 (CyHex/EtOAc/EtOH, 3/1/1). **Mp.**: 58-59 °C. **Yield**: 95% (reaction time: 25 min). *Due to a very low signal intensity no $^4J_{\text{C,F}}$ -coupling could be observed.

Compound 30:

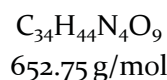
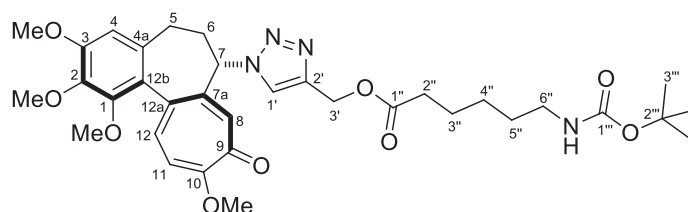
¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.67 – 1.76 (m, 4H, H-4', H-5'), 2.40 (t, $^3J_{\text{H,H}} = 6.5$ Hz, 2H, H-6'), 2.48 – 2.64 (m, 2H, H-5, H-6), 2.68 – 2.81 (m, 4H, H-5, H-6, H-3'), 3.76 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.97 (s, 3H, OMe), 5.10 (s, 2H, H-1''), 5.37 (dd, $^3J_{\text{H,H}} = 11.6$ Hz, 5.3 Hz, 1H, H-7), 6.53 (s, 1H, H-8), 6.59 (s, 1H, H-4), 6.80 (d, $^3J_{\text{H,H}} = 10.8$ Hz, 1H, H-11), 7.28 (d, $^3J_{\text{H,H}} = 10.8$ Hz, 1H, H-12), 7.31 – 7.37 (m, 6H, H-1', H-2'', H-3'', H-4''). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 24.4 (C-5'), 25.3 (C-3'), 28.5 (C-4'), 29.7 (C-5), 33.9 (C-6'), 35.3 (C-6), 56.1 (OMe), 56.3 (OMe), 61.2 (2xOMe), 62.4 (C-7), 66.1 (C-1''), 107.4 (C-4), 111.7 (C-11), 121.1 (C-1'), 124.9 (C-12b), 126.1 (C-2'', C-4''), 128.5 (C-3''), 131.9 (C-8), 133.6 (C-4a), 134.4 (C-12a), 135.2 (C-12), 136.0 (C-1a''), 141.6 (C-3), 147.8 (C-7a), 147.9 (C-2'), 150.9 (C-1), 153.9 (C-2), 164.2 (C-10), 173.3 (C-7'), 178.8 (C-9). **HRMS** (ESI): calcd for [M+H]⁺ (C₃₄H₃₈N₃O₇): 600.27042; found: 600.27075. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3493 (w), 2857 (w), 2232 (w), 1730 (m), 1614 (m), 1584 (s), 1573 (s), 1566 (s), 1485 (m), 1454 (m), 1396 (m), 1347 (m), 1320 (m), 1250 (vs), 1191 (m), 1177 (m), 1137 (s), 1094 (s), 1083 (s), 1046 (m), 1018 (m), 1001 (m), 982 (m), 919 (m), 902 (m), 843 (w), 816 (w), 728 (s), 689 (m), 674 (w), 644 (w). **R_f**: 0.20 (CyHex/EtOAc/EtOH, 3/1/1). **Mp.**: 58 °C. **Yield**: 96% (reaction time: 25 min).

Compound 3p:

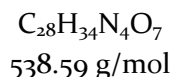
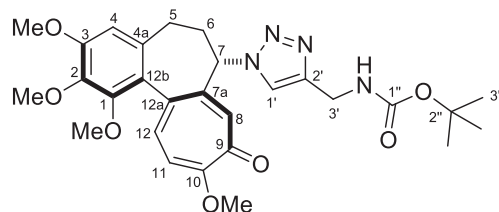
¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.41 (s, 9H, H-3'''), 2.50 – 2.68 (m, 4H, H-5, H-6, H-2''), 2.70 – 2.87 (m, 2H, H-5, H-6), 3.33 – 3.44 (m, 2H, H-3''), 3.77 (s, 3H, OMe), 3.91 (s, 3H, OMe), 3.94

(s, 3H, OMe), 3.99 (s, 3H, OMe), 5.17 (s, 1H, NH), 5.26 (s, 2H, H-3'), 5.42 (dd, $^3J_{\text{H,H}} = 11.8$ Hz, 4.9 Hz, 1H, H-7), 6.47 (s, 1H, H-8), 6.61 (s, 1H, H-4), 6.83 (d, $^3J_{\text{H,H}} = 10.8$ Hz, 1H, H-11), 7.30 (d, $^3J_{\text{H,H}} = 10.8$ Hz, 1H, H-12), 7.72 (s, 1H, H-1'). **^{13}C NMR** (75 MHz, CDCl_3): δ [ppm] = 28.2 (C-3'''), 29.6 (C-5), 34.5 (C-2''), 35.3 (C-6), 36.0 (C-3''), 56.0 (OMe), 56.3 (OMe), 57.6 (C-3'), 61.1 (OMe), 61.2 (OMe), 62.7 (C-7), 79.1 (C-2'''), 107.3 (C-4), 111.8 (C-11), 124.2 (C-1'), 124.7 (C-12b), 131.7 (C-8), 133.4 (C-4a), 134.3 (C-12a), 135.4 (C-12), 141.6 (C-3), 142.7 (C-2'), 147.4 (C-7a), 150.9 (C-1), 153.9 (C-2), 155.7 (C-1'''), 164.2 (C-10), 172.1 (C-1''), 178.7 (C-9). **HRMS** (ESI): calcd for $[\text{M}+\text{Na}]^+$ ($\text{C}_{31}\text{H}_{38}\text{N}_4\text{NaO}_9$): 633.25310; found: 633.25165. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3359 (w), 2967 (w), 2935 (w), 2838 (w), 1730 (m), 1702 (m), 1616 (w), 1584 (s), 1567 (s), 1486 (m), 1456 (m), 1428 (m), 1397 (m), 1364 (m), 1348 (m), 1249 (s), 1164 (s), 1136 (s), 1092 (s), 1084 (s), 1048 (m), 1017 (m), 1000 (m), 980 (m), 920 (w), 843 (w), 728 (vs). **R_F**: 0.20 (CyHex/EtOAc/EtOH, 3/1/1). **Mp.**: 92 °C. **Yield**: 88% (reaction time: 30 min).

Compound 3q:



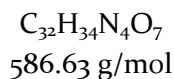
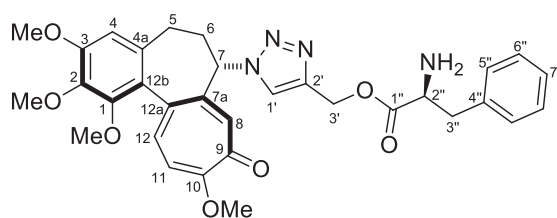
^1H NMR (300 MHz, CDCl_3): δ [ppm] = 1.29 – 1.38 (m, 2H, H-4''), 1.43 (s, 9H, H-3'''), 1.44 – 1.54 (m, 2H, H-5''), 1.56 – 1.69 (m, 2H, H-3''), 2.33 (t, $^3J_{\text{H,H}} = 7.4$ Hz, 2H, H-2''), 2.49 – 2.69 (m, 2H, H-5, H-6), 2.69 – 2.88 (m, 2H, H-5, H-6), 3.02 – 3.14 (m, 2H, H-6''), 3.77 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.98 (s, 3H, OMe), 4.65 (s, 1H, NH), 5.23 (s, 2H, H-3'), 5.40 (dd, $^3J_{\text{H,H}} = 11.8$ Hz, 5.1 Hz, 1H, H-7), 6.48 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.82 (d, $^3J_{\text{H,H}} = 10.9$ Hz, 1H, H-11), 7.30 (d, $^3J_{\text{H,H}} = 10.9$ Hz, 1H, H-12), 7.68 (s, 1H, H-1'). **^{13}C NMR** (75 MHz, CDCl_3): δ [ppm] = 24.3 (C-3'''), 26.1 (C-4''), 28.3 (C-3'''), 29.6 (C-5, C-5''), 33.9 (C-2''), 35.3 (C-6), 40.2 (C-6''), 56.1 (OMe), 56.3 (OMe), 57.4 (C-3'), 61.1 (OMe), 61.2 (OMe), 62.6 (C-7), 79.1 (C-2'''), 107.4 (C-4), 111.7 (C-11), 124.3 (C-1'), 124.8 (C-12b), 131.8 (C-8), 133.4 (C-4a), 134.3 (C-12a), 135.4 (C-12), 141.6 (C-3), 143.0 (C-2'), 147.4 (C-7a), 150.9 (C-1), 153.9 (C-2), 155.9 (C-1'''), 164.2 (C-10), 173.4 (C-1''), 178.7 (C-9). **HRMS** (ESI): calcd for $[\text{M}+\text{Na}]^+$ ($\text{C}_{34}\text{H}_{44}\text{N}_4\text{NaO}_9$): 675.30005; found: 675.29835. **FT-IR** (ATR): $\tilde{\nu}$ [cm^{-1}] = 3373 (w), 2933 (w), 2853 (w), 2238 (w), 17305 (m), 1697 (m), 1614 (w), 1584 (m), 1573 (m), 1503 (w), 1486 (m), 1461 (m), 1397 (w), 1365 (w), 1348 (w), 1321 (w), 1250 (s), 1162 (s), 1138 (s), 1095 (s), 1047 (w), 1018 (m), 983 (w), 907 (s), 843 (w), 724 (vs). **R_F**: 0.16 (CyHex/EtOAc/EtOH, 3/1/1). **Mp.**: 70–72 °C. **Yield**: 91% (reaction time: 30 min).

Compound 3r:

¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.43 (s, 9H, H-3''), 2.47 – 2.68 (m, 2H, H-5, H-6), 2.68 – 2.85 (m, 2H, H-5, H-6), 3.76 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.98 (s, 3H, OMe), 4.41 (d, ³J_{H,H} = 6.0 Hz, 2H, H-3'), 5.16 (br s, 1H, NH), 5.38 (dd, ³J_{H,H} = 11.4 Hz, 4.8 Hz, 1H, H-7), 6.49 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.81 (d, ³J_{H,H} = 10.6 Hz, 1H, H-11), 7.29 (d, ³J_{H,H} = 10.6 Hz, 1H, H-12), 7.57 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 28.3 (C-3''), 29.7 (C-5), 35.3 (C-6), 36.1 (C-3'), 56.1 (OMe), 56.4 (OMe), 61.1 (OMe), 61.2 (OMe), 62.6 (C-7), 79.6 (C-2''), 107.3 (C-4), 111.7 (C-11), 122.4 (C-1'), 124.9 (C-12b), 131.8 (C-8), 133.5 (C-4a), 134.4 (C-12a), 135.3 (C-12), 141.6 (C-3), 145.7 (C-2'), 147.6 (C-7a), 150.4 (C-1), 153.5 (C-2), 164.2 (C-10), 169.6 (C-1''), 178.8 (C-9). **HRMS** (ESI): calcd for [M+Na]⁺ (C₂₈H₃₄N₄NaO₇): 561.23197; found: 561.23184. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3346 (br, w), 2966 (w), 2935 (w), 2233 (w), 1705 (m), 1616 (m), 1585 (s), 1570 (s), 1486 (m), 1458 (m), 1398 (m), 1348 (m), 1321 (m), 1251 (s), 1168 (s), 1138 (s), 1095 (m), 1047 (m), 1018 (m), 919 (m), 836 (w), 729 (s). **R_f**: 0.27 (CyHex/EtOAc/EtOH, 2/1/1). **Mp.**: 107 °C. **Yield**: 97% (reaction time: 45 min).

Preparation of compound 3i:

70 mg (0.10 mmol, 1.0 eq.) of **3f** were dissolved in 2.0 mL neat trifluoroacetic acid (TFA) and stirred at room temperature for 30 min. TFA was then removed under vacuum to yield a crude sample of the desired product. Addition of saturated aqueous citric acid followed by MTBE allowed for the extraction of **3i** into the aqueous phase and the isolation of unreacted **3f** in the organic phase. Pure **3i** was isolated by adjusting the aqueous layer pH to 10 with saturated aqueous Na₂CO₃ and extracting with several portions of CH₂Cl₂. The organic phase was dried over MgSO₄, filtered and concentrated under reduced pressure. The desired product **3i** was isolated in 83% yield as a pale yellow foam.



¹H NMR (300 MHz, CDCl₃): δ [ppm] = 1.80 (br s, 2H, NH₂), 2.46 – 2.69 (m, 2H, H-5, H-6), 2.70 – 2.91 (m, 3H, H-5, H-6, H-3''), 3.06 (dd, ²J_{H,H} = 13.5 Hz, ³J_{H,H} = 5.4 Hz, 1H, H-3''), 3.73 – 3.76 (m, 1H, H-2''), 3.78 (s, 3H, OMe), 3.92 (s, 3H, OMe), 3.94 (s, 3H, OMe), 3.98 (s, 3H, OMe), 5.30 (s, 2H, H-3'), 5.38 (dd, ³J_{H,H} = 11.7 Hz, 5.4 Hz, 1H, H-7), 6.46 (s, 1H, H-8), 6.60 (s, 1H, H-4), 6.81 (d, ³J_{H,H} = 10.8 Hz, 1H, H-11), 7.11 – 7.26 (m, 5H, H-5'', H-6'', H-7''), 7.29 (d, ³J_{H,H} = 10.8 Hz, 1H, H-12), 7.55 (s, 1H, H-1'). **¹³C NMR** (75 MHz, CDCl₃): δ [ppm] = 29.6 (C-5), 35.3 (C-6), 40.8 (C-3''), 55.7 (C-2''), 56.1 (OMe), 56.4 (OMe), 58.0 (C-3'), 61.1 (OMe), 61.2 (OMe), 62.7 (C-7), 107.3 (C-4), 111.7 (C-11), 124.4 (C-1'), 124.8 (C-12b), 126.7 (C-7''), 128.4 (C-6''), 129.3 (C-5''), 131.8 (C-8), 133.5 (C-4a), 134.3 (C-12a), 135.4 (C-12), 138.1 (C-4''), 141.7 (C-3), 142.7 (C-2'), 147.4 (C-7a), 151.0 (C-1), 154.0 (C-2), 164.3 (C-10), 171.7 (C-1''), 178.7 (C-9). **HRMS** (ESI): calcd for [M+Na]⁺ (C₃₂H₃₄N₄NaO₇): 609.23197; found: 609.23190. **FT-IR** (ATR): $\tilde{\nu}$ [cm⁻¹] = 3375 (br, m), 2936 (w), 2831 (w), 2231 (w), 1736 (m), 1613 (m), 1584 (s), 1566 (s), 1486 (m), 1454 (m), 1397 (m), 1348 (m), 1320 (m), 1252 (s), 1192 (s), 1137 (s), 1094 (m), 1018 (m), 1000 (m), 982 (m), 910 (m), 843 (w). **R_F**: 0.29 (CH₂Cl₂/MeOH, 15/1). **Mp.**: 97 °C.

Biological Data

Materials and Methods

Cell cultures:

The human tumour cell lines Jurkat (T cell leukemia), THP-1 (acute monocytic leukemia), Hela (cervix carcinoma) and A549 (lung adenocarcinoma) were obtained from the German Collection of Microorganisms and Cell Cultures (DSMZ, Braunschweig, Germany). MDA-MB-231 (triple negative breast adenocarcinoma) and H1975 (non-small cell lung adenocarcinoma) were obtained from the American Type Culture Collection.

Jurkat cells were cultivated in RPMI 1640 medium supplemented with 10% heat inactivated fetal calf serum, 2 mM L-glutamine, 100 U/mL penicillin and 100 µg/mL streptomycin.

THP-1 cells were cultivated in RPMI 1640 medium supplemented with 10% heat inactivated fetal calf serum, 100 U/mL penicillin and 100 µg/mL streptomycin.

Hela cells were cultivated in RPMI 1640 medium supplemented with 5% heat inactivated fetal calf serum, 100 U/mL penicillin and 100 µg/mL streptomycin. .

A549, MDA-MB-231 and H1975 cells were cultivated in DMEM medium (Gibco) supplemented with 10% heat inactivated fetal calf serum, 100 U/mL penicillin and 100 µg/mL streptomycin.

SK MES 1 cells, a human lung squamous carcinoma cell line, were obtained from the European Collection of Cell Cultures (ECACC, Salisbury, UK). Cells were cultivated in EMEM medium supplemented with 10% heat inactivated fetal calf serum, 2 mM L-glutamine, 1x Non Essential Amino Acids, 100 U/mL penicillin and 100 µg/mL streptomycin.

All cell lines were maintained at 37°C, 5% CO₂. Subconfluent cells were passaged two times per week.

Cell viability assay:

For determination of the IC₅₀ values of the compounds, the cell viability was measured by quantitation of ATP with the CellTiter-Glo Luminescent Cell Viability Assay (Promega, Madison, WI, USA) according to the manufacturer's protocol. In short, cells were seeded at the desired concentration in white 384-well plates (Jurkat: 2.8·10⁵ cells/mL; THP-1: 4.4·10⁵ cells/mL; Hela, A549, SK MES 1: 8·10⁴ cells/mL). After 2 h (suspension cells) or after 24 h (adherent cells), 5 µl of the test compounds were added, each concentration in quadruples. After the desired incubation time, the CellTiter-Glo reagent was added and the luminescence was measured with the Infinite m200 microplate reader (Tecan Group AG, Maennedorf, Switzerland).

Microtubule polymerization assay:

Inhibition of tubulin polymerization *in vitro* was analyzed with a fluorescence-based tubulin polymerization kit using >99% pure porcine tubulin (Cytoskeleton Inc., Denver, CO, USA). 5 µl of the compounds in a 10x higher concentration than desired as well as the negative control were pipetted into the wells of a pre-warmed black 96-well plate in duplicates. After incubation of the plate at 37°C for 1 min, 50 µL of the tubulin reaction mix was added (100 µg tubulin in 80 mM PIPES pH 6.9, 2 mM MgCl₂, 0.5 mM EGTA, 1 mM GTP, 20% glycerol). Fluorescence intensity (excitation 360 nm, emission 450 nm) was measured at 37°C every minute over a period of 60 min using the Infinite m1000 pro microplate reader (Tecan Group AG, Maennedorf, Switzerland).

Data analysis:

Data were obtained from three or more independent experiments performed in triplicates and represent mean ± SEM. For calculation of IC₅₀ values, the concentrations of test compounds were plotted against maximum bioluminescence change after stimulation. Curve fitting was performed in GraphPad Prism v. 6.0 (GraphPad Software, San Diego, CA, USA) using nonlinear re-

gression. The IC₅₀ values of the tubulin polymerization inhibition were calculated from the area under the curve with GraphPad Prism 6 using nonlinear regression.

Microtubule morphology:

MDA-MB-231 and H1975 cells were grown to 80% confluency in DMEM medium containing 10% FBS. Compounds were treated with different concentrations for 24 hours. After the treatment, the cells were fixed using ice-cold methanol for 10 minutes followed by 30 minutes of blocking with blocking solution. The blocked cells can be stored at 4 degrees until immunofluorescence staining is carried out.

Blocking:

1 mL blocking solution was added and left at least for one hour at RT or overnight in 4 °C.

Adding of primary antibodies:

Blocking solution was removed, the primary antibody was added and incubated for one hour at RT (CPAP overnight in 4 °C).

Washing step:

Some of the primary antibodies were collected back for reuse. After this step, the cells were washed with solution D for the interval of 3 minutes three times.

Adding of secondary antibody:

Blocking solution was removed, the secondary antibody was added and incubated for one hour at RT. The same steps were repeated as above for the remaining of primary and secondary antibodies, DAPI was added in the last used secondary antibody.

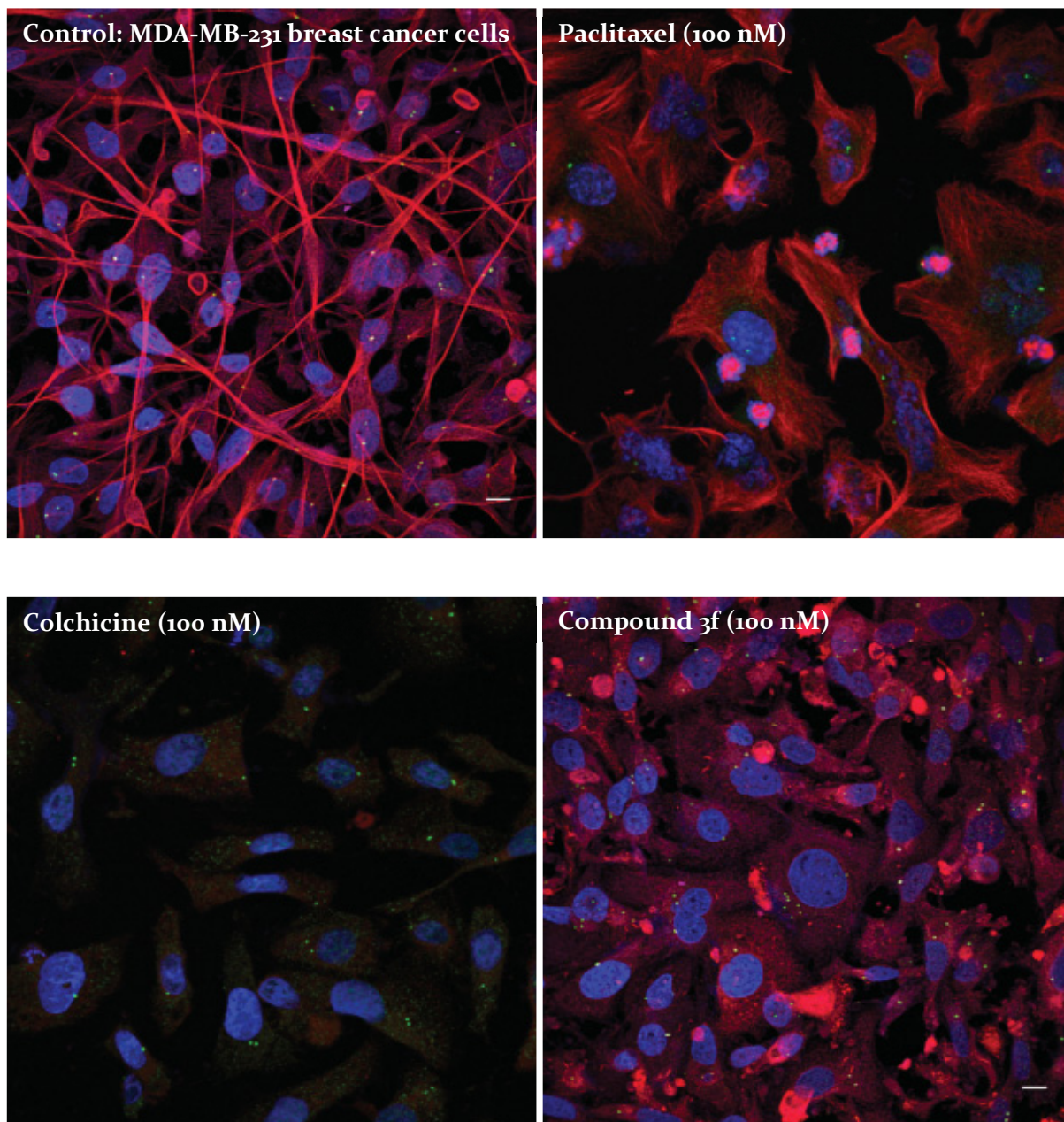
Mounting:

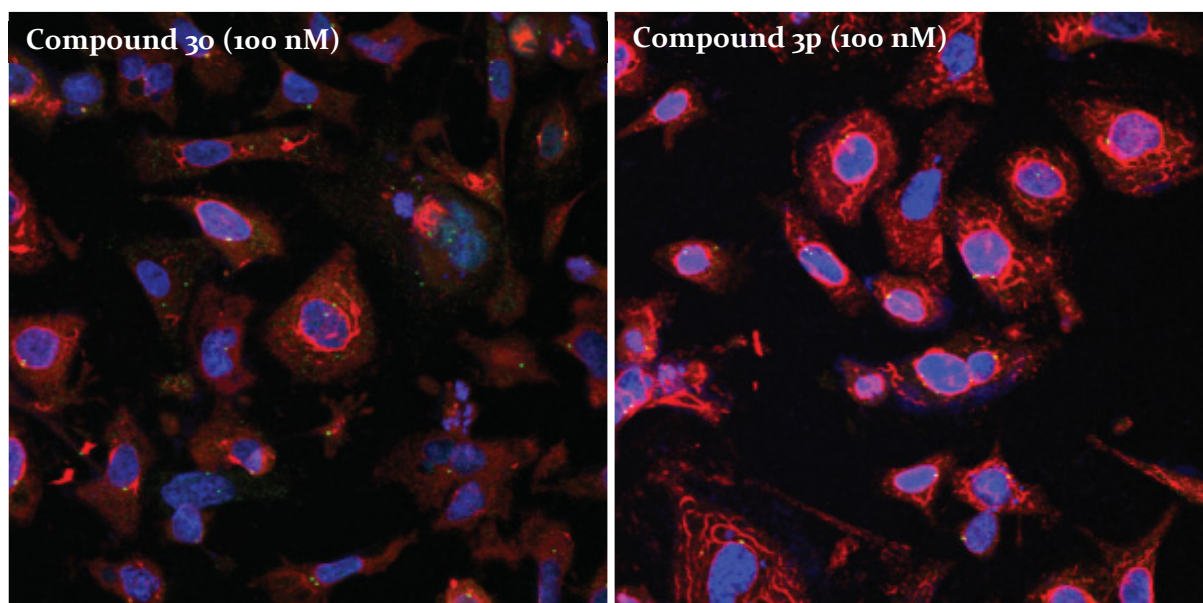
After the last washing, the blocking solution was removed and distilled H₂O was added. The slides were labelled with cell type, staining and date.

The coverslips were removed from the water and put on paper to dry. 8µL Mowiol® was applied onto the slide the coverslip placed upside down on top of it. After drying, nail polish was applied to the edges of the coverslip, stored in a box at 4 °C till imaging. Confocal images were collected using Olympus Fluoview FV 1000 scanning confocal microscope. The images were further processed by Fiji and Adobe Photoshop.

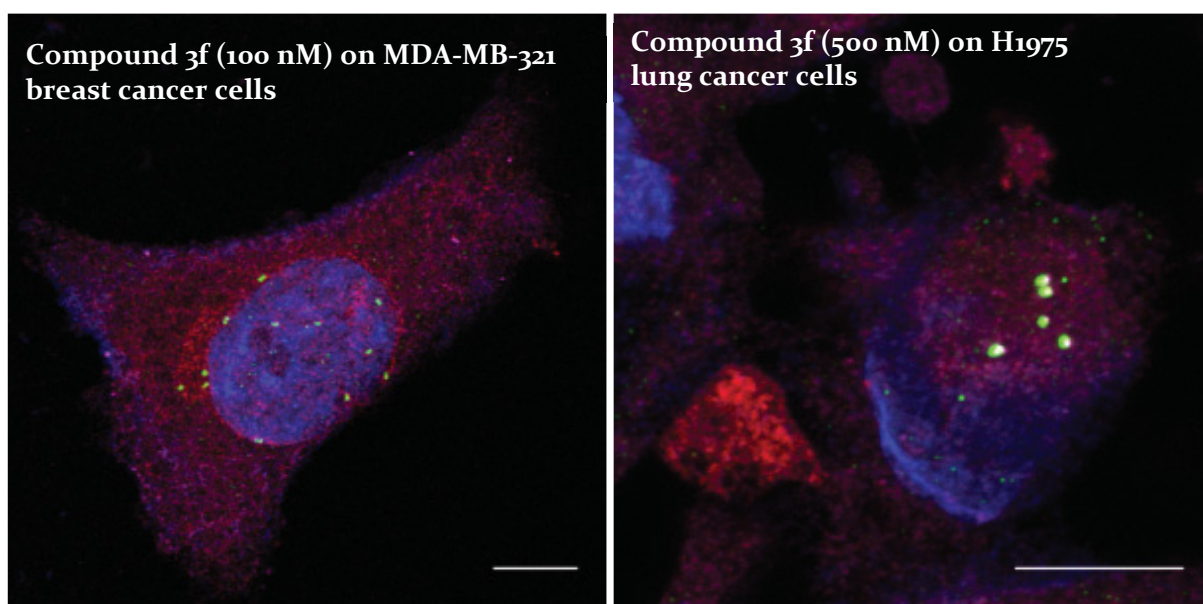
Medium: DMEM (1X) + GlutaMaxTM-I, 10 % FBS HI, 1 % 100X MEM NEAA, 1 % Pen/Strep. For all cell lines only one medium was used, which was prepared in the hood under sterile conditions and kept at 4 °C until usage.

Blocking solution: 0.5 % Gelatine from cold water fish skin in 1X PBS.

Influence on microtubule morphology:



Influence on centrosomes (declustering effect):



References

- (1) Compounds **SI-1**, **SI-3**, **SI-4** and **SI-9** are literature-known but were synthesized following a different protocol: Ramapanicker, R.; Gupta, R.; Megha, R.; Chandrasekaran, S. Application of Propargyl Esters of Amino Acids in Solution-Phase Peptide Synthesis. *Int. J. Pept.* **2011**, 2011, Article ID 854952, 10 pages.
- (2) Compounds **SI-2**, **SI-6** and **SI-8** are literature-known but were synthesized following a different protocol: Bew, S. P.; Hiatt-Gipson, G. D. Synthesis of C-Propargylic Esters of N-Protected Amino Acids and Peptides. *J. Org. Chem.* **2010**, 75, 3897-3899.
- (3) Nicolaus, N; Zapke, J.; Riesterer, P.; Neudörfl, J.-M.; Prokop, A.; Oschkinat, H.; Schmalz, H.-G. Azides Derived from Colchicine and their Use in Library Synthesis: a Practical Entry to New Bioactive Derivatives of an Old Natural Drug. *ChemMedChem* **2010**, 5, 661-665.

3 Discussion

In recent years, great advances have been made in the development of innovative therapies and drugs to treat cancer, the second most common cause of death worldwide, especially in the field of targeted therapy, cancer immunotherapy and most recently, gene therapy. In spite of the steady progress, MDR remains a major problem in the effective treatment of cancer and it is estimated that MDR causes treatment failure in about 90% of patients with metastatic tumors [5]. MDR not only affects tumor therapy with conventional chemotherapy, but also the more recent targeted therapies [7]. Thus, it is of urgent need to find novel therapies to overcome therapy resistance of cancer cells and cells of the tumor microenvironment. Here, one strategy is inhibition of ABC transporters, which actively efflux drugs to the extracellular space, by small molecules.

As natural products or natural product derived compounds display an immense spectrum of biological and pharmacological activities and have long been an integral part of drug development, it is obvious to focus on natural products for the search of candidate compounds to treat multidrug-resistant tumors. Therefore, different classes of natural compounds from plants were examined in this thesis and their potential to either inhibit ABC transporter-mediated efflux of drugs or to efficiently kill sensitive or ABC transporter-overexpressing cancer cells was evaluated. Promising compounds were further evaluated regarding their mechanism of ABC transporter inhibition. In total, more than 300 compounds from different classes were provided by cooperation partners and screened for pharmacological activities, including the here discussed goniothalamins, isocoumarins and colchicines as well as steroids, diverse natural products, fruit extracts and building blocks derived from natural products.

3.1 Goniothalamin Derivatives as Cytotoxic Compounds and Inhibitors of P-gp Activity

In 1967, the natural product goniothalamin was first isolated from plants endemic in Southeast Asia and since the late 1990s, its promising biological and pharmacological activities, especially in the field of cancer regarding cytotoxic activity, have been studied [170,172,236]. Therefore, natural occurring (*R*)-goniothalamin, its (*S*)-enantiomer and 21 novel derivatives, which were synthesized by our project partners from the *Institute of Bioorganic Chemistry, Heinrich-Heine Universität Düsseldorf* (M.Sc. Anja Weber, Prof. Dr.

Jörg Pietruszka) were characterized regarding cytotoxicity in triple-negative and progesterone and estrogen receptor positive breast cancer cell lines and a non-small cell lung cancer cell line (Chapter I, 2.1) and in sensitive and multidrug-resistant, ABC transporter expressing lung, colon and breast cancer cell lines as well as inhibitory activity against P-gp, MRP1 and BCRP (Chapter II, 2.2) [192,193]. The potential of goniothalamine and derivatives to inhibit ABC transporters and to circumvent cancer MDR had not been examined before.

3.1.1 Cytotoxic Activities of Goniothalamine Derivatives

In Chapter I, results of the cytotoxicity assays were used to describe distinct SARs of goniothalamine derivatives, which influence toxic activities in triple-negative and progesterone and estrogen receptor positive breast cancer cell lines and a non-small cell lung cancer cell line. In addition, derivatives could be identified which were more cytotoxic than the natural product (*R*)-**1** in selected cell lines, for example, the *para*-nitrophenyl goniothalamine (*R*)-**25** with an IC₅₀ value of 6.7 μ M and the cyclopropyl derivative (*R,S,S*)-**4** with an IC₅₀ value of 14.4 μ M in triple-negative breast cancer cells HBL-100 compared to (*R*)-**1** with an IC₅₀ value of 20.9 μ M (Figure 8A). Regarding the SAR, the data showed that (*R*)-enantiomers are more potent than (*S*)-enantiomers, which were mostly non-toxic, an unsaturated lactone ring is essential for activity and the vinylic double bond of the molecule is not mandatory for activity, but the molecule needs a certain rigidity, which can also be established by a cyclopropyl group (Figure 8B). Substituents at the phenyl group have only minor impact on cytotoxicity.

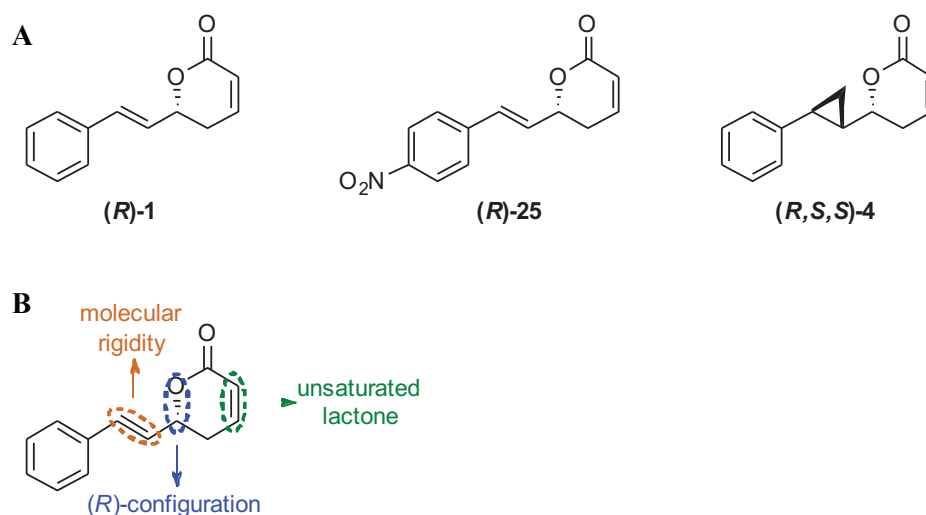


Figure 8. Goniothalamine derivatives which are cytotoxic in cancer cell lines. (A) Two derivatives of the natural product goniothalamine (*R*)-**1** were identified as more potent inducers of cytotoxicity in different human cancer cell lines, the *para*-nitrophenyl derivative (*R*)-**25** and the cyclopropyl derivative (*R,S,S*)-**4**. **(B)** Structural elements which are essential for cytotoxic activity of goniothalamine derivatives are the (*R*)-configuration, the unsaturated lactone moiety and the molecular rigidity.

Various derivatives with improved cytotoxicity compared to the natural product goniothalamine have been demonstrated before in several studies. One example is the naturally occurring derivative 5-acetyl goniothalamine, which has been shown to be 2-fold more efficacious in human breast cancer cell lines with IC₅₀ values between 1.7 μ M and 8.7 μ M [182]. In another study, synthesized N-acylated aza-goniothalamine derivatives displayed up to 4-fold improved cytotoxicity compared to the naturally occurring product in P-gp expressing NCI-ADR/RES ovarian cancer cells [191]. With this resistant cell line as well as with P-gp overexpressing HepG2-R liver cancer cells, it could be shown that the natural product goniothalamine can efficiently inhibit proliferation and tumor cell growth of these multidrug-resistant cell lines [178,180,189,190].

As MRP1- and BCRP-overexpressing cell lines have not been included in previous pharmacological tests of goniothalamine, the pharmacological evaluation of the natural product and its derivatives were expanded towards these multidrug-resistant cells in Chapter II, which focused on overcoming cancer MDR and examined cytotoxicity and ABC transporter inhibition. In the cytotoxicity assays, the SAR from Chapter I could be confirmed. Like in Chapter I, derivatives (**R**)-**25** and (**R,S,S**)-**4** demonstrated an about 2-fold increased activity in P-gp expressing HCT-15 colon carcinoma cells, MRP1-expressing H69AR small cell lung cancer cells and sensitive NCI-H69 small cell lung cancer cells. Hence, these goniothalamine derivatives might provide a good starting point for further optimization towards novel cytotoxic compounds with activity in sensitive as well as P-gp and MRP1-expressing resistant tumor cells. Moreover, comparing sensitive and resistant MCF-7 and MCF-7/MX breast cancer cell lines, derivatives were identified to possess selective toxicity in the BCRP-expressing MCF-7/MX cells, especially (**R**)-**2** and (**S**)-**2**.

3.1.2 Inhibition of P-gp Activity by Goniothalamine Derivatives

Besides cytotoxicity, the potential of the natural product goniothalamine and derivatives to inhibit the ABC transporters P-gp, MRP1 and BCRP was analyzed for the first time in Chapter II. It could be shown that goniothalamine (**R**)-**1** slightly inhibited P-gp transport activity up to around 40% relative inhibition compared to the positive control. The non-toxic *para*-methoxy derivatives (**R**)-**3** and (**S**)-**3** (Figure 9A) displayed the strongest inhibitory activity against P-gp and relative inhibition was up to around 98% and 62%, respectively. For all compounds, inhibition of P-gp was dose-dependent and selective, no effects were observed against MRP1 and BCRP. Considering the SAR of P-gp inhibition in contrast to cytotoxicity, there was no difference between the (*R*)- and (*S*)-enantiomers of compound **1** and the

saturated derivatives **23a**, **23c** and **23e**. In contrast, increased activity of the (*R*)-enantiomers of derivatives **3** and **24** was observed. Furthermore, a saturated lactone ring had no impact on P-gp inhibition in case of the derivatives **23a** and **23c** compared to unsaturated compounds, but decreased P-gp inhibition in case of derivative **23e**. In summary, it seems that ability of goniotalamin derivatives to inhibit P-gp transport function is mainly subject to the functional group at the phenyl group (Figure 9B).

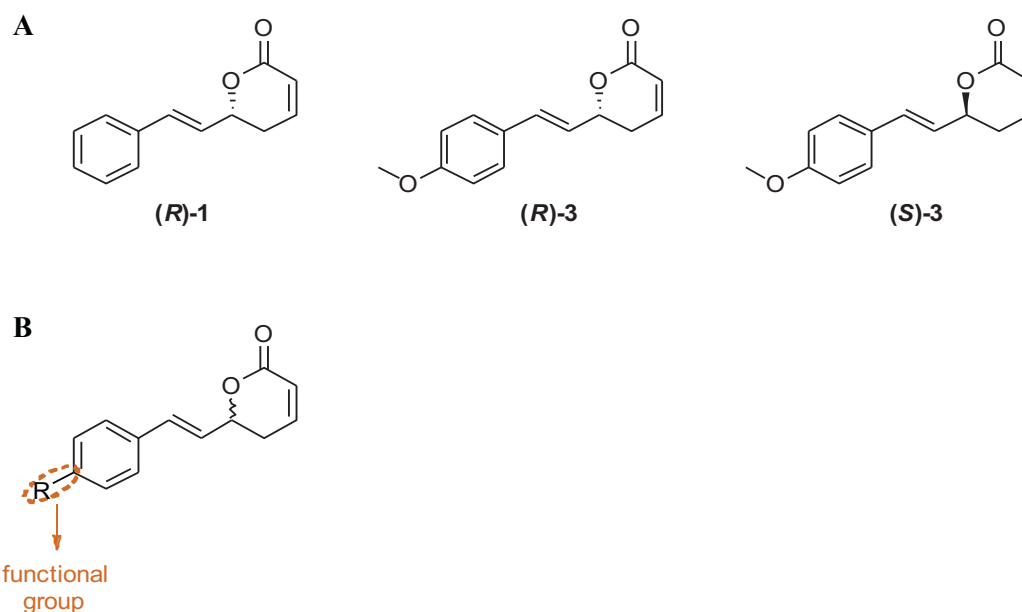


Figure 9. Goniotalamin derivatives which selectively inhibit P-gp transport. (A) Two derivatives of the natural product goniotalamin (**(R)-1**) were identified as selective and non-toxic inhibitors of P-gp transport function, the *para*-methoxy derivatives (**(R)-3** and (**(S)-3**). (B) P-gp inhibition is mainly influenced by the substituent at the phenyl ring.

Notably, inhibitory activity of kavalactones from the Kava plant, which are structurally related to the methoxylated goniotalamins (**(R)-3** and (**(S)-3**) that were identified as P-gp inhibitors, have been previously analyzed towards P-gp by Weiss and colleagues [237]. They observed a concentration-dependent inhibition of P-gp in P388/dx cells by all natural compounds tested with desmethoxyyangonin and yangonin being the strongest inhibitors in the test set. For desmethoxyyangonin, an f_2 value (concentration needed to double baseline fluorescence of calcein) of about 17 μM was reported. However, the mechanism of inhibition of kavalactones as well as their selectivity towards P-gp remains elusive.

In addition to cell-based transporter inhibition assays, the identified inhibitors (**(R)-3** and (**(S)-3**) were further characterized *in vitro*. Using flow cytometry, it could be shown that by inhibiting P-gp both compounds significantly increased intracellular accumulation of the chemotherapeutic drug and P-gp substrate doxorubicin up to 2-fold compared to the negative control. In line with this, P-gp expressing HCT-15 cells were sensitized to doxorubicin when

treated in combination with (**R**)-**3** or (**S**)-**3** and resistance was reversed by reducing the IC₅₀ value of doxorubicin up to around 15-fold.

In general, P-gp inhibitors can act via different mechanisms and competitive or non-competitive. The mode of action can be characterized by analyzing basal and drug-stimulated ATPase activity in presence of the inhibitor [238,239]. To obtain first insights into the mechanism of inhibition of (**R**)-**3** or (**S**)-**3**, P-gp ATPase activity was analyzed. Results revealed that (**R**)-**3** or (**S**)-**3** are potential substrates of P-gp as they stimulated basal ATPase activity and inhibited verapamil-stimulated ATPase activity of P-gp. Furthermore, molecular docking to homology-modeled P-gp was performed at the *Department of Pharmaceutical Biology, Institute of Pharmacy and Biochemistry, Johannes Gutenberg Universität Mainz* (Dr. Onat Kadioglu, Prof. Dr. Thomas Efferth). Derivatives (**R**)-**3** and (**S**)-**3** were both demonstrated to strongly interact with the drug-binding domain of the transporter. According to amino acid allocation to different drug-binding sites of P-gp as classified by Ferreira and colleagues, both compounds primarily interacted with the R-site (rhodamine 123 site) [240]. Anthracyclines, such as doxorubicin, are presumed to bind to this site as well, which could help explain the increase of intracellular doxorubicin that was observed. Interestingly, the weak inhibitors (**R**)-**23e** and (**S**)-**23e** with saturated lactone moiety compared to (**R**)-**3** and (**S**)-**3** did also reveal a strong interaction with P-gp and with the same amino acids in the molecular docking studies. This is in contrast to the *in vitro* data of P-gp inhibition and doxorubicin accumulation. Thus, further analyses are necessary to fully elucidate the inhibitory mechanism of goniothalamine derivatives, including studies to elucidate the exact mechanism of inhibition.

In summary, results of the studies of Chapter I (2.1) and Chapter II (2.2) demonstrated the potential of the natural product goniothalamine and derivatives against sensitive and resistant cancer cells. Depending on the structural modifications, the anticancer activities of the derivatives can either be shifted towards cytotoxicity or towards selective inhibition of P-gp transport function. P-gp inhibition seems to be mostly influenced by the phenyl ring and its substitutions, whereas cytotoxicity mainly depends on the lactone moiety and the vinylic double bond.

As a basis for the *in vitro* characterization of goniothalamine derivatives, qPCR screening of a panel of human tumor cell lines was performed (Appendix to Chapter II, 2.2.1). According to these data, cell lines HCT-15, H69AR and MCF-7/MX were chosen for ABC transporter studies and their expression data, which had been published before, could be verified. The

analysis revealed HCT-15 to express high levels of P-gp, while MRP1 and BCRP mRNA levels were low compared to the reference gene GAPDH, which is in accordance with published data [56]. The multidrug-resistant cell line H69AR is known to overexpress the ABC transporter MRP1 in contrast to its parental cell line NCI-H69 [83,241]. Here, a 70-fold higher relative expression of MRP1 in the H69AR cells compared to NCI-H69 was revealed, while expression levels of P-gp and BCRP only differed slightly in both cell lines. The multidrug-resistant cell line MCF-7/MX overexpresses BCRP, whereas its parental cell line MCF-7 exhibits only low expression of this transporter [56]. In accordance, relative expression levels of BCRP in MCF-7/MX cells were over 1000-fold higher compared to parental MCF-7, whereas expression of P-gp and MRP1 was only marginally up- or downregulated in the resistant cells. Furthermore, this in-depth comparative analysis allows identification of intrinsically resistant cancer cell lines, for example, colon carcinoma cell line Caco-2 (elevated P-gp expression), liver carcinoma cell line HepG2 (elevated P-gp and BCRP expression) or pancreas carcinoma cell line Panc-1 (elevated MRP1 and BCRP expression). Liver and pancreas carcinomas both represent aggressive cancers with high mortality rates worldwide and poor prognosis due to the lack of efficacious therapy options [3,242,243]. This can in part be assigned to the inherent chemotherapy resistance caused by ABC transporters. Thus, development of MDR-overcoming therapies might be very beneficial for the treatment of these tumor types. In addition, the data show as well that MDR might not only be caused by overexpression of a single transporter, but can be mediated by interplay of several transporters.

3.1.3 Future Perspectives

Until now, most research focused on the anticancer activities of naturally occurring goniothalamin. Goniothalamin has been shown to induce apoptosis in cancer cells through caspase activation and cell cycle arrest, to inhibit cancer cell migration and to induce production of ROS [179,183,184,244,245]. Furthermore, it inhibits activation of nuclear factor kappa B (NF- κ B) [187]. NF- κ B, a key transcription factor of inflammation, has been shown to be constitutively activated in different cancer types and it supports tumor progression, invasiveness and therapy resistance [246,247]. Several derivatives were identified with higher cytotoxic activities in different sensitive cancer cell lines and the P-gp expressing NCI-ADR/RES cell line, but most studies did not have a further look into the mechanism of action of these derivatives and did not evaluate their influence on the mechanisms mentioned above in comparison with the natural product

[178,180,182,190,191,248]. Especially in case of compounds killing the resistant cancer cell line, it would be interesting to identify the molecular target as a basis for the development of novel compound candidates. This applies to the novel derivatives that were studied here as well.

The observed P-gp inhibition by goniothalamine derivatives was only of moderate potency compared to other well-characterized inhibitors like valspodar (PSC883) or tariquidar, which even entered clinical trials. The most potent one, derivative **(R)-3**, only exhibited full transporter inhibition in a cell-based assay when applied at a concentration of 50 μ M and it is questionable if this concentration can be reached *in vivo*. Nevertheless, the promising results of this study support further structure optimization of goniothalamine derivatives towards potentially more efficacious P-gp inhibitors. One starting point could be the introduction of other functional groups at the phenyl ring or variations in the substitution pattern.

The two most potent goniothalamine derivatives identified as selective P-gp inhibitors, derivatives **(R)-3** and **(S)-3**, displayed none or only weak toxic effects in the tested human cancer cell lines. Their cytotoxicity in normal healthy tissues has not been tested in this study, but this would be indispensable for following *in vivo* experiments and for considering them as potential drug candidates. Naturally occurring goniothalamine has already been administered in mouse and rat models of cancer, inflammation and malaria infection and doses up to 300 mg/kg did not show any signs of toxicity [177,185,186,188,249]. In another study, two azagoniothalamine derivatives were applied *in vivo* and doses up to 100 mg/kg could be administered without risk [191]. Thus, it is not unlikely that the derivatives **(R)-3** and **(S)-3** from this study might be safe for *in vivo* use.

After functional optimization and toxicity evaluation, another important step of characterizing the MDR-overcoming activity of novel P-gp inhibitors is applying the compound in *in vivo* studies. Xenograft models can be established by inoculating mice with cells from a P-gp overexpressing cancer cell line. They would then be treated with a chemotherapeutic drug (for example doxorubicin) alone or in combination with the respective test compounds and tumor size and survival would be analyzed. As a more predictive model, patient-derived resistant tumor cells could also be used for xenograft generation and study of MDR and its reversal *in vivo* [250,251]. Advantages of these models are the heterogeneity of the tumors, their growth in a physiologically-relevant environment and the lack of genetic transformations and adaptations of established cell lines gained by *in vitro* culture [252].

3.2 Isocoumarins as Dual Inhibitors of P-gp and BCRP

A second class of natural compounds, which was studied, are the isocoumarins or more precisely 3,4-dihydroisocoumarins. They represent a large group of secondary metabolites with great structural and functional variety from various natural sources, including bacteria, fungi and plants [194]. Although several isocoumarins and 3,4-dihydroisocoumarins with anticancer activities have been identified, they have not been considered as inhibitors of human ABC transporters for the reversal of MDR so far. Thus, the three natural products 6-methoxymellein, angelicoin B and ellagic acid as well as nine novel derivatives were synthesized by our project partners from the *Institute of Bioorganic Chemistry, Heinrich-Heine Universität Düsseldorf* (M.Sc. Anja Weber, Prof. Dr. Jörg Pietruszka) and from *MicroCombiChem GmbH, Wiesbaden* (Dr. Edmond Fleischer, Dr. Anette Klinger) and characterized in Chapter III (2.3) as potential candidates for reversal of cancer MDR.

3.2.1 Inhibition of P-gp and BCRP Activity by 3,4-Dihydroisocoumarins

All compounds were tested for their potential to inhibit the ABC transporters P-gp, MRP1 and BCRP and three compounds demonstrated dual and dose-dependent inhibition of P-gp and BCRP (Figure 10A). The most potent of these compounds was the pentyl-derivative **16**, which inhibited transport function of both transporters between 80% and 90% at the highest test concentration (50 μ M) compared to the respective positive control. This derivative also had the potential to sensitize P-gp expressing HCT-15 cells and BCRP-expressing MCF-7/MX cells to treatment with the respective transporter substrates and chemotherapeutic drugs doxorubicin and mitoxantrone and thereby reverse resistance of these cell lines. In HCT-15 cells, the IC₅₀ value of doxorubicin was reduced up to 3.7-fold and in MCF-7/MX cells, the IC₅₀ value of mitoxantrone was reduced up to 5.6-fold by co-treatment with derivative **16**.

The *tert*-butyldimethylsilyl (TBS) protected derivatives (**R**)-**19** and (**S**)-**19** demonstrated a weaker activity with relative inhibition up to 40% to 50% compared to the positive controls. For further insights into the SAR of transporter inhibition, data were compared to pentyl-derivative **16a**, which differs from derivative **16** by the methoxy group instead of the hydroxy group at position 8, and the deprotected derivatives (**R**)-**19a** and (**S**)-**19a**. All three derivatives demonstrated reduced inhibitory activity against P-gp and BCRP and the reduction was more pronounced in case of BCRP. In addition, the three natural products 6-methoxymellein (**3**), angelicoin B (**4**) and ellagic acid as well as the tricyclic derivative **21** and the derivatives **14** and **14a** without substituent at position 3 did not inhibit BCRP and were only weak inhibitors

of P-gp with relative inhibition between around 10% and 20% at the highest test concentration. Taking these results together, SAR of P-gp and BCRP inhibition by 3,4-dihydroisocoumarins could be established, which were consistent for both transporters (Figure 10B). Firstly, a hydroxy group at position 8 is indispensable for transporter inhibition and substitution by a methoxy group leads to clearly reduced activity as was seen by comparing derivatives **16** and **16a**. Secondly, the molecule needs an alkyl substituent at position 3 of a certain length. Derivative **14** without substituent as well as methoxymellein (**3**) and angelicoin B (**4**) with a methyl group at position 3 demonstrated significantly decreased inhibition compared to the pentyl-derivative **16**. Lastly, this substituent has to be hydrophobic as was demonstrated by the derivatives with deprotected hydroxy group, (*R*)-**19a** and (*S*)-**19a**, which were less potent than their TBS protected counterparts (*R*)-**19** and (*S*)-**19**.

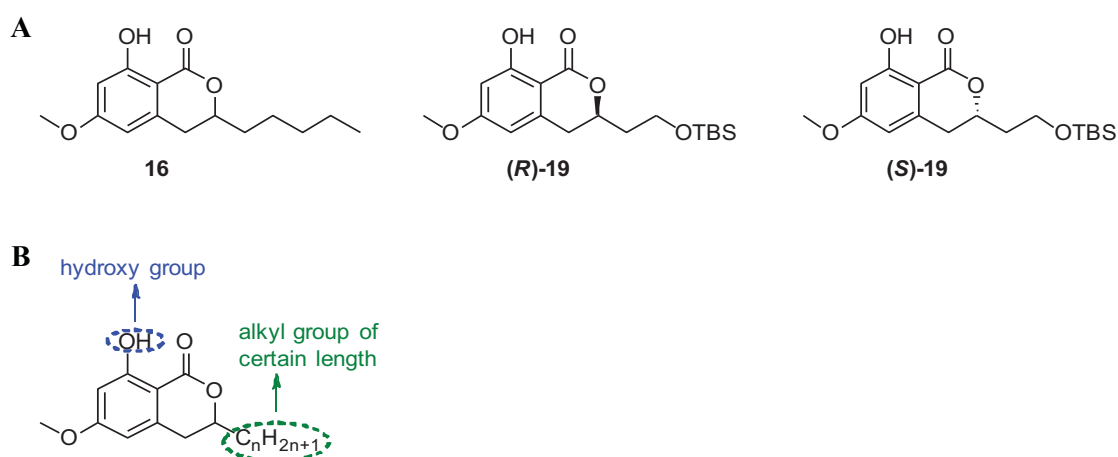


Figure 10. 3,4-dihydroisocoumarins which dually inhibit P-gp and BCRP transport. (A) Three 3,4-dihydroisocoumarins were identified as dual inhibitors of P-gp and BCRP transport function, the pentyl-derivative **16** and the TBS-protected enantiomers (*R*)-**19** and (*S*)-**19**. **(B)** Structural elements which are essential for inhibition of P-gp and BCRP by 3,4-dihydroisocoumarins are the hydroxyl group at position 8 and the hydrophobic alkyl chain at position 3.

In summary, some novel 3,4-dihydroisocoumarins tested in this study proved to be moderate dual inhibitors of P-gp and BCRP with the potential of further development towards more potent inhibitors. So far, most studies focused on identification and development of selective inhibitors of a certain transporter. On the one hand, advantages of selective inhibitors are reduced side effects that might arise from inhibiting several transporters at once as they are not only expressed in tumor tissues, but are also important for homeostasis of healthy tissues. Clinical trials with first generation P-gp inhibitors had to be discontinued because of the unspecific transporter inhibition by the drugs, for example verapamil, which lead to increased toxicity of the cytotoxic chemotherapy. Another limitation was the low efficacy, which necessitated high and potentially toxic doses of the drugs [113,114]. On the

other hand, most tumors do not solely express a single ABC transporter and MDR is rather caused by interplay of multiple transporters. For instance, concurrent overexpression of P-gp and BCRP has been shown in AML patients and was linked to poor therapy responsiveness and overall survival [253–255]. Furthermore, P-gp and BCRP have a partly overlapping substrate spectrum and several anticancer drugs in clinical practice are translocated by both transporters, for example, mitoxantrone, topotecan, etoposide and TKIs [75,256]. In these cases, selective inhibition of one transporter might not be sufficient to reverse resistance to chemotherapy and dual and potent inhibitors of both transporters seem to be a more efficacious strategy for treatment of MDR. Moreover, P-gp and BCRP are co-expressed at the blood-brain barrier, where they prevent brain penetration of potentially harmful compounds. This protects the sensitive brain, but at the same time limits the efficacy of chemotherapy in the therapy of brain tumors [257]. Expression of P-gp and BCRP in gliomas as well as their contribution to temozolomide resistance, the first-line treatment of glioblastoma multiforme, has also been described [258]. These issues could be addressed by the dual targeting of P-gp and BCRP as well. Thus far, different dual inhibitors have been identified, such as tariquidar and derivatives, auronones and chalcones [259–261]. Especially in case of auronones and chalcones, further development and research is still required to elucidate the mechanism of action and to thoroughly characterize the compounds for potential *in vivo* and clinical use. The 3,4-dihydroisocoumarins of this study might serve as additional candidate compounds for further development of potent, dual inhibitors of P-gp and BCRP.

3.2.2 Cytotoxic Activities of 3,4-Dihydroisocoumarins

Besides transporter inhibition, cytotoxicity of the test compounds was analyzed in sensitive A549 lung cancer cells and in resistant HCT-15 colon cancer cells, H69AR lung cancer cells and MCF-7/MX breast cancer cell. As mentioned in 1.7.2, one strategy to overcome cancer MDR mediated by ABC transporter overexpression is the development of cytotoxic drugs with selective activity against multidrug-resistant cells by exploiting the phenomenon of collateral sensitivity [151]. While most of the tested 3,4-dihydroisocoumarins displayed only weak or no significant cytotoxicity in different human cancer cell lines, derivative **16a** demonstrated exclusive toxicity in the BCRP-expressing MCF-7/MX breast cancer cell line with an IC_{50} value of 10.6 μ M. Hence, this compound might be worth further studies of its mechanism of action and cellular target in this resistant cell line. If it does not induce cytotoxicity in the parental, sensitive MCF-7 cell line, it could serve as a promising starting point for the development of drug candidates with selective toxicity in resistant tumors that

overexpress BCRP. Selective activity against BCRP-expressing tumor cells has for example been shown for the natural product curcumin in combination with either ouabain or gramicidin [262]. This combination induces intracellular ATP depletion by stimulating ATP hydrolysis, which leads to caspase-dependent apoptosis.

3.2.3 Future Perspectives

Novel 3,4-dihydroisocoumarins were identified as dual inhibitors of P-gp and BCRP and as the results show, the SAR of the tested compounds is analogous regarding inhibition of P-gp and BCRP. Thus, there is evidence for a similar mechanism of transport inhibition by those compounds. To clarify this, further comparative analyses regarding influence on ATPase activity of both transporters and on intracellular drug accumulation are required. This would provide valuable information if the compounds inhibit transport function in a competitive or non-competitive manner. Furthermore, molecular docking studies of the newly identified inhibitors to homology-modeled human P-gp and to human BCRP can provide insights about the binding sites of the inhibitors at the transporter proteins. As the SAR from the inhibition analyses are comparable for P-gp and BCRP, it is possible that the binding-sites for 3,4-dihydroisocoumarins of both transporters are similar in their structure as well. In case of both transporters, multiple binding sites in the drug-binding domains have been proposed, but the exact numbers and locations still remain elusive. For P-gp, at least four distinct binding sites have been described, whereas for BCRP, a recent study revealed three binding sites [71,102]. In February 2018, the crystal structure of human-mouse chimeric P-gp in complex with the third-generation inhibitor zosuquidar was resolved [69]. It is the first P-gp structure with a fully occluded substrate-binding cavity in an outward-closed confirmation. The structure revealed binding of two closely interacting zosuquidar molecules. However, it still has to be confirmed by molecular docking if two zosuquidar molecules can bind simultaneously and if the same applies to other inhibitors or substrates. Because of the partially overlapping substrate spectrums of P-gp and BCRP, possibly similarities in drug-binding sites are conceivable. Furthermore, inhibitors can bind to the NDBs of the transporter, thereby inhibiting its function in a non-competitive manner by interfering with the catalytic cycle.

The most potent compound, derivative **16**, inhibited P-gp and BCRP transport activity up to 80% to 90%, but relatively high compound concentrations of 50 μ M had to be used. As this is the case for the goniotalamin derivatives, it is doubtful if this concentration can be reached in *in vivo* studies for successfully inhibiting P-gp and BCRP in tumors. Therefore, structure modifications based on derivative **16** might provide compounds with a significantly improved

inhibitory profile. These modifications could include prolonged alkyl groups at position 8 and substitutions at other positions of the molecule.

3.3 Colchicine Derivatives as Drug Candidates against Sensitive and Resistant Tumors

The alkaloid colchicine is a highly potent inhibitor of tubulin polymerization and thus, microtubule formation. As microtubules are integral for various cell biological mechanisms, inhibition of microtubule formation leads to cell death and serves as an interesting target in cancer therapy [225]. Approved microtubule-targeting drugs include taxanes (paclitaxel, docetaxel) and *vinca* alkaloids (vinblastine, vincristine, vinorelbine). Colchicine displays strong toxic effects in cancer cells as well as normal cells and hence, it is not used in the treatment of cancer so far, but is only approved for the treatment of gout. Nevertheless, colchicine remains a promising natural product with potent anticancer activities because of its potent activity as microtubule-formation inhibitor and many studies focus on development of novel colchicine derivatives, prodrugs or formulations with reduced toxicity in healthy tissues as anticancer drug candidates [229,230].

A part of this thesis was the evaluation of novel colchicine-derived triazoles in regard to cytotoxic activities and inhibition of tubulin polymerization (Chapter IV, 2.4) [235]. These compounds were synthesized by our cooperation partners from the *Department of Chemistry, Universität zu Köln (Dr. Persefoni Thomopoulou, Prof. Dr. Hans-Günther Schmalz)*. Cytotoxicity assays were performed in leukemia cells as well as solid tumor cells of lung and cervix. In a first screening using leukemia cell lines THP-1 (acute monocytic leukemia) and Jurkat (acute lymphoblastic leukemia), several derivatives with up to 5-fold improved cytotoxic activities were identified. Their IC_{50} values were between 2.9 nM and 5.7 nM compared to colchicine with IC_{50} values between 13.5 nM and 20.4 nM in the two cell lines. The three most potent ones, derivatives **3f**, **3o** and **3p** (Figure 11), were further characterized on the solid tumor cell lines and in an *in vitro* tubulin polymerization assay. Especially the 4-fluorobenzyl ester **3o** proved to be a potent cytotoxic compound and results of the tubulin inhibition assay revealed its improved ability to inhibit tubulin polymerization compared to colchicine. Moreover, these results were confirmed by immunofluorescence staining and microscopy. Colchicine-derived triazoles and in particular derivative **3o** might serve as interesting lead compounds, but would need further characterization. On one hand, it is indispensable to analyze toxicity in healthy tissues. On the other hand, as this thesis focuses

on overcoming resistance of tumor to chemotherapeutic therapy, it would be interesting to characterize the colchicine derivatives in this respect.

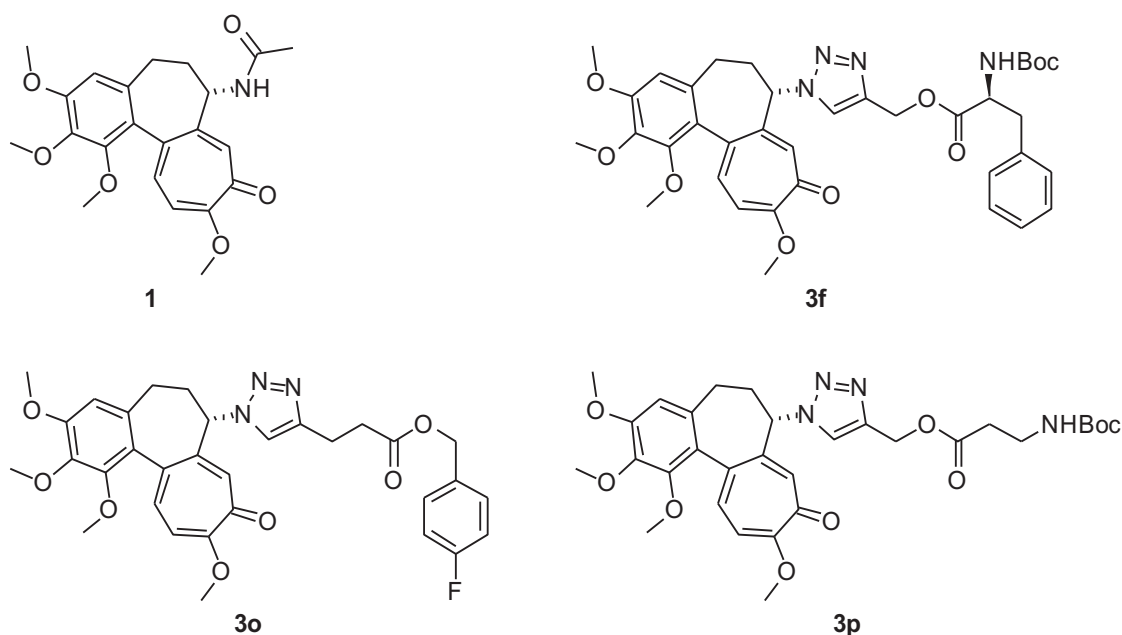


Figure 11. Colchicine derivatives with improved cytotoxicity and inhibition of tubulin polymerization. Three colchicine-derived triazoles were identified which demonstrated to be more potent in inducing cytotoxicity in different human cancer cell lines and in inhibiting tubulin polymerization compared to colchicine 1, the derivatives 3f, 3o and 3p.

The natural product colchicine is a known substrate of P-gp and an inducer of its expression in cancer cells [231,232]. Regarding this circumstance, it would be of particular interest to examine the ability of the colchicine derivatives to elevate P-gp expression or to be translocated by P-gp. P-gp overexpression is a common mechanism of cancer MDR against other tubulin-targeting drugs, for example, paclitaxel or vinblastine [8,263,264]. Hence, colchicine derivatives that do not act as substrates of P-gp could serve as alternative chemotherapeutic drugs that would allow efficacious treatment of multidrug-resistant tumors despite P-gp overexpression. Furthermore, in the treatment of sensitive tumors, these compounds could prevent emergence of MDR, if they did not induce P-gp expression. Different colchicine derivatives have already been characterized in this respect and promising compounds with these desired features could be identified [234,265,266].

Other mechanisms of resistance to microtubule-targeting drugs are represented by alterations in the drug target [233]. One possibility, which has been demonstrated, is that resistance can be mediated by changes of tubulin isotype expression patterns. In humans, there are eight isoforms of β -tubulin with unique expression patterns in different cell types and tissues [267]. It has been shown that isotype composition influences microtubule dynamics,

stability and drug binding [268]. Especially the β III-tubulin isotype seems to be a crucial parameter of resistance. Elevated expression of this isotype was identified as an essential mediator of taxane resistance and its expression levels can be correlated with patients' response to treatment and tumor aggressiveness [269–272]. Interestingly, higher expression of β III-tubulin seems to be a mediator of colchicine resistance as well [273]. As another mechanism, mutations in the different tubulin subtypes have been observed in drug-resistant cell lines, but their clinical impact remains unclear [274]. *In vitro*, mutations lead to changes in microtubule stability or decreased drug-binding affinity [275–277]. In these cases, colchicine derivatives might have the potential to overcome resistance by acting at a different binding site or by having the ability to target other isotypes as has already been shown by different studies [278–280].

Nevertheless, the potential of the colchicine derivatives studied here to overcome resistance to microtubule-targeting anticancer drugs still needs to be evaluated and further improvement of their structure might be necessary on the way to a novel drug candidate for efficacious treatment of sensitive or multidrug-resistant tumors.

3.4 Summary

The objective of this thesis was to evaluate the potential of numerous natural products and derivatives thereof as novel candidates for the treatment of multidrug-resistant tumors. In order to accomplish this aim, cell-based assays were established and optimized and compounds provided by cooperation partners from universities and industry in Düsseldorf, Wiesbaden and Cologne were screened for cytotoxic activity in sensitive and resistant cancer cell lines and for the inhibition of the human ABC transporters P-gp, MRP1 and BCRP. To date, these transporters belong to the main mediators of MDR in cancer.

Among the compound classes, which were studied, two novel, non-toxic derivatives of the natural product goniothalamin were identified as selective and moderate inhibitors of P-gp. Both derivatives were able to sensitize P-gp expressing colon carcinoma cells to doxorubicin treatment. Furthermore, ATPase assays and molecular docking studies indicated them to inhibit P-gp by being alternative transporter substrates. Moreover, novel cytotoxic derivatives were identified and displayed activity in sensitive as well as in resistant cell lines. In both cases, specific SAR could be described. Thus, the present work reveals directed structure modifications of goniothalamin either guiding activity towards P-gp inhibition or towards cytotoxicity.

In a second class of compounds, the 3,4-dihydroisocoumarins, three non-toxic compounds demonstrated dual inhibition of P-gp and BCRP and a distinct SAR for transporter inhibition was observed. To my knowledge, this is the first time that 3,4-dihydroisocoumarins were identified as inhibitors of ABC transporters. The most potent derivative was applied in co-treatment with chemotherapeutic drugs that are transporter substrates and a sensitization of P-gp colon carcinoma cells as well as BCRP expressing breast carcinoma cells to doxorubicin and mitoxantrone, respectively, could be demonstrated. In addition, another derivative with selective cytotoxicity in a BCRP-expressing cell line was identified.

Finally, novel colchicine-derived triazoles were analyzed for cytotoxic activity in several cancer cell lines and derivatives with higher potency in leukemia and solid tumor cell lines compared to the naturally occurring colchicine were identified. Moreover, the three most potent ones displayed improved inhibition of tubulin polymerization, which interferes with vital cellular processes resulting in apoptotic cell death.

In summary, novel natural product derivatives from different compound classes were identified in this thesis. Although additional evaluation of their mechanism of action and pharmacological activities is required, these compounds might serve a putative basis for further development of innovative chemotherapeutic drug candidates aiming at treating resistance to current therapies.

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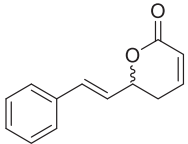
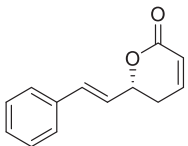
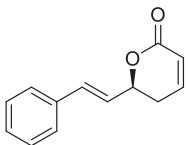
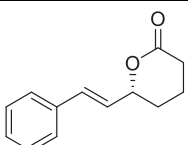
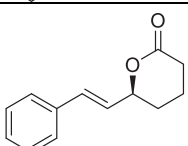
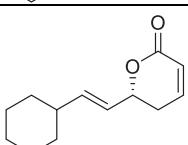
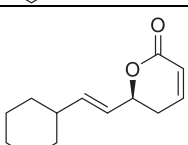
5 Appendix

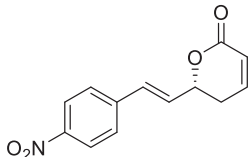
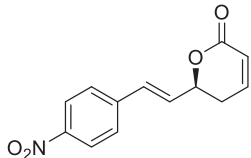
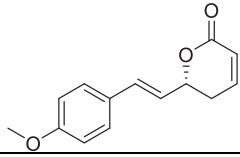
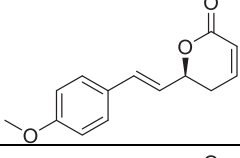
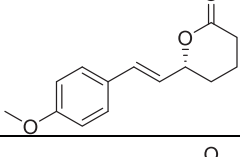
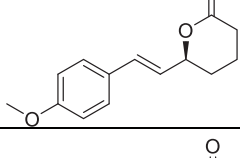
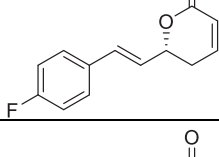
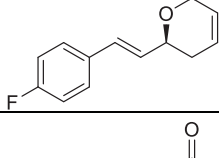
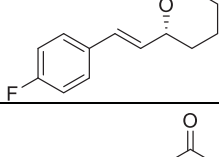
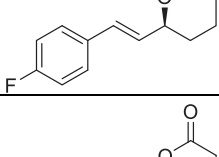
5.1 List of Test Compounds

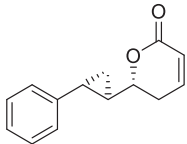
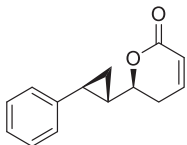
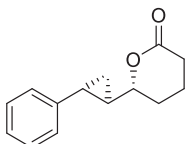
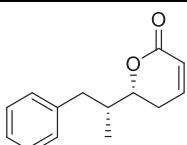
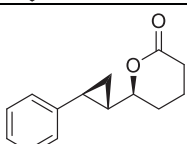
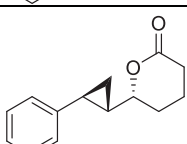
5.1.1 Goniotalamin Derivatives

The compounds were synthesized at the *Institute of Bioorganic Chemistry, Heinrich-Heine-Universität Düsseldorf im Forschungszentrum Jülich* (M.Sc. Anja Weber, Prof. Dr. Jörg Pietruszka).

Table A1. Goniotalamin derivatives.

Name	Structure	Chemical formula	Molecular weight (g/mol)
<i>rac</i> -1		C ₁₃ H ₁₂ O ₂	200.24
(<i>R</i>)-1		C ₁₃ H ₁₂ O ₂	200.24
(<i>S</i>)-1		C ₁₃ H ₁₂ O ₂	200.24
(<i>R</i>)-23c		C ₁₃ H ₁₄ O ₂	202.25
(<i>S</i>)-23c		C ₁₃ H ₁₄ O ₂	202.25
(<i>R</i>)-2		C ₁₃ H ₁₈ O ₂	206.29
(<i>S</i>)-2		C ₁₃ H ₁₈ O ₂	206.29

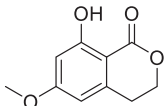
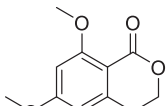
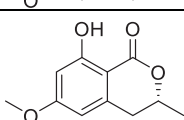
(R)-25		$C_{13}H_{11}NO_4$	245.23
(S)-25		$C_{13}H_{11}NO_4$	245.23
(R)-3		$C_{14}H_{14}O_3$	230.26
(S)-3		$C_{14}H_{14}O_3$	230.26
(R)-23e		$C_{14}H_{16}O_3$	232.28
(S)-23e		$C_{14}H_{16}O_3$	232.28
(R)-24		$C_{13}H_{11}FO_2$	218.23
(S)-24		$C_{13}H_{11}FO_2$	218.23
(R)-23a		$C_{13}H_{13}FO_2$	220.24
(S)-23a		$C_{13}H_{13}FO_2$	220.24
(R,S,S)-4		$C_{14}H_{14}O_2$	214.26

(R,R,R)-4		C ₁₄ H ₁₄ O ₂	214.26
(S,S,S)-4		C ₁₄ H ₁₄ O ₂	214.26
(R,R,R)-26		C ₁₄ H ₁₆ O ₂	216.28
(R,R)-27		C ₁₄ H ₁₆ O ₂	216.28
(S,S,S)-26		C ₁₄ H ₁₆ O ₂	216.28
(R,S,S)-26		C ₁₄ H ₁₆ O ₂	216.28

5.1.2 Isocoumarin Derivatives

The compounds were synthesized at the *Institute of Bioorganic Chemistry, Heinrich-Heine-Universität Düsseldorf im Forschungszentrum Jülich* (M.Sc. Anja Weber, Prof. Dr. Jörg Pietruszka). Ellagic acid was provided by *MicroCombiChem GmbH, Wiesbaden* (Dr. Edmond Fleischer, Dr. Anette Klinger).

Table A2. Isocoumarin derivatives.

Name	Structure	Chemical formula	Molecular weight (g/mol)
14		C ₁₀ H ₁₀ O ₄	194.19
14a		C ₁₁ H ₁₂ O ₄	208.21
6-Methoxymellein (3)		C ₁₁ H ₁₂ O ₄	208.07

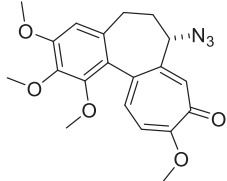
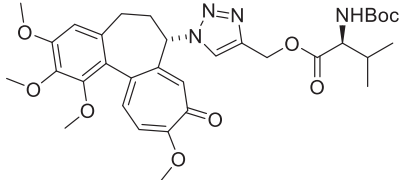
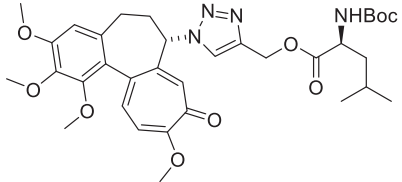
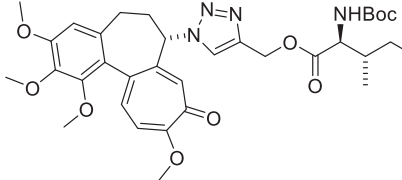
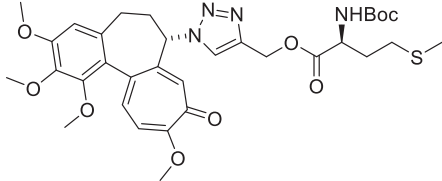
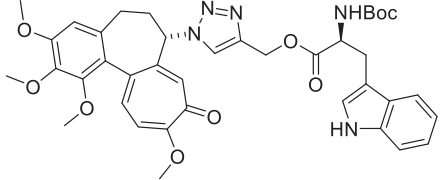
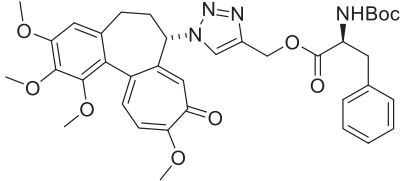
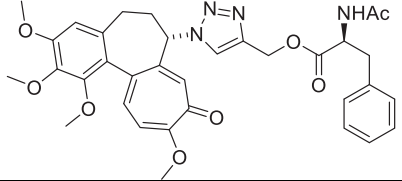
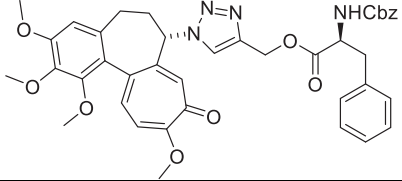
Angelicoin B (4)		$C_{11}H_{12}O_4$	208.07
16		$C_{15}H_{20}O_4$	264.14
16a		$C_{16}H_{22}O_4$	278.15
(R)-19		$C_{18}H_{28}O_5Si$	352.17
(S)-19		$C_{18}H_{28}O_5Si$	352.17
(R)-19a		$C_{12}H_{14}O_5$	238.08
(S)-19a		$C_{12}H_{14}O_5$	238.08
21		$C_{14}H_{10}O_4$	242.06
Ellagic acid (MCC7348)		$C_{14}H_6O_8$	302.19

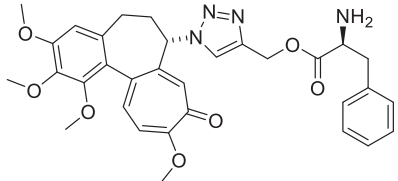
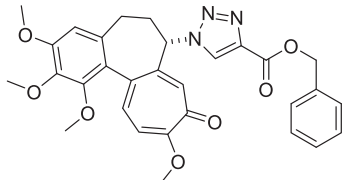
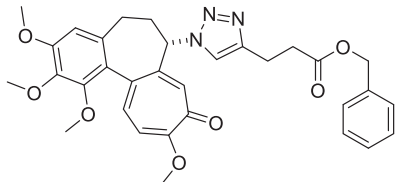
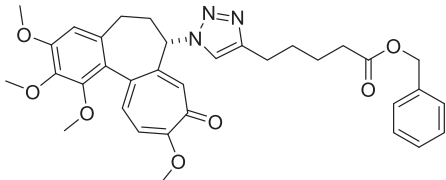
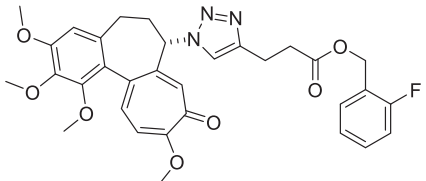
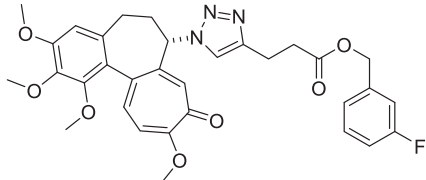
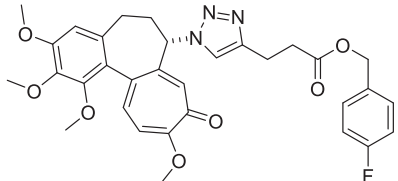
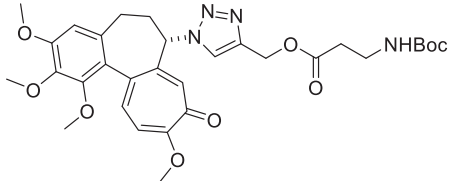
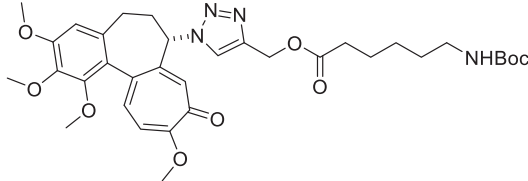
5.1.3 Colchicine Derivatives

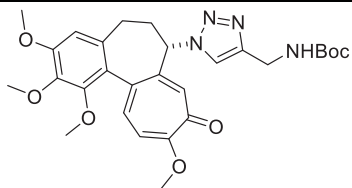
The compounds were synthesized at the *Department of Chemistry, Universität zu Köln (Dr. Persefoni Thomopoulou, Prof. Dr. Hans-Günther Schmalz)*.

Table A3. Colchicine derivatives.

Name	Structure	Chemical formula	Molecular weight (g/mol)
1		$C_{22}H_{25}NO_6$	399.44

2		$C_{20}H_{21}N_3O_5$	383.40
3a		$C_{33}H_{42}N_4O_9$	638.72
3b		$C_{34}H_{44}N_4O_9$	652.75
3c		$C_{34}H_{44}N_4O_9$	652.75
3d		$C_{33}H_{42}N_4O_9S$	670.78
3e		$C_{39}H_{43}N_5O_9$	725.80
3f		$C_{37}H_{42}N_4O_9$	686.76
3g		$C_{34}H_{36}N_4O_8$	628.68
3h		$C_{40}H_{40}N_4O_9$	720.78

3i		$C_{32}H_{34}N_4O_7$	586.65
3j		$C_{30}H_{29}N_3O_7$	543.58
3k		$C_{32}H_{33}N_3O_7$	571.63
3l		$C_{34}H_{37}N_3O_7$	599.68
3m		$C_{32}H_{32}FN_3O_7$	589.62
3n		$C_{32}H_{32}FN_3O_7$	589.62
3o		$C_{32}H_{32}FN_3O_7$	589.62
3p		$C_{31}H_{38}N_4O_9$	610.66
3q		$C_{34}H_{44}N_4O_9$	652.75

3r $\text{C}_{28}\text{H}_{34}\text{N}_4\text{O}_7$

538.60

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Dem Graduiertenprogramm „Pharmacology & Experimental Therapeutics“ der Universität zu Köln und der Bayer AG, dem Graduierteninstitut NRW, Fachgruppe Lebenswissenschaften sowie dem Graduiertenzentrum der TH Köln danke ich für die Unterstützung und Weiterbildungsmöglichkeiten während der Promotion.

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Lebenslauf

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Publikationen

2018

Sachs, J., Kadioglu, O., Weber, A., Mundorf, V., Betz, J., Efferth, T.,
Pietruszka, J., Teusch, N. (2018). Selective inhibition of the P-gp
transporter by goniothalamine derivatives sensitizes resistant cancer cells
to chemotherapy. *Phytomedicine* (submitted).

2017

Weber, A., Döhl, K., **Sachs, J.**, Nordschild, A.C.M., Schröder, D.,
Kulik, A., Fischer, T., Schmitt, L., Teusch, N., Pietruszka, J. (2017).
Synthesis and cytotoxic activities of goniothalamins and derivatives.
Biorg. Med. Chem. 25, 6115-6125.

- 2016 Thomopoulou, P., **Sachs, J.**, Teusch, N., Mariappan, A., Gopalakrishnan, J., Schmalz, H.-G. (2016). New colchicine-derived triazoles and their influence on cytotoxicity and microtubule morphology. ACS Med. Chem. Lett. 7, 188-191.

Konferenzteilnahmen

- 10/2017 Cologne Excellent Women in Science Symposium, Universität zu Köln
Poster: „Pharmacological inhibition of the P-gp transporter by goniothalamine derivatives sensitizes resistant cancer cells to chemotherapy“
- 03/2017 Gordon Research Seminar & Conference „Multi-Drug Efflux Systems“, Galveston, TX, USA
Poster & Vortrag: „Pharmacological inhibition of the P-gp transporter by goniothalamine derivatives sensitizes resistant cancer cells to chemotherapy“
- 12/2016 Cell-VIB Symposium „Hallmarks of Cancer“, Gent, Belgien
Poster: „Novel pharmacological activities of the natural product goniothalamine in multidrug-resistant cancer cells“

Vorträge

- 10/2017 Annual Retreat 2017, Graduiertenprogramm „Pharmacology & Experimental Therapeutics“
Titel: „Pharmacological inhibition of the P-gp transporter by goniothalamine derivatives sensitizes resistant cancer cells to chemotherapy“
- 03/2017 Gordon Research Conference „Multi-Drug Efflux Systems“, Galveston, TX, USA
Titel: „Pharmacological inhibition of the P-gp transporter by goniothalamine derivatives sensitizes resistant cancer cells to chemotherapy“
- 11/2016 Annual Retreat 2016, Graduiertenprogramm „Pharmacology & Experimental Therapeutics“
Titel: „Natural Compounds as Candidates for the Treatment of Multidrug Resistant Tumors“
- 11/2015 Annual Retreat 2015, Graduiertenprogramm „Pharmacology & Experimental Therapeutics“
Titel: „Natural Compounds as Candidates for the Treatment of Multidrug Resistant Tumors“

Betreute Abschlussarbeiten

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Eidesstattliche Erklärung

Ich versichere an Eides Statt, dass die Dissertation von mir selbständig und ohne unzulässige fremde Hilfe unter Beachtung der „Grundsätze zur Sicherung guter wissenschaftlicher Praxis an der Heinrich-Heine-Universität Düsseldorf“ erstellt worden ist. Die Dissertation wurde in der vorgelegten oder in ähnlicher Form noch bei keiner anderen Fakultät eingereicht. Ich habe bisher keine erfolglosen und erfolgreichen Promotionsversuche unternommen.

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