# Secondary Metabolites from Fungi: Diversity Enhancement, Structure Elucidation and Bioactivity

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presented by

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### Abstract

Fungi are an important source of structurally diverse and pharmacologically active secondary metabolites. This dissertation describes investigations on bioactive secondary metabolites from the soil-derived fungus *Gymnascella dankaliensis*, the endophytic fungus *Aspergillus aculeatus* and the lichen-associated fungus *Apiospora montagnei*. OSMAC (One Strain MAny Compounds) approaches were applied to trigger silent secondary metabolic gene clusters to exploit chemical diversity of fungi by adding halide to solid rice medium or by modification of nitrogen sources. The structures of isolated secondary metabolites were elucidated by NMR spectra and MS data. The absolute configuration of new compounds was determined by X-ray analysis, Marfey's method and by comparison of optical rotation and NMR data with the literature. Among the isolated fungal secondary metabolites, gymnastatins I–J, T and W, secalonic acids D and F, libertellenone G, *N*-hydroxyapiosporamide, apiosporamide, acremonone G and bostrycin exhibited significant cytotoxicity against the murine lymphoma cell line L5178Y. This dissertation consists of the following three published or submitted manuscripts.

Targeted solid phase fermentation of the soil dwelling fungus Gymnascella dankaliensis yields new brominated tyrosine-derived alkaloids.

Seven new brominated tyrosine-derived alkaloids, gymnastatins T–Y (1–6) and dankastatin D (7), together with three known likewise brominated analogues gymnastatins I–K (8–10) were isolated from the soil fungus *Gymnascella dankaliensis* through fermentation on solid rice medium following addition of NaBr. None of these compounds were detected when the fungus was cultured on rice that either lacked NaBr or that contained NaCl instead, indicating a remarkable plasticity of the fungal secondary metabolism. All structures were elucidated on the basis of one and two dimensional NMR spectroscopic analyses and MS data. The absolute configuration of the new gymnastatin T (1) was determined by X-ray crystallographic data. All isolated alkaloids showed potent to moderate cytotoxicity against the L5178Y mouse lymphoma cell line with IC<sub>50</sub> values ranging from 0.078 to 14.1  $\mu$ M.

Indole alkaloids produced by an endophytic fungus Aspergillus aculeatus using an OSMAC approach

The endophytic fungus *Aspergillus aculeatus* isolated from leaves of the papaya plant *Carica papaya* was fermented on solid rice medium, yielding a new indole alkaloid (1) and thirteen known compounds (11, 14–25). In addition, an OSMAC approach was employed by adding eight different sodium or ammonium salts to the rice medium. Addition of 3.5% NaNO<sub>3</sub> caused a significant change of the metabolite pattern of the fungus as indicated by HPLC analysis. Subsequent isolation yielded several new indole derived alkaloids (1–10) in addition to three known compounds (11–13), among which compounds 2–10, 12–13 were not detected in the rice control culture. All structures were unambiguously elucidated by one and two dimensional NMR spectroscopy and by mass spectrometry. The absolute configuration of the indole derivatives was determined by Marfey's reaction and X-ray single crystal diffraction. Compounds 19–22 showed cytotoxicity against the L5178Y mouse lymphoma cell line with IC<sub>50</sub> values of 3.4, 1.4, 7.3 and  $23.7 \mu$ M, respectively

### Secondary metabolites of the lichen-associated fungus Apiospora montagnei

The endolichenic fungus Apiospora *montagnei* isolated from the lichen Cladonia sp. was cultured on solid rice medium, yielding the new diterpenoid libertellenone L (1), the new pyridine alkaloid, 23-O-acetyl-N-hydroxyapiosporamide (2) and the new xanthone derivative 8-hydroxy-3-hydroxymethyl-9-oxo-9H-xanthene-1-carboxylic acid methyl ether (3) together with 19 known compounds (4-22). The structures of the new compounds were elucidated by 1D and 2D NMR spectra as well as by HRESIMS data. The absolute configuration of the new 6,7-seco-libertellenone derivative 1 was determined by single-crystal X-ray diffraction. Four additional known compounds 23-26 were isolated when NaCl or NH<sub>4</sub>Cl were added to solid rice medium. Compounds 7–9, 18 and 26 exhibited significant cytotoxicity against the L5178 murine lymphoma cell line with IC<sub>50</sub> values of 2.6, 0.2, 2.1, 2.7 and  $1.7 \,\mu\text{M}$ , respectively.

### Zusammenfassung

Pilze bilden eine wichtige Quelle für phamakologisch aktive Sekundärmetaboliten aus strukturell unterschiedlichsten Stoffgruppen. Diese Dissertation beschäftigt sich mit der Untersuchung der Sekundärmetabolitmuster des Bodenpilzes Gymnascella dankalienses, des endophytischen Pilzes Aspergillus aculeatus und des Flechtenassoziierten Pilzes Apiospora montagnei. Um die volle chemische Diversität auszunutzen und kryptische Sekundärmetaboliten aus schlafenden Genclustern zu erschließen, wurden durch Supplementierung verschiedener Halogenidsalze oder Modifikation der verfügbaren Stickstoffquellen, OSMAC (One Strain MAny Kultivierungsexperimente auf Reismedium durchgeführt. Compounds) Die Strukturaufklärung der isolierten Sekundärmetaboliten erfolgte durch Kernspinresonanz-Spektroskopie (NMR) und Massenspektrometrie (MS). Die Bestimmung der absoluten Konfiguration erfolgte per Röntgenkristallstrukturanalyse, Marfey-Analyse und dem Vergleich von spezifischen optischen Rotationen und NMR-Daten mit Literaturquellen. Unter den isolierten Pilzsekundärmetaboliten zeigten Gymnastatin I-J, T und W, sowie Secalonsäuren D und F, Libertelleron G, N-Hydroxyapiosporamid, Apiosporamid, Acremonon G und Bostrycin eine signifikante Zytotoxizität gegenüber der Mauslymphomzelllinie L5178Y. Diese Dissertation setzt sich aus den folgenden Publikationen zusammen, deren Manuskripte bereits veröffentlicht oder eingereicht wurden.

Die gezielte Festphasenfermentation des Bodenpilzes Gymnascella dankaliensis führt zu neuen Brom-Tyrosinalkaloiden.

Nach der Zugabe von Natriumbromid zu einer Reisfermentation des Bodenpilzes *Gymnascella dankaliesis* konnten die sieben neuen Brom-Tyrosinalkaloide Gymnastatine T–Y (**1–6**) und Dankastatin D (**7**), zusammen mit den ebenfalls bromierten, bekannten Gymnastatinen I–K (**8–10**), isoliert werden. Keine der genannten Verbindungen konnten in Reisfermentationen, denen kein Natriumbromid zugesetzt wurde oder die stattdessen Natriumchlorid enthielten, detektiert werden, was die bemerkenswerte Anpassungsfähigkeit des pilzlichen Sekundärstoffwechsels

demonstriert. Alle Strukturen wurden durch mittels ein- und zweidimensionaler NMR-Spektroskopie, sowie Massenspektrometrie aufgeklärt. Die absolute Konfiguration von Gymnastatin T (1) wurde über Röntgenkristallstrukturanalyse bestimmt. Alle isolierten Verbindungen zeigten starke bis moderate Zytotoxizität gegenüber der Mauslymphomzelllinie L5178Y mit IC<sub>50</sub>-Werten zwischen 0,078 und 14,1  $\mu$ M.

Produktion von Indolalkaloiden durch den endophytischen Pilz Aspergillus aculeatus unter Anwendung von OSMAC-Bedingungen

Der endophytische Pilz Aspergillus aculeatus wurde aus den Blättern des Papayabaums Carica papaya isoliert und anschließend auf Reismedium kultiviert. Diese initiale Fermentation führte zur Isolation eines neuen Indolalkaloids (1), sowie dreizehn bekannter Verbindungen (14-25). Anschließend wurden, durch Anreicherung des Reismediums mit acht verschiedenen Natrium- oder Ammoniumsalzen, OSMAC Kultivierungsansätze durchgeführt. Die Supplementierung mit 3,5% Natriumnitrat HPLC verursachte erhebliche Veränderungen des durch analysierten Sekundärstoffmusters. Die darauffolgende Isolation förderte einige neue Indolalkaloide (1-10), zusammen mit drei bekannten Verbindungen (11-13), zutage, von denen 2-10 und 12–13 nicht in den Reiskontrollkulturen detektiert wurden. Sämtliche Strukturen wurden mittels einund zweidimensionaler NMR-Spektoskopie und aufgeklärt. Die absoluten Konfigurationen der Indolderivate Massenspektrometrie wurden durch Marfey- und Röntgenkristallstrukturanalyse bestimmt. Verbindungen 19–22 zeigten Zytotoxizität gegenüber der Mauslymphomzelllinie L5178Y mit IC<sub>50</sub>-Werten von jeweils 3,4, 1,4, 7,3 und 23,7  $\mu$ M

### Sekundärmetaboliten des Flechten-assoziierten Pilzes Apiospora montagnei

Eine Reisfermentation des endophytischen Pilzes *Apiospora montagnei*, welcher aus einer Flechte der Gattung *Cladonia* isoliert wurde, führte neben der Isolation von 19 bekannten Verbindungen (**4–22**), zur Isolation des neuen Diterpenoids Libertellenon L (1), des neuen Pyridinalkaloids 23-*O*-acetyl-*N*-hydroxyapiosporamid (**2**) und des neuen Xanthonderivats 8-Hydroxy-3-hydroxymethyl-9-oxo-9*H*-xanthen-1carbonsäure methyl ester (**3**). Die Strukturen der neuen Verbindungen wurden durch den Einsatz von ein- und zweidimensionaler NMR-Spektroskopie und hochauflösender Massenspektrometrie aufgeklärt. Die absolute Konfiguration des neuen 6,7-*seco*-Libertellenonderivats **1** wurde mittels Röntgenkristallstrukturanalyse bestimmt. Das Hinzufügen von Natriumchlorid oder Ammoniumchlorid zum Reismedium führte zur Isolation von vier weiteren, bekannten Verbindungen (**23–26**). Die Verbindungen **7–8**, **18** und **26** zeigten signifikante Zytotoxizität gegenüber der Mauslymphomzelllinie L5178Y mit IC<sub>50</sub>-Werten von jeweils 2,6, 0,2, 2,1, 2,7 und 1,7  $\mu$ M.

### 1. Introduction

Natural products that are produced by living organisms including plants, animals to microbes have different biological activities and can be widely utilized as colourants, pharmaceuticals, fragrances and hormones (Colegate and Molyneux, 2007; Schaefer, 2015). Morphine, the first isolated natural product, was reported as a colorless crystal from opium (Papaver somniferum) by a young German pharmacist, Friedrich Wilhelm Adam Sertürner in 1806 (Huxtable and Schwarz, 2001). Nowadays, more than 2 million natural products are recorded in the Dictionary of Natural Products database and the number is increasing annually (Rodrigues et al., 2016). The classification of natural products includes four schemes: molecular skeleton, physiological activity, chemotaxonomy and biogenesis. In practice, natural products can be divided into five main groups including terpenoids and steroids, alkaloids, fatty acid-derived substances, nonribosomal polypeptides and enzyme cofactors (McMurry, 2014). Due to their structural diversity and biological activities, natural products as leads to potential drugs play a significant role in discovery of drugs (Newman and Cragg, 2016). Usually, there are three ways to convert a natural product into a drug: develop the natural product as a drug directly, modify the natural product or synthesize a series of analogues, and use the natural product as a key scaffold for the synthesis of a library of analogues (Avery et al., 2010). With the search for lead compounds, a fascinating variety of secondary pathways have been revealed by feeding experiments and engineering metabolic biosynthetic gene clusters such as polyketide pathway, shikimate pathway, mevalonate pathway, methylerythritol phosphate pathway and non-ribosomal peptide pathway (Dewick, 2002). Furthermore, with the rapidly growing number of identified biosynthetic gene clusters (BGCs) of natural products, the genome mining approach was applied to express cryptic or silent BGCs, that have great potential to produce a variety of novel bioactive natural products for drug discovery over the past decade (Li et al., 2017).

1.1 Fungi as a rich source of pharmaceutical agents

Fungi obtain and assimilate nutrients from living or dead organisms and also produce various kinds of natural products (Kavanagh, 2017). The era of fungal drugs started in 1929 by Alexander Fleming and his discovery of the antibacterial agent penicillin from *Penicillium notatum* (Schaefer, 2015). In 1945 its structure was determined by X-ray crystallography as penicillin G with a four-membered  $\beta$ -lactam system, which is the active center by binding penicillin-binding proteins (PBP). Today, numerous marketed  $\beta$ -lactam antibiotics are produced by fermentation of highproducing strains with the applications of genetic engineering and by semi-synthesis using chemical and enzymatic process technology (Elander, 2003).

Griseofulvin isolated from *Penicillium griseofulvum* in 1939 has been used as an antifungal drug for treatment of ringworm in skin and nail in humans and animals (Petersen *et al.*, 2014). Recently, griseofulvin gained attention for causing centrosomal clustering in tumor cells (Rebacz *et al.*, 2007). Its mode-of-action towards fungi and mammalian cancer cells is not clear but it is interfering with the microtubule dynamics in a different way (Rønnest *et al.*, 2012; Paguigan *et al.*, 2017). Many analogs of griseofulvin were synthesized for the discovery of clinically used antifungal and anticancer agents (Petersen *et al.*, 2014).

Lovastatin isolated from *Aspergillus terreus* was the first approved inhibitor of 3hydroxy-3-methyl-glutaryl-CoA (HMG-CoA) reductase to reduce plasma cholesterol levels in 1987 (Tobert, 2003). Apart from this, lovastatin may have clinical utilization for chronic diseases viz bone fracture, Alzheimer's disease, Parkinson's disease, cancers, multiple sclerosis, rheumatoid arthritis and radiation-induced rectal injury (Pahan, 2006; Goswami *et al.*, 2013; Anscher *et al.*, 2016). Nowadays, various modifications of media and cultivation parameters are studied to increase the production of lovastatin by *A. terreus*. (Miranda *et al.*, 2013; Rahim *et al.*, 2017; Tarragó-Castellanos and Barrios-González, 2017). In recent studies on griseofulvin and lovastatin, significant new bioactivities have been found, offering promising opportunities for new applications. The drug repurposing strategy has great advantages on clinical safety aspects and on reducing the cost of preclinical and clinical development (Cavalla, 2013; Cragg, Grothaus and Newman, 2014).



Figure 1.1 Structures of drugs from fungi.

Fungi-derived drugs have made significant contributions to the drug discovery process. Rapidly increasing number of new and bioactive secondary metabolites have been isolated from fungi in the past decades due to their wide distribution in living and non-living biota, far underexplored for cultivation, complex life cycles, suitable gene cluster for modification and investigation on fungal biosynthetic pathways (Aly, Debbab and Proksch, 2011; Wu and Yu, 2015). Fungal natural products have gained great attention to find new drugs and lead compounds for the treatment of cancers and serious infectious diseases. The approaches to increase the opportunity of searching new drugs from fungi involves dereplication of known bioactive compounds and expression of "silent" fungi and silent gene clusters (Harvey, *et al.*, 2015; Gaudêncio and Pereira, 2015; Soukup and Keller, 2012).

### 1.2 Mining various ecological fungi

Due to the wide distribution and diversity of fungi, activities to mine various ecological fungi from soil, water, marine, plants, lichen, animals and even extreme environments have uncovered thousands of novel and bioactive natural products, including polyketides, alkaloids, terpenoids, peptides, lipids and so on (Blackwell, 2011; Hasan *et al.*, 2015; Jalgaonwala, Mohite and Mahajan, 2017; Kellogg and Raja, 2017). Among the more than 5.1 million species of fungi, less than 3% of them have been investigated with regrad to bioactive secondary metabolites (Hawksworth, 2004; Blackwell, 2011). A significant number of natural products await to be uncovered from untapped fungal species.

### 1.2.1 Soil-derived fungi

"A handful of soil contains billions of microbial organisms," indicated a fact that soil is a mine of a great diversity of microorganisms, especially the soil near roots. More than 3,300 species of soil fungi are known and an estimated 70% of them are available in culture in 2006 (Gams, 2007). DNA metabarcoding data of soil samples revealed that soil fungal richness is correlated to environmental predictors, especially climatic factors, edaphic and spatial patterning, but is unrelated to plant diversity on global scale (Tedersoo *et al.*, 2014; Tedersoo *et al.*, 2016). A high fungal diversity and high cryptic diversity in tropical and subtropical areas presents an untapped soil fungal resource for discovery of drugs or lead compounds (Tedersoo *et al.* 2014). Six azaphilones and two new meroterpenes isolated from the Atlantic-forest-soil-derived fungus *Penicillium citreonigrum* caused inhibition of growth of *Staphylococcus epidermidis* (Wang *et al.*, 2010). Aranorosin-2-methylether obtained from soil fungus *Gymnascella dankaliensis* showed cytotoxicity against the murine lymphoma cell line L5178Y with an IC<sub>50</sub> value of  $0.44 \,\mu$ M (Hammerschmidt *et al.* 2015).

### 1.2.2 Endolichenic fungi

Lichens are a self-sustaining symbiotic association between at least one photosynthetic organism and a filamentous fungus (Lutzoni and Miadlikowska, 2009). Lichen-associated fungi are potential producers of drugs or lead compounds, considering that about 20,000 lichen species are identified from about 8% of the land surface, more than 13,500 lichen-forming fungal species are adapted to live with their hosts and only 10% of them have been investigated for medicinal properties (Lutzoni and Miadlikowska, 2009; Blackwell, 2011; Singh, *et al.*, 2017). A recent study indicated that crustose lichens are also a habitat of a great diversity of fungi for the exploitation of their metabolic potential (Muggia, Kopun and Grube, 2017). This result encouraged scientists to increase their efforts to study lichen-associated fungal diversity, ecological relationships with their hosts, cultivation and potential metabolites. Since the first natural product from endolichenic fungi was reported in 2007, hundreds of structurally diverse secondary metabolites have been isolated from endolichenic fungi and showed

different bioactivities, such as antibacterial, anticancer and antiviral activities (Kellogg and Raja, 2017; Singh, *et al.*, 2017). Four new compounds were e.g. isolated from the endolichenic fungus *Aspergillus versicolor* obtained from the lichen *Lobaria retigera*. Among them, 8-*O*-methylversicolorin B showed cytotoxic activity against PC-3 cells and H460 cells with IC<sub>50</sub> values of 12.6 and 17.3  $\mu$ M, respectively (Lagarde *et al.*, 2016).

### 1.2.3 Endophytic fungi

Endophytic fungi can be found in virtually every plant tissue especially in tropical rainforests without causing any noticeable symptoms of infection (Kusari, Pandey and Spiteller, 2013; Yan, et al., 2015). Endophytic fungi not only overcome host resistance but also maintain a balance with fungal and bacterial inhabitants that may produce potential antifungal and antibacterial agents to overcome drug resistance (Debbab and Proksch, 2013; Schulz et al., 2015). Another reason why secondary metabolites from endophytic fungi gained attention is that endophytes are capable of producing small molecules biosynthesized by their host-plants, such as the antineoplastic drug paclitaxel (Stierle et al., 1993) and camptothecin (Puri et al., 2005), anticancer lead drugs podophyllotoxin (Eyberger, Dondapati and Porter, 2006; Puri et al., 2006) and deoxypodophyllotoxin (Kusari, Lamshöft and Spiteller, 2009), or the antidepressant hypericin (Kusari et al., 2008). Endophytic fungi from traditional medicinal plants can be used for their production of biologically active compounds (Alvin, Miller and Neilan, 2014). Unluckily, the commercial production of above biopharmaceuticals by endophytic fungi in industrial scale has not been achieved due to low yield and unstable production (Kusari and Spiteller, 2011). Take paclitaxel for example, current study found that endophytic fungus *Penicillium aurantiogriseum* and plant *Taxus* have evolved paclitaxel biosynthetic pathways independently and not by horizontal gene transfer (Yang et al., 2014). This gave an explication for failures on previous genetic engineering of fungi by transferring paclitaxel biosynthetic genes from *Taxus* to fungi to improve paclitaxel biosynthesis (Wei et al., 2012). It remains important to explore the potential of endophytic fungi for lead drugs, particularly from uninvestigated tropical rainforests in developing countries.



Figure 1.2.3 Structures of compounds produced by endophytic fungi.

### 1.2.4 Marine-derived fungi

Marine-derived fungi live in an environment with high salinity, high hydrostatic pressure, low water potential and different nutrient conditions from those of terrestrial habitats, which are an excellent source of bioactive natural products for lead structures (Raghukumar, 2008; Imhoff, 2016). Marine fungi are widely distributed from the surface of seawater to the deep sea, from the coast line to polar ice covers, from plants to animals in marine ecosystems (Hyde *et al.*, 1998). Over the last two decades, a great number of secondary metabolites have been isolated from marine fungi derived from algae, sponges, mangroves and sediments, which possess antifungal, antibacterial, cytotoxic, and antiviral activities (Hasan *et al.*, 2015; Xu *et al.*, 2015; Imhoff, 2016; Daletos *et al.*, 2017). Considering the fact that only about 1.5% of fungi from nearly 80,000 species have been described so far, the large potential of various bioactive secondary metabolites from the untapped diversity of marine fungi is still to be discovered (Imhoff, 2016; Raghukumar, 2017).

### 1.3 Dereplication

To improve chemical screening for novel secondary metabolites, dereplication was performed by combination of high performance liquid chromatography (HPLC) as separation technique and mass spectrometry (MS) and/or nuclear magnetic resonance spectrometry (NMR) used as detection methods to identify known compounds or to follow the trail of target compounds in extracts or fractions (Kingston, 2011; Gaudêncio and Pereira, 2015). In-house libraries of secondary metabolites based on UV or MS, various databases (Antibase, Marinlit, Dictionary of Natural Products and Chemspider) and differential expression analysis softwares (MZmine, MZmatch and XCMZ for MS data and PCA or OPLS-DA for LC-NMR data) were used for identification (Harvey, *et al.*, 2015; Gaudêncio and Pereira, 2015). Bioactivity screens together with dereplication based methods revealed numerous novel compounds from fungi (Nielsen and Larsen, 2015). The approach was applied during investigation of the endophyte fungus *Setophoma* sp., leading to the isolation of seven depsides that showed antibacterial activity (de Medeiros *et al.*, 2015).

### 1.4 Mining silent gene clusters in fungi

Even though there are wide distribution and diversity of species of fungi on earth, only a fraction of fungi are obtained due to problems related to cultivation and reisolation from different habitats, which led to the rediscovery of secondary metabolites (Appendino, Fontana and Pollastro, 2010). Furthermore, sequencing data of the fungal genomes indicated that a large part of secondary metabolic gene clusters are silent under standard laboratory culture conditions (Galagan *et al.*, 2003; Debbab, Aly and Proksch, 2012; Rutledge and Challis, 2015). Several strategies for triggering silent gene cluster were applied, including the OSMAC (One Strain MAny Compounds) approach, cocultivation, chemical epigenetic modulation and genetic modification (Wiemann and Keller, 2014).

### 1.4.1 OSMAC approach

OSMAC is an approach that may result in a steep accumulation of target compounds or enhanced diverse secondary metabolites from fungi by modification of cultivation parameters such as media type and composition, temperature, shape of culturing flask, and aeration (Daletos *et al.*, 2017). For instance, the endophytic fungus

Paraphaeosphaeria quadriseptata produced several new compounds when cultivated in PDB medium prepared with distilled water rather than with tap water (Paranagama, Wijeratne and Gunatilaka, 2007). In addition, the marine-derived fungus Trichoderma **TPU199** epidithiodiketopiperazines produced two gliovirin and sp. pretrichodermamide A when cultured in bacto-malt extract broth medium (Seephonkai et al., 2006). A further study found that this fungus utilizes halide ions from sodium halide in the medium and produced DC1149B, DC1149R and iododithiobrevamide (Yamazaki et al., 2015). Fermentation of the soil-derived fungus Aspergillus sp. under deuterium-enrichment conditions led to the isolation of six isotope-labeled metabolites with challenges for structure determination due to ion cluster in MS and signal overlap in NMR (Wang et al., 2015).



Figure 1.4.1 Structures of compounds produced by fungus Trichoderma sp. TPU199.

### 1.4.2 Co-cultivation

Fungi rarely occur in axenic form in their ecological habitats (Blackwell, 2011). To mimic the natural ecological situation, co-culture methods between fungi and bacteria, or between fungi and fungi were developed (Kusari, Pandey and Spiteller, 2013). Direct interaction among these microbes may activate silent gene clusters to trigger the production of new secondary metabolites (Marmann *et al.*, 2014; Daletos *et al.*, 2017). For example, co-cultivation of the fungal endophyte *Fusarium tricinctum* and *Bacillus subtilis* on solid rice medium yielded three new compounds macrocarpon C, 2-(carboxymethylamino)-benzoic acid and (–)-citreoisocoumarinol, which were not detected in axenic cultures of the fungus or the bacterium. Furthermore this co-cultivation led to an up to 78-fold enhanced production of the fungal secondary metabolite lateropyrone, which exhibited antibacterial activity against *B. subtilis* as

well as against the human pathogens *S. aureus*, *S. pneumoniae* and *E. faecalis* with MIC values ranging from 2 to 8  $\mu$ g/mL (Ola *et al.*, 2013). Co-cultivation of the endophytic fungi *Alternaria tenuissima* and *Nigrospora sphaerica* derived from the stems of *Smallanthus sonchifolius* increased the production of stemphyperylenol from *A. tenuissima*, which showed cytotoxic activity against *N. sphaerica* but no phytotoxicity to their host plant (Chagas, Dias and Pupo, 2013).

### 1.4.3 Epigenetic modulation

Epigenetic modulation can be used to increase the expression of secondary metabolic genes without alteration of the encoding sequence (Zheng *et al.*, 2008). Two classes of inhibitors are commonly used including histone deacetylase (HDAC) inhibitors such as trichostatin A (TSA) and suberoylanilide hydroxamic acid (SAHA), and DNA methyltransferase (DNMT) inhibitors such as 5-azacytidine (5-AC) and 5-aza-2'-deoxcytidine (decitabine) (Cole, 2008; Xu *et al.*, 2008). For instance, nygerone A with a 1-phenylpyridin-4(1*H*)-one core was isolated from the fungus *Aspergillus niger* ATCC 1015 upon treatment of the culture with the HDAC inhibitor SAHA (Henrikson *et al.*, 2009).



Figure 1.4.3 Structures of chemical epigenetics.

### 1.4.4 Genetic modification

Recently, in order to express the accumulation of cryptic biosynthetic gene clusters in fungi, a series of strategies have been applied for the discovery of natural products including genome mining, cloning, editing, amplification and deletion (Scharf and Brakhage, 2013; Li, Jiang and Lu, 2017). For example, by deletion of genes *PfCclA* and *PfHdaA*in, the endophytic fungus *Pestalotiopsis fici* yielded fifteen new polyketides (Wu *et al.*, 2016).

1.5 Aims and significance of this study

As mentioned above, fungi are potentially rich sources of structurally novel and bioactive natural products. The aim of this study was to investigate new bioactive natural products for anticancer and antibacterial properties from promising fungal strains, including the soil-dwelling fungus *Gymanscella dankaliensis*, the endolichenic fungus *Apiospora montagnei* and the endophytic fungus *Aspergillus aculeatus*. These three fungi were chosen based on cytotoxic and antibacterial activity screening of fungal crude extracts and dereplication by HPLC analysis with an in-house library of secondary metabolites based on UV and LC-MS analysis together with search in Antibase and Marinlit databases. OSMAC approaches and co-cultivation with bacteria were applied to enhance the diversity of secondary metabolites of fungi.

The soil-derived fungus *G. dankaliensis* produced seven new brominated tyrosinederived alkaloids through cultivation on rice medium containing sodium bromide. In addition, it was found that addition of sodium chloride or ammonium chloride to the rice medium led to the isolation of two additional secondary metabolites from the endolichenic fungus *A. montagnei*. Furthermore, fermentation of the endophytic fungus *A. aculeatus* on rice medium containing sodium nitrate resulted in the accumulation of nine new indole alkaloids. In summary, several types of secondary metabolites were isolated from the fermentation of these fungi including brominated tyrosine-derived alkaloids, diterpenoids, pyridine alkaloids, xanthone derivatives, secalonic acids and indole alkaloids. X-ray crystallographic analysis and Marfey's method were applied to determine the absolute configuration of the respective compounds.

The isolated natural products were subjected to cytotoxicity assays against the mouse lymphoma cell line L5178Y and the human ovarian cancer cell line A2780, as well as to antibacterial activities against *Streptomyces lividans*, *Bacillus subtilis*, *Bacillus cereus* and *Mycobacterium tuberculosis*.

# **Publication 1**

# Targeted solid phase fermentation of the soil dwelling fungus *Gymnascella dankaliensis* yields new brominated tyrosine-derived alkaloids

Published in "Royal Society of Chemistry Advances".

### Publication 1

# **RSC Advances**





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dwelling fungus *Gymnascella dankaliensis* yields new brominated tyrosine-derived alkaloids† Hao Wang,<sup>a</sup> Haofu Dai,<sup>b</sup> Christian Heering,<sup>c</sup> Christoph Janiak,<sup>c</sup> Wenhan Lin,<sup>d</sup>

Targeted solid phase fermentation of the soil

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Seven new brominated tyrosine-derived alkaloids, gymnastatins T-Y (**1**-6) and dankastatin D (7), together with three known likewise brominated analogues gymnastatins I-K (**8**-10) were isolated from the soil fungus *Gymnascella dankaliensis* through fermentation on solid rice medium following addition of NaBr. None of these compounds were detected when the fungus was cultured on rice that either lacked NaBr or that contained NaCl instead, indicating a remarkable plasticity of the fungal secondary metabolism. All structures were elucidated on the basis of one and two dimensional NMR spectroscopic analyses and MS data. The absolute configuration of the new gymnastatin T (**1**) was determined by X-ray crystallographic data. All isolated alkaloids showed potent to moderate cytotoxicity against the L5178Y mouse lymphoma cell line with IC<sub>50</sub> values ranging from 0.078 to

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## Introduction

More than 5000 halogenated natural products have been reported so far with many of them exhibiting a variety of biological activities including antibacterial, antifungal, antiviral, antitumor, anti-inflammatory, and antioxidant activity.<sup>1-3</sup> Fungi are known as rich sources of numerous bioactive metabolites and receive increasing attention in the quest for new drug candidates<sup>4</sup> as exemplified by plinabulin<sup>5</sup> which is currently in clinical trials as a new anticancer drug or by the antifungal echinocandins.<sup>6</sup> Numerous fungal metabolites are chlorinated, however, only few brominated fungal metabolites have been isolated so far.<sup>7</sup> Two classes of halogenating

14.1 µM.

enzymes including halogenases and haloperoxidases have been discovered from a broad range of organisms and the mechanisms of enzymatic halogenation were described as well.<sup>8</sup> In recent years several halogenated natural products have been obtained by culturing fungi in media containing halogen salts.<sup>9-11</sup> The plasticity of halogenating enzymes with regard to accepting different halogens can be applied for a targeted fermentation since adding different halogens (*e.g.* NaCl or NaBr) to the medium will direct the fungal metabolism in producing mainly chlorinated<sup>12</sup> or alternatively brominated metabolites as shown in this study.

The soil dwelling fungus Gymnascella dankaliensis that was isolated from desert sand close to the pyramids of Giza provides an excellent example for the application of this experimental approach. This fungus which has also been reported from a marine Halichondria sponge is known to produce a variety of mainly chlorinated tyrosine derived alkaloids.13-17 In a previous study<sup>12</sup> we could show that addition of KBr to rice medium resulted in bromination of fungal metabolites as indicated by the typical isotope peaks during LC-MS analysis of the respective extracts. The nature of the brominated compounds could, however, not be elucidated. We have now obtained seven new brominated tyrosine-derived alkaloids, gymnastatins T-Y (1-6) and dankastatin D (7), as well as three likewise brominated known analogues following fermentation of G. dankaliensis on solid rice medium containing NaBr as halogen source. All isolated alkaloids were tested against the L5178Y mouse lymphoma cells and showed significant to moderate activity (Fig. 1).



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<sup>&</sup>lt;sup>†</sup> Electronic supplementary information (ESI) available: MS, 1D and 2D NMR spectra of compounds 1–7, as well as X-ray crystallographic parameters of 1. CCDC 1481781. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c6ra14554j



Structures of compounds isolated from G. dankaliensis

# **Results and discussion**

Gymnastatin T (1) possessed the molecular formula C<sub>23</sub>H<sub>34</sub>BrNO<sub>6</sub> as evident from its HRESIMS. Comparison of its <sup>1</sup>H and <sup>13</sup>C NMR data (Table 1) with those of the known brominated gymnastatins I-K (8-10)16 indicated the presence of a branched aliphatic chain from C-11 to C-22. This was further confirmed by the COSY correlations between H-12/H-13, H-15/ H-16, H-16/H<sub>ab</sub>-17, H-16/H<sub>3</sub>-24, and H<sub>2</sub>-21/H<sub>3</sub>-22, in addition to the HMBC correlations from H-10 and H-12 to C-11, from H<sub>3</sub>-23 to C-13, C-14, and C-15, from H<sub>3</sub>-24 to C-15, C-16, and C-17, from H-17a ( $\delta_{\rm H}$  1.32) to C-18 and C-19, and from H<sub>3</sub>-22 to C-20 and C-21 (Fig. 2). The remaining <sup>1</sup>H and <sup>13</sup>C signals of 1 were comparable to those of gymnastatin G.14 The nature of the bicyclo[3.3.1]nonane ring was established by the COSY correlations between 1-OH/H-1, H-1/H-2, H-2/H-3a, H-2/H-3b, H-2/ NH-10, H-5/H-6, and 9-OH/H-9, as well as by key HMBC correlations from 1-OH to C-1, C-2, and C-8, from H-3a and H-3b to C-4, C-5, and C-9, from 4-OH to C-3, C-4, C-5, and C-9, from H-6 and H-9 to C-7, and from 9-OH to C-4, C-8, and C-9. Assignments of the remaining oxygen atom linked to C-5 and C-6, and

of the bromine atom at C-8 were indicated by the chemical shifts of the respective carbons [ $\delta_{\rm C}$  59.1 (C-5), 53.7 (C-6), and 78.7 (C-8)]. The HMBC correlation from NH-10 to C-11 indicated the linkage between the aliphatic chain and the bicyclononane ring via an amide bond. Thus, the planar structure of 1 was elucidated as shown. The coupling constants  $({}^{3}J_{1,2} = 2.8 \text{ Hz},$  ${}^{3}J_{2,3\alpha} = 5.5$  Hz, and  ${}^{3}J_{2,3\beta} = 12.8$  Hz) together with the NOE correlations between H-1/H-2, H-2/H-3a, H-3a/H-5, H-5/H-6, and between 1-OH/NH-10, NH-10/H-3β, H-3β/H-9, H-9/1-OH suggested a chair conformation of the cyclohexane ring (C-1 to C-4, C-9, C-8) with H-1, H-2, H-3a, H-5 and H-6 being on the same face of the ring while 1-OH, NH-10, H-3β, and H-9 were oriented to the opposite face (Fig. 3). The large coupling constants ( ${}^{3}J_{12,13} = 15.5 \text{ Hz}$ ) and the NOE correlations between H-12/H<sub>3</sub>-23, and H-13/H-15 indicated 12E, 14E configuration. The absolute configuration of 1 was determined as 1S, 2S, 4R, 5S, 6R, 8S, 9S, and 16R by X-ray single crystal analyses (Fig. 4).

The molecular formula of gymnastatin U (2) was identical to that of 1 as indicated by HRESIMS. Detailed analysis of the <sup>1</sup>H, <sup>13</sup>C NMR and of the 2D NMR data indicated that both compounds shared the same gross structure. The  ${}^{3}J_{1,2}$  value of 2

Table 1  ${}^{1}$ H and  ${}^{13}$ C NMR data of compounds 1 and 2

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### Publication 1

No.	$1^a$		1 <sup>b</sup>		$2^b$	
	$\delta_{ m C}$	$\delta_{\rm H}$ ( <i>J</i> in Hz)	$\delta_{ m C}$	$\delta_{\rm H}$ ( <i>J</i> in Hz)	$\delta_{ m C}$	$\delta_{\rm H}$ ( <i>J</i> in Hz)
1	74.6, CH	3.67, dd (5.9, 2.8)	76.8, CH	3.83, d (2.8)	80.2, CH	3.92, d (10.7)
2	46.3, CH	3.84, dddd (12.8, 7.7, 5.5, 2.8)	47.8, CH	4.09, ddd (11.0, 7.1, 2.8)	50.9, CH	4.12, ddd (12.7, 10.7, 5.5
3α	$35.6, CH_2$	1.88, dd (12.8, 5.5)	$36.5, CH_2$	2.09, dd (13.0, 7.1)	39.0, CH <sub>2</sub>	2.34, dd (13.4, 5.5)
3β		1.92, dd (12.8, 12.8)		2.07, dd (13.0, 11.0)		1.74, dd (13.4, 12.7)
4	69.4, C		71.0, C		70.9, C	
5	59.1, CH	3.60, dd (3.8, 2.2)	60.9, CH	3.66, dd (3.8, 2.3)	60.8, CH	3.63, dd (3.7, 2.0)
6	53.7, CH	3.79, d (3.8)	55.9, CH	3.80, d (3.8)	54.3, CH	3.68, d (3.7)
7	199.8, C		199.7, C		195.1, C	
8	78.7, C		77.9, C		80.3, C	
9	73.5, CH	3.97, dd (8.6, 2.2)	75.8, CH	4.15, d (2.3)	79.8, CH	3.85, d (2.0)
10		8.02, d (7.7)				
11	164.7, C		168.5, C		169.3, C	
12	119.5, CH	5.99, d (15.5)	118.8, CH	5.99, d (15.4)	119.0, CH	5.91, d (15.4)
13	144.0, CH	6.97, d (15.5)	147.6, CH	7.16, d (15.4)	147.5, CH	7.18, d (15.4)
14	130.9, C		132.7, C		132.6, C	
15	145.6, CH	5.59, d (9.8)	148.5, CH	5.62, d (9.8)	148.5, CH	5.64, d (9.7)
16	32.4, CH	2.49, m	34.3, CH	2.56, m	34.3, CH	2.56, m
17	36.7, CH <sub>2</sub>	1.32, m; 1.21, m	38.4, CH <sub>2</sub>	1.38, m; 1.27, m	38.4, CH <sub>2</sub>	1.39, m; 1.27, m
18	26.9, $CH_2$	1.19, m	28.6, $CH_2$	1.26, m	28.6, $CH_2$	1.26, m
19	$28.7, CH_2$	1.22, m	$30.5, CH_2$	1.27, m	$30.5, CH_2$	1.28, m
20	$31.2, CH_2$	1.22, m	33.0, CH <sub>2</sub>	1.27, m	33.0, CH <sub>2</sub>	1.27, m
21	22.0, $CH_2$	1.24, m	$23.7, CH_2$	1.28, m	$23.7, CH_2$	1.30, m
22	13.9, CH <sub>3</sub>	0.84, t (7.0)	14.4, CH <sub>3</sub>	0.89, t (7.0)	14.4, CH <sub>3</sub>	0.89, t (7.1)
23	12.4, $CH_3$	1.70, s	$12.7, CH_3$	1.79, s	$12.7, CH_3$	1.80, s
24	20.5, CH <sub>3</sub>	0.93, d (6.6)	20.9, CH <sub>3</sub>	0.98, d (6.7)	20.9, CH <sub>3</sub>	0.99, d (6.7)
1-OH	-	6.49, d (5.9)	-		-	
4-OH		5.94, s				
9-OH		4.62. d (8.6)				

<sup>*a*</sup> Measured in DMSO- $d_6$ . <sup>*b*</sup> Measured in methanol- $d_4$ .



(10.7 Hz), however, was much larger than that of **1** (2.8 Hz), suggesting **2** to be the 1-epimer of **1**. In the ROESY spectrum of **2**, the observed correlations between H-2/H-3 $\alpha$ , H-3 $\alpha$ /H-5, and between H-1/H-3 $\beta$ , H-3 $\beta$ /H-9, H-9/H-1 confirmed this assumption. Based on the close biogenetic similarity of **1** and **2** as well as the X-ray structure of **1**, the absolute configuration of **2** can be assumed to be 1*R*, 2*S*, 4*R*, 5*S*, 6*R*, 8*S*, 9*S*, and 16*R*.

Gymnastatin V (3) had the molecular formula  $C_{23}H_{32}BrNO_5$ as established by HRESIMS, indicating the loss of one Br atom and addition of one further oxygen and one proton compared to the known gymnastatin I (8).<sup>16</sup> The <sup>1</sup>H and <sup>13</sup>C NMR data of 3 (Table 2) were very similar to those of 8, except for the



Fig. 3 Key NOE correlations and computer-generated model using MM2 force field calculations for  ${\bf 1}.$ 

replacement of the C-5/C-6 double bond of **8** by an epoxy ring as indicated by the characteristic resonances at  $\delta_{\rm C}$  58.2 (C-5), 52.4 (C-6), and  $\delta_{\rm H}$  3.80 (H-5), 3.63 (H-6), respectively. The HMBC correlations from H-5 to C-4 ( $\delta_{\rm C}$  81.2) and C-9 ( $\delta_{\rm C}$  147.4), from H-6 to C-7 ( $\delta_{\rm C}$  186.3) and C-8 ( $\delta_{\rm C}$  119.0), as well as from H-3 $\alpha$  ( $\delta_{\rm H}$ 

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Fig. 4 Molecular structure of 1 (with a methanol molecule) from single-crystal X-ray diffractometry.

2.55), H-3 $\beta$  ( $\delta_{\rm H}$  2.16) and H-9 ( $\delta_{\rm H}$  6.94) to C-5 confirmed the location of this epoxy group at the C-5/C-6 position (Fig. 5). The remaining structure of **3** was identical to that of **8** as indicated by 2D NMR. The NOE relationships between H-1/H-2, H-1/H-9, H-2/H-3 $\alpha$ , H-2/H-9, and H-3 $\alpha$ /H-9 of **3** indicated that these protons were oriented on the same face, whereas the NOE correlations between NH-10/H-3 $\beta$ , H-3 $\beta$ /H-5, and H-5/H-6 suggested that these latter protons were oriented towards the opposite side (Fig. 6). The geometries of the double bonds in the

aliphatic side chain were determined as 12*E* and 14*E*, respectively based on their coupling constants and NOE correlations as described for **1**. Thus, the structure of **3** was elucidated as shown.

Gymnastatin W (4) shared the same molecular formula as gymnastatin J (9).<sup>16</sup> Both compounds differed only by the positions of their methoxy and hydroxy groups as indicated by detailed analysis of their 2D NMR spectra. The assignment for the methoxy group at C-9 in 4 was evident from the COSY correlation between H-8 ( $\delta_{\rm H}$  4.57) and H-9 ( $\delta_{\rm H}$  3.68) in addition to the HMBC correlations from 9-OMe ( $\delta_{\rm H}$  3.67) to C-9 ( $\delta_{\rm C}$  85.9) and from H-8 to C-7 ( $\delta_{\rm C}$  183.1). Analysis of the COSY and HMBC spectra led to the planar structure of 4 (Fig. 5). The large

Table 2 <sup>1</sup>H and <sup>13</sup>C NMR data of compounds 3–5  $5^b$ **3**<sup>*a*</sup>  $\mathbf{4}^{a}$ No.  $\delta_{C}$  $\delta_{\rm H}$  (*J* in Hz)  $\delta_{\rm C}$  $\delta_{\rm H}$  (*J* in Hz)  $\delta_{C}$  $\delta_{\rm H}$  (*J* in Hz) 110.0, CH 1 96.4, CH 5.56, d (4.4) 96.4, CH 5.55, d (4.4) 4.96, s 2 51.9, CH 4.78, m 52.6, CH 4.76, m 57.5, CH 4.40, dd (7.3, 2.2) 2.83, dd (12.4, 8.6) 3α 38.8, CH<sub>2</sub> 2.55, dd (13.0, 8.5) 33.6, CH<sub>2</sub> 40.6, CH<sub>2</sub> 2.56, dd (14.1, 7.3) 3β 2.16, dd (13.0, 10.8) 1.80, dd (12.4, 10.6) 1.87, dd (14.1, 2.2) 4 81.2, C 86.4, C 84.4, C 3.80, dd (3.8, 2.6) 153.9, CH 7.46, s 6.09, dd (2.4, 1.7) 5 58.2. CH 133.2. CH 52.4, CH 3.63, d (3.8) 119.9, C 125.0, C 6 4.35, dd (2.8, 1.7) 186.3, C 183.1, C 67.6, CH 7 8 119.0, C 54.7, CH 4.57, d (11.5) 55.7, CH 3.54, dd (4.1, 2.8) 147.4, CH 6.94, d (2.6) 85.9, CH 3.68, d (11.5) 59.3, CH 3.40, dd (4.1, 2.4) 9 6.12, d (8.1) 10 5.95, d (8.4) 11 166.8, C 166.6, C 169.2, C 12 116.7, CH 5.76, d (15.3) 117.0, CH 5.75, d (15.3) 118.6, CH 6.01, d (15.4) 13 147.7, CH 7.26, d (15.3) 147.3, CH 7.24, d (15.3) 147.7, CH 7.19, d (15.4) 130.8, C 132.7, C 14 130.7. C 148.8, CH 5.68, d (9.8) 148.4, CH 5.66, d (9.7) 148.4, CH 5.65, d (9.8) 15 33.2, CH 2.50. m 33.2, CH 2.50. m 34.3, CH 2.57, m 16 37.2, CH<sub>2</sub> 37.2, CH<sub>2</sub> 1.35, m; 1.26, m 38.4, CH<sub>2</sub> 1.40, m; 1.27, m 17 1.35, m; 1.25, m 27.4, CH<sub>2</sub> 1.21, m 27.5, CH<sub>2</sub> 1.21, m 28.3, CH<sub>2</sub> 1.28, m 18 19 29.4, CH<sub>2</sub> 1.23, m 29.4, CH<sub>2</sub> 1.24, m 30.4, CH<sub>2</sub> 1.28, m 20 31.8, CH<sub>2</sub> 1.22, m 31.8, CH<sub>2</sub> 1.23, m 33.0, CH<sub>2</sub> 1.27, m 21 22.6, CH<sub>2</sub> 1.26, m 22.6, CH<sub>2</sub> 1.27, m 23.3, CH<sub>2</sub> 1.30, m 2.2 14.1, CH<sub>3</sub> 0.86, t (7.1) 14.1, CH<sub>3</sub> 0.87, t (7.0) 14.4, CH<sub>3</sub> 0.89, t (7.0) 23 12.5, CH<sub>3</sub> 1.76, s 12.5, CH<sub>3</sub> 1.76, s 1.81, s 12.5. CH<sub>2</sub> 20.5, CH<sub>3</sub> 0.97, d (6.6) 20.5, CH<sub>3</sub> 0.97, d (6.6) 20.8, CH<sub>3</sub> 1.00, d (6.7) 241-OMe 54.9, CH<sub>3</sub> 3.41, s 62.6, CH<sub>3</sub> 3.67, s 9-OMe

<sup>*a*</sup> Measured in CDCl<sub>3</sub>. <sup>*b*</sup> Measured in methanol-*d*<sub>4</sub>.

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### Publication 1



Fig. 6 Key NOE correlations and computer-generated models using MM2 force field calculations for 3 (left) and 4 (right).

coupling constant between H-8 and H-9 (11.5 Hz) along with the NOE correlations between H-1/H-2, H-2/H-3 $\alpha$ , H-2/9-OMe, H-3 $\alpha$ / H-8, and between NH-10/H-3 $\beta$ , H-3 $\beta$ /H-5 revealed that H-1, H-2, H-8, and 9-OMe were oriented at the same face of the ring as H-3 $\alpha$  whereas H-5 and NH-10 were oriented to the opposite face as found also for H-3 $\beta$ . The above evidence led to assignment of the relative configuration of **4** as shown in Fig. 6.

The molecular formula of gymnastatin X (5) was established as  $C_{24}H_{36}BrNO_5$  as indicated by HRESIMS. The 1 : 1 isotopic peaks at m/z 498 and 500 indicated the presence of one bromine atom in the structure. Its NMR data closely resembled those of



Fig. 7 Key COSY and HMBC correlations for 5 and 7.

the known chlorinated compound gymnastatin D.<sup>13</sup> Inspection of 2D NMR spectra of 5 indicated the replacement of chlorine by bromine at C-6 and the appearance of an additional methoxy group ( $\delta_{\rm C}$  54.9 and  $\delta_{\rm H}$  3.41) at C-1, which was evident from the HMBC correlation from the methoxy protons to C-1 ( $\delta_{\rm C}$  110.0) and in turn from H-1 ( $\delta_{\rm H}$  4.96) to the methoxy carbon (Fig. 7). When comparing the NMR data of 5 with those of the reported C-1 isomers of gymnastatin D, the coupling constants  ${}^{3}J_{1,2}$  (close to zero),  ${}^{3}J_{2,3\alpha}$  (7.3 Hz),  ${}^{3}J_{2,3\beta}$ = (2.2 Hz),  ${}^{4}J_{5,7}$  (1.7 Hz),  ${}^{4}J_{5,9}$ = (2.4 Hz),  ${}^{3}J_{7,8}$  (2.8 Hz),  ${}^{3}J_{8,9}$ = (4.1 Hz) and the correlations between H-2/H-3 $\alpha$ , H-3 $\alpha$ /H-9, H-1/H-3 $\beta$  and H-3 $\beta$ /H-5 detected in the ROESY spectrum of 5 indicated its relative configuration as shown (Fig. 8).

Gymnastatin Y (6) had the molecular formula  $C_{23}H_{34}BrNO_5$ as determined by HRESIMS, lacking a methyl group compared to 5, which was confirmed by the disappearance of the methoxy group signal in the NMR spectra of 6. HPLC analysis and NMR spectra (Table 3) of 6 suggested that it existed as a 3 : 1 mixture of two stereoisomers (6a and 6b) as previously also reported for gymnastatin D.<sup>13</sup> The <sup>1</sup>H NMR data of 6a were very similar to those of 5, except for the absence of the methoxy group at C-1. Interpretation of 2D NMR indicated that 6a and 6b were stereoisomers of 1-demethoxy gymnastatin X (5). Compound 6a



Fig. 8 Key NOE correlations and computer-generated model using MM2 force field calculations for 5 (left) and 7 (right).

Table 3 <sup>1</sup>H and <sup>13</sup>C NMR data of compounds 6 and 7

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	6a <sup>a</sup>		6 <b>b</b> <sup><i>a</i></sup>		7 <sup>b</sup>	
No.	$\delta_{ m C}$	$\delta_{ m H}$ ( <i>J</i> in Hz)	$\delta_{ m C}$	$\delta_{ m H}$ ( <i>J</i> in Hz)	$\delta_{ m C}$	$\delta_{\rm H}$ (J in Hz)
1α			97.0, CH	5.37, d (4.3)	71.2, CH <sub>2</sub>	4.18, m
1β	103.3, CH	5.38, s				3.15, t (11.0)
2	58.2, CH	4.33, m	53.3, CH	4.55, ddd (11.6, 8.1, 4.3)	43.4, CH	4.27, m
3α	$40.8, CH_2$	2.59, m	$37.5, CH_2$	2.37, dd (12.7, 8.1)	39.7, $CH_2$	2.72, ddd (12.4, 4.7, 1.5
3β		1.90, m		2.04, dd (12.7, 11.6)		1.49, dd (12.4, 12.4)
4	83.6, C		81.6, C		72.6, C	
5	134.9, CH	6.06, m	134.9, CH	6.06, m	73.8, CH	4.19, m
6	124.4, C		124.4, C		58.8, CH	4.89, d (9.9)
7	67.7, CH	4.34, m	67.7, CH	4.34, m	188.1, C	
8	55.4, CH	3.53, m	55.4, CH	3.53, m	52.2, CH	5.29, dd (3.3, 1.0)
9	59.6, CH	3.52, m	58.1, CH	3.40, m	83.5, CH	3.90, d (3.3)
10						5.22, d (8.3)
11	169.3, C		169.3, C		166.2, C	
12	119.0, CH	6.04, d (15.4)	119.0, CH	6.04, d (15.4)	116.2, CH	5.67, d (15.2)
13	147.5, CH	7.19, d (15.4)	147.5, CH	7.19, d (15.4)	147.8, CH	7.24, d (15.2)
14	132.8, C		132.8, C		130.7, C	
15	148.4, CH	5.64, d (9.5)	148.4, CH	5.64, d (9.5)	148.6, CH	5.67, d (9.8)
16	34.3, CH	2.56, m	34.3, CH	2.56, m	33.1, CH	2.50, m
17	$38.4, CH_2$	1.39, m; 1.27, m	$38.4, CH_2$	1.39, m; 1.27, m	$37.0, CH_2$	1.35, m; 1.25, m
18	28.6, $CH_2$	1.26, m	28.6, $CH_2$	1.26, m	$27.1, CH_2$	1.21, m
19	$30.5, CH_2$	1.27, m	$30.5, CH_2$	1.27, m	29.4, $CH_2$	1.25, m
20	33.0, $CH_2$	1.27, m	33.0, $CH_2$	1.27, m	$31.6, CH_2$	1.23, m
21	$23.7, CH_2$	1.29, m	$23.7, CH_2$	1.29, m	22.4, $CH_2$	1.27, m
22	$14.4, CH_3$	0.89, t (7.0)	14.4, $CH_3$	0.89, t (7.0)	13.9, CH <sub>3</sub>	0.87, t (7.0)
23	$12.7, CH_3$	1.81, s	12.7, CH <sub>3</sub>	1.81, s	12.3, $CH_3$	1.75, s
	20 0 GTT	0.00 + 1(c = 1)	20.0 CH	$0.00 d(c^{-7})$	20.2 CH	0.07 d(c.7)

possessed similar  ${}^{3}J_{1,2}$  value (almost zero) and NOE correlations as 5, suggesting that both shared the same relative configuration, whereas the larger coupling constant between H-1 and H-2 (4.3 Hz) and NOE correlations between H-1 and H-2 observed for **6b** suggested an opposite configuration at C-1.

Compound 7 had the molecular formula C<sub>23</sub>H<sub>35</sub>Br<sub>2</sub>NO<sub>5</sub> as indicated by HRESIMS. Comparison of its NMR spectroscopic data (Table 3) with those of gymnastatins T-Y (1-6) suggested that it featured a different bicyclic ring system but shared the same aliphatic side chain. Further analysis of 2D NMR spectra of 7 suggested the presence of the same ring system as reported earlier for dankastatins A-C.15,17 This was confirmed by the COSY correlations between H-1 $\alpha$ /H-2, H-1 $\beta$ /H-2, H-2/H-3 $\alpha$ , H-2/ H-3 $\beta$ , H-5/H-6, and H-8/H-9 as well as by the HMBC correlations from H-1β and H-3α to C-9, from H-3β to C-4 and C-5, and from H-6 and H-9 to C-7 (Fig. 7). The location of the two bromine atoms was deduced by comparing the carbon signals with those of dankastatins A-C. The coupling constants between H-1β and H-2 ( $J_{1\beta,2} = 11.0$  Hz), between H-2 and H-3 $\beta$  ( $J_{2,3\beta} = 12.4$  Hz), and between H-5 and H-6 ( $J_{5,6} = 9.9$  Hz) indicated trans-axial arrangement of these protons. Combined with the NOE correlations between H-1β/H-3β, H-1β/H-9, H-3β/H-9, H-9/H-8, H-8/ H-6, and between H-5/H-3 $\alpha$ , the relative configuration of the ring system was determined as shown in Fig. 8. The stereochemistry of the side chain was assigned as 12E and 14E due to the large coupling constants  $({}^{3}J_{12,13} = 15.2 \text{ Hz})$  and the NOE correlations between H-12/H<sub>3</sub>-23, and H-13/H-15. Therefore, the structure of 7 was elucidated and the compound was named dankastatin D.

The three known analogues were identified as gymnastatins I-K (8-10) by comparison of their NMR and mass spectroscopic data with the literature.<sup>16</sup>

Cytotoxicity of all isolated alkaloids (1–10) was evaluated against the mouse lymphoma cell line (L5178Y) (Table 4). Among them, compounds 1, 4, 8 and 9 exhibited significant activity with IC<sub>50</sub> values of 1.3, 0.99, 0.55 and 0.078  $\mu$ M, respectively, even stronger than that of positive control kahalalide F. Comparison of the cytotoxicity of 4 *vs.* 6 and 9 *vs.* 10 suggested the conjugated ketone system to be important for the activity of those compounds. Gymnastatin I (8) showed similar activity compared to its chlorinated analogue gymnastatin A, whereas gymnastatin J (9) exhibited much stronger activity than its chlorinated analogue gymnastatin B.

When comparing the structures of the brominated alkaloids isolated in our study with those of chlorinated analogues reported previously,<sup>12-17</sup> it became evident that addition of NaBr to the medium caused an increase in the structural diversity of the fungal metabolites. Gymnastatins T (1), V (3), Y (6), I (8), J (9), and K (10) can be considered to be derivatives of gymnastatins G,<sup>14</sup> aranochlor A,<sup>18</sup> gymnastatin D, A, B, and C,<sup>13</sup> that are formed by a mere replacement of chlorine atoms vs. bromine atoms, whereas the structures of gymnastatins U (2), V (4), Y (5),

 Table 4
 Cytotoxicity of isolated brominated alkaloids

Compound	$IC_{50}$ ( $\mu M$ )	
1	1.3	
2	3.0	
3	3.6	
4	0.99	
5	14.1	
6	6.2	
7	3.0	
8	0.55	
9	0.078	
10	9.6	
Gymnastatin A	$0.64^{b}$	
Gymnastatin B	$5.8^{b}$	
Kahalalide F <sup>a</sup>	4.3	
<sup><i>a</i></sup> Positive control. <sup><i>b</i></sup> Data from ref. 12.		

and K (7) differ also with regard to other substituents and/or their stereochemistry, compared to their chlorinated analogues.

The brominated tyrosine-derived alkaloids isolated in this study (1-10) can be divided into three different subtypes including bicyclo[3.3.1]nonane derivatives (1 and 2), 1-oxa-spiro [4.5]decane derivatives (3-6 and 8-10), and 2-oxa-bicyclo[4.4.0] decane derivative (7). A plausible biosynthetic pathway modified after Hammerschmidt *et al.*<sup>12</sup> and Amagata *et al.*<sup>14</sup> is proposed. Gymnastatin N is suggested to be an important intermediate, which is corroborated by the co-isolation of its oxidative product 12'-hydroxygymnastatin N (11) and aldehyde product (12) originating from reduction of the carboxyl group of gymnastatin N (Fig. 9). The present study provides further

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evidence regarding the biosynthetic relationships of *Gymnascella* derived alkaloids, and proved the plasticity of the fungal secondary metabolism, which can be useful for biosynthetic research and the quest for new bioactive compounds.

# **Experimental section**

### **General procedures**

Optical rotations were measured with a Jasco P-2000 polarimeter. NMR spectra were recorded on a Bruker ARX 600 NMR spectrometer. Chemical shifts were referenced to the solvent residual peaks. Mass spectra (ESI) were recorded with a LC-MS HP1100 Agilent Finnigan LCQ Deca XP Thermoquest and HRESIMS were recorded with a UHR-QTOF maXis 4G (Bruker Daltonics) mass spectrometer. HPLC analysis was performed with a Dionex UltiMate-3400SD system coupled with an LPG-3400SD pump and a photodiode array detector (DAD 300RS). The analytical column  $(125 \times 4 \text{ mm})$  was prefilled with Eurosphere-10 C<sub>18</sub> (Knauer, Germany), and the following gradient was used (MeOH, 0.1% formic acid in H<sub>2</sub>O): 0 min (10% MeOH); 5 min (10% MeOH); 35 min (100% MeOH); 45 min (100% MeOH). Semi-preparative HPLC was performed using a Merck Hitachi HPLC System (UV detector L-7400; pump L-7100; Eurosphere-100  $C_{18}$ , 300  $\times$  8 mm, Knauer, Germany), with mixtures of MeOH-H2O as mobile phase. Column chromatography included Merck MN silica gel 60 M (0.04–0.063 mm). TLC plates precoated with silica gel  $F_{254}$ (Merck) were used to monitor and collect fractions; detection was under 254 and 366 nm or by spraying the plates with anisaldehyde reagent. Distilled and spectral grade solvents were used for column chromatography and spectroscopic measurements, respectively.



Fig. 9 Plausible biosynthesis of brominated alkaloids from G. dankaliensis.

#### Fungal material and cultivation

The fungus was isolated from a soil sample close to the Giza pyramids, Egypt, and identified by DNA amplification, sequencing of the ITS region and comparison with GenBank data (GenBank accession No. HM991265.1) as *G. dankaliensis* as previously described.<sup>19</sup> The fungal strain was grown on solid rice medium containing 3.5% NaBr (3.50 g NaBr, 100 mL distilled water was added to 100 g commercially available rice and then autoclaved) in two Erlenmeyer flasks (1 L each) at 27 °C under static conditions for 28 days.<sup>20</sup>

#### Extraction and isolation

Fungal culture was extracted twice with 500 mL ethyl acetate. The crude extract (9.35 g) was chromatographed on a silica column using n-hexane, n-hexane-ethyl acetate (60:1),  $CH_2Cl_2$ -MeOH gradient to give five fractions [A (1.87 g), B (1.32 g), C (2.13 g), D (3.15 g), E (0.87 g)]. Fraction B was further separated on a silica gel column with a CH2Cl2-MeOH gradient (100:0 to 150:1), affording twelve subfractions (B1-12). Fraction B9 (43.1 mg) was purified by semi-preparative HPLC using MeOH-H<sub>2</sub>O (78:22) to yield 8 (4.1 mg) and 9 (4.7 mg), while fraction B10 (21.2 mg) was purified by semipreparative HPLC using MeOH-H<sub>2</sub>O (76:24) to give 7 (1.6 mg). Fraction C was fractionated by silica gel column chromatography with a gradient of  $CH_2Cl_2$ -MeOH (500 : 1 to 25 : 1) as solvent system, to give eight subfractions (C1-8). Fraction C2 (185.2 mg) was further purified by semi-preparative HPLC using MeOH-H<sub>2</sub>O (from 77:23 to 80:20 in 25 minutes) to afford 3 (14.2 mg), 4 (7.5 mg), and 10 (17.9 mg). Fraction D was separated by silica gel column chromatography eluting with  $CH_2Cl_2$ -MeOH (200:1 to 15:1), to give eight subfractions (FrD1-8). 1 (7.2 mg) and 2 (3.5 mg) were obtained from FrD3 (285.1 mg) and FrD4 (252.3 mg) by semi-preparative HPLC using MeOH-H<sub>2</sub>O (72:28), respectively. FrD5 (301.4 mg) was further purified by semi-preparative HPLC using MeOH-H<sub>2</sub>O (70:30) to give 5 (1.7 mg) and 6 (2.1 mg).

**Gymnastatin T (1).** Colorless needle crystal;  $[\alpha]_{D}^{20} - 47.3$  (*c* 0.50, MeOH); UV (MeOH):  $\lambda_{max}$  271.2 (4.02) nm; HRESIMS *m/z* 500.1644 [M + H]<sup>+</sup> (calcd 500.1622 for C<sub>23</sub>H<sub>35</sub>BrNO<sub>6</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data, Table 1.

**Gymnastatin U (2).** White powder;  $[\alpha]_{D}^{20}$  -37.5 (*c* 0.65, MeOH); UV (MeOH):  $\lambda_{max}$  271.2 (3.83) nm; HRESIMS *m/z* 500.1641 [M + H]<sup>+</sup> (calcd 500.1622 for C<sub>23</sub>H<sub>35</sub>BrNO<sub>6</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data, Table 1.

**Gymnastatin V (3).** Colorless oil;  $[\alpha]_{D}^{20}$  –1.8 (*c* 0.56, MeOH); UV (MeOH):  $\lambda_{max}$  271.2 (3.73) nm; HRESIMS *m*/*z* 482.1534 [M + H]<sup>+</sup> (calcd 482.1537 for C<sub>23</sub>H<sub>33</sub>BrNO<sub>5</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data, Table 2.

**Gymnastatin W (4).** White powder;  $[\alpha]_{D}^{20} - 27.0$  (*c* 0.88, MeOH); UV (MeOH):  $\lambda_{max}271.9$  (3.98) nm; HRESIMS *m/z* 576.0952 [M + H]<sup>+</sup> (calcd 576.0955 for C<sub>24</sub>H<sub>36</sub>Br<sub>2</sub>NO<sub>5</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data, Table 2.

**Gymnastatin X (5).** Colorless oil;  $[\alpha]_D^{20} - 10.2$  (*c* 0.22, MeOH); UV (MeOH):  $\lambda_{max}$  270.4 (3.94) nm; HRESIMS *m*/*z* 498.1845 [M + H]<sup>+</sup> (calcd 498.1850 for C<sub>24</sub>H<sub>37</sub>BrNO<sub>5</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data, Table 2.

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**Gymnastatin Y (6).** White powder;  $[\alpha]_{D}^{20}$  –3.9 (*c* 1.04, MeOH); UV (MeOH):  $\lambda_{max}$  271.9 (3.94) nm; HRESIMS *m/z* 484.1689 [M + H]<sup>+</sup> (calcd 484.1693 for C<sub>23</sub>H<sub>35</sub>BrNO<sub>5</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data, Table 3.

**Dankastatin D** (7). Colorless oil;  $[\alpha]_D^{20} - 29.4$  (*c* 0.25, MeOH); UV (MeOH):  $\lambda_{max}$  270.4 (3.73) nm; HRESIMS *m*/*z* 564.0959 [M + H]<sup>+</sup> (calcd 564.0955 for C<sub>23</sub>H<sub>36</sub>Br<sub>2</sub>NO<sub>5</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data, Table 3.

### X-ray crystallographic analysis of gymnastatin T (1)

**Crystallization conditions.** X-ray quality crystal of **1** was obtained by slow evaporation from MeOH solution. A suitable single crystal was carefully selected under a polarizing microscope.

**Data collection.** Bruker Kappa APEX2 CCD diffractometer, Mo-K $\alpha$  radiation ( $\lambda = 0.71073$  Å), multilayer mirror,  $\omega$ - and  $\phi$ scan; data collection with APEX2, cell refinement and data reduction with SAINT,<sup>21</sup> experimental absorption correction with SADABS.<sup>22</sup>

Structure analysis and refinement. The structure was solved by direct methods using SHELXS-97; refinement was done by full-matrix least squares on  $F^2$  using the SHELXL-97 program suite.<sup>23</sup> All non-hydrogen positions were refined with anisotropic displacement parameters. Hydrogen atoms were positioned geometrically and refined using riding models. Graphics were drawn with DIAMOND,<sup>24</sup> analyses of the inter- and intramolecular hydrogen bonding interactions were done with PLATON for *Windows*.<sup>25</sup>

**Crystal data of 1.**  $C_{23}H_{34}BrNO_6 \cdot CH_4O$ , M = 532.46, orthorhombic system, space group  $P2_12_12_1$ , a = 7.0251(3) Å, b = 11.3852(5) Å, c = 32.3080(15) Å, V = 2584.1(2) Å<sup>3</sup>, Z = 4,  $D_{calc} = 1.369$  g cm<sup>-3</sup>, crystal size  $0.40 \times 0.05 \times 0.01$  mm<sup>3</sup>,  $\mu$ (Mo-K $\alpha$ ) = 1.633 mm<sup>-1</sup>,  $2.5^{\circ} < \theta < 25.3^{\circ}$ ,  $N_t = 39$  194, N = 6086 ( $R_{int} = 0.0445$ ),  $R_1 = 0.03626$ , w $R_2 = 0.1637$ , S = 1.092, Flack parameter<sup>26</sup> 0.043(4).

#### Cytotoxicity assay

Cytotoxicity against the mouse lymphoma cell line L5178Y (European Collection of Authenticated Cell Cultures; Catalogue No. 87111908) was tested using the MTT method with kahalalide F as positive control and media with 0.1% DMSO as negative control as described previously.<sup>27</sup> The cells were grown in RPMI medium and 10% FCS (fetal calf serum) (Biochrom/ Merck). Thiazolyl blue tetrazolium bromide (MTT; # M2128 Sigma) was used as an indicator for cell viability. The viability assay reaction is based upon the oxidation of the yellowish MTT solution to solid formazan *via* the mitochondrial dehydrogenases in living cells.<sup>28</sup> The crystals formed were solubilized with acidified isopropanol and the intensity was determined colorimetrically at 570 nm.<sup>29</sup>

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- 20 Two different concentrations of NaBr (3.5%, which is the same as the concentration of salt in seawater and a higher one, 10%) in the media were used for fungal fermentation.

The obtained crude extracts showed almost identical peaks as detected by LC-MS analysis. However, the amount of crude extract (4.7 g per flask) obtained from the medium with 3.5% NaBr was much bigger than that from the medium with 10% NaBr (1.5 g per flask). HPLC analysis of fungal extract from NaBr-added medium showed several new peaks, which were identified as brominated metabolites by LC-MS based on their characteristic isotope patterns  $(M^+ : [M + 2]^+ : [M + 4]^+ = 1 : 2 : 1$  for compounds containing two Br atoms and  $M^+ : [M + 2]^+ = 1 : 1$  for compounds containing one Br atom, respectively).

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## Supplementary information

# Targeted solid phase fermentation of the soil dwelling fungus *Gymnascella dankaliensis* yields new brominated tyrosine-derived alkaloids

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Figure S42. <sup>1</sup> H NMR (600MHz, methanol- $d_4$ ) spectrum of compound 6
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Figure S44. HSQC (600MHz, 150MHz, methanol- $d_4$ ) spectrum of compound <b>6</b> 51
Figure S45. HMBC (600MHz, 150MHz, methanol- $d_4$ ) spectrum of compound 652
Figure S46. ROESY (600MHz, methanol- $d_4$ ) spectrum of compound <b>6</b>
Figure S47. HRESIMS of compound 7
Figure S48. <sup>1</sup> H NMR (600MHz, CDCl <sub>3</sub> ) spectrum of compound 753
Figure S49. <sup>1</sup> H- <sup>1</sup> H COSY (600MHz, CDCl <sub>3</sub> ) spectrum of compound 754
Figure S50. HSQC (600MHz, 150MHz, CDCl <sub>3</sub> ) spectrum of compound 754
Figure S51. HMBC (600MHz, 150MHz, CDCl <sub>3</sub> ) spectrum of compound 755
Figure S52. ROESY (600MHz, CDCl <sub>3</sub> ) spectrum of compound 755
X-ray crystallographic parameters of compound <b>1</b> (CCDC 1481781)



Figure S1. HRESIMS of compound 1.


















Figure S9. <sup>13</sup>C NMR (150MHz, methanol- $d_4$ ) spectrum of compound 1.













Figure S14. HRESIMS of compound 2.



Figure S16. <sup>13</sup>C NMR (150MHz, methanol- $d_4$ ) spectrum of compound 2.

















Figure S21. HRESIMS of compound 3.







Figure S23. <sup>13</sup>C NMR (150MHz, CDCl<sub>3</sub>) spectrum of compound 3.











Figure S28. HRESIMS of compound 4.



Figure S29. <sup>1</sup>H NMR (600MHz, CDCl<sub>3</sub>) spectrum of compound 4.



Figure S30. <sup>13</sup>C NMR (150MHz, CDCl<sub>3</sub>) spectrum of compound 4.







**Figure S33**. HMBC (600MHz, 150MHz, CDCl<sub>3</sub>) spectrum of compound 4.





Figure S35. HRESIMS of compound 5.











Figure S39. HMBC (600MHz, 150MHz, methanol- $d_4$ ) spectrum of compound 5.





Figure S41. HRESIMS of compound 6.









Figure S45. HMBC (600MHz, 150MHz, methanol-*d*<sub>4</sub>) spectrum of compound 6.



## Mass Spectrum SmartFormula Report

## Analysis Info

 Analysis Name
 D:\Data\Spektren 2015\Proksch15HR000331.d

 Method
 tune\_low.m

 Sample Name
 Hao Br-2-72-2 (CH3OH)

 Comment
 0,8 ug/ml,

Acquisition Date 9/22/2015 3:53:48 PM

Operator Peter Tommes Instrument maXis 288882.20213



Figure S47. HRESIMS of compound 7.



Figure S48. <sup>1</sup>H NMR (600MHz, CDCl<sub>3</sub>) spectrum of compound 7.















## X-ray crystallographic parameters of compound 1 (CCDC 1481781)

*Crystallization conditions*: X-ray quality crystal of **1** was obtained by slow evaporation from MeOH solution. A suitable single crystal was carefully selected under a polarizing microscope. *Data collection*: Bruker Kappa APEX2 CCD diffractometer (with microfocus tube), Mo-K $\alpha$  radiation ( $\lambda = 0.71073$  Å), multilayer mirror,  $\omega$ - and

-scan; data collection with APEX2, cell refinement and data reduction with SAINT,<sup>1</sup> experimental absorption correction with SADABS.<sup>2</sup> Structure Analysis and Refinement: The structure was solved by direct methods using SHELXS-97; refinement was done by full-matrix least squares on  $F^2$  using the SHELXL-97 program suite.<sup>3</sup> All nonhydrogen positions were refined with anisotropic displacement parameters. Hydrogen atoms were positioned geometrically (with C-H = 0.95 Å for aromatic/olefinic CH, 1.00 Å for tertiary CH, 0.99 Å for CH<sub>2</sub> and 0.98 Å for CH<sub>3</sub>) and refined using riding models (AFIX 43, 13, 23 and 133 or 137, respectively), with  $U_{iso}(H) = -1.2U_{eq}(CH, CH_2)$  and  $-1.5U_{eq}$  (CH<sub>3</sub>). The hydrogen atoms on the hydroxyl groups with O1 and O7 (methanol solvent molecule) were found and refined with  $U_{iso}(H) = 1.5 U_{ea}(O)$ . The N-H hydrogen atom has been found and refined with  $U_{iso}(H) = 1.5U_{ea}(N)$ . The H atoms on O2 and O5 had to be calculated and refined with AFIX 83 to avoid their wrong intramolecular positioning (O2-H towards O5 and O5-H towards O3) which would lead to C-O-H angles  $< 92^{\circ}$ . The apparent disorder due higher thermal motion and less constrained crystal packing of the bent alkyl chain and the methyl group of the methanol solvent molecules leads to short intermolecular H...H contacts and short C-C bonds as artefacts which are noted as Alert level A and B in the Checkcif file.

The gymnastatin T molecule (1) crystallizes in the non-centrosymmetric orthorhombic space group  $P 2_1 2_1 2_1$ . A methanol solvent molecule of crystallization is embedded in the crystal lattice per formula unit (**Figure S53**). The O-H and N-H group are part of a hydrogen-bonding network (**Figure S54**, **Table S5**). The larger thermal ellipsoids of the carbon atoms of the bent alkyl chain indicate their higher thermal motion and less constrained crystal packing compared to the more rigid hydrogen-bonded part, the OH-functionalized bicyclo[3.3.1]nonane ring of the molecule. The unit cell packing can be seen as a separation of the hydrophilic hydrogen-bonding part, the OH-substituted bicyclo[3.3.1]nonane ring of the molecule (in layers parallel to the *ab* plane) and the hydrophobic branched alkyl chain (sandwiched between the hydrophilic layers) (**Figure S55**).<sup>4</sup> The bent alkyl chains from adjacent molecules interdigitate (interlock) along the *c* direction.<sup>5</sup>



**Figure S53.** Molecular structure of **1** from single-crystal X-ray diffractometry (50% thermal ellipsoids, 20% for C25, H atoms of arbitrary radii).

Compound	1
Data set	Br_5_30_2
CCDC number	1481781
Empirical formula	C <sub>23</sub> H <sub>34</sub> BrNO <sub>6</sub> ·CH <sub>3</sub> OH
$M/g mol^{-1}$	532.46
Crystal size/mm <sup>3</sup>	$0.40 \times 0.05 \times 0.01$
Temperature/K	150
θ range/° (completeness)	2.5–25.3° (0.99)
h; k; l range	-8/9, 14/14, -41/42
Crystal system	Orthorhombic
Space group	P212121
a/Å	7.0251(3)
b/Å	11.3852(5)
c/Å	32.3080(15)
α/°	90
β/°	90
$\gamma/^{\circ}$	90
V/Å <sup>3</sup>	2584.1(2)
Ζ	4
$D_{calc}/g \text{ cm}^{-3}$	1.369
$\mu$ (Mo K $\alpha$ )/mm <sup>-1</sup>	1.63
F(000)	1120
Max./min. transmission	0.674, 0.746
Reflections collected	39194
Independent reflect. (R <sub>int</sub> )	6086 (0.0445)
Data/restraints/parameters	6088/5/275
Max./min. $\Delta \rho/e \text{ Å}^{-3 a}$	1.469/-0.977
$R_1/wR_2 [I \ge 2\sigma(I)]^b$	0.0626/0.1579
$R_1/wR_2$ (all data) <sup>b</sup>	0.0747/0.1637
Goodness-of-fit on F <sup>2 c</sup>	1.092
Flack parameter <sup>d</sup>	0.043(4)

Table S1. Crystal data and structure refinement for 1

<sup>a</sup> Largest difference peak and hole; <sup>b</sup>  $R_1 = [\sum(||F_0| - |F_c||)/\sum|F_0|]; wR_2 = [\sum[w(F_0^2 - F_c^2)^2]/\sum[w(F_0^2)^2]]^{1/2}; <sup>c</sup> Goodness-of-fit = [\sum[w(F_0^2 - F_c^2)^2]/(n - p)]^{1/2}; <sup>d</sup> Absolute structure parameter.<sup>6</sup>$ 

	x	У	Ζ	$U_{\rm iso}$ */ $U_{\rm eq}$
Br	0.94426 (9)	1.08655 (6)	0.19418 (2)	0.0331 (2)
C1	0.8297 (8)	0.9768 (5)	0.2698 (2)	0.0202 (12)
H1A	0.8167	0.9026	0.2535	0.024*
01	1.0197 (6)	0.9889 (4)	0.28372 (16)	0.0254 (10)
H1	1.064 (12)	0.922 (5)	0.279 (2)	0.038*
C2	0.6985 (8)	0.9727 (5)	0.3068 (2)	0.0254 (13)
H2A	0.5659	0.9626	0.2961	0.030*
C3	0.7037 (10)	1.0866 (6)	0.3311 (2)	0.0302 (13)
H3A	0.8320	1.0969	0.3432	0.036*
H3B	0.6109	1.0822	0.3541	0.036*
C4	0.6570 (9)	1.1935 (5)	0.3039 (2)	0.0275 (13)
02	0.6805 (9)	1.2931 (4)	0.32925 (18)	0.0448 (15)
H2	0.6520	1.3538	0.3158	0.067*
C5	0.4558 (11)	1.1811 (6)	0.2891 (2)	0.0343 (16)
H5A	0.3559	1.1797	0.3111	0.041*
03	0.4054 (7)	1.2410 (4)	0.25144 (16)	0.0322 (11)
05	0.7751 (6)	1.3017 (4)	0.24330 (16)	0.0283 (10)
Н5	0.7530	1.2839	0.2185	0.042*
C6	0.4112 (9)	1.1138 (6)	0.2507 (2)	0.0306 (15)
H6A	0.2859	1.0722	0.2499	0.037*
C7	0.5720 (9)	1.0579 (5)	0.2273 (2)	0.0249 (13)
O4	0.5394 (8)	0.9852 (4)	0.20181 (16)	0.0357 (11)
C8	0.7758 (7)	1.0839 (6)	0.24217 (19)	0.0213 (11)
С9	0.7976 (9)	1.1982 (5)	0.2668 (2)	0.0252 (14)
Н9	0.9294	1.1992	0.2785	0.030*
N10	0.7407 (8)	0.8721 (5)	0.33274 (19)	0.0263 (12)
H10	0.864 (9)	0.879 (7)	0.343 (2)	0.039*
C11	0.6062 (8)	0.7934 (6)	0.3423 (2)	0.0258 (14)

**Table S2.** Fractional atomic coordinates and isotropic or equivalent isotropicdisplacement parameters ( $Å^2$ ) for 1.

Publication 1

06	0.4378 (7)	0.8024 (4)	0.32919 (16)	0.0358 (11)
C12	0.6652 (10)	0.6938 (6)	0.3689 (2)	0.0275 (14)
H12	0.7938	0.6869	0.3776	0.033*
C13	0.5372 (11)	0.6140 (6)	0.3806 (2)	0.0331 (15)
H13	0.4106	0.6271	0.3714	0.040*
C15	0.4129 (13)	0.4462 (7)	0.4146 (3)	0.047 (2)
H15	0.2948	0.4750	0.4045	0.056*
C16	0.407 (3)	0.3322 (11)	0.4394 (4)	0.094 (2)
H16	0.5385	0.3039	0.4457	0.113*
C17	0.296 (3)	0.3515 (12)	0.4784 (4)	0.094 (2)
H17A	0.2717	0.2749	0.4919	0.113*
H17B	0.1712	0.3870	0.4715	0.113*
C14	0.5664 (13)	0.5091 (6)	0.4058 (2)	0.0389 (16)
C18	0.400 (3)	0.4306 (11)	0.5081 (4)	0.094 (2)
H18A	0.5280	0.3969	0.5131	0.113*
H18B	0.4183	0.5078	0.4946	0.113*
C19	0.307 (3)	0.4500 (12)	0.5485 (4)	0.094 (2)
H19A	0.2852	0.3732	0.5620	0.113*
H19B	0.3936	0.4962	0.5664	0.113*
C20	0.130 (2)	0.5107 (12)	0.5447 (4)	0.094 (2)
H20A	0.0428	0.4550	0.5306	0.113*
H20B	0.0811	0.5194	0.5732	0.113*
C21	0.102 (3)	0.6087 (14)	0.5274 (6)	0.116 (3)
H21A	0.1329	0.5957	0.4979	0.139*
H21B	0.2038	0.6605	0.5383	0.139*
C22	-0.091 (3)	0.6901 (14)	0.5273 (5)	0.116 (3)
H22A	-0.0559	0.7726	0.5233	0.173*
H22B	-0.1576	0.6812	0.5538	0.173*
H22C	-0.1748	0.6649	0.5047	0.173*
C23	0.771 (3)	0.4818 (15)	0.4187 (6)	0.116 (3)
H23A	0.8468	0.4636	0.3942	0.173*
H23B	0.7712	0.4142	0.4375	0.173*

H23C	0.8251	0.5501	0.4329	0.173*
C24	0.297 (3)	0.2407 (15)	0.4134 (5)	0.116 (3)
H24A	0.1806	0.2764	0.4025	0.173*
H24B	0.2640	0.1734	0.4309	0.173*
H24C	0.3772	0.2142	0.3904	0.173*
C25	0.173 (4)	0.944 (4)	0.3908 (14)	0.34 (3)
H25A	0.0883	0.9704	0.4131	0.503*
H25B	0.1786	1.0047	0.3692	0.503*
H25C	0.3012	0.9314	0.4020	0.503*
07	0.1023 (9)	0.8365 (8)	0.3735 (2)	0.066 (2)
H7	0.148 (19)	0.826 (13)	0.347 (2)	0.099*

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**Table S3.** Atomic displacement parameters  $(Å^2)$  for **1**.

	$U^{11}$	$U^{22}$	$U^{33}$	$U^{12}$	$U^{13}$	$U^{23}$
Br	0.0232 (3)	0.0367 (3)	0.0396 (3)	0.0050 (3)	0.0103 (3)	0.0075 (3)
C1	0.016 (3)	0.009 (3)	0.036 (4)	-0.003 (2)	-0.001 (2)	0.007 (2)
01	0.0088 (19)	0.019 (2)	0.048 (3)	0.0035 (15)	0.0011 (17)	0.002 (2)
C2	0.012 (2)	0.021 (3)	0.043 (4)	0.004 (2)	-0.001 (3)	0.010 (3)
C3	0.031 (3)	0.026 (3)	0.033 (3)	0.007 (3)	0.004 (3)	0.005 (3)
C4	0.029 (3)	0.019 (3)	0.035 (4)	0.009 (2)	0.001 (3)	-0.004 (3)
O2	0.065 (4)	0.023 (2)	0.047 (3)	0.027 (3)	-0.012 (3)	-0.011 (2)
C5	0.025 (3)	0.032 (4)	0.046 (4)	0.012 (3)	0.011 (3)	0.008 (3)
03	0.025 (3)	0.022 (2)	0.049 (3)	0.0080 (19)	-0.003 (2)	0.007 (2)
05	0.025 (2)	0.015 (2)	0.044 (3)	-0.0085 (18)	-0.008 (2)	0.004 (2)
C6	0.014 (3)	0.026 (3)	0.052 (4)	0.001 (2)	0.001 (3)	0.013 (3)
C7	0.019 (3)	0.018 (3)	0.038 (3)	-0.005 (2)	-0.001 (3)	0.010 (2)
O4	0.035 (3)	0.024 (2)	0.048 (3)	-0.009 (2)	-0.011 (2)	0.004 (2)
C8	0.014 (2)	0.016 (3)	0.034 (3)	-0.003 (2)	0.000 (2)	0.005 (3)
С9	0.018 (3)	0.016 (3)	0.041 (4)	-0.003 (2)	-0.006 (3)	0.009 (3)

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N10	0.018 (2)	0.023 (3)	0.038 (3)	0.004 (2)	-0.004 (2)	0.011 (2)
C11	0.016 (3)	0.024 (3)	0.038 (4)	-0.002 (2)	0.001 (2)	0.003 (3)
O6	0.016 (2)	0.040 (3)	0.051 (3)	-0.002 (2)	-0.002 (2)	0.019 (2)
C12	0.025 (3)	0.026 (3)	0.032 (4)	0.006 (3)	0.001 (3)	0.006 (3)
C13	0.033 (3)	0.030 (4)	0.037 (4)	0.004 (3)	0.001 (3)	0.009 (3)
C15	0.051 (5)	0.034 (4)	0.054 (5)	-0.009 (4)	0.010 (4)	0.016 (3)
C16	0.134 (6)	0.069 (4)	0.078 (4)	-0.011 (4)	0.014 (4)	0.023 (3)
C17	0.134 (6)	0.069 (4)	0.078 (4)	-0.011 (4)	0.014 (4)	0.023 (3)
C14	0.046 (4)	0.027 (3)	0.044 (4)	-0.001 (4)	0.007 (4)	0.012 (3)
C18	0.134 (6)	0.069 (4)	0.078 (4)	-0.011 (4)	0.014 (4)	0.023 (3)
C19	0.134 (6)	0.069 (4)	0.078 (4)	-0.011 (4)	0.014 (4)	0.023 (3)
C20	0.134 (6)	0.069 (4)	0.078 (4)	-0.011 (4)	0.014 (4)	0.023 (3)
C21	0.139 (8)	0.090 (6)	0.118 (6)	0.003 (5)	0.024 (6)	0.016 (5)
C22	0.139 (8)	0.090 (6)	0.118 (6)	0.003 (5)	0.024 (6)	0.016 (5)
C23	0.139 (8)	0.090 (6)	0.118 (6)	0.003 (5)	0.024 (6)	0.016 (5)
C24	0.139 (8)	0.090 (6)	0.118 (6)	0.003 (5)	0.024 (6)	0.016 (5)
C25	0.13 (2)	0.41 (6)	0.47 (7)	-0.01 (3)	-0.03 (3)	-0.28 (6)
07	0.036 (3)	0.096 (6)	0.066 (4)	0.012 (3)	0.000 (3)	-0.013 (4)

Table S4. Geometric parameters (Å, °) for 1.

Br—C8	1.951 (6)	C15—C14	1.326 (12)
C1—O1	1.415 (7)	C15—C16	1.525 (14)
C1—C2	1.509 (10)	С15—Н15	0.9500
C1—C8	1.559 (8)	C16—C17	1.500 (19)
C1—H1A	1.0000	C16—C24	1.54 (2)
O1—H1	0.84 (5)	С16—Н16	1.0000
C2—N10	1.450 (8)	C17—C18	1.51 (2)
С2—С3	1.516 (10)	С17—Н17А	0.9900
С2—Н2А	1.0000	С17—Н17В	0.9900
C3—C4	1.536 (9)	C14—C23	1.53 (2)
С3—НЗА	0.9900	C18—C19	1.476 (19)

С3—Н3В	0.9900	C18—H18A	0.9900
C4—O2	1.410 (8)	C18—H18B	0.9900
C4—C5	1.499 (10)	C19—C20	1.43 (2)
C4—C9	1.553 (10)	С19—Н19А	0.9900
O2—H2	0.8400	С19—Н19В	0.9900
С5—О3	1.438 (8)	C20—C21	1.262 (18)
C5—C6	1.491 (11)	С20—Н20А	0.9900
С5—Н5А	1.0000	С20—Н20В	0.9900
O3—C6	1.449 (8)	C21—C22	1.64 (2)
О5—С9	1.410 (8)	C21—H21A	0.9900
O5—H5	0.8400	C21—H21B	0.9900
С6—С7	1.500 (9)	C22—H22A	0.9800
С6—Н6А	1.0000	С22—Н22В	0.9800
С7—О4	1.190 (8)	С22—Н22С	0.9800
С7—С8	1.539 (8)	С23—Н23А	0.9800
С8—С9	1.534 (9)	С23—Н23В	0.9800
С9—Н9	1.0000	С23—Н23С	0.9800
N10—C11	1.338 (8)	C24—H24A	0.9800
N10—H10	0.93 (6)	C24—H24B	0.9800
C11—O6	1.261 (8)	C24—H24C	0.9800
C11—C12	1.482 (9)	C25—O7	1.44 (3)
C12—C13	1.333 (10)	С25—Н25А	0.9800
C12—H12	0.9500	С25—Н25В	0.9800
C13—C14	1.459 (9)	C25—H25C	0.9800
С13—Н13	0.9500	O7—H7	0.91 (6)
O1—C1—C2	109.2 (5)	C14—C15—C16	126.5 (10)
O1—C1—C8	109.5 (5)	C14—C15—H15	116.7
C2—C1—C8	109.2 (5)	С16—С15—Н15	116.7
01—C1—H1A	109.6	C17—C16—C15	109.3 (11)
C2—C1—H1A	109.6	C17—C16—C24	107.2 (14)
C8—C1—H1A	109.6	C15—C16—C24	107.6 (11)

С1—О1—Н1	102 (6)	С17—С16—Н16	110.9
N10—C2—C1	110.9 (5)	С15—С16—Н16	110.9
N10—C2—C3	111.9 (6)	С24—С16—Н16	110.9
C1—C2—C3	111.6 (5)	C16—C17—C18	111.7 (13)
N10—C2—H2A	107.4	С16—С17—Н17А	109.3
С1—С2—Н2А	107.4	С18—С17—Н17А	109.3
С3—С2—Н2А	107.4	С16—С17—Н17В	109.3
C2—C3—C4	112.1 (5)	С18—С17—Н17В	109.3
С2—С3—НЗА	109.2	H17A—C17—H17B	107.9
С4—С3—НЗА	109.2	C15—C14—C13	116.6 (8)
С2—С3—Н3В	109.2	C15—C14—C23	126.5 (9)
С4—С3—Н3В	109.2	C13—C14—C23	116.8 (9)
НЗА—СЗ—НЗВ	107.9	C19—C18—C17	115.9 (14)
O2—C4—C5	111.8 (5)	C19—C18—H18A	108.3
O2—C4—C3	106.2 (6)	C17—C18—H18A	108.3
C5—C4—C3	108.1 (6)	C19—C18—H18B	108.3
O2—C4—C9	110.2 (6)	C17—C18—H18B	108.3
С5—С4—С9	111.0 (6)	H18A—C18—H18B	107.4
C3—C4—C9	109.4 (5)	C20—C19—C18	112.4 (13)
С4—О2—Н2	109.5	С20—С19—Н19А	109.1
O3—C5—C6	59.3 (4)	С18—С19—Н19А	109.1
O3—C5—C4	117.2 (6)	С20—С19—Н19В	109.1
C6—C5—C4	120.7 (6)	С18—С19—Н19В	109.1
O3—C5—H5A	115.9	H19A—C19—H19B	107.9
С6—С5—Н5А	115.9	C21—C20—C19	126.8 (16)
С4—С5—Н5А	115.9	С21—С20—Н20А	105.6
С5—О3—С6	62.2 (5)	С19—С20—Н20А	105.6
С9—О5—Н5	109.5	С21—С20—Н20В	105.6
O3—C6—C5	58.6 (4)	С19—С20—Н20В	105.6
O3—C6—C7	117.0 (5)	H20A—C20—H20B	106.1
C5—C6—C7	118.6 (6)	C20—C21—C22	128.8 (16)
O3—C6—H6A	116.7	C20—C21—H21A	105.1

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С5—С6—Н6А	116.7	C22—C21—H21A	105.1
С7—С6—Н6А	116.7	С20—С21—Н21В	105.1
O4—C7—C6	119.9 (6)	С22—С21—Н21В	105.1
O4—C7—C8	121.9 (6)	H21A—C21—H21B	105.9
С6—С7—С8	117.5 (6)	C21—C22—H22A	109.5
C9—C8—C7	114.7 (5)	С21—С22—Н22В	109.5
C9—C8—C1	110.0 (5)	H22A—C22—H22B	109.5
C7—C8—C1	104.8 (5)	С21—С22—Н22С	109.5
C9—C8—Br	109.8 (4)	H22A—C22—H22C	109.5
C7—C8—Br	108.6 (4)	H22B—C22—H22C	109.5
C1—C8—Br	108.7 (4)	С14—С23—Н23А	109.5
O5—C9—C8	114.7 (6)	С14—С23—Н23В	109.5
O5—C9—C4	111.9 (5)	H23A—C23—H23B	109.5
C8—C9—C4	107.9 (5)	С14—С23—Н23С	109.5
О5—С9—Н9	107.4	H23A—C23—H23C	109.5
С8—С9—Н9	107.4	H23B—C23—H23C	109.5
С4—С9—Н9	107.4	C16—C24—H24A	109.5
C11—N10—C2	121.2 (5)	С16—С24—Н24В	109.5
C11—N10—H10	130 (5)	H24A—C24—H24B	109.5
C2—N10—H10	109 (5)	C16—C24—H24C	109.5
O6—C11—N10	122.1 (6)	H24A—C24—H24C	109.5
O6—C11—C12	121.3 (6)	H24B—C24—H24C	109.5
N10—C11—C12	116.7 (5)	O7—C25—H25A	109.5
C13—C12—C11	119.8 (6)	O7—C25—H25B	109.5
С13—С12—Н12	120.1	H25A—C25—H25B	109.5
С11—С12—Н12	120.1	O7—C25—H25C	109.5
C12—C13—C14	128.4 (7)	H25A—C25—H25C	109.5
С12—С13—Н13	115.8	H25B—C25—H25C	109.5
С14—С13—Н13	115.8	С25—О7—Н7	110 (10)
01—C1—C2— N10	-61.9 (7)	O1—C1—C8—Br	61.3 (6)

C8—C1—C2— N10	178.3 (5)	C2—C1—C8—Br	-179.2 (4)
O1—C1—C2—C3	63.6 (6)	С7—С8—С9—О5	-69.6 (7)
C8—C1—C2—C3	-56.2 (7)	C1—C8—C9—O5	172.6 (5)
N10—C2—C3— C4	-179.4 (5)	Br—C8—C9—O5	53.0 (6)
C1—C2—C3—C4	55.7 (7)	С7—С8—С9—С4	55.8 (7)
C2—C3—C4—O2	-175.8 (6)	C1—C8—C9—C4	-62.0 (6)
C2—C3—C4—C5	64.1 (7)	Br—C8—C9—C4	178.4 (4)
C2—C3—C4—C9	-56.8 (7)	O2—C4—C9—O5	-57.0 (7)
O2—C4—C5—O3	87.9 (7)	C5—C4—C9—O5	67.4 (7)
C3—C4—C5—O3	-155.6 (6)	C3—C4—C9—O5	-173.5 (5)
C9—C4—C5—O3	-35.6 (8)	O2—C4—C9—C8	176.0 (5)
O2—C4—C5—C6	156.6 (6)	С5—С4—С9—С8	-59.6 (7)
C3—C4—C5—C6	-86.9 (8)	C3—C4—C9—C8	59.5 (7)
C9—C4—C5—C6	33.1 (8)	C1—C2—N10— C11	-124.2 (7)
C4—C5—O3—C6	111.3 (7)	C3—C2—N10— C11	110.5 (7)
C5—O3—C6—C7	-108.6 (7)	C2—N10—C11— O6	0.4 (11)
C4—C5—C6—O3	-105.4 (7)	C2—N10—C11— C12	179.9 (6)
O3—C5—C6—C7	105.8 (6)	O6—C11—C12— C13	-2.4 (11)
C4—C5—C6—C7	0.4 (9)	N10—C11—C12— C13	178.1 (7)
O3—C6—C7—O4	-128.0 (6)	C11—C12—C13— C14	178.6 (7)
C5—C6—C7—O4	164.9 (6)	C14—C15—C16— C17	115.7 (14)
O3—C6—C7—C8	61.2 (8)	C14—C15—C16— C24	-128.2 (13)
C5—C6—C7—C8	-5.9 (8)	C15—C16—C17— C18	-69.2 (16)

04—C7—C8—C9	166.0 (6)	C24—C16—C17— C18	174.4 (12)
C6—C7—C8—C9	-23.4 (7)	C16—C15—C14— C13	178.5 (9)
O4—C7—C8—C1	-73.3 (7)	C16—C15—C14— C23	-0.6 (17)
C6—C7—C8—C1	97.3 (6)	C12—C13—C14— C15	177.1 (8)
O4—C7—C8—Br	42.7 (7)	C12—C13—C14— C23	-3.7 (14)
C6—C7—C8—Br	-146.7 (4)	C16—C17—C18— C19	-176.8 (13)
01	-59.0 (6)	C17—C18—C19— C20	-64.6 (17)
C2—C1—C8—C9	60.5 (6)	C18—C19—C20— C21	-56 (2)
O1—C1—C8—C7	177.2 (5)	C19—C20—C21— C22	-171.3 (17)
C2—C1—C8—C7	-63.2 (6)		



Figure S54. Hydrogen-bonding network in 1 indicated as dashed orange lines. See

Table X for details. Symmetry codes: (i) -x+2, y-1/2, -z+1/2; (iii) x+1, y, z; (iv) -x+1, y+1/2, -z+1/2.

D—H…A	D—H (Å)	$H \cdots A(Å)$	$D \cdots A(Å)$	$D - H \cdots A(^{\circ})$
$01$ — $H1$ ··· $05^{i}$	0.84(5)	1.91(6)	2.717(6)	160(8)
C1— H1 <i>A</i> …O3 <sup>ii</sup>	1.00	2.42	3.225(7)	137
N10— H10…O7 <sup>iii</sup>	0.93(6)	2.00(6)	2.889(9)	158(7)
O2—H2⋯O4 <sup>iv</sup>	0.84	2.09	2.859(7)	152
O5—H5⋯O6 <sup>iv</sup>	0.84	2.05	2.779(7)	144
C6— H6A····Br <sup>v</sup>	1.00	3.00	3.767(7)	134
C6— H6 <i>A</i> …O1 <sup>v</sup>	1.00	2.36	3.276(7)	151
O7—H7⋯O6	0.91(6)	2.14(12)	2.784(8)	128(12)

Table S5. Hydrogen-bond geometry (Å, °) for 1.<sup>a</sup>

<sup>a</sup> Standard deviations for refined atom contacts are given in parentheses. Symmetry codes: (i) -x+2, y-1/2, -z+1/2; (ii) -x+1, y-1/2, -z+1/2; (iii) x+1, y, z; (iv) -x+1, y+1/2, -z+1/2; (v) x-1, y, z.


**Figure S55.** Unit cell packing diagram of **1** projected onto the *bc* plane – in ball-andstick and in space-filling mode – showing the separation of the hydrophilic hydrogenbonding part of the molecule (in layers parallel to the *ab* plane) and the interdigitated (interlocking) hydrophobic branched alkyl chain (sandwiched between the hydrophilic

layers).

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## **Publication 2**

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# Indole Alkaloids Produced by an Endophytic Fungus *Aspergillus aculeatus* using an OSMAC Approach

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# Abstract

The endophytic fungus *Aspergillus aculeatus* isolated from leaves of the papaya plant *Carica papaya* was fermented on solid rice medium, yielding a new indole alkaloid (1) and thirteen known compounds (11, 14–25). In addition, an OSMAC approach was employed by adding eight different sodium or ammonium salts to the rice medium. Addition of 3.5% NaNO<sub>3</sub> caused a significant change of the metabolite pattern of the fungus as indicated by HPLC analysis. Subsequent isolation yielded several new indole derived alkaloids (1–10) in addition to three known compounds (11–13), among which compounds 2–10, 12–13 were not detected in the rice control culture. All structures were unambiguously elucidated by one and two dimensional NMR spectroscopy and by mass spectrometry. The absolute configuration of the indole derivatives was determined by Marfey's reaction and X-ray single crystal diffraction. Compounds 19–22 showed cytotoxicity against the L5178Y mouse lymphoma cell line with IC<sub>50</sub> values of 3.4, 1.4, 7.3 and  $23.7 \mu$ M, respectively.

Keywords: Aspergillus aculeatus; OSMAC; X-ray diffraction; indole alkaloid.

# Introduction

Endophytic fungi thrive widely in different healthy tissues of living plants, and have significant influence on the growth of their hosts.<sup>1-2</sup> Endophytes also comprise a large reservoir of structurally diverse secondary metabolites including alkaloids, steroids, terpenoids, xanthones, peptides and quinones, which exhibit a variety of biological activities including anticancer, antibacterial, antifungal, anti-inflammatory and antidepressant activity.<sup>2-3</sup> For example, 14-membered macrolides isolated from the endophytic fungus Pestalotiopsis microspora showed significant cytotoxicity against the murine lymphoma cell line L5178Y while fusaric acid derivatives produced by the fungal endophyte Fusarium oxysporum showed significant phytotoxicity to leaves of barley.<sup>4-5</sup> However, the high rediscovery rate of known compounds from fungi is a severe obstacle for the search for new drug leads.<sup>6</sup> One of the approaches to increase the diversity of metabolites from fungi involves the application of the OSMAC (One Strain MAny Compounds) method which is based on systematic variations of the cultivation parameters (media type and composition, pH value, temperature etc.).<sup>7</sup> For instance, the fermentation of the fungus Gymnascella dankaliensis on solid rice medium following addition of 3.5% NaBr led to the isolation of ten brominated tyrosine-derived alkaloids, which showed cytotoxicity against the L5178Y mouse lymphoma cell line.<sup>8-9</sup> In addition, cultivation of Fusarium tricinctum on fruit and vegetable juice-supplemented solid rice media that led to the production of the new fusarielins K and L.<sup>10</sup>



Figure 1. Structures of compounds 1–25 isolated from *A. aculeatus*.

The fungus *Aspergillus aculeatus* has been reported to produce several bioactive secondary metabolites, such as secalonic acids D and F, aculeatusquinone B and D,

aculeacins A-G and aspergillusol A.<sup>11-15</sup> In this study, A. aculeatus was isolated from leaves of Carica papaya collected in Awka in Nigeria. The fungus was fermented on solid rice medium, yielding a new indole alkaloid (1) together with thirteen known compounds including N-[(2S)-2-hydroxy-1-oxo-3-phenylpropyl]-L-tryptophan methyl ester (11),<sup>16</sup> okaramine A (14),<sup>17</sup> oxaline (15),<sup>18</sup> emindole SB (16),<sup>19</sup> 16-ketoaspergillimide (17),<sup>20</sup> JBIR 75 (18),<sup>21</sup> secalonic acids D and F (19 and 20),<sup>11</sup> asperdichrome (21),<sup>22</sup> RF 3192C (22),<sup>23</sup> pannorin (23),<sup>24</sup> altechromone A (24),<sup>25</sup> and variecolactone (25).<sup>26</sup> Due to the pronounced chemical diversity of this fungus we decided to subject A. aculeatus to an OSMAC approach by adding either 3.5% NaCl, 3.5% NaBr, 3.5% NaI, 1% NaF, 3.5% NaNO<sub>3</sub>, 3.5% NH<sub>4</sub>Cl, 3.5% (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> or 3.5% CH<sub>3</sub>COONH<sub>4</sub> to the rice medium. The selection of these salts for the OSMAC study was based on previous experiments with other fungi that had indicated the usefulness of these chemical stimuli for the accumulation of cryptic metabolites.<sup>8,9,27</sup> The fungus did not grow on rice medium containing 1% NaF or 3.5% CH<sub>3</sub>COONH<sub>4</sub>. Addition of 3.5% NaNO<sub>3</sub>, however, caused a significant change of the metabolite pattern as indicated by HPLC analysis. Addition of the remaining salts had no influence. Subsequent workup of the extract resulting from addition of 3.5% NaNO<sub>3</sub> yielded several new indole alkaloids (1-10) in addition to three known indole analogues N-[(2S)-2-hydroxy-1-oxo-3-phenylpropyl]-L-tryptophan methyl ester (11), N-[(2S)-2hydroxy-1-oxo-3-phenylpropyl]-L-tryptophan (12) and acu- dioxomorpholine (13).<sup>16</sup> Compounds 2–10, 12–13 were not detected in the rice control culture. On the other hand, from all compounds isolated from the rice control culture, only oxaline (15), JBIR

75 (18), secalonic acid F (20) and RF 3192C (22) could be detected in the fungal culture after addition of 3.5% NaNO<sub>3</sub>. In this study we report the structure elucidation and the biological activities of the isolated compounds.

### **Results and Discussion**

Compound 1 had the molecular formula  $C_{22}H_{24}O_4N_2$  as established by HRESIMS exhibiting 12 degrees of unsaturation. The <sup>13</sup>C NMR spectrum of 1 (Table 1) included 22 signals, corresponding to two methyls, two methylenes, two alphatic methines and ten aromatic methines as well as six quaternary carbons. The <sup>1</sup>H NMR spectrum of **1** (Table 2) showed the presence of a monosubstituted benzene ring and an indole moiety as indicated by signals between  $\delta_{\rm H}$  6 and 8, two -CH<sub>2</sub>-CH- units and two methyl groups ( $\delta_{\rm H}$  3.70 and 3.62). These data were similar to those of the co-isolated known alkaloid N-[(2S)-2-hydroxy-1-oxo-3-phenylpropyl]-L-tryptophan methyl ester (11).<sup>16</sup> However, the detection of an additional methyl substituent at  $\delta_{\rm C}$  32.7 and  $\delta_{\rm H}$  3.70 and the HMBC correlation from the protons of this methyl group to C-10 ( $\delta_{\rm C}$  138.5) and C-12 ( $\delta_{\rm C}$  129.0) indicated its attachment to N-11. Detailed analysis of the 2D NMR spectra of 1 (Figure 2) revealed that its remaining substructure was identical to that of 11. The absolute configuration of 1 was determined by X-ray single crystal analysis as 2S, 2'S (Figure 3), being identical to that of 11. Thus, the structure of 1, for which the trial name aculeatine A is proposed, was elucidated as shown in Figure 1.

Compound 2 possessed the molecular formula  $C_{21}H_{22}O_4N_2$  as determined by HRESIMS, which indicated the loss of a methyl group compared to 1. This was confirmed by the absence of the <sup>1</sup>H and <sup>13</sup>C signals of the methoxy group attached to

C-1 in the NMR spectra of **2** (Tables 1 and 2). The structure of **2** was confirmed by detailed analysis of the 2D NMR spectra. Its absolute configuration was determined as 2S, 2'S by X-ray single crystal analysis (Figure 3).



Figure 2. COSY and key HMBC correlations of compounds 1, 3 and 4.



Figure 3. Molecular structure of 1 and 2 from single-crystal X-ray diffractometry.

The molecular formula of compound **3** was determined as C<sub>27</sub>H<sub>32</sub>O<sub>4</sub>N<sub>2</sub> by HRESIMS. The <sup>13</sup>C NMR spectrum of **3** exhibited five additional carbons compared to **1**. The <sup>1</sup>H NMR spectrum of **3** was similar to that of compound **1** except for the observation of two singlet methyls at  $\delta_{\rm H}$  1.66 (Me-17 and 18) and signals of a terminal double bond at  $\delta_{\rm H}$  6.23 (H-15), 5.11 and 4.98 (H<sub>2</sub>-16) as well as the absence of the singlet aromatic proton at C-12. The COSY correlations between H-15 and H<sub>2</sub>-16 together with the HMBC correlations from Me-17 and Me-18 to C-12 ( $\delta_{\rm C}$  142.2), C-14 ( $\delta_{\rm C}$  41.9) and C-15 ( $\delta_{\rm C}$  149.1) and from Me-13 ( $\delta_{\rm H}$  3.72) to C-12 and C-10 ( $\delta_{\rm C}$  139.0) indicated the attachment of a 1,1-dimethylprop-2-en-1-yl side chain at C-12. Detailed analysis of the 2D NMR spectra of **3** (Figure 2) revealed that its remaining substructure was identical to that of **1**.

Compound **4** had the same molecular formula as **3**. The <sup>1</sup>H and <sup>13</sup>C NMR data were also similar to those of **3**. The appearance of a broad triplet proton ( $\delta_{\rm H}$  5.11, H-15) and one methylene group ( $\delta_{\rm H}$  3.48 and 3.44, H<sub>2</sub>-14) together with two olefinic methyl groups ( $\delta_{\rm H}$  1.74 and 1.82, Me-17 and 18) in the <sup>1</sup>H NMR spectrum of **4** suggested the existence of a 3-methyl-but-2-en-1-yl group at C-12, which was confirmed by the COSY correlations between H-15 and H<sub>2</sub>-14 together with the HMBC correlations from Me-17 and 18 to C-15 ( $\delta_{\rm C}$  122.4) and C-16 ( $\delta_{\rm C}$  133.9), and from H<sub>2</sub>-14 to C-4 ( $\delta_{\rm C}$  106.0) and C-12 ( $\delta_{\rm C}$  138.8) (Figure 2).

Compound **5** was isolated as white powder. Its molecular formula was established as C<sub>26</sub>H<sub>30</sub>O<sub>4</sub>N<sub>2</sub> by HRESIMS. The <sup>1</sup>H and <sup>13</sup>C NMR spectra of **5** were similar to those of **4** except for the appearance of a singlet methine at  $\delta_{\rm H}$  6.70 (H-12) and the absence of the methyl group attached to N-11. The COSY correlations between H-15 ( $\delta_{\rm H}$  5.32) and H<sub>2</sub>-14 ( $\delta_{\rm H}$  4.66) along with the HMBC correlations from H-14 to C-10 ( $\delta_{\rm C}$  137.8) and C-12 ( $\delta_{\rm C}$  127.6), and from both Me-17 ( $\delta_{\rm H}$  1.74) and Me-18 ( $\delta_{\rm H}$  1.83) to C-15 ( $\delta_{\rm C}$ 121.5) and C-16 ( $\delta_{\rm C}$  137.1) indicated the presence of a 3-methyl-but-2-en-1-yl residue and its attachment to N-11 of compound **5** (Figure 4).

The molecular formula of **6** was determined as  $C_{25}H_{28}O_4N_2$ , indicating the lack of a methyl group compared to **5**, which was confirmed by the absence of signals of the methoxy group at C-1 compared to **5** (Tables 3 and 4). The remaining substructure of **6** was unambiguously elucidated to be identical to that of **5** by detailed analysis of 2D NMR spectra.

No.	1	2	3	4	5
1	173.4, C	174.8, C	173.9, C	173.8, C	173.5, C
2	53.7, CH	53.7, CH	55.3, CH	54.3, CH	53.6, CH
3	28.4, CH <sub>2</sub>	28.4, CH <sub>2</sub>	29.2, CH <sub>2</sub>	28.0, CH <sub>2</sub>	28.5, CH <sub>2</sub>
4	109.2, C	109.5, C	107.5, C	106.0, C	109.4, C
5	129.1, C	129.4, C	130.3, C	129.1, C	129.4, C
6	119.6 CH	119.8 CH	119.2 CH	119.0 CH	119.6 CH
7	119.9, CH	119.9, CH	120.1, CH	120.0, CH	120.0, CH
8	122.6, CH	122.5, CH	122.7, CH	122.0, CH	122.5, CH
9	110.2, CH	110.1, CH	109.7, CH	109.7, CH	110.6, CH
10	138.5, C	138.5, C	139.0, C	138.4, C	137.8, C
12	129.0, CH	129.0, CH	142.2, C	138.8, C	127.6, CH
13	32.7, CH <sub>3</sub>	32.7, CH <sub>3</sub>	33.8, CH <sub>3</sub>	30.0, CH <sub>3</sub>	
14			41.9, C	24.8, CH <sub>2</sub>	44.8, CH <sub>2</sub>
15			149.1, CH	122.4, CH	121.5, CH
16			112.8, CH <sub>2</sub>	133.9, C	137.1, C
17			30.0, CH <sub>3</sub>	25.8, CH <sub>3</sub>	25.8, CH <sub>3</sub>
18			29.9, CH <sub>3</sub>	18.1, CH <sub>3</sub>	18.1, CH <sub>3</sub>
1′	175.8, C	175.8, C	176.0, C	176.1, C	175.9, C
2'	73.4, CH	73.6, CH	73.7, CH	73.7, CH	73.5, CH
3'	41.4, CH <sub>2</sub>	41.5, CH <sub>2</sub>	41.2, CH <sub>2</sub>	41.4, CH <sub>2</sub>	41.6, CH <sub>2</sub>
4′	138.8, C	139.0, C	139.1, C	138.9, C	138.9, C
5', 9'	130.9 CH	130.9 CH	130.6 CH	130.6 CH	130.9 CH
6', 8'	129.1, CH	129.1, CH	129.0, CH	129.0, CH	129.1, CH
7′	127.5, CH	127.5, CH	127.3, CH	127.4, CH	127.5, CH
OMe	52.8, CH <sub>3</sub>		52.6, CH <sub>3</sub>	52.8, CH <sub>3</sub>	52.8, CH <sub>3</sub>

Table 1. <sup>13</sup>C NMR data of compounds 1–5 (CD<sub>3</sub>OD, 150 MHz).

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Table 2. <sup>1</sup>H NMR data of compounds 1–5 (CD<sub>3</sub>OD, 600 MHz).

No. <b>1</b> 2 4.74, dd (6.2, 5.4) 3 3.21, dd (14.6, 6.2) 3.07, dd (14.6, 5.4) 6 7.41, d (7.9) 7 7.03, dd (7.9, 7.2) 8 7.15, dd (8.2, 7.2) 9 7.29, d (8.2) 12 6.61, s 13 3.70, s 14 15 16	2 471 dd (62 52)	3	4	ĸ
<ul> <li>2 4.74, dd (6.2, 5.4)</li> <li>3 3.21, dd (14.6, 6.2)</li> <li>3.07, dd (14.6, 5.4)</li> <li>6 7.41, d (7.9)</li> <li>7 7.03, dd (7.9, 7.2)</li> <li>8 7.15, dd (8.2, 7.2)</li> <li>9 7.29, d (8.2)</li> <li>12 6.61, s</li> <li>13 3.70, s</li> <li>14</li> <li>15</li> <li>16</li> </ul>	471 dd (62 52)			
<ul> <li>3 3.21, dd (14.6, 6.2)</li> <li>3.07, dd (14.6, 5.4)</li> <li>6 7.41, d (7.9)</li> <li>7 7.03, dd (7.9, 7.2)</li> <li>8 7.15, dd (8.2, 7.2)</li> <li>9 7.29, d (8.2)</li> <li>12 6.61, s</li> <li>13 3.70, s</li> <li>14</li> <li>15</li> <li>16</li> </ul>	1.1.1, au (0.4, 0.4)	4.69, dd (8.5, 7.3)	4.65, dd (7.2, 6.6)	4.74, dd (6.1, 5.4)
<ul> <li>3.07, dd (14.6, 5.4)</li> <li>7.41, d (7.9)</li> <li>7.03, dd (7.9, 7.2)</li> <li>7.15, dd (8.2, 7.2)</li> <li>7.29, d (8.2)</li> <li>7.29, d (8.2)</li> <li>3.70, s</li> <li>3.70, s</li> <li>15</li> </ul>	3.24, dd (14.7, 6.2)	3.45, dd (14.9, 7.3)	3.15, dd (14.7, 6.6)	3.22, dd (14.7, 6.1)
<ul> <li>7.41, d (7.9)</li> <li>7.03, dd (7.9, 7.2)</li> <li>7.15, dd (8.2, 7.2)</li> <li>7.29, d (8.2)</li> <li>7.29, d (8.2)</li> <li>3.70, s</li> <li>3.70, s</li> <li>3.70, s</li> <li>15</li> </ul>	3.14, dd (14.7, 5.2)	3.26, dd (14.9, 8.5)	3.10, dd (14.7, 7.2)	3.05, dd (14.7, 5.4)
7 7.03, dd (7.9, 7.2) 8 7.15, dd (8.2, 7.2) 9 7.29, d (8.2) 12 6.61, s 13 3.70, s 14 15 15	7.49, d (7.9)	7.50, d (7.8)	7.40, d (7.8)	7.40, d (7.9)
8 7.15, dd (8.2, 7.2) 9 7.29, d (8.2) 12 6.61, s 13 3.70, s 14 15 15	7.02, dd (7.9, 7.2)	7.07, dd (7.8, 7.2)	7.02, dd (7.8, 7.1)	7.02, dd (7.9, 7.1)
9 7.29, d (8.2) 12 6.61, s 13 3.70, s 14 15 16	7.14, dd (8.2, 7.2)	7.14, dd (8.1, 7.2)	7.10, dd (8.2, 7.1)	7.13, dd (8.2, 7.1)
12 6.61, s 13 3.70, s 14 15 16	7.29, d (8.2)	7.25, d (8.1)	7.26, d (8.2)	7.29, d (8.2)
13 3.70, s 14 15 16	6.66, s			6.71, s
14 15 16	3.70, s	3.72, s	3.60, s	
15 16			3.48, dd (16.6, 6.6)	4.66, d (6.9)
15 16			3.44, dd (16.6, 6.6)	
16		6.23, dd (17.5, 10.6)	5.11, br t (6.6)	5.32, br t (6.9)
		5.11, d (10.6)		
		4.98, d (17.5)		
17		1.66, s	1.74, s	1.74, s
18		1.66, s	1.82, s	1.83, s
2' 4.24, dd (7.1, 4.0)	4.22, dd (7.4, 3.8)	4.10, dd (8.1, 3.7)	4.17, dd (7.8, 3.8)	4.22, dd (7.3, 3.9)
3' 2.97, dd (13.9, 4.0)	2.96, dd (13.9, 3.8)	2.64, dd (13.9, 3.7)	2.85, dd (13.9, 3.8)	2.96, dd (13.9, 3.9)
2.78, dd (13.9, 7.1)	2.73, dd (13.9, 7.4)	2.24, dd (13.9, 8.1)	2.56, dd (13.9, 7.8)	2.76, dd (13.9, 7.3)
5', 9' 7.20, d (7.0)	7.19, t (7.1)	7.05, d (7.1)	7.11, d (7.2)	7.20, d (7.2)
6', 8' 7.24, t (7.0)	7.22, d (7.1)	7.15, t (7.1)	7.16, t (7.2)	7.23, t (7.2)
7' 7.17, t (7.0)	7.17, t (7.1)	7.12, t (7.1)	7.12, t (7.2)	7.17, t (7.2)
OMe 3.62, s		3.49, s	3.60, s	3.63, s

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Compound 7 possessed the molecular formula  $C_{27}H_{32}O_4N_2$  as deduced from the HRESIMS data. The <sup>1</sup>H and <sup>13</sup>C NMR spectra of 7 were similar to those of 4, revealing the presence of one monosubstituted benzene ring, an indole moiety and a 3-methyl-but-2-en-1-yl side chain. However, the appearance of an aromatic proton signal at  $\delta_H$  6.82 (H-7), which was split to a doublet, suggested that the 3-methyl-but-2-en1-yl group was substituted on the benzene ring of the tryptophan moiety. The HMBC correlation from H<sub>2</sub>-14 ( $\delta_H$  3.73) to C-5 ( $\delta_C$  126.8), C-6 ( $\delta_C$  135.4) and C-7 ( $\delta_C$  120.7), and from H-7 to C-5, C-9 ( $\delta_C$  108.5) and C-14 ( $\delta_C$  33.3) indicated the 3-methyl-but-2-enyl group to be located at C-6. The structure of 7 was determined by analysis of the 2D NMR spectra as shown in Figure 4.

Compound **8** possessed the molecular formula  $C_{26}H_{30}O_4N_2$  as established by HRESIMS, thus lacking a methyl group compared to **7**. The <sup>1</sup>H and <sup>13</sup>C NMR spectra were almost identical to those of **7** except for the absence of signals of the methoxy group at C-1, which was further confirmed by detailed analysis of the 2D NMR data of **8**.

Compound **9** had the molecular formula  $C_{26}H_{32}O_5N_2$  as determined by HRESIMS, corresponding to 12 degrees of unsaturation. The <sup>1</sup>H NMR spectra of **9** (Table 4) revealed the presence of a 1,2,4-trisubstituted benzene ring and a monosubstituted benzene ring, suggesting a substituent at C-7 or C-8 of the indole moiety. A 3-hydroxy-3-methylbutyl moiety was established based on the correlation between H<sub>2</sub>-14 ( $\delta_{\rm H}$  2.77) and H<sub>2</sub>-15 ( $\delta_{\rm H}$  1.80) together with the HMBC correlations from Me-17 ( $\delta_{\rm H}$  1.24) and Me-18 ( $\delta_{\rm H}$  1.25) to C-16 ( $\delta_{\rm C}$  71.4) and C-15 ( $\delta_{\rm C}$  47.8). In addition, the HMBC

correlation from H<sub>2</sub>-14 to C-6 ( $\delta_{C}$  118.9), C-7 ( $\delta_{C}$  134.4) and C-8 ( $\delta_{C}$  123.5), from H-6 ( $\delta_{H}$  7.36) to C-4 ( $\delta_{C}$  109.4), C-8, C-10 ( $\delta_{C}$  137.1) and C-14 ( $\delta_{C}$  32.0), and from H-8 ( $\delta_{H}$  7.02) to C-6, C-10 and C-14 indicated the 3-hydroxy-3-methylbutyl moiety to be attached at C-7 (Figure 4).

No.	<b>6</b> <sup>a</sup>	7	8	9	10
1	176.4, C	173.7, C	175.0, C	175.8, C	175.6, C
2	54.3, CH	54.7, CH	54.4, CH	54.3, CH	54.1, CH
3	28.5, CH <sub>2</sub>	30.3, CH <sub>2</sub>	30.4, CH <sub>2</sub>	28.3, CH <sub>2</sub>	28.4, CH <sub>2</sub>
4	110.0, C	110.1, C	110.4, C	109.4, C	109.7, C
5	129.6, C	126.8, C	127.0, C	129.8, C	127.7, C
6	119.8, CH	135.4 C	135.5 C	118.9 CH	119.8 CH
7	119.5, CH	120.7, CH	120.7, CH	134.4, C	121.0, CH
8	122.0, CH	122.7, CH	122.6, CH	123.5, CH	137.7, C
9	110.1, CH	108.5, CH	108.4, CH	110.0, CH	109.4, CH
10	137.4, C	139.3, C	139.3, C	137.1, C	138.8, C
12	127.3, CH	129.4, CH	129.3, CH	129.1, CH	128.5, CH
13		32.9, CH <sub>3</sub>	32.9, CH <sub>3</sub>	32.7, CH <sub>3</sub>	32.7, CH <sub>3</sub>
14	44.5, CH <sub>2</sub>	33.3, CH <sub>2</sub>	33.4, CH <sub>2</sub>	32.0, CH <sub>2</sub>	32.2, CH <sub>2</sub>
15	121.4, CH	125.5, CH	125.5, CH	47.8, CH <sub>2</sub>	47.8, CH <sub>2</sub>
16	136.5, C	132.9, C	132.9, C	71.4, C	71.4, C
17	25.5, CH <sub>3</sub>	25.9, CH <sub>3</sub>	25.9, CH <sub>3</sub>	29.3, CH <sub>3</sub>	29.3, CH <sub>3</sub>
18	17.8, CH <sub>3</sub>	18.2, CH <sub>3</sub>	18.3, CH <sub>3</sub>	29.2, CH <sub>3</sub>	29.2, CH <sub>3</sub>
1′	175.4, C	176.1, C	176.1, C	175.9, C	175.8, C
2'	73.6, CH	73.8, CH	73.8, CH	73.8, CH	73.6, CH
3'	41.3, CH <sub>2</sub>	41.7, CH <sub>2</sub>	41.7, CH <sub>2</sub>	41.6, CH <sub>2</sub>	41.4, CH <sub>2</sub>
4′	138.9, C	138.9, C	139.0, C	139.1, C	139.1, C
5′, 9′	130.5, CH	130.6 CH	130.6 CH	130.8 CH	130.8 CH
6', 8'	128.8, CH	129.1, CH	129.1, CH	129.1, CH	129.1, CH
7′	127.1, CH	127.4 CH	127.4, CH	127.4, CH	127.4, CH
OCH <sub>3</sub>		52.7, CH <sub>3</sub>			

Table 3. <sup>13</sup>C NMR data of compounds 6–10 (CD<sub>3</sub>OD, 150 MHz).

<sup>a</sup> Measured at 175 MHz.

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Table 4. <sup>1</sup>H NMR data of compounds 6–10 (CD<sub>3</sub>OD, 600 MHz).

No.	<b>6</b> <sup>a</sup>	7	8	6	10
2	4.66, m	4.71, dd (8.7, 5.6)	4.70, dd (8.9, 4.8)	4.67, m	4.67, m
С	3.23, dd (14.5, 6.1)	3.38, dd (15.0, 5.6)	3.45, dd (15.1, 4.8)	3.21, dd (14.8, 5.8)	3.20, dd (14.8, 5.9)
	3.17, dd (14.5, 4.7)	3.18, dd (15.0, 8.7)	3.20, dd (15.1, 8.9)	3.16, dd (14.8, 4.7)	3.13, dd (14.8, 4.7)
9	7.53, d (7.8)			7.36, s	7.40, d (8.0)
L	7.01, dd (7.8, 7.1)	6.82, d (7.2)	6.82, d (7.0)		6.91, d (8.0)
8	7.11, dd (8.3, 7.1)	7.06, dd (8.6, 7.2)	7.06, dd (8.3, 7.0)	7.02, d (8.3)	
6	7.27, d (8.3)	7.16, d (8.6)	7.15, d (8.3)	7.20, d (8.3)	7.11, s
12	6.80, s	6.82, s	6.84, s	6.68, s	6.63, s
13		3.70, s	3.69, s	3.68, s	3.68, s
14	4.65, m	3.73, m	3.77, dd (16.3, 6.8)	2.77, m	2.78, m
			3.73, dd (16.3, 6.8)		
15	5.32, br t (6.8)	5.31, br t (6.6)	5.32, br t (6.8)	1.80, m	1.79, m
17	1.72, s	1.76, s	1.76, s	1.24, s	1.26, s
18	1.83, s	1.77, s	1.77, s	1.25, s	1.26, s
2,	4.16, dd (8.0, 3.5)	4.19, dd (7.8, 3.9)	4.18, dd (8.0, 3.8)	4.19, dd (7.8, 3.6)	4.19, dd (7.6, 3.7)
3'	2.93, dd (13.9, 3.5)	2.88, dd (13.9, 3.9)	2.87, dd (13.9, 3.8)	2.95, dd (13.9, 3.6)	2.93, dd (13.9, 3.7)
	2.63, dd (13.9, 8.0)	2.62, dd (13.9, 7.8)	2.58, dd (13.9, 8.0)	2.65, dd (13.9, 7.8)	2.66, dd (13.9, 7.6)
5', 9'	7.16, d (7.2)	7.11, d (6.9)	7.11, d (6.9)	7.17, d (7.2)	7.17, d (7.2)
6′, 8′	7.20, t (7.2)	7.14, t (6.9)	7.12, t (6.9)	7.20, t (7.2)	7.22, t (7.2)
٦,	7.15, t (7.2)	7.10, t (6.9)	7.10, t (6.9)	7.15, t (7.2)	7.16, t(7.2)
OCH <sub>3</sub>		3.66, s			
<sup>a</sup> Measur	ed at 700 MHz.				

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Figure 4. COSY and key HMBC correlations of compounds 5, 7, 9 and 10.

Compound **10** shared the same molecular formula as **9**. The <sup>1</sup>H NMR data of **10** resembled those of compound **9** except for the chemical shifts of the aromatic protons of the indole moiety. The HMBC correlation from H<sub>2</sub>-14 ( $\delta_{\rm H}$  2.78) to C-7 ( $\delta_{\rm C}$  121.0), C-8 ( $\delta_{\rm C}$  137.7) and C-9 ( $\delta_{\rm C}$  109.4), from H-7 ( $\delta_{\rm H}$  6.91) to C-5 ( $\delta_{\rm C}$  127.7), C-9 and C-14 ( $\delta_{\rm C}$  32.3), and from H-9 ( $\delta_{\rm H}$  7.11) to C-5, C-7 and C-14 confirmed that the 3-hydroxy-3-methylbutyl moiety was located at the C-8 position. The remaining substructure of **10** was the same as that of **9** as confirmed by detailed analysis of 2D NMR spectra of **10** (Figure 4).

Compounds 3–10 share the same core structure with compounds 1 and 2, for which the absolute configuration had been assigned through X-ray analysis. Hence it is concluded on biogenetic terms that the absolute configuration of the former compounds is also 2*S*, 2'*S*. Compounds 11 and 12 were determined to have 2*S* absolute configuration by Marfey's reaction. Based on the close biogenetic similarity, the absolute configuration at C-2' of the latter two compounds is assumed to be S.

A plausible biosynthetic pathway of the indole alkaloids obtained from *A*. *aculeatus* is proposed to start from L-tryptophan and phenylpyruvate. The important intermediate **12** is suggested to be formed by a condensation reaction between Ltryptophan and L-phenyllactic acid. The indole metabolites isolated in this study could be produced by further methylation of **12** and prenylation of the indole nucleus of tryptophan as shown in figure 5.

All isolated compounds (1–25) were evaluated for their cytotoxicity against the L5178Y mouse lymphoma cell line. Secalonic acids D and F (19 and 20), asperdichrome (21) and RF 3192C (22) showed cytotoxicity with IC<sub>50</sub> values of 3.4, 1.4, 7.3 and 23.7  $\mu$ M, respectively, whereas the remaining compounds proved to be inactive when assayed at a dose of 10  $\mu$ g/mL.



Figure 5. Plausible biosynthesis of indole alkaloids from *A. aculeatus*.

The results of the OSMAC experiments indicated that indole alkaloids were induced by nitrate but not by sodium in the medium. In the absence of nitrogen sources

favoured by the fungus including ammonium and glutamine, fungi are able to use secondary nitrogen sources such as nitrate, purines, urea, amines and amides etc., which is commonly known as nitrogen metabolite repression (NMR).<sup>28</sup> In fungi, the activity of nitrogen regulators for derepression of NMR genes, which also affect secondary metabolites formation, is regulated by the intracellular nitrogen status and extracellular nitrogen availability.<sup>29,30</sup> For example, the main GATA transcriptional regulator of nitrogen metabolism AreA accumulates in the nucleus in Fusarium graminearum with nitrate as sole nitrogen source, which is required for activation of the nitrate assimilation system including the nitrate reductase genes.<sup>31</sup> Thus, the biosynthesis of fungal secondary metabolites can be affected by the quality and quantity of the nitrogen sources. For example, 67% of secondary metabolites silent gene clusters of Fusarium *fujikuroi* were expressed based on the modification of nitrogen sources.<sup>32</sup> Furthermore, the natural product beauvericin was accumulated by Fusarium oxysporum by utilizing nitrate as sole nitrogen source.<sup>33</sup> In this study, the production of indole alkaloids was stimulated by the activation of the nitrate assimilation system in A. aculeatus due to the presenc of sodium nitrate in medium.

The indole alkaloids identified in this study showed no cytotoxic or antibacterial activity. However, the two known indole alkaloids (**11** and **12**) were claimed as plant growth regulators in a patent and showed pronounced rooting promoting effect.<sup>34</sup> It may hence be hypothesized that a high concentration of nitrate in host plants, as simulated in this study by addition of sodium nitrate to solid rice medium, may induce the production of plant growth stimulating indole alkaloids of the endophytic fungus *A*.

*aculeatus*.<sup>35</sup> This could lead to an increased growth and production of biomass by the host plant. In return, the fungus could receive nutrients, water, minerals and nitrogen from its host. Further studies will be necessary to evaluate this hypothesis.

# **Experimental Section**

General Procedures. A Jasco P-2000 polarimeter was used to measure the optical rotation. 1D and 2D NMR spectra were recorded on Bruker Avance DMX 600 or 700 NMR spectrometers. Chemical shifts were referenced to the solvent residual peaks. Mass spectra were recorded with a LC-MS HP1100 Agilent Finnigan LCQ Deca XP Thermoquest and HRESIMS were measured with a UHR-QTOF maXis 4G (Bruker Daltonics) mass spectrometer. HPLC analysis was performed on a Dionex 3000 RS system coupled with an Ultimate 3000 pump and a photodiode array detector (DAD 300RS). The analytical column (125  $\times$  4 mm) was prefilled with Eurosphere-10 C<sub>18</sub> (Knauer, Germany), and the following gradient solvent system was used: 0 min (10% MeOH), 5 min (10% MeOH), 35 min (100% MeOH), and 45 min (100% MeOH). Semipreparative HPLC was performed using a Merck Hitachi HPLC System (UV detector L-7400; pump L-7100; Eurosphere-100  $C_{18}$ , 300 × 8 mm, Knauer) with MeOH-H<sub>2</sub>O as mobile phase and a flow rate of 5.0 mL/min. Column chromatography was carried out using Merck MN silica gel 60 M (0.04–0.063 mm). TLC plates with silica gel F<sub>254</sub> (Merck) were used to monitor and collect fractions under detection at 254 and 366 nm. Distilled and spectral-grade solvents were used for column chromatography and spectroscopic measurements, respectively.

Fungal Material and Cultivation. A. aculeatus was isolated from leaves of

*Carica papaya* collected in Awka in Nigeria and was identified by DNA amplification, sequencing of ITS region and by comparing with GenBank data (GeneBank accession No. KX137846) following standard procedures.<sup>36</sup>

The fungal strain was grown on solid rice medium (100 g rice and 100 mL distilled water autoclaved) in ten Erlenmeyer flasks (1 L each) at 22 °C under static conditions for 14 days. The OSMAC experiments were performed on rice medium containing either 3.5% NaCl, 3.5% NaBr, 3.5% NaI, 1% NaF, 3.5% NaNO<sub>3</sub>, 3.5% NH<sub>4</sub>Cl, 3.5% (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> or 3.5% CH<sub>3</sub>COONH<sub>4</sub> under static conditions until they reached their stationary phase of growth (16 days except for rice media spiked with 1% NaF or 3.5% NH<sub>4</sub>COOH where the fungus failed to grow).

**Extraction and Isolation.** Fungal cultures were extracted with EtOAc followed by evaporation under reduced pressure. Initial purification of the EtOAc extract (12.5 g) of the fungal culture fermented on rice was performed by partitioning between *n*-hexane and 90% aqueous MeOH. The 90% aqueous MeOH phase (9.2 g) was centrifuged and then fractionated by vacuum liquid chromatography on reversed-phase silica gel using a gradient elution of H<sub>2</sub>O-MeOH (10:90 – 0:100) to give 10 fractions (Fr.1 to Fr.10).

Fr.2 (152 mg) was chromatographed on a Sephadex LH-20 column with MeOH followed by further purification using semi-preparative HPLC to give **17** (1.5 mg), **18** (7.0 mg) and **24** (0.5 mg). Compound **19** (30.2 mg) was obtained from Fr.3 (835 mg) by recrystallization. Part of Fr.3 was purified by semi-preparative HPLC to give **20** (20.0 mg). Fr.4 (208 mg) was subjected to a Sephadex LH-20 column with MeOH to

give two subfractions (Fr.4-1 and Fr.4-2). Fr.4-1 was further purified by a silica gel column with DCM/MeOH as mobile phase to give **15** (3.8 mg). Compound **1** (13.1 mg) was obtained by recrystallization from Fr.4-2. Fr.5 (160 mg) was separated by a Sephadex LH-20 column followed by purification using semi-preparative HPLC to yield **14** (0.7 mg) and **21** (8.2 mg). Compound **11** (3.2 mg), **22** (1.2 mg) and **25** (2.4 mg) were obtained from Fr.6 (54 mg) by semi-preparative HPLC. Fr.7 (101 mg) was subjected to a Sephadex LH-20 column with MeOH as mobile phase and then purified by semi-preparative HPLC to give **23** (2.0 mg) and **16** (0.8 mg).

The fungal cultures from the OSMAC experiments that were grown on rice medium containing different salts were extracted with EtOAc ( $2 \times 500$  mL) followed by solvent evaporation under reduced pressure. The obtained crude extracts were analyzed by HPLC. The EtOAc extracts (28.6 g) of fungal cultures that had been grown on rice medium (30 flasks) after adding 3.5% NaNO<sub>3</sub> were dissolved in MeOH and then subjected to vacuum filtering. The obtained MeOH solution was evaporated under reduced pressure and fractionated by vacuum liquid chromatography on silica gel using a gradient elution of *n*-hexane-EtOAc to give 24 fractions (Fr.N1 to Fr.N24). Fr.N19 (221 mg) was separated by a Sephadex LH-20 column with MeOH as mobile phase followed by semi-preparative HPLC to give **3** (3.5 mg) and **4** (15.0 mg). Fr.N22 (465 mg) was separated by a Sephadex LH-20 column with MeOH as mobile phase to give 3 fractions. Fr.N22-2 (54 mg) was further purified by semi-preparative HPLC to give **1** (10.1 mg), **2** (10.4 mg), **11** (3.5 mg), **12** (3.4 mg) and **13** (2.5 mg). Fr.N22-3 (380 mg) was further purified by a silica gel column with DCM-MeOH as mobile phase followed

by semi-preparative HPLC to give **5** (2.4 mg), **6** (0.9 mg), **7** (4.8 mg), **8** (4.7 mg), **9** (1.2 mg) and **10** (1.2 mg).

Aculeatine A (1), white needle crystals;  $[\alpha]_{D}^{20}$  +23 (*c* 0.25, MeOH); UV (MeOH):  $\lambda_{max}$  221, 288 nm; HRESIMS *m/z* 381.1810 [M+H]<sup>+</sup> (calcd 381.1809 for C<sub>22</sub>H<sub>25</sub>O<sub>4</sub>N<sub>2</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 1 and 2.

*Aculeatine B (2)*, colorless needle crystals;  $[\alpha]_{D}^{20}$  +3 (*c* 0.40, MeOH); UV (MeOH):  $\lambda_{max}$  227, 288 nm; HRESIMS *m/z* 367.1653 [M+H]<sup>+</sup> (calcd 367.1652 for C<sub>21</sub>H<sub>23</sub>O<sub>4</sub>N<sub>2</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 1 and 2.

Aculeatine C (3), white amorphous powder;  $[\alpha]_{D}^{20}$  –54 (c 0.70, MeOH); UV (MeOH):  $\lambda_{max}$  227, 288 nm; HRESIMS m/z 449.2439 [M+H]<sup>+</sup> (calcd 449.2435 for C<sub>27</sub>H<sub>33</sub>O<sub>4</sub>N<sub>2</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 1 and 2.

Aculeatine D (4), white amorphous solid;  $[\alpha]_{D}^{20}$  -47 (c 1.7, MeOH); UV (MeOH):  $\lambda_{max}$  233, 286 nm; HRESIMS *m/z* 449.2435 [M+H]<sup>+</sup> (calcd 449.2435 for C<sub>27</sub>H<sub>33</sub>O<sub>4</sub>N<sub>2</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 1 and 2.

Aculeatine E (5), white amorphous powder;  $[\alpha]_{D}^{20}$  –2 (c 0.48, MeOH); UV (MeOH):  $\lambda_{max}$  228, 287 nm; HRESIMS m/z 435.2281 [M+H]<sup>+</sup> (calcd 435.2278 for C<sub>26</sub>H<sub>31</sub>O<sub>4</sub>N<sub>2</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 1 and 2.

Aculeatine F (6), white amorphous solid;  $[\alpha]_{D}^{20}$  –11 (c 0.20, MeOH); UV (MeOH):  $\lambda_{max}$  228, 288 nm; HRESIMS *m/z* 421.2122 [M+H]<sup>+</sup> (calcd 421.2122 for C<sub>25</sub>H<sub>29</sub>O<sub>4</sub>N<sub>2</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 3 and 4.

Aculeatine G (7), white amorphous powder;  $[\alpha]_{D}^{20}$  –24 (c 0.20, MeOH); UV (MeOH):  $\lambda_{max}$  225, 291 nm; HRESIMS m/z 449.2435 [M+H]<sup>+</sup> (calcd 435.2435 for

 $C_{27}H_{33}O_4N_2$ ) and *m/z* 471.2252 [M+Na]<sup>+</sup> (calcd. 471.2254 for  $C_{27}H_{32}O_4N_2Na$ ); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 3 and 4.

Aculeatine H (8), white amorphous powder;  $[\alpha]_{D}^{20}$  –41 (*c* 0.94, MeOH); UV (MeOH):  $\lambda_{max}$  226, 291 nm; HRESIMS *m/z* 435.2282 [M+H]<sup>+</sup> (calcd 435.2278 for C<sub>26</sub>H<sub>31</sub>O<sub>4</sub>N<sub>2</sub>) and *m/z* 457.2099 [M+Na]<sup>+</sup> (calcd. 457.2098 for C<sub>26</sub>H<sub>30</sub>O<sub>4</sub>N<sub>2</sub>Na); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 3 and 4.

Aculeatine I (9), white amorphous solid;  $[\alpha]_{D}^{20}$  -35 (*c* 0.24, MeOH); UV (MeOH):  $\lambda_{max}$  225, 292 nm; HRESIMS *m/z* 453.2383 [M+H]<sup>+</sup> (calcd 453.2384 for C<sub>26</sub>H<sub>33</sub>O<sub>5</sub>N<sub>2</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 3 and 4.

*Aculeatine J* (10), light yellow amorphous solid;  $[\alpha]_{D}^{20}$  –19 (*c* 0.24, MeOH); UV (MeOH):  $\lambda_{max}$  226, 287 nm; HRESIMS *m/z* 453.2380 [M+H]<sup>+</sup> (calcd 453.2384 for C<sub>26</sub>H<sub>33</sub>O<sub>5</sub>N<sub>2</sub>) and *m/z* 475.2201 [M+Na]<sup>+</sup> (calcd. 475.2203 for C<sub>26</sub>H<sub>32</sub>O<sub>5</sub>N<sub>2</sub>Na); <sup>1</sup>H and <sup>13</sup>C NMR data see Tables 3 and 4.

X-ray Crystallographic Analysis of 1 and 2. *Crystallization conditions*: Suitable single crystals of 1 and 2 were obtained by slow evaporation from methanol solution and selected under a polarized light microscope. *Data collection*: Compounds 1 and 2 were measured on a Bruker Kappa APEX2 CCD diffractometer with micro focus tube using Cu–K $\alpha$  radiation ( $\lambda$ = 1.54178 Å). APEX2 was used for data collection,<sup>37</sup> SAINT for cell refinement and data reduction,<sup>37</sup> and SADABS for experimental absorption correction.<sup>38</sup> SHELXT was used for the structure solution by instrinsic phasing,<sup>39</sup> SHELXL-2017 was used for refinement by full-matrix least-squares on  $F^{2,40}$  The hydrogen atoms were positioned geometrically (with C-H = 0.95 Å for aromatic CH,

1.00 Å for tertiary CH, 0.99 Å for CH<sub>2</sub> and 0.98 Å for CH<sub>3</sub>). The refinement was carried out using riding models (AFIX 43, 13, 23, 137, respectively), with Uiso(H) = 1.2 U<sub>eq</sub> (CH, CH<sub>2</sub>) and 1.5 U<sub>eq</sub> (CH3). The hydrogen atoms in the hydroxy and amine groups were refined with U<sub>iso</sub>(H) = 1.5 U<sub>eq</sub>(O/N). The hydrogen atoms in the solvent methanol molecule in **2** were refined with U<sub>iso</sub>(H) = 1.5 U<sub>eq</sub>(O).

The absolute structures of compound **1** and **2** were determined using anomalous dispersion from Cu-K $\alpha$  radiation, resulting in Flack parameters of -0.14(10) (**1**) and 0.00(3) (**2**) using Parsons quotient method.<sup>41</sup> Due to a rather high Flack parameter for **1**, its absolute structure was determined using likelihood methods.<sup>42</sup> DIAMOND was used for the drawing of all graphics.<sup>43</sup> PLATON for Windows was used for the analyses of hydrogen bonds and CH- $\pi$  interactions.<sup>44</sup> The structural data for **2** has been deposited in the Cambridge Crystallographic Data Center (CCDC No. 1589955). Crystals of **1** did not diffract beyond  $\theta$ = 44.9° (cf. desired 67.7°) for Cu-K $\alpha$  radiation, resulting in only 1538 total (1484 observed with  $I > 2\sigma(I)$ ) reflection versus 263 parameters for anisotropic refinement. Therefore the cif did not meet the requirements for publication and refinement data of compound **1** is only given in the Supporting Information.

*Crystal Data of 1:* C<sub>22</sub>H<sub>24</sub>N<sub>2</sub>O<sub>4</sub>, M = 380.43, orthorhombic system, space group  $P2_12_12_1$ , a = 11.8099(7) Å, b = 11.8099(7) Å, c = 27.4081(17) Å, V = 1936.7(2) Å<sup>3</sup>, Z = 4,  $D_{calc} = 1.305$  g/cm<sup>3</sup>, crystal size  $0.12 \times 0.03 \times 0.03$  mm<sup>3</sup>,  $\mu$ (Cu-K $\alpha$ ) = 0.73 mm<sup>-1</sup>,  $3.2^{\circ} < \theta < 44.9^{\circ}$ ,  $N_t = 16751$ , N = 1538 ( $R_{int} = 0.051$ ),  $R_1 = 0.022$ ,  $wR_2 = 0.053$ , S = 1.12, Flack parameter = -0.14(10), Hooft parameter = -0.09(8), probability for correct absolute structure P2 = 1.000.

*Crystal Data of* 2: C<sub>21</sub>H<sub>22</sub>N<sub>2</sub>O<sub>4</sub>·CH<sub>4</sub>O, M = 398.45, monoclinic system, space group C2, a = 23.52(2) Å, b = 5.994(5) Å, c = 15.843(13) Å, V = 2063(3) Å<sup>3</sup>, Z = 4,  $D_{calc} = 1.283$  g/cm<sup>3</sup>, crystal size  $0.20 \times 0.10 \times 0.05$  mm<sup>3</sup>,  $\mu$ (Cu-K $\alpha$ ) = 0.75 mm<sup>-1</sup>,  $5.9^{\circ} < \theta < 67.5^{\circ}$ ,  $N_{t} = 11796$ , N = 3416 ( $R_{int} = 0.027$ ),  $R_{1} = 0.025$ ,  $wR_{2} = 0.067$ , S = 1.09, Flack parameter = 0.00(3), Hooft parameter = 0.00(3), probability for correct absolute structure P2 = 1.000.

**Marfey's Reaction.** Compounds **11** and **12** (0.5 mg) were hydrolyzed with 2 mL 6M HCl containing 0.4%  $\beta$ -mercaptoethanol at 110 °C for 24 h. The hydrolysate was evaporated to dryness and treated separately with 4M NaOH at room temperature for 4 h. 4M HCl was used to adjust the pH to 4. The above resulting solutions were evaporated until complete elimination of HCl and then resuspended in 50  $\mu$ L H<sub>2</sub>O. To 25  $\mu$ L of each resulting solutions was added 50  $\mu$ L FDAA (1% 1-fluoro-2-4-dinitrophenyl-5-L-alanine amide in acetone) and 10  $\mu$ L NaHCO<sub>3</sub>. The reaction tubes were covered with an aluminum paper and heated over a hot plate at 40 °C for 1 h. After cooling to room temperature, 5  $\mu$ L of 2 M HCl was added and then evaporated to dryness. The residue was dissolved in 500  $\mu$ L MeOH. L-tryptophan and D-tryptophan were treated separately with FDAA in the same manner. The analysis of FDAA derivatives were carried out using HPLC and LC-MS by comparison of the retention time and molecular weight.

# Cytotoxicity Assay

Cytotoxicity was tested against the L5178Y mouse lymphoma cell line using the MTT method as described before.<sup>9</sup> Kahalalide F was used as positive control with a

IC<sub>50</sub> value as 4.3  $\mu$ M.

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#### Supplementary data

UV, HRESIMS, 1D and 2D NMR data of the new compounds 1–10; Results of X-ray analysis of compound 1 and 2.

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# **Supplementary Data**

# Indole Alkaloids Produced by an Endophytic Fungus *Aspergillus aculeatus* using an OSMAC Approach

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Results of X-ray analysis of compound 1	135
Results of X-ray analysis of compound 2	143



Figure S1. UV spectrum of 1



Figure S2. HRESIMS of 1



Figure S3. <sup>1</sup>H NMR (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S4. <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S5. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S6. HSQC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 1


Figure S7. HMBC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S8. UV spectrum of 2

Operator

Peter Tommes

Method

tune\_low\_new.m



Figure S10.<sup>1</sup>H NMR (600 MHz, methanol- $d_4$ ) spectrum of 2



Figure S11. <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) spectrum of **2** 



Figure S12. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 2



Figure S13. HSQC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 2



Figure S14. HMBC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 2



Figure S15. UV spectrum of 3



Figure S16. HRESIMS of 3

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Figure S17. <sup>1</sup>H NMR (600 MHz, methanol-*d*<sub>4</sub>) spectrum of **3** 



Figure S18. <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) spectrum of **3** 





Figure S19. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol- $d_4$ ) spectrum of **3** 



Figure S20. HSQC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 3





Figure S21. HMBC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of **3** 



Figure S22. UV spectrum of 4



Figure S23. HRESIMS of 4



Figure S24. <sup>1</sup>H NMR (600 MHz, methanol- $d_4$ ) spectrum of 4







Figure S26. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 4



Figure S27. HSQC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 4



Figure S28. HMBC (600 and 150 MHz, methanol-d<sub>4</sub>) spectrum of 4



Figure S29. UV spectrum of 5



Figure S30. HRESIMS of 5



Figure S31. <sup>1</sup>H NMR (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 5



Figure S32. <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) spectrum of 5



Figure S33. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 5



Figure S34. HSQC (600 and 150 MHz, methanol-d4) spectrum of 5





Figure S35. HMBC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 5



Figure S36. UV spectrum of 6



Figure S37. HRESIMS of 6



Figure S38. <sup>1</sup>H NMR (700 MHz, methanol-*d*<sub>4</sub>) spectrum of 6

120



Figure S39. <sup>1</sup>H-<sup>1</sup>H COSY (700 MHz, methanol- $d_4$ ) spectrum of 6



Figure S40. HSQC (700 and 175 MHz, methanol-d4) spectrum of 6



Figure S41. HMBC (700 and 175 MHz, methanol-*d*<sub>4</sub>) spectrum of 6



Figure S42. UV spectrum of 7



Figure S43. HRESIMS of 7



Figure S44. <sup>1</sup>H NMR (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 7







Figure S46. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 7



Figure S47. HSQC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 7



Figure S48. HMBC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 7



Figure S49. UV spectrum of 8



Figure S50. HRESIMS of 8

Publication 2



Figure S51. <sup>1</sup>H NMR (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 8



Figure S52. <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) spectrum of 8



Figure S53. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol- $d_4$ ) spectrum of 8



Figure S54. HSQC (600 and 150 MHz, methanol-d4) spectrum of 8



Figure S55. HMBC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 8



Figure S56. UV spectrum of 9





Figure S58. <sup>1</sup>H NMR (700 MHz, methanol- $d_4$ ) spectrum of 9



Figure S59. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol- $d_4$ ) spectrum of 9



Figure S60. HSQC (700 and 175 MHz, methanol-d4) spectrum of 9



Figure S61. HMBC (700 and 175 MHz, methanol-*d*<sub>4</sub>) spectrum of 9



Figure S62. UV spectrum of 10



Figure S64. <sup>1</sup>H NMR (700 MHz, methanol-*d*<sub>4</sub>) spectrum of 10



Figure S65. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol- $d_4$ ) spectrum of 10



Figure S66. HSQC (700 and 175 MHz, methanol-d<sub>4</sub>) spectrum of 10



Figure S67. HMBC (700 and 175 MHz, methanol-d<sub>4</sub>) spectrum of 10

## **Results of X-ray analysis of compound 1**

Due to very small crystal sizes and poor crystal quality of **1**, only a very low resolution was obtainable. Crystals of **1** did not diffract beyond  $q = 44.9^{\circ}$  (cf. desired 67.7°) for Cu-K $\alpha$  radiation, resulting in only 1538 total (1484 observed with I > 2s(I)) reflection versus 263 parameters for anisotropic refinement. Therefore the cif does not meet the requirements for publication. The relevance of the following reported analysis of **1** should not be overestimated and interpreted carefully.

Crystal data

C <sub>22</sub> H <sub>24</sub> N <sub>2</sub> O <sub>4</sub>	Z = 4
$M_r = 380.43$	F(000) = 808
Orthorhombic, $P2_12_12_1$	$D_{\rm x} = 1.305 {\rm ~Mg} {\rm ~m}^{-3}$
a = 5.9832 (4)  Å	Cu K $\alpha$ radiation, $\lambda = 1.54178$ Å
<i>b</i> = 11.8099 (7) Å	$\mu = 0.73 \text{ mm}^{-1}$
c = 27.4081 (17)  Å	<i>T</i> = 140 K
$V = 1936.7 (2) Å^3$	$0.12 \times 0.03 \times 0.03 \text{ mm}^3$

Data collection

16751 measured reflections	$\theta_{max} = 44.9^\circ, \ \theta_{min} = 3.2^\circ$
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1538 independent reflections	$h = -5 \rightarrow 5$
1484 reflections with $I > 2\sigma(I)$	$k = -10 \rightarrow 10$
$R_{\rm int} = 0.051$	<i>l</i> = -25→24

Refinement

Refinement on F <sup>2</sup>	Hydrogen site location: mixed			
Least-squares matrix: full	H atoms treated by a mixture of independent and constrained refinement			
$R[F^2 > 2\sigma(F^2)] = 0.022$	$w = 1/[\sigma^2(F_o^2) + (0.0353P)^2]$ where $P = (F_o^2 + 2F_c^2)/3$			
$wR(F^2) = 0.053$	$(\Delta/\sigma)_{\rm max} = 0.109$			
<i>S</i> = 1.12	$\Delta \rangle_{\text{max}} = 0.002 \text{ e } \text{\AA}^{-3}$			
1538 reflections	$\Delta \rangle_{\rm min} = -0.001 \text{ e} \text{ Å}^{-3}$			
263 parameters	Absolute structure: Flack x determined using 561 quotients [(I+)-(I-)]/[(I+)+(I-)] (Parsons, Flack and Wagner, ActaCryst. B69 (2013) 249-259).			
0 restraints	Absolute structure parameter: -0.14 (10)			



**Figure S68.** Section of the packing diagram of **1** showing the intermolecular H-bond (orange dashed line), which connects two symmetry equivalent molecules. Details for intermolecular H-bond:O4-H41.00 Å, H4···O3<sup>i</sup>1.72 Å, O4···O3<sup>i</sup>2.71 Å, O4-H4···O3<sup>i</sup> 171 °, Symmetry code: (i) 1+x, y, z.



Figure S69. Section of the packing diagram of 1 along the *a*-plane.



**Figure S70.** Section of the packing diagram of 1 showing short C-H $\cdots\pi$  distances (orange dashed lines), Symmetry code: (i) 1/2+x, 3/2-y, 1-z.

	x	У	Ζ	$U_{\rm iso}$ */ $U_{\rm eq}$
01	0.7399 (4)	0.34906 (17)	0.64836 (7)	0.0909 (11)
02	0.3774 (4)	0.31137 (19)	0.63605 (7)	0.0945 (11)
03	0.4813 (4)	0.64653 (17)	0.74768 (8)	0.0954 (11)
04	1.0531 (4)	0.59272 (17)	0.72463 (7)	0.0919 (11)
H4	1.206 (7)	0.614 (3)	0.7363 (12)	0.138*
N1	0.8953 (4)	0.6281 (2)	0.54317 (10)	0.0904 (11)
N2	0.6705 (4)	0.5395 (2)	0.69261 (10)	0.0829 (12)
C1	0.5235 (7)	0.3746 (3)	0.64940 (10)	0.0836 (12)
C1'	0.6583 (6)	0.6107 (3)	0.73038 (12)	0.0816 (12)
C2	0.4785 (5)	0.4938 (2)	0.66719 (10)	0.0836 (12)
H2A	0.349909	0.491273	0.690481	0.100*
C2'	0.8812 (5)	0.6445 (2)	0.75231 (11)	0.0868 (12)
H2'	0.888295	0.613592	0.786246	0.104*
C3	0.4106 (5)	0.5676 (2)	0.62314 (10)	0.0872 (12)
НЗА	0.272345	0.536166	0.608656	0.105*
НЗВ	0.376498	0.644986	0.634843	0.105*
C3'	0.9070 (6)	0.7730 (2)	0.75521 (11)	0.0902 (12)
H3'A	0.785967	0.803460	0.776048	0.108*
H3'B	1.050747	0.790365	0.771485	0.108*
C4	0.5855 (5)	0.5748 (3)	0.58442 (11)	0.0835 (12)
C4'	0.9013 (6)	0.8338 (2)	0.70702 (11)	0.0875 (12)
C5	0.6170 (6)	0.4994 (3)	0.54359 (11)	0.0840 (12)
C5'	0.7087 (6)	0.8873 (3)	0.69095 (15)	0.0965 (13)
H5'	0.577497	0.884189	0.710434	0.116*
C6	0.4996 (6)	0.4054 (3)	0.52583 (12)	0.0917 (12)
Н6	0.367778	0.379614	0.541631	0.110*
C6'	0.7037 (7)	0.9451 (3)	0.64715 (17)	0.1066 (14)
Н6'	0.570499	0.981659	0.636781	0.128*
C7	0.5797 (7)	0.3513 (3)	0.48497 (13)	0.0979 (13)
H7	0.501918	0.287306	0.472672	0.118*

Fractional atomic coordinates and isotropic or equivalent isotropic displacement parameters  $(Å^2)$  for **1** 

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Atomic displacement parameters  $(A^2)$  for **1**.

	$U^{11}$	$U^{22}$	$U^{33}$	$U^{12}$	$U^{13}$	$U^{23}$
O1	0.0855 (19)	0.0889 (17)	0.0982 (17)	0.0025 (11)	-0.0020 (11)	-0.0061 (12)
O2	0.0917 (19)	0.0958 (17)	0.0960 (16)	-0.0110 (12)	-0.0008 (12)	-0.0061 (11)
O3	0.0770 (18)	0.1059 (17)	0.1031 (16)	-0.0009 (12)	0.0050 (11)	-0.0147 (12)
O4	0.0755 (16)	0.0964 (16)	0.1039 (16)	0.0030 (11)	-0.0009 (12)	-0.0090 (10)
N1	0.085 (2)	0.095 (2)	0.091 (2)	-0.0040 (18)	0.0018 (17)	0.0051 (16)
N2	0.074 (2)	0.0886 (18)	0.0865 (19)	-0.0033 (14)	-0.0033 (16)	-0.0085 (16)
C1	0.081 (3)	0.092 (3)	0.079 (2)	-0.005 (2)	0.0023 (17)	0.0034 (18)
C1'	0.078 (3)	0.083 (2)	0.085 (2)	-0.0017 (19)	0.002 (2)	0.0008 (19)

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C2	0.081 (2)	0.087 (2)	0.083 (2)	-0.0026 (17)	0.0012 (17)	-0.0048 (18)
C2'	0.077 (3)	0.097 (2)	0.087 (2)	0.0001 (19)	0.0000 (19)	-0.0057 (17)
C3	0.084 (2)	0.088 (2)	0.090 (2)	-0.0010 (17)	0.0008 (19)	-0.0023 (17)
C3'	0.086 (2)	0.090 (2)	0.095 (2)	-0.0037 (17)	-0.0017 (18)	-0.0123 (18)
C4	0.081 (3)	0.084 (2)	0.086 (2)	0.000 (2)	-0.002 (2)	0.0040 (18)
C4'	0.087 (3)	0.080 (2)	0.095 (2)	-0.002 (2)	-0.003 (2)	-0.0084 (17)
C5	0.084 (3)	0.086 (2)	0.082 (2)	0.006 (2)	-0.003 (2)	0.004 (2)
C5'	0.088 (3)	0.087 (2)	0.115 (3)	0.000 (2)	-0.004 (2)	-0.009 (2)
C6	0.096 (3)	0.092 (2)	0.087 (2)	-0.002 (2)	-0.0053 (18)	-0.0007 (19)
C6'	0.100 (3)	0.089 (3)	0.131 (3)	-0.0008 (19)	-0.016 (3)	0.003 (2)
C7	0.114 (3)	0.093 (2)	0.087 (2)	0.001 (2)	-0.005 (2)	-0.002 (2)
C7'	0.115 (4)	0.092 (2)	0.114 (3)	-0.013 (2)	-0.013 (3)	0.0082 (19)
C8	0.111 (3)	0.104 (3)	0.086 (2)	0.016 (2)	0.005 (2)	0.002 (2)
C8'	0.100 (3)	0.097 (2)	0.103 (3)	-0.011 (2)	0.001 (2)	0.002 (2)
С9	0.091 (3)	0.103 (3)	0.092 (3)	0.006 (2)	0.002 (2)	0.006 (2)
С9'	0.087 (3)	0.092 (2)	0.100 (2)	-0.0044 (18)	-0.001 (2)	-0.007 (2)
C10	0.086 (3)	0.088 (3)	0.084 (2)	0.0054 (19)	0.000 (2)	0.003 (2)
C11	0.086 (3)	0.109 (3)	0.111 (2)	-0.011 (2)	0.0017 (18)	0.0147 (19)
C12	0.090 (3)	0.087 (2)	0.085 (2)	0.004 (2)	0.000 (2)	-0.0001 (18)
C13	0.108 (3)	0.089 (3)	0.117 (2)	0.016 (2)	-0.0051 (19)	-0.0199 (19)

## Geometric parameters (Å, °) for 1

O1—C1	1.330 (4)	C4'—C9'	1.390 (4)
O1—C13	1.444 (3)	С5—С6	1.402 (4)
O2—C1	1.207 (3)	C5—C10	1.404 (4)
O3—C1'	1.235 (4)	C5'—C6'	1.381 (4)
O4—C2'	1.417 (3)	С5'—Н5'	0.9500
O4—H4	1.00 (4)	С6—С7	1.375 (4)
N1—C12	1.373 (4)	С6—Н6	0.9500
N1—C10	1.380 (4)	C6'—C7'	1.386 (5)
N1—C11	1.449 (4)	С6'—Н6'	0.9500
N2—C1'	1.336 (4)	С7—С8	1.398 (4)
N2—C2	1.448 (4)	С7—Н7	0.9500
N2—H2	0.91 (4)	C7'—C8'	1.375 (5)
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C1—C2	1.514 (4)	С7'—Н7'	0.9500
C1'—C2'	1.516 (4)	С8—С9	1.372 (4)
C2—C3	1.543 (4)	С8—Н8	0.9500
C2—H2A	1.0000	C8'—C9'	1.378 (4)
C2'—C3'	1.528 (4)	С8'—Н8'	0.9500
С2'—Н2'	1.0000	C9—C10	1.391 (4)
C3—C4	1.493 (4)	С9—Н9	0.9500
С3—НЗА	0.9900	С9'—Н9'	0.9500
С3—Н3В	0.9900	C11—H11A	0.9800
C3'—C4'	1.504 (4)	C11—H11B	0.9800
С3'—Н3'А	0.9900	C11—H11C	0.9800
С3'—Н3'В	0.9900	С12—Н12	0.9500
C4—C12	1.366 (4)	С13—Н13А	0.9800
C4—C5	1.442 (4)	С13—Н13В	0.9800
C4'—C5'	1.387 (4)	С13—Н13С	0.9800
C1—O1—C13	115.9 (2)	C10—C5—C4	107.2 (3)
C2'—O4—H4	112 (2)	C6'—C5'—C4'	121.3 (3)
C12—N1—C10	107.8 (3)	С6'—С5'—Н5'	119.4
C12—N1—C11	126.9 (3)	C4'—C5'—H5'	119.4
C10—N1—C11	125.1 (3)	C7—C6—C5	118.4 (3)
C1'—N2—C2	124.3 (3)	С7—С6—Н6	120.8
C1'—N2—H2	116 (2)	С5—С6—Н6	120.8
C2—N2—H2	120 (2)	C5'—C6'—C7'	119.7 (3)
O2—C1—O1	123.9 (3)	С5'—С6'—Н6'	120.2
O2—C1—C2	123.0 (3)	С7'—С6'—Н6'	120.2
O1—C1—C2	113.1 (3)	C6—C7—C8	121.6 (3)
O3—C1'—N2	124.0 (3)	С6—С7—Н7	119.2
O3—C1 <sup>′</sup> —C2′	120.8 (3)	С8—С7—Н7	119.2
N2—C1'—C2'	115.1 (3)	C8'—C7'—C6'	119.7 (3)
N2—C2—C1	111.1 (3)	С8'—С7'—Н7'	120.1

N2—C2—C3	112.0 (2)	С6'—С7'—Н7'	120.1
C1—C2—C3	108.6 (2)	C9—C8—C7	121.1 (3)
N2—C2—H2A	108.3	С9—С8—Н8	119.4
С1—С2—Н2А	108.3	С7—С8—Н8	119.4
С3—С2—Н2А	108.3	С7'—С8'—С9'	120.3 (3)
O4—C2'—C1'	108.2 (2)	С7'—С8'—Н8'	119.9
O4—C2'—C3'	112.5 (3)	С9'—С8'—Н8'	119.9
C1'—C2'—C3'	111.8 (3)	C8—C9—C10	117.4 (3)
O4—C2'—H2'	108.1	С8—С9—Н9	121.3
С1'—С2'—Н2'	108.1	С10—С9—Н9	121.3
С3'—С2'—Н2'	108.1	C8'—C9'—C4'	121.0 (3)
C4—C3—C2	113.9 (2)	С8'—С9'—Н9'	119.5
С4—С3—НЗА	108.8	С4'—С9'—Н9'	119.5
С2—С3—НЗА	108.8	N1—C10—C9	129.4 (4)
С4—С3—Н3В	108.8	N1—C10—C5	108.1 (3)
С2—С3—Н3В	108.8	C9—C10—C5	122.5 (3)
НЗА—СЗ—НЗВ	107.7	N1—C11—H11A	109.5
C4'—C3'—C2'	115.2 (2)	N1—C11—H11B	109.5
C4'—C3'—H3'A	108.5	H11A—C11—H11B	109.5
С2'—С3'—Н3'А	108.5	N1—C11—H11C	109.5
С4'—С3'—Н3'В	108.5	H11A—C11—H11C	109.5
С2'—С3'—Н3'В	108.5	H11B—C11—H11C	109.5
H3'A—C3'—H3'B	107.5	C4—C12—N1	111.3 (3)
C12—C4—C5	105.6 (3)	C4—C12—H12	124.4
C12—C4—C3	126.8 (3)	N1—C12—H12	124.4
C5—C4—C3	127.4 (3)	O1—C13—H13A	109.5
C5'—C4'—C9'	118.0 (3)	O1—C13—H13B	109.5
C5'—C4'—C3'	121.0 (3)	H13A—C13—H13B	109.5
C9'—C4'—C3'	120.9 (3)	O1—C13—H13C	109.5
C6—C5—C10	118.9 (3)	H13A—C13—H13C	109.5
C6—C5—C4	133.9 (3)	H13B—C13—H13C	109.5

Hydrogen-bond geometry (Å, °) for 1

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<i>D</i> —H··· <i>A</i>	D—H	$H \cdots A$	$D \cdots A$	D—H···A
O4—H4⋯O3 <sup>i</sup>	1.00 (4)	1.72 (4)	2.714 (3)	171 (3)
C2—H2 <i>A</i> ···O4 <sup>ii</sup>	1.00	2.34	3.212 (4)	146
$C3'$ — $H3'$ $A$ ···O $2^{iii}$	0.99	2.60	3.462 (4)	145
C3' — $H3'$ $B$ ···O1 <sup>iv</sup>	0.99	2.62	3.500 (4)	148
N2—H2…O4	0.91 (4)	2.03 (3)	2.531 (3)	113 (3)

Symmetry codes: (i) *x*+1, *y*, *z*; (ii) *x*-1, *y*, *z*; (iii) -*x*+1, *y*+1/2, -*z*+3/2; (iv) -*x*+2, *y*+1/2,

*-z*+3/2.

# **Results of X-ray analysis of compound 2**

Crystal data

$C_{21}H_{22}N_2O_4$ ·CH <sub>4</sub> O	F(000) = 848
$M_r = 398.45$	$D_{\rm x} = 1.283 {\rm ~Mg} {\rm m}^{-3}$
Monoclinic, C2	Cu $K\alpha$ radiation, $\lambda = 1.54178$ Å
a = 23.52 (2)  Å	Cell parameters from 9974 reflections
b = 5.994 (5)  Å	$\theta = 5.9-67.5^{\circ}$
c = 15.843 (13)  Å	$\mu = 0.75 \text{ mm}^{-1}$
$\beta = 112.574 \ (17)^{\circ}$	T = 140  K
$V = 2063 (3) Å^3$	Needle, clear colourless
Z = 4	$0.20\times0.10\times0.05~\text{mm}^3$

Data collection

Bruker Kappa APEX-II CCD area detector diffractometer	3416 independent reflections
Radiation source: microfocus sealed tube	3283 reflections with $I > 2\sigma(I)$
Multilayer mirror monochromator	$R_{\rm int} = 0.027$
$\omega$ scans, $\phi$ scans	$\theta_{\text{max}} = 65.6^{\circ}, \ \theta_{\text{min}} = 5.9^{\circ}$
Absorption correction: multi-scan ( <i>SADABS</i> ; Sheldrick, 1996)	$h = -27 \rightarrow 27$
$T_{\min} = 0.917, \ T_{\max} = 1.000$	$k = -7 \rightarrow 7$
11796 measured reflections	$l = -15 \rightarrow 18$

Refinement on $F^2$	Secondary atom site location: difference Fourier map
Least-squares matrix: full	Hydrogen site location: mixed
$R[F^2 > 2\sigma(F^2)] = 0.025$	H atoms treated by a mixture of independent and constrained refinement
$wR(F^2) = 0.067$	$w = 1/[\sigma^2(F_o^2) + (0.0393P)^2 + 0.5585P]$ where $P = (F_o^2 + 2F_c^2)/3$
<i>S</i> = 1.09	$(\Delta/\sigma)_{\rm max} = 0.001$
3416 reflections	$\Delta$ <sub>max</sub> = 0.19 e Å <sup>-3</sup>
276 parameters	$\Delta \rangle_{\rm min} = -0.20 \text{ e } \text{\AA}^{-3}$
1 restraint	Absolute structure: Flack x determined using 1433 quotients [(I+)-(I-)]/[(I+)+(I-)] (Parsons, Flack and Wagner, ActaCryst. B69 (2013) 249-259).
Primary atom site location: structure- invariant direct methods	Absolute structure parameter: 0.00 (3)

## Refinement



**Figure S71.** Section of the packing diagram of **2** showing the intermolecular H-bonds (orange dashed line) with methanole, which connect three symmetry equivalent molecules. Details for intermolecular H-bonds:

O2-H2A0.85 Å, H2A···O5 1.76 Å, O2···O52.61 Å, O4-H4···O5 172 ° O5-H5 0.81 Å, H5···O1<sup>i</sup>2.03 Å, O5···O1<sup>i</sup>2.80 Å, O5-H5···O1<sup>i</sup> 158 ° O4<sup>i</sup>-H4<sup>i</sup> 0.84 Å, H4<sup>i</sup>···O3<sup>ii</sup>1.92 Å, O4<sup>i</sup>···O3<sup>ii</sup>2.74 Å, O4<sup>i</sup>-H4<sup>i</sup>···O3<sup>ii</sup> 169 ° Symmetry codes: (i) 3/2–x, 1/2+y, 1–z, (ii) 3/2–x, -1/2+y, 1–z.



Figure S72. Sections of the packing diagram of 2 along the *b*-plane.



**Figure S73.** Section of the packing diagram of **2** showing short inter- and intramolecular C-H $\cdots \pi$  distances (orange dashed lines). Symmetry code: (i) 3/2–x, 1/2+y, 2–z.

	x	У	Z	$U_{\rm iso}$ */ $U_{\rm eq}$
O1	0.65940 (5)	0.4911 (2)	0.55654 (9)	0.0217 (3)
H1	0.5844 (11)	0.409 (5)	0.6253 (16)	0.033*
C1'	0.50817 (8)	0.5468 (3)	0.60666 (12)	0.0152 (4)
C1	0.65075 (8)	0.6794 (3)	0.57838 (12)	0.0178 (4)
N1	0.71816 (7)	0.3323 (3)	0.86983 (11)	0.0250 (4)
C2'	0.47682 (7)	0.3204 (3)	0.60061 (12)	0.0169 (4)
H2'	0.446506	0.299653	0.536476	0.020*
H4	0.5045 (10)	0.027 (5)	0.6078 (15)	0.025*
C2	0.60559 (8)	0.7325 (3)	0.62335 (13)	0.0165 (4)
H2	0.577918	0.855216	0.587885	0.020*
02	0.67925 (6)	0.8600 (2)	0.56768 (10)	0.0265 (3)
H2A	0.7028 (12)	0.838 (5)	0.5390 (19)	0.040*
N2	0.56793 (6)	0.5381 (2)	0.61929 (10)	0.0160 (3)
O3	0.47819 (5)	0.7223 (2)	0.59769 (9)	0.0200 (3)
C3'	0.44174 (7)	0.3112 (3)	0.66470 (13)	0.0199 (4)
H3'A	0.409841	0.429202	0.646610	0.024*
H3'B	0.420496	0.165526	0.656917	0.024*
C3	0.63959 (7)	0.8138 (3)	0.72350 (12)	0.0178 (4)
НЗА	0.663461	0.949722	0.723412	0.021*
H3B	0.608736	0.854038	0.749306	0.021*
C4'	0.48241 (8)	0.3414 (3)	0.76430 (13)	0.0209 (4)
C4	0.68242 (8)	0.6407 (3)	0.78355 (13)	0.0168 (4)
O4	0.52225 (6)	0.1513 (2)	0.61967 (9)	0.0208 (3)
05	0.74323 (6)	0.7709 (2)	0.46887 (10)	0.0268 (3)
Н5	0.7733 (12)	0.847 (5)	0.4756 (18)	0.040*
C5'	0.48570 (9)	0.5465 (3)	0.80747 (15)	0.0278 (4)
H5'	0.462765	0.669628	0.773458	0.033*
C5	0.74738 (8)	0.6176 (3)	0.80238 (13)	0.0202 (4)
C6'	0.52212 (11)	0.5730 (4)	0.89961 (16)	0.0377 (5)

Fractional atomic coordinates and isotropic or equivalent isotropic displacement parameters  $(Å^2)$  for **2**(Note that the atomic numbering in the deposited cif file is different to avoid the prime notation, that is C1' is C13, C2' is C14 etc.)

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Н6'	0.523658	0.713658	0.928073	0.045*
C6	0.79002 (9)	0.7470 (4)	0.78148 (15)	0.0297 (5)
H6	0.777465	0.879753	0.746484	0.036*
C7'	0.55615 (10)	0.3964 (4)	0.95023 (15)	0.0375 (5)
H7'	0.580928	0.415035	1.013260	0.045*
C7	0.85081 (10)	0.6769 (5)	0.81295 (16)	0.0432 (6)
Н7	0.880124	0.763811	0.799645	0.052*
С9	0.82937 (9)	0.3510 (4)	0.88650 (15)	0.0359 (5)
Н9	0.842420	0.218217	0.921334	0.043*
C9'	0.51729 (9)	0.1636 (3)	0.81604 (14)	0.0249 (4)
Н9'	0.515962	0.022713	0.787889	0.030*
C8	0.86993 (9)	0.4796 (5)	0.86422 (17)	0.0443 (7)
Н8	0.911732	0.434395	0.883824	0.053*
C8'	0.55377 (10)	0.1914 (4)	0.90802 (15)	0.0324 (5)
H8'	0.577237	0.069541	0.942298	0.039*
C13	0.70283 (11)	0.7748 (5)	0.37583 (17)	0.0506 (7)
H13A	0.726817	0.769836	0.337146	0.076*
H13B	0.678308	0.911986	0.363240	0.076*
H13C	0.675366	0.645225	0.362641	0.076*
C11	0.72095 (11)	0.1406 (3)	0.92782 (15)	0.0360 (5)
H11A	0.679408	0.104344	0.924008	0.054*
H11B	0.747256	0.175992	0.991249	0.054*
H11C	0.738067	0.012336	0.907200	0.054*
C12	0.66742 (8)	0.4651 (3)	0.82618 (13)	0.0209 (4)
H12	0.627514	0.438347	0.825792	0.025*
C10	0.76795 (8)	0.4230 (3)	0.85592 (13)	0.0239 (4)

Atomic displacement parameters  $(Å^2)$  for **2**(Note that the atomic numbering in the deposited cif file is different to avoid the prime notation, that is C1' is C13, C2' is C14 etc.)

	$U^{11}$	$U^{22}$	$U^{33}$	$U^{12}$	$U^{13}$	$U^{23}$
O1	0.0213 (6)	0.0195 (7)	0.0275 (8)	-0.0002 (5)	0.0130 (5)	-0.0035 (5)
C1'	0.0184 (8)	0.0161 (9)	0.0117 (9)	0.0006 (7)	0.0062 (6)	-0.0004 (6)

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C1	0.0174 (8)	0.0212 (9)	0.0140 (10)	-0.0016 (7)	0.0048 (7)	0.0003 (7)
N1	0.0295 (8)	0.0200 (8)	0.0196 (9)	0.0003 (6)	0.0027 (6)	0.0021 (6)
C2'	0.0173 (8)	0.0133 (8)	0.0194 (9)	0.0009 (6)	0.0063 (7)	0.0012 (7)
C2	0.0191 (8)	0.0132 (8)	0.0185 (10)	0.0002 (6)	0.0086 (7)	0.0010 (6)
02	0.0315 (7)	0.0230 (7)	0.0346 (9)	-0.0073 (6)	0.0236 (6)	-0.0033 (6)
N2	0.0166 (7)	0.0130 (7)	0.0193 (8)	0.0012 (6)	0.0080 (6)	0.0006 (6)
03	0.0188 (6)	0.0148 (6)	0.0278 (8)	0.0018 (5)	0.0105 (5)	0.0015 (5)
C3'	0.0175 (8)	0.0174 (8)	0.0261 (10)	-0.0014 (7)	0.0099 (7)	0.0018 (7)
C3	0.0200 (8)	0.0145 (8)	0.0203 (10)	-0.0002 (7)	0.0092 (7)	-0.0020 (7)
C4'	0.0201 (8)	0.0232 (9)	0.0248 (11)	-0.0044 (7)	0.0145 (7)	0.0022 (8)
C4	0.0170 (8)	0.0164 (8)	0.0167 (9)	-0.0019 (6)	0.0064 (7)	-0.0039 (7)
O4	0.0210 (6)	0.0105 (6)	0.0321 (8)	0.0013 (5)	0.0115 (6)	0.0000 (5)
05	0.0298 (7)	0.0242 (7)	0.0339 (9)	-0.0046 (5)	0.0205 (6)	0.0015 (6)
C5'	0.0362 (10)	0.0241 (10)	0.0278 (12)	-0.0037 (8)	0.0174 (8)	0.0014 (8)
C5	0.0196 (9)	0.0251 (9)	0.0153 (10)	-0.0022 (7)	0.0061 (7)	-0.0070 (7)
C6'	0.0554 (14)	0.0295 (11)	0.0322 (14)	-0.0120 (10)	0.0213 (11)	-0.0052 (9)
C6	0.0243 (9)	0.0434 (12)	0.0234 (11)	-0.0091 (9)	0.0113 (8)	-0.0080 (9)
C7'	0.0433 (12)	0.0448 (13)	0.0222 (12)	-0.0189 (10)	0.0104 (9)	-0.0002 (10)
C7	0.0221 (10)	0.0788 (18)	0.0320 (13)	-0.0113 (11)	0.0139 (9)	-0.0155 (12)
С9	0.0299 (10)	0.0456 (13)	0.0239 (11)	0.0139 (10)	0.0010 (8)	-0.0102 (10)
C9'	0.0248 (9)	0.0238 (10)	0.0290 (12)	-0.0009 (7)	0.0136 (8)	0.0040 (8)
C8	0.0177 (9)	0.0797 (19)	0.0316 (13)	0.0090 (10)	0.0052 (8)	-0.0190 (13)
C8'	0.0305 (10)	0.0365 (11)	0.0280 (12)	-0.0044 (9)	0.0088 (9)	0.0120 (9)
C13	0.0437 (13)	0.0762 (19)	0.0324 (14)	-0.0214 (13)	0.0149 (11)	-0.0051 (13)
C11	0.0502 (13)	0.0218 (10)	0.0263 (12)	-0.0008 (9)	0.0039 (10)	0.0046 (9)
C12	0.0194 (8)	0.0208 (9)	0.0204 (10)	-0.0023 (7)	0.0053 (7)	-0.0007 (7)
C10	0.0239 (9)	0.0269 (10)	0.0170 (10)	0.0044 (7)	0.0035 (7)	-0.0071 (7)

*Geometric parameters (Å, °) for* **2**(Note that the atomic numbering in the deposited cif file is different to avoid the prime notation, that is C1' is C13, C2' is C14 etc.)

O1—C1	1.220 (2)	O5—C13	1.415 (3)
C1'—O3	1.244 (2)	О5—Н5	0.81 (3)
C1'—N2	1.343 (3)	C5'—C6'	1.389 (3)

C1'—C2'	1.529 (3)	С5'—Н5'	0.9500
C1—O2	1.318 (2)	C5—C6	1.404 (3)
C1—C2	1.523 (3)	C5—C10	1.414 (3)
N1—C12	1.379 (3)	C6'—C7'	1.383 (3)
N1—C10	1.383 (3)	С6'—Н6'	0.9500
N1—C11	1.457 (3)	С6—С7	1.387 (3)
C2'—O4	1.419 (2)	С6—Н6	0.9500
C2'—C3'	1.536 (3)	C7'—C8'	1.390 (4)
С2'—Н2'	1.0000	С7'—Н7'	0.9500
C2—N2	1.450 (2)	С7—С8	1.407 (4)
С2—С3	1.556 (3)	С7—Н7	0.9500
С2—Н2	1.0000	С9—С8	1.374 (4)
O2—H2A	0.85 (3)	C9—C10	1.404 (3)
N2—H1	0.85 (3)	С9—Н9	0.9500
C3'—C4'	1.510 (3)	C9'—C8'	1.388 (3)
С3'—Н3'А	0.9900	С9'—Н9'	0.9500
С3'—Н3'В	0.9900	С8—Н8	0.9500
C3—C4	1.503 (2)	С8'—Н8'	0.9500
С3—НЗА	0.9900	С13—Н13А	0.9800
С3—НЗВ	0.9900	С13—Н13В	0.9800
C4'—C5'	1.395 (3)	С13—Н13С	0.9800
C4'—C9'	1.401 (3)	C11—H11A	0.9800
C4—C12	1.368 (3)	C11—H11B	0.9800
C4—C5	1.447 (3)	С11—Н11С	0.9800
O4—H4	0.84 (3)	C12—H12	0.9500
O3—C1'—N2	124.38 (16)	C4'—C5'—H5'	119.6
O3—C1'—C2'	120.32 (15)	C6—C5—C10	119.08 (18)
N2—C1'—C2'	115.28 (15)	C6—C5—C4	133.80 (18)
O1—C1—O2	125.08 (17)	C10—C5—C4	107.07 (17)
O1—C1—C2	123.25 (16)	C7'—C6'—C5'	120.5 (2)
O2—C1—C2	111.66 (15)	С7'—С6'—Н6'	119.7

C12—N1—C10	108.42 (17)	С5'—С6'—Н6'	119.7
C12—N1—C11	126.31 (18)	C7—C6—C5	118.7 (2)
C10—N1—C11	125.05 (18)	С7—С6—Н6	120.7
O4—C2'—C1'	108.35 (14)	С5—С6—Н6	120.7
O4—C2'—C3'	112.68 (14)	C6'—C7'—C8'	119.4 (2)
C1'—C2'—C3'	111.02 (15)	С6'—С7'—Н7'	120.3
O4—C2'—H2'	108.2	С8'—С7'—Н7'	120.3
C1'—C2'—H2'	108.2	C6—C7—C8	121.3 (2)
C3'—C2'—H2'	108.2	С6—С7—Н7	119.4
N2—C2—C1	109.60 (15)	С8—С7—Н7	119.4
N2—C2—C3	111.28 (15)	C8—C9—C10	117.6 (2)
C1—C2—C3	111.40 (15)	С8—С9—Н9	121.2
N2—C2—H2	108.1	С10—С9—Н9	121.2
С1—С2—Н2	108.1	C8'—C9'—C4'	120.7 (2)
С3—С2—Н2	108.1	С8'—С9'—Н9'	119.7
C1—O2—H2A	114 (2)	С4'—С9'—Н9'	119.7
C1'—N2—C2	124.22 (15)	C9—C8—C7	121.4 (2)
C1'—N2—H1	117.1 (16)	С9—С8—Н8	119.3
C2—N2—H1	118.7 (16)	С7—С8—Н8	119.3
C4'—C3'—C2'	113.64 (15)	C9'—C8'—C7'	120.4 (2)
C4'—C3'—H3'A	108.8	С9'—С8'—Н8'	119.8
С2'—С3'—Н3'А	108.8	С7'—С8'—Н8'	119.8
С4'—С3'—Н3'В	108.8	O5—C13—H13A	109.5
С2'—С3'—Н3'В	108.8	O5—C13—H13B	109.5
H3'A—C3'—H3'B	107.7	H13A—C13—H13B	109.5
C4—C3—C2	112.74 (15)	O5—C13—H13C	109.5
С4—С3—НЗА	109.0	H13A—C13—H13C	109.5
С2—С3—НЗА	109.0	H13B—C13—H13C	109.5
С4—С3—Н3В	109.0	N1-C11-H11A	109.5
С2—С3—Н3В	109.0	N1-C11-H11B	109.5
НЗА—СЗ—НЗВ	107.8	H11A—C11—H11B	109.5
C5'—C4'—C9'	118.27 (19)	N1-C11-H11C	109.5

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C5'—C4'—C3'	120.78 (17)	H11A—C11—H11C	109.5
C9'—C4'—C3'	120.94 (18)	H11B—C11—H11C	109.5
C12—C4—C5	106.08 (16)	C4—C12—N1	110.73 (17)
C12—C4—C3	127.31 (16)	C4—C12—H12	124.6
C5—C4—C3	126.48 (17)	N1—C12—H12	124.6
С2'—О4—Н4	108.4 (16)	N1—C10—C9	130.3 (2)
С13—О5—Н5	109.2 (19)	N1—C10—C5	107.70 (16)
C6'—C5'—C4'	120.79 (19)	C9—C10—C5	122.0 (2)
С6'—С5'—Н5'	119.6		

Hydrogen-bond geometry (Å, °) for 2

D—H···A	<i>D</i> —Н	$H \cdots A$	$D \cdots A$	D—H···A
O2—H2⋯O5	0.85 (3)	1.76 (3)	2.609 (2)	172 (3)
O4—H4⋯O3 <sup>i</sup>	0.85 (3)	1.91 (3)	2.744 (3)	169 (2)
N2—H2 <i>A</i> …O4	0.84 (3)	2.11 (2)	2.557 (3)	112.6 (18)
C2—H2B····O4 <sup>ii</sup>	1.00	2.37	3.172 (3)	136
C2′ —H2′ …O1 <sup>iii</sup>	1.00	2.62	3.375 (3)	132
O5—H5…O1 <sup>iv</sup>	0.81 (3)	2.03 (3)	2.803 (3)	158 (3)

Symmetry codes: (i) x, y-1, z; (ii) x, y+1, z; (iii) -x+1, y, -z+1; (iv) -x+3/2, y+1/2, y+1/

*-z*+1.

# Publication 3

# Secondary metabolites of the lichen-associated fungus Apiospora montagnei.

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# Secondary metabolites of the lichen-associated fungus Apiospora montagnei



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## ABSTRACT

The endolichenic fungus *Apiospora montagnei* isolated from the lichen *Cladonia* sp. was cultured on solid rice medium, yielding the new diterpenoid libertellenone L (**1**), the new pyridine alkaloid, 23-O-acetyl-N-hydroxyapiosporamide (**2**) and the new xanthone derivative 8-hydroxy-3-hydroxymethyl-9-oxo-9*H*-xanthene-1-carboxylic acid methyl ether (**3**) together with 19 known compounds (**4–22**). The structures of the new compounds were elucidated by 1D and 2D NMR spectra as well as by HRESIMS data. The absolute configuration of the new 6,7-*seco*-libertellenone derivative **1** was determined by single-crystal X-ray diffraction. Four additional known compounds **23–26** were isolated when NaCl or NH<sub>4</sub>Cl were added to solid rice medium. Compounds **7–9**, **18** and **26** exhibited significant cytotoxicity against the L5178 murine lymphoma cell line with IC<sub>50</sub> values of 2.6, 0.2, 2.1, 2.7 and 1.7  $\mu$ M, respectively.

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#### Introduction

Lichens are symbiotic associations between fungi (mycobionts) and photoautotrophic, algal partners (photobionts), producing numerous bioactive secondary metabolites such as usnic acid, diffractaic acid, and physodic acid.<sup>1,2</sup> In addition to the photobiont and the mycobiont, lichens harbour a wide array of associated microorganisms including endolichenic fungi that are likewise prolific sources of bioactive secondary metabolites.<sup>3–6</sup> Over 140 new natural products including alkaloids, quinones, sulfur-containing chromenones and terpenes were isolated from 30 endolichenic microorganisms during the past decade.<sup>7</sup> Considering that there

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are nearly 20,000 identified lichens, a further exploration of endolichenic fungi as sources for new compounds is promising.

During our ongoing search for bioactive secondary metabolites from fungi,<sup>8-10</sup> the fungus Apiospora montagnei was isolated from the lichen Cladonia sp. A. montagnei, which is also known as Arthri*nium arundinis*, has previously been obtained from various sources such as sponges, algae, soil and mouse dung.<sup>11-14</sup> This is the first report of this fungus from lichen thalli. Previous chemical investigations of A. montagnei revealed a series of diverse secondary metabolites, such as the cyclopeptides TMC-95A-D,<sup>11</sup> the diterpene myrocin A<sup>12</sup> and the pydidone alkaloids arthpyrones A-C, apiosporamide and N-hydroxyapiosporamide.<sup>13,14</sup> In this study, the EtOAc extract of the fungus when fermented on solid rice medium yielded the new diterpenoid libertellenone L (1), the new pyridine alkaloid 23-O-acetyl-N-hydroxyapiosporamide (2) and the likewise new xanthone derivative 8-hydroxy-3-hydroxymethyl-9-oxo-9H-xanthene-1-carboxylic acid methyl ether (3) as well as 19 known compounds (4-22). Furthermore, addition of NaCl to

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solid rice medium led to isolation of compounds **23** and **24** that were both undetectable in controls. Addition of NH<sub>4</sub>Cl induced accumulation of compounds **25** and **26** that were likewise not detected in fungal controls. All isolated compounds (**1–26**) were evaluated for their cytotoxicity against the L5178Y mouse lymphoma cell line. Compounds **7–9**, **18** and **26** exhibited strong cytotoxicity with IC<sub>50</sub> values ranging from 0.2 to 2.6  $\mu$ M.

#### **Results and discussion**

Compound 1 was isolated as colorless crystals. Its molecular formula was established as  $C_{20}H_{26}O_5$  by HRESIMS, implying 8 degrees of unsaturation. The <sup>13</sup>C NMR spectrum of **1** (Table 1) showed 20 carbon signals that account for three methyl, six methylene, four methine and six quaternary carbons. The presence of a terminal double bond in 1 was proposed by the observation of the olefinic methine CH-15 ( $\delta_{C}$  146.9,  $\delta_{H}$  6.05) and the olefinic methylene CH<sub>2</sub>-16 ( $\delta_{\rm C}$  112.5,  $\delta_{\rm H}$  5.06 and 5.04) as well as by the COSY correlations between them. On the basis of the COSY correlations between  $H_{\alpha\beta}$ -11 ( $\delta_H$  3.32 and 2.29) and  $H_{\alpha\beta}$ -12 ( $\delta_H$  1.94 and 1.45) and the HMBC correlations from Me-17 ( $\delta_{\rm H}$  0.92) to C-12 ( $\delta_C$  26.4), C-13 ( $\delta_C$  39.8), C-14 ( $\delta_C$  70.7) and C-15, from H<sub> $\alpha\beta$ </sub>-11 and H-14 to C-8 (  $\delta_C$  124.1), and from  $H_{\alpha\beta}\text{--}11,\,H_\beta\text{--}12$  and H-14 to C-9 ( $\delta_{C}$  168.6), the nature of the cyclohexene ring C was established (Fig. 2). The COSY correlations between H-1 ( $\delta_{\rm H}$  4.20)/H<sub> $\alpha\beta$ </sub>-2 ( $\delta_{\rm H}$ 2.06 and 1.91) and  $H_{\alpha\beta}$ -2/ $H_{\alpha\beta}$ -3 ( $\delta_H$  1.77 and 1.69) along with the HMBC correlations from H-1 and H-14 to C-7 ( $\delta_{C}$  167.5), from Me-18 ( $\delta_H$  1.32) to C-3 ( $\delta_C$  31.3), C-4 ( $\delta_C$  45.1), C-5 ( $\delta_C$  52.3) and C-19 ( $\delta_{\rm C}$  81.3), and from Me-20 ( $\delta_{\rm H}$  1.31) to C-1 ( $\delta_{\rm C}$  85.0), C-5, C-9 and C-10 ( $\delta_{\rm C}$  42.0) indicated the presence of fused rings A and B in 1. Furthermore, the cyclopentane lactone ring D was established from the HMBC correlations from H-5 ( $\delta_{H}$  2.81) and  $H_{\alpha\beta}$ -19 ( $\delta_H$  3.95 and 4.02) to C-6 ( $\delta_C$  175.6). Thus, the planar structure of 1 was elucidated as shown in Fig. 2.

The relative configuration of compound **1** was determined by investigation of the coupling constants and the ROESY correlations. The coupling constants  $({}^{3}J_{1,2\alpha} = 12.5 \text{ Hz}, {}^{3}J_{1,2\beta} = 4.4 \text{ Hz}, {}^{3}J_{2\alpha,3\alpha} = 3.5 \text{ Hz}, {}^{3}J_{2\alpha,3\beta} = 13.0 \text{ Hz}, {}^{3}J_{2\beta,3\alpha} = {}^{3}J_{2\beta,3\beta} = 3.5 \text{ Hz})$  together with the NOE correlations between H-1/H-2 $\beta$ , H-1/H-3 $\beta$ , H-1/H-5,

#### Table 1

 $^1\text{H}$  (600 MHz) and  $^{13}\text{C}$  (150 MHz) NMR data for 1 in CD\_3OD.

Position	$\delta_{C}$	$\delta_{\rm H}$ (J in Hz)
1	85.0, CH	4.20 (dd, 12.5, 4.4)
2	24.5, CH <sub>2</sub>	2.06 (α-H, dddd, 13.0, 13.0, 12.5, 3.5)
		1.91 (β-H, dddd, 13.0, 4.4, 3.5, 3.5)
3	31.3, CH <sub>2</sub>	1.77 (α-H, ddd, 13.0, 3.5, 3.5)
		1.69 (β-H, ddd, 13.0, 13.0, 3.5)
4	45.1, C	
5	52.3, CH	2.81 (s)
6	175.6, C	
7	167.5, C	
8	124.1, C	
9	168.6, C	
10	42.0, C	
11	25.2, CH <sub>2</sub>	3.32 (α-H, br dd, 20.8, 6.5)
		2.29 (β-H, ddd, 20.8, 10.7, 7.0)
12	26.4, CH <sub>2</sub>	1.94 (α-H, ddd, 13.4, 10.7, 6.5)
		1.45 (β-H, br dd, 13.4, 7.0)
13	39.8, C	
14	70.7, CH	3.92 (s)
15	146.9, CH	6.05 (dd, 17.6, 11.0)
16	112.5, CH <sub>2</sub>	5.06 (dd, 17.6, 1.3)
		5.04 (dd, 11.0, 1.3)
17	20.9, CH <sub>3</sub>	0.92 (s)
18	22.0, CH <sub>3</sub>	1.32 (s)
19	81.3, CH <sub>2</sub>	4.02 (β-H, d, 7.9)
		3.95 (α-H, d, 7.9)
20	14.6, CH <sub>3</sub>	1.31 (s)







Fig. 2. COSY and key HMBC correlations of 1.



Fig. 3. Key NOE correlations of 1.

H-3 $\beta$ /H-5, H-3 $\beta$ /H-19 $\beta$ , H-5/19 $\beta$  and between H-2 $\alpha$ /Me-18, H-2 $\alpha$ /Me-20, H-3 $\alpha$ /Me-18, H-19 $\alpha$ /Me-18 suggested a chair conformation of the cyclohexane ring *A* with H-1 and H-5 being  $\beta$ -oriented while Me-18 and Me-20 are  $\alpha$ -oriented (Fig. 3). The  $\beta$ -orientation of H-11 $\beta$  was deduced from its NOE relationship with H-5. Moreover, the NOE correlations from Me-17 to H-11 $\beta$ , H-12 $\beta$ and H-14 suggested that these protons were on the same face of ring *C*. The absolute configuration of **1** was determined as 1*R*, 4*R*, 5*S*, 10*S*, 13*R* and 14*S* by X-ray single crystal analyses (Fig. 4).

Table 2



Fig. 4. Molecular structure of 1 from single-crystal X-ray diffractometry.

Comparison of the structure of **1** with that of co-isolated known pimarane diterpenoid libertellenone G (**7**)<sup>15</sup> revealed that compound **1** represents the first example of a 6,7-*seco*-libertellenone derivative,<sup>15–19</sup> for which the name libertellenone L is proposed. A biosynthetic pathway for **1** is proposed through 5,6-enol-keto-tautomerization, 6,7-oxidation-cleavage following by 1,7- and 6,19-esterification Fig. 5.

Compound 2 possessed the molecular formula C<sub>26</sub>H<sub>33</sub>O<sub>8</sub>N as determined by HRESIMS. Its NMR data (Table 2) were similar to those of *N*-hydroxyapiosporamide  $(\mathbf{8})$ ,<sup>14,20</sup> suggesting that **2** was an analogue of the latter compound. The presence of an additional acetyl group in 2 was deduced from its molecular weight being 42 amu higher than that of **8** and by NMR signals ( $\delta_{\rm C}$  172.4 and 20.8,  $\delta_{\rm H}$  2.07, s) which are indicative for an acetyl group. The HMBC correlation from H-23 ( $\delta_{\rm H}$  5.18, ddd) to the acetyl carbonyl carbon indicated the additional O-acetyl group to be located at the C-23 position. Detailed analysis of the 2D NMR spectra of 2 revealed that its remaining substructures were identical to those of 8. The relative configuration of 2 was determined by the similarity of the NOE relationships compared to 8. Based on the similar specific rotation of 2 compared with 8 and biogenetic considerations, the absolute configuration of 2 was proposed to be identical to that of 8. Thus, compound 2 was elucidated as 23-O-acethyl-N-hydroxyapiosporamide, representing a new pyridine alkaloid.

The molecular formula of **3** was determined to be  $C_{16}H_{12}O_6$  by its HRESIMS data. Its UV spectrum showed absorption bands at  $\lambda_{max}$  231, 257, 289 and 368 nm, similar to those of the co-isolated known xanthone derivative, 8-hydroxy-3-methyl-9-oxo-9*H*xathene-1-carboxylic acid methyl ether (**11**).<sup>21</sup> The NMR data of **3** (Table 3) resembled those of **11** except for the aromatic methyl group which was replaced by a hydroxymethyl group ( $\delta_C$  63.5,  $\delta_H$ 4.79, s, CH<sub>2</sub>-10) in **3**. The extra hydroxymethyl group of **3** was attached at C-3, which was evident from the HMBC correlations from H<sub>2</sub>-10 to C-2 ( $\delta_C$  121.6), C-3 ( $\delta_C$  152.6) and C-4 ( $\delta_C$  117.1), and in turn from H-2 ( $\delta_H$  7.36) and H-4 ( $\delta_H$  7.67) to C-10. Thus, the structure of **3** was elucidated as shown in Fig. 1.

The remaining known compounds (**4–22**) were identified as arthrinin A (**4**),<sup>22</sup> arthrinin B (**5**),<sup>22</sup> myrocin A (**6**),<sup>12</sup> libertellenone G (**7**),<sup>15</sup> *N*-hydroxyapiosporamide (**8**),<sup>14,20</sup> apiosporamide (**9**),<sup>13,23</sup> didymellamide B (**10**),<sup>24</sup> 8-hydroxy-3-methyl-9-oxo-9*H*-xanthene-1-carboxylic acid methyl ether (**11**),<sup>21</sup> norlichexanthone (**12**),<sup>25</sup> anomalin A (**13**),<sup>25</sup> anomalin B (**14**),<sup>25</sup> decarboxycitrinone (**15**),<sup>26</sup> 6,8-dihydroxy-4-hydroxymethyl-3,5-dimethyl-isochro-

Position	c (100 mill) mill auto 101	(Lip Hz)
POSITION	0 <sub>C</sub>	θ <sub>H</sub> (J III HZ)
1	31.0, CH <sub>2</sub>	1.92 (m)
		0.89 (m)
2	36.6, CH <sub>2</sub>	1.74 (m)
		1.03 (m)
3	34.4, CH	1.50 (m)
4	43.2, CH <sub>2</sub>	1.75 (m)
		0.79 (m)
5	43.2, CH	1.82 (m)
6	131.7, CH	5.40 (br d, 9.8)
7	132.6, CH	5.59 (ddd, 9.8, 4.5, 2.7)
8	32.4, CH	2.85 (m)
9	54.6, CH	4.44 (dd, 11.3, 5.8)
10	37.6, CH	1.57 (m)
11	22.9, CH <sub>3</sub>	0.92 (d, 6.5)
12	18.4, CH <sub>3</sub>	0.82 (d, 7.2)
13	211.8, C	
14	108.6, C	
15	159.7, C	
17	140.0, CH	8.03 (s)
18	115.4, C	
19	175.5, C	
20	70.6, C	
21	59.5, CH	3.61 (d, 3.8)
22	54.9, CH	3.54 (dd, 3.8, 3.3)
23	70.0, CH	5.18 (ddd, 5.7, 5.7, 3.3)
24	23.0, CH <sub>2</sub>	1.97 (m)
		1.48 (m)
25	30.5, CH <sub>2</sub>	2.19 (m)
		1.79 (m)
OAc	172.4, C	
	20.8. CH <sub>3</sub>	2.07 (s)

<sup>1</sup>H (600 MHz) and <sup>13</sup>C (150 MHz) NMR data for **2** in CD<sub>2</sub>OD

men-1-one (**16**),<sup>27</sup> decarboxyhydroxycitrinone (**17**),<sup>17</sup> acremonone G (**18**),<sup>28</sup> *O*-methylmellein (**19**),<sup>29</sup> *trans*-4-hydroxymellein (**20**),<sup>30</sup> sydowinin B (**21**),<sup>31</sup> and 2-carboxymethyl-3-*n*-hexylmaleic acid anhydride (**22**).<sup>32,33</sup>

Furthermore, addition of NaCl resulted in accumulation of compounds **23** and **24** that were both undetectable in control extracts. Compounds **23** and **24** were identified as the known (*E*,*E*)-4-hydroxymethyl-4,6-octadien-2,3-diol<sup>34</sup> and lachnellin B,<sup>35</sup> respectively. When NH<sub>4</sub>Cl was added to rice medium, compounds **25** and **26** were detected as major components whereas both were missing in fungal controls. Compounds **25** and **26** were identified as the known 6-0-demethylbostrycin (**25**)<sup>36</sup> and bostrycin (**26**).<sup>37</sup>

All compounds (1–26) were tested for their cytotoxic activity against the mouse lymphoma cell line (L5178Y) utilizing the MTT assay (Table 4). Compounds 7–9, 18 and 26 exhibited significant cytotoxicity against the L5178 murine lymphoma cell line with IC<sub>50</sub> values of 2.6, 0.2, 2.1, 2.7 and 1.7  $\mu$ M, respectively. Comparison of the cytotoxicity of pyridine alkaloids (2, 8, 9 and 10) revealed that acetylation of 23-OH (2 vs. 8) and aromatization from C-20 to C-25 (10 vs. 9) led to total loss of cytotoxicity while loss of the hydroxy group at the N-16 position (9 vs. 8) increased the activity around 10-fold. The significant cytotoxicity of compound 7 could be caused by the disappearance of the cyclopropane ring compared to 4–6. Among the isolated isocoumarins (15–18), only compound 18 which lacks a methyl substituent at C-5 is active. Compared to bostrycin (26), 6-0-demethylbostrycin (25) shows no cytotoxicity.



Fig. 5. Plausible biosynthesis of 1. 155

Table 3	
$^{1}\text{H}$ (700 MHz) and $^{13}\text{C}$ (175 MHz) NMR data for <b>3</b> in CD <sub>3</sub> OD.	

Position	$\delta_{C}^{a}$	$\delta_{\rm H}$ (J in Hz)
1	134.6, C	
2	121.6, CH	7.36 (br s)
3	152.6, C	
4	117.1, CH	7.67 (br s)
4a	157.5, C	
4b	157.1, C	
5	107.9, CH	7.04 (dd, 8.3, 0.9)
6	138.2, CH	7.69 (t, 8.3)
7	111.4, CH	6.81 (dd, 8.3, 0.9)
8	162.6, C	
8a	109.6, C	
9	181.9, C	
9a	116.8, C	
10	63.5, CH <sub>2</sub>	4.79 (s)
11	171.1, C	
12	53.2, CH <sub>3</sub>	3.98 (s)

<sup>a</sup> Data extracted from HSQC and HMBC spectra.

Table 4

Table 4						
Cvtotoxicity	against	the	L5178	murine	lymphoma	cell.

Compound <sup>b</sup>	$IC_{50}$ ( $\mu M$ )
6	13.1
7	2.6
8	0.2
9	2.1
18	2.7
26	1.7
Kahalalide F <sup>a</sup>	4.3

<sup>a</sup> Positive control.

<sup>b</sup> The remaining compounds were not active at the

dose of 20 µM.

Besides, all isolated compounds were further tested for their antimicrobial activities against Mycobacterium tuberculosis, Staphylococcus aureus (ATCC25923), S. aureus (ATCC700699), Enterococcus faecalis (ATCC29212), E. faecalis (ATCC51299), E. faecium (ATCC35667), E. faecium (ATCC700221) and Acinetobacter baumannii (ATCCBAA1605) but none of them was found to be active at the dose of 20  $\mu$ g/mL.

In conclusion, cultivation of fungus A. montagnei as described in this study yielded five pimarane diterpenoids (1, 4-7), four pyridine alkaloids (2, 8–10), six xanthone derivatives (3, 11–14, 21), six isocoumarins (15-20) and five other metabolites (22-26), of which three (1-3) are new. Addition of either NaCl or NH<sub>4</sub>Cl to solid rice medium diversified the natural product pattern of the fungus. Compound 1, whose absolute configuration was determined by X-ray diffraction, represented the first example of 6.7seco-libertellenone derivative and a plausible biosynthetic pathway for the formation of this compound was proposed. Several compounds (7-9, 18 and 26) were found to exhibit significant cytotoxicity against the L5178Y mouse lymphoma cell line and preliminary structure-activity relationships were proposed.

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#### A. Supplementary data

Supplementary data (UV, MS and NMR spectra of 1, 2 and 3 as well as X-ray data of 1) associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.tetlet.2017.03. 052.

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# **Supplementary Data**

# Secondary Metabolites of the Lichen-Associated Fungus Apiospora montagnei

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# **Experimental Section**

General Procedures. Optical rotations were measured using a Jasco P-2000 polarimeter. NMR spectra were recorded on Bruker Avance DMX 600 or 700 NMR spectrometeror. Chemical shifts were referenced to the solvent residual peaks. Mass spectra were recorded with a LC-MS HP1100 Agilent Finnigan LCQ Deca XP Thermoquest and HRESIMS were recorded with a UHR-QTOF maXis 4G (Bruker Daltonics) mass spectrometer. HPLC analysis was performed on a Dionex UltiMate-3400SD system coupled with an LPG-3400SD pump and a photodiode array detector (DAD 300RS). The analytical column ( $125 \times 4$  mm) was prefilled with Eurosphere-10  $C_{18}$  (Knauer), and the following gradient was used: 0 min (10% MeOH), 5 min (10% MeOH), 35 min (100% MeOH), 45 min (100% MeOH). Semi-preparative HPLC was performed using a Merck Hitachi HPLC System (UV detector L-7400; pump L-7100; Eurosphere-100  $C_{18}$ , 300 × 8 mm, Knauer), with MeOH-H<sub>2</sub>O as mobile phase. Column chromatography was carried out using Merck MN silica gel 60 M (0.04–0.063 mm). TLC plates with silica gel  $F_{254}$  (Merck) were used to monitor and collect fractions. Distilled and spectral grade solvents were used for column chromatography and spectroscopic measurements, respectively.

**Fungal Material and Cultivation.** The fungus was isolated from the lichen *Cladonia sp.* collected in Garding (province of Schleswig-Holstein) in Germany in March 2015. The fungus was identified as *A. montagnei* by DNA amplification, sequencing of the ITS region and by comparison with GenBank data (GeneBank accession No. KX137848) following standard procedures.<sup>1</sup>

The axenic fungal strain was grown on solid rice medium (100 g rice and 100 mL distilled water autoclaved) in ten Erlenmeyer flasks (1 L each) at 22 °C under static conditions for 14 days. The halogen incorporation experiments were performed on rice medium after addition of 5% sodium chloride, 5% sodium bromide or 5% sodium iodide in each Erlenmeyer flask. The effects of different nitrogen sources on metabolite profiles were examined by adding 1% peptone, 1% yeast extract (YE) or 1% ammonium chloride to rice medium to Erlenmeyer flasks.

**Extraction and Isolation.** Each fungal culture was extracted with EtOAc ( $2 \times 500$ mL) followed by solvent evaporation under reduced pressure. Each fungal extract was analyzed by HPLC and LCMS. The EtOAc extract (13g) of the axenic fungal culture growing on rice medium was fractionated by vacuum liquid chromatography (VLC) on silica gel using a gradient elution of *n*-hexane/EtOAc (1:0 to 0:1) and then of dichloromethane (DCM)/MeOH (1:0 to 0:1) to give 23 fractions (Fr. A–W). Fr. D (115 mg) was further purified with semi-preparative HPLC to give 15 (0.5 mg), 11 (1.5 mg) and 22 (17.0 mg). Fr. E (252 mg) was subjected to a Sephadex LH-20 column with MeOH as mobile phase to give 8 subfractions (Fr. E1–E8), of which Fr. E3 was a pure compound 7 (21.0 mg). Fr. E5 was separated by semi-preparative HPLC to yield 12 (20.0 mg), 4 (20.0 mg), 5 (1.5 mg) and 20 (0.5 mg). Compounds 6 (5.0 mg) and 13 (60 mg) were obtained from Fr. F after separation by a Sephadex LH-20 column using MeOH as mobile phase. Fr. G (345.2 mg) was chromatographed on a Sephadex LH-20 column with MeOH as mobile phase to obtain 11 subfractions (Fr. G1-G11). After purification by semi-preparative HPLC, Fr. G3 yielded compound 19 (1.8 mg), 1 (5.0

mg) and **21** (1.8 mg), while Fr. G5 yielded **18** (0.5 mg), **16** (1.0 mg), **17** (1.0 mg), **3** (0.8 mg) and **10** (0.5 mg). Fr. H (460 mg) was subjected to a Sephadex LH-20 column using MeOH as mobile phase to give **14** (15.0 mg). Fr. K (100 mg) was purified by semipreparative HPLC to afford **8** (10.0 mg), **9** (3.0 mg) and **2** (3.2mg).

The EtOAc extract (1.8 g) of fungal culture grown on solid rice medium containing 5% sodium chloride was fractionated by reversed-phase vacuum liquid chromatography (RP-VLC) using a gradient elution of H<sub>2</sub>O/MeOH (90:10, 80:20, 70:30 and 0:100) to give 6 fractions (Fr. 1–6). Fr. 2 and 4 were purified by semi-preparative HPLC to yield **23** (1.5 mg) and **24** (2.0 mg), respectively.

The fungal extract (2.2 g) from the solid rice medium culture containing 1% ammonium chloride was fractionated by RP-VLC using a gradient elution of H<sub>2</sub>O/ MeOH (85:15, 75:25, 65:35, 55:45, 45:55 and 0:100) and gave 9 fractions (Fr. 1–9). Fr. 3 was purified by semi-preparative HPLC to afford **25** (0.8 mg). **26** (2.0 mg) was obtained by recrystallization from Fr. 5.

*Libertellenone L (1)*, colorless needle crystals;  $[\alpha]_{D}^{20}$  -3 (*c* 0.2, MeOH); UV (MeOH):  $\lambda_{max}$  228 nm; HRESIMS *m/z* 347.1854 [M + H]<sup>+</sup> (calcd 347.1853 for C<sub>20</sub>H<sub>27</sub>O<sub>5</sub>); <sup>1</sup>H and <sup>13</sup>C NMR data see Table 1.

23-O-Acetyl-N-hydroxyapiosporamide (2), light yellow solid;  $[\alpha]_{D}^{20}$  -77 (c 0.2, MeOH); UV (MeOH):  $\lambda_{max}$  201, 283, 340 nm; HRESIMS *m*/*z* 488.2280 [M + H]<sup>+</sup> (calcd 488.2279 for C<sub>26</sub>H<sub>34</sub>O<sub>8</sub>N); <sup>1</sup>H and <sup>13</sup>C NMR data see Table 2.

8-Hydroxy-3-hydroxymethyl-9-oxo-9H-xanthene-1-carboxylic acid methyl ether (3), yellow needles; UV (MeOH):  $\lambda_{max}231$ , 257, 289 and 368 nm; HRESIMS m/z

 $301.0707 [M + H]^+$  (calcd 301.0707 for  $C_{16}H_{13}O_6$ ); <sup>1</sup>H and <sup>13</sup>C NMR data see Table 3.

**Cytotoxicity Assay.** Cytotoxicity was tested against the mouse lymphoma cell line L5178Y using the MTT assay as previously described.<sup>2</sup> Kahalalide F (IC<sub>50</sub> 4.3  $\mu$ M) and 0.1% DMSO were used as positive and negative controls, respectively.

**X-ray Analysis and Crystal Data of 1.** *Crystallization conditions*: X-ray quality crystals of 1 were obtained by slow evaporation from methanol solution. A suitable single crystal was carefully selected under a polarizing microscope. *Data collection*: Bruker Kappa APEX2 CCD diffractometer (with microfocus tube), Cu–K $\alpha$  radiation ( $\lambda = 1.54178$  Å), multilayer mirror,  $\omega$ - and  $\phi$ -scan; data collection with APEX2, cell refinement and data reduction with SAINT,<sup>3</sup> experimental absorption correction with SADABS.<sup>4</sup> *Structure Analysis and Refinement*: The structure was solved by direct methods using SHELXS-97; refinement was done by full-matrix least squares on  $F^2$  using the SHELXL-97 program suite.<sup>5</sup> All non-hydrogen positions were refined with anisotropic displacement parameters. Hydrogen atoms were positioned geometrically (with β-95 Å for olefinic CH, 1.00 Å for tertiary CH, 0.99 Å for CH<sub>2</sub> and 0.98 Å for CH<sub>3</sub>) and refined using riding models (AFIX 43, 93, 13, 23 and 137, respectively), with  $U_{iso}(H) = 1.2U_{eq}(CH, CH_2)$  and 1.5 $U_{eq}(CH)$ .

Crystal data and details on the structure refinement are given in Table S1. Graphics were drawn with DIAMOND.<sup>6</sup> The structural data has been deposited with the Cambridge Crystallographic Data Center (CCDC 1517632).

 Table S1. Crystal data and structure refinement for 1.

Publication 3

CCDC number	1517632
Empirical formula	C20H26O5
$M/g \text{ mol}^{-1}$	346.41
Crystal size/mm <sup>3</sup>	0.5 x 0.1 x 0.01
Temperature/K	140(2)
$\theta$ range/° (completeness)	5.5-67.1 (96.5%)
h; k; l range	±8; -7, 8; -19, 18
Crystal system	Monoclinic
Space group	<i>P</i> 2 <sub>1</sub> (no. 4)
a/Å	7.4991(4)
b/Å	7.2339(4)
c/Å	16.0494(9)
α/°	90
β/°	93.632(3)
γ/°	90
V/Å <sup>3</sup>	868.90(8)
Ζ	2
$D_{calc/g} \ cm^{-3}$	1.324
$\mu$ (Mo K $\alpha$ )/mm <sup>-1</sup>	0.768
F(000)	372
Max./min. transmission	0.7529/0.6438
Reflections collected	5413
Independent reflect. (Rint)	2593 (0.0232)
Data/restraints/parameters	2593/1/232
Max./min. $\Delta \rho/e \text{ Å}^{-3 a}$	0.155/-0.189
$R_1/wR_2 [I \ge 2\sigma(I)]^b$	0.0287/0.0748
R <sub>1</sub> /wR <sub>2</sub> (all data) <sup>b</sup>	0.0289/0.0752
Goodness-of-fit on $F^{2 c}$	1.060
Flack parameter <sup>d</sup>	0.05(5)

<sup>a</sup> Largest difference peak and hole; <sup>b</sup>  $R_1 = [\sum(||F_o| - |F_c||)/\sum|F_o|]; wR_2 = [\sum[w(F_o^2 - F_c^2)^2]/\sum[w(F_o^2)^2]]^{1/2}; ^c$  Goodness-of-fit =  $[\sum[w(F_o^2 - F_c^2)^2]/(n - p)]^{1/2}; ^d$  Absolute structure parameter<sup>7-8</sup>.

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Figure S1. HRESIMS of 1





Figure S3. <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S4. <sup>1</sup>H-<sup>1</sup>H COSY (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S5. HSQC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S6. HMBC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 1



Figure S7. ROESY (600 MHz, methanol-d<sub>4</sub>) spectrum of 1



Figure S8. HRESIMS of 2





Figure S10. <sup>13</sup>C NMR (150 MHz, methanol-*d*<sub>4</sub>) spectrum of 2



Figure S12. HSQC (600 and 150 MHz, methanol-*d*<sub>4</sub>) spectrum of 2



Figure S14. ROESY (600 MHz, methanol-*d*<sub>4</sub>) spectrum of 2

# Mass Spectrum SmartFormula Report

## Analysis Info

Acquisition Parameter		
Comment	5 ul in 1000 ul	
Sample Name	U. Blessing CL2-IG-SE5-S6 (CH3OH)	
Method	tune_low.m	
Analysis Name	D:\Data\Spektren 2015\Proksch15HR000348.d	
Analysis into		

Acquisition Date 9/29/2015 12:20:43 PM

Operator Peter Tommes Instrument maXis 288882.20213

Acquisition Parameter						
Source Type	ESI	Ion Polarity	Positive	Set Nebulizer	0.3 Bar	
Focus	Not active	Set Capillary	4000 V	Set Dry Heater	180 °C	
Scan Begin	50 m/z	Set End Plate Offset	-500 V	Set Dry Gas	4.0 l/min	
Scan End	1500 m/z	Set Collision Cell RF	600.0 Vpp	Set Divert Valve	Source	









Figure S17. HSQC (700 and 175 MHz, methanol-*d*<sub>4</sub>) spectrum of **3** 



Figure S18. HMBC (700 and 175 MHz, methanol-d<sub>4</sub>) spectrum of 3



**Figure S19.** Packing diagram and hydrogen-bonding network in 1. H-bond details H5<sup>i</sup>...O2 2.12(3) Å, O5<sup>i</sup> ...O2 2.931(2) Å, O5<sup>i</sup>-H5<sup>i</sup>...O2 166(3)°, symm. transformation i = -1+x, -1+y, z.

## **5. Discussion**

## 5.1 Induction of new secondary metabolites using the OSMAC approach

Fungi can produce a tremendous number of secondary metabolites, which possess a multitude of functions such as communication signals, virulence factors and medical applications (Aly and Proksch, 2011). Sequencing data of fungal genomes indicate that more than 70% of secondary metabolic gene clusters are silent under standard laboratory culture conditions (Galagan *et al.*, 2003; Debbab and Proksch, 2012; Rutledge and Challis, 2015). OSMAC is an effective approach to activate silent secondary metabolic gene clusters thereby resulting in an accumulation of compounds of enhanced chemical diversity by modification of cultivation parameters including media type and composition, pH values, temperature, shape of culturing flask and aeration (Daletos *et al.*, 2017). Hence, several OSMAC approaches were applied in this dissertation to explore the potential of fungal natural products from three promising fungi.

## 5.1.1 Inducing brominated tyrosine-derived alkaloids by halogen incorporation.

The halogen incorporation experiments of the soil-associated fungus *Gymanscella dankaliensis* were performed on rice medium with or without addition of 3.5% sodium chloride, 3.5% sodium bromide, 3.5% sodium iodide, or 2.0% sodium fluoride at 27 °C. The fungus grew slower on solid rice medium after adding halogen salts and did not grow on medium with addition of sodium fluoride due to the toxic effect of fluoride ion to fungi (Li *et al.*, 2013). Interestingly, several chlorinated and brominated natural products were detected by LC-MS based on their isotope patterns. Iodine was not incorporated into the analyzed compounds, but led to the presence of some new peaks with retention times between 21 and 25 minute (Figure 5.1.1.1). Unfortunately, these peaks were not isolated due to their instability during the isolation process. Further OSMAC experiments were performed on rice medium by adding 3.5% sodium bromide or 10% sodium bromide. The two obtained ethyl acetate crude extracts from rice cultures with addition of sodium bromide showed identical peaks as indicated by HPLC

and LC-MS analysis (Figure 5.1.1.1). The amount of the crude extract (4.7 g per flask) obtained from the rice medium with addition of 3.5% sodium bromide was much bigger than that obtained from the rice medium (1.5 g per flask) with addition of 10% sodium bromide. The halogen incorporation experiments led to the targeted isolation of seven new brominated tyrosine-derived alkaloids, gymnastatins T–Y and dankastatin D, together with three known analogues gymnastatins I–K based on their characteristic UV absorption and typical isotope patterns. The absolute configuration of alkaloids was determined by X-ray single crystal analysis.



**Figure 5.1.1.1** HPLC analysis of fungal extracts grown on rice medium with or without addition of 3.5% sodium halide.

A serious of chlorinated tyrosine-derived alkaloids were reported from the fungus *G. dankaliensis* derived from a marine sponge *Halichondria* sp. and exhibited similar molecular structures to the above brominated alkaloids in which the bromine atoms were replaced by chlorine atoms (Amagata *et al.*, 1998; Amagata *et al.*, 2006; Amagata *et al.*, 2008; Amagata *et al.*, 2013; Hammerschmidt *et al.*, 2015). The biosynthesis of these halogenated compounds from *G. dankaliensis* was probably catalyzed by halogenases that incorporate chlorine and bromine atoms. Halogenases can be characterized into two groups: the haloperoxidases comprising heme iron peroxidases and vanadium peroxidases, which require hydrogen peroxide; and the highly substrate-specific halogenases comprising flavin-dependent halogenases, which utilize dioxygen (Latham *et al.*, 2017). In this fungus, it is not known whether halogenases or
haloperoxidases catalyze the bromination, but the halogenation in the electron-rich ortho position of the tyrosine moiety was the first step before oxidation and cyclization. The non-halogenated end product gymnastatin N is formed when the fermentation was performed on solid rice medium, and this compound suggested to be key an intermediate for halogenation (Hammerschmidt et al., 2015). Further OSMAC approaches were performed by fermentation of G. dankaliensis on solid rice medium with addition of 3.5% sodium bromide and different amounts of L-tryptophan ranging from 0.033% to 1.32%. No brominated tryptophan derivatives were detected in the resulted crude extracts using HPLC and LC-MS analysis, suggesting that the enzyme was specific to tyrosine as a substrate. The fungus was further cultured on solid millet medium with addition of 3.5% sodium bromide, leading to the accumulation of a new natural product 7-dehydroxygymnastatin N which indicated that the 7-hydroxy group of the tyrosine moiety is necessary for bromination during the biosynthesis of brominated tyrosine-derived alkaloids. A modified plausible biosynthetic pathway of brominated alkaloids was proposed (Amagata et al., 2006; Hammerschmidt et al., 2015) (Figure 5.1.1.2).

So far, more than 5,000 halogenated bioactive natural products have been reported (Gribble, 2015). The introduction of halide atoms has a profound effect on the structureactivity relationship of natural products due to their steric effects, electronic effects, electrostatic similitude and hydrophobic effects. For example, the halogen substituents are necessary for antimicrobial activity of the antibiotic vancomycin (Harris *et al.*, 1985). A large amount of pharmaceuticals on the market possess halogens, such as the anxiolytic drugs bromazepan and brimonidine, which are used to treat open-angle glaucoma, ocular hypertension and reddened skin (Wermuth, 2015). Compared to hundreds of chlorinated fungal metabolites, only a few brominated fungal metabolites have been reported so far. The halogen incorporation experiment is an effective approach to explore potential halogenated bioactive natural products from fungi. Addition of sodium bromide to rice medium led to an increase of structural diverse brominated tyrosine-derived alkaloids that showed cytotoxicity against the mouse lymphoma cell line L5178Y with IC<sub>50</sub> values ranging from 0.078 to 14.1  $\mu$ M. Among them, gymnastatins I–J, T and W exhibited significant activity with IC<sub>50</sub> values of 0.55, 0.078, 1.3 and 0.99  $\mu$ M, respectively. Structure-activity relationship analysis of brominated alkaloids suggested that the conjugated ketone system, the potentially reactive substructure of brominated alkaloids, enhanced the cytotoxicity.



Figure 5.1.1.2 Plausible biosynthesis of brominated alkaloids from *G. dankaliensis*.

5.1.2 Inducing indole alkaloids from A. aculeatus by addition of nitrate

OSMAC experiments of the endophytic fungus *Aspergillus aculeatus* isolated from leaves of *Carica papaya* induced the production of indole alkaloids following addition of sodium nitrate to solid rice medium. Fermentation of *A. aculeatus* on solid rice medium without sodium nitrate led to the isolation of only one new indole alkaloid aceleatine A together with secalonic acids and other known compounds. The OSMAC studies with this fungus were carried out in two parts: the halogen incorporation experiments, which were performed on rice medium with addition of 3.5% sodium chloride, 3.5% sodium bromide, 3.5% sodium iodide, or 1% sodium fluoride; and the effects of different nitrogen sources on metabolic profiles, which were examined by adding 3.5% sodium nitrate, 3.5% ammonium chloride, 3.5% ammonium sulfate, or 3.5% ammonium acetate to the rice medium. The fungus did not grow on rice medium with addition of 1% sodium fluoride or 3.5% ammonium acetate. This probably was caused by the higher concentration of toxic agent ammonia in the rice medium due to adding ammonium acetate, since the acetate anion would concomitantly supply the alkalinity which pushes the NH4<sup>+</sup>/NH3 equilibrium to the toxic free ammonia form. A similar growth inhibition of fungi was reported for by ammonium bicarbonate (DePasquale and Montville, 1990). Furthermore, acetic acid also showed growth inhibition of the fungus with no growth at 50 mM, much weaker than ammonia, which completely killed the fungus at 300 ppm (17.6 nM) (Kang, Park and Go, 2003; Veverka, Stolcova and Ruzek, 2007).

The obtained ethyl acetate crude extracts from OSMAC experiments of *A*. *aculeatus* were analyzed by HPLC. Interestingly, two new peaks at retention time around 31 min were observed from the culture after adding 3.5% sodium nitrate, showing identical UV absorption to the new compound aculeatine A. Then a large scale fermentation of *A. aculeatus* was performed on rice medium by adding 3.5% sodium nitrate with or without 0.1% L-tryptophan in fifteen flasks. HPLC analysis of the obtained extracts revealed that adding L-tryptophan to rice medium did not enhance the production of indole alkaloids. Nine new additional indole alkaloids aculeates B–J were isolated. The absolute configuration of indole alkaloids was determined by X-ray single crystal analysis and Marfey's method together with comparison of NMR data with the literature.



Figure 5.1.2 Plausible biosynthesis of indole alkaloids from *A. aculeatus*.

Among all isolated indole alkaloids, eight of them with an isopentyl substitutent were not detected in rice cultures by following addition of other salts. Many fungal metabolites are prenylated, such as ergot alkaloids, roquefortines, tryprostatins and notoamides (Tudzynski, Correia and Keller, 2001; Sumarah, Miller and Blackwell, 2005 Cui *et al.*, 1995; Kato *et al.*, 2007). The biosynthesis of prenylated indole alkaloids in fungi was studied by identification of biosynthetic gene clusters including fumigaclavine, fumitremorgin, acetylaszonalenin etc. (Li, 2010; Xu *et al.*, 2014). The type of indole alkaloids isolated in this study was rarely reported as natural products so far (Khalil *et al.*, 2014). A plausible biosynthetic pathway of aculeatines and their analogues modified after fumitremorgin is proposed starting from L-tryptophan and phenyllactic acid (Figure 5.1.2). The alkaloids were produced after condensation,

methylation, prenylation, oxidation and cyclization.

The above results suggested that indole alkaloids were induced by nitrate but not by sodium in the medium. In the absence of nitrogen sources favoured by the fungus including ammonium and glutamine, fungi are able to use secondary nitrogen sources such as nitrate, purines, urea, amines and amides etc., which is called nitrogen metabolite repression (NMR) (Marzluf, 1997). In fungi, the activity of nitrogen regulators for derepression of NMR genes, which also affect secondary metabolites formation, is regulated by the intracellular nitrogen status and extracellular nitrogen availability (Caddick et al., 2006; Tudzynski, 2014). For example, the main GATA transcriptional regulator of nitrogen metabolism AreA accumulates in the nucleus in F. graminearum with nitrate as sole nitrogen source, which is required for activation of the nitrate assimilation system including the nitrate reductase genes (Min et al., 2012). Thus, the biosynthesis of fungal secondary metabolites can be affected by the quality and quantity of the nitrogen sources. For example, 67% of secondary metabolites silent gene clusters with various types of genes in Fusarium fujikuroi were expressed based on the modification of nitrogen sources (Wiemann and Tudzynski, 2013). Furthermore, the natural product beauvericin was inducted from F. oxysporum by utilizing nitrate as the sole nitrogen source (López-Berges et al., 2014). In this study, the production of indole alkaloids was probably affected by the activation of the nitrate assimilation system in A. aculeatus due to the high concentration of sodium nitrate in rice medium.

Among the secondary metabolites isolated from *A. aculeatus*, secalonic acids D and F exhibited strong cytotoxicity against the mouse lymphoma cell line L5178Y and human ovarian cancer cell line A2780. Recently, it was found that secalonic acid D inhibited VEGF-mediated angiogenesis without apparent toxic effects (either orally or intraperitoneal), which has a potential utilization as a cancer-selective therapeutic agent (Guru *et al.*, 2015). The indole alkaloids showed no cytotoxic or antibacterial activity. However, the two known indole alkaloids (1 and 2) were synthesized as plant growth regulators in a patent, which showed high rooting promoting effect (Maki, Soejima and Sugiyama, 2014). This led to the hypothetis that the function of indole alkaloids is

related to the symbiotic relationship with its host *Carica papaya*. A high concentration of nitrate in the plant, especially in leaves, may induce the production of plant growth regulator indole alkaloids of the endophytic fungus *A. aculeatus* (Siebrecht *et al.*, 2003). The induced plant growth regulator from fungus may increase the growth and biomass of the host plant. In return, the fungus received nutrients, water, minerals and nitrogen from its host. This hypothesis was not verified due to the limited amount of isolated indole alkaloids.

5.1.3 Inducing new secondary metabolites from *A. montagnei* through the OSMAC approach

The OSMAC experiments with the endolichenic fungus Apiospora montagnei obtained from the lichen Cladonia sp. led to the isolation of four additional compounds compared to cultures of the fungus grown only on rice. Three new secondary metabolites incliding libertellenone L, 23-O-acetyl-N-hydroxyapiosporamide and 8hydroxy-3-hydroxymethyl-9-oxo-9*H*-xanthene-1-carboxylic acid methyl ester. together with 19 known compounds were isolated from A. montagnei when cultured on solid rice medium. The absolute configuration of the new compound libertelenone L was determined by single crystal X-ray diffraction. To increase the diversity of secondary metabolites, halogen incorporation experiments were performed using rice medium with addition of 5% sodium chloride, 5% sodium bromide or 5% sodium iodide. The resulting crude extracts were analyzed by HPLC and LC-MS. Interestingly, unlike the fungal extracts obtained from the solid rice medium with addition of 5% NaBr or 5% NaI which showed similar HPLC chromatograms when compared to the controls, two new peaks (E,E)-4-hydroxymethyl-4,6-octadien-2,3-diol and lachnellin B were detected and isolated from rice fungal culture following addition of 5% NaCl. Moreover, a roughly 90-fold enhanced accumulation of N-hydroxyapiosporamide was found. However, halogenated metabolites were not detected based on their characteristic isotope patterns in LC-MS analysis. Furthermore, the effects of different nitrogen sources on metabolic profiles were examined by adding 1% peptone, 1% yeast extract (YE), 1% corn steep liquor (CSL) or 1% ammonium chloride to the rice medium. The HPLC profiles of the extracts following addition of peptone, yeast extract or corn steep liquor were similar to those of controls in our experiments. However, cultivation on rice medium with 1% ammonium chloride led to the isolation of two additional compounds 6-*O*-demethylbostrycin and bostrycin. Bostrycin exhibited significant cytotoxic activity against the murine lymphoma cell line L5178Y with an IC<sub>50</sub> value of  $1.7 \,\mu$ M.

5.2 OSMAC approach: an easily executed, effective and potently method to express silent gene clusters of secondary metabolites

In our search for anticancer and antibacterial nature products from fungi, OSMAC approaches were applied to increase the diversity of secondary metabolites from three promising fungi by adding halide to the solid rice medium or by modification of the nitrogen sources, leading to isolation of various types of natural products. Among them, compounds gymnastatins I–J, T and W, secalonic acids D and F, libertellenone G, *N*-hydroxyapiosporamide, apiosporamide, acremonone G and bostrycin exhibited significant cytotoxic activity against the murine lymphoma cell line L5178Y. These results highlight that the OSMAC approach is an efficient way to induce the production of bioactive secondary metabolites. Additional OSMAC experiments can be applied to the aboved three fungi to express secondary metabolites gene clusters as well, such as modification of carbon sources, adjusting pH and temperature, adding metal ions, organic compounds or natural products to the medium etc.

Hundreds of secondary metabolites were induced by the OSMAC approach as reported in the literature, however, the mechanism of induction is not yet well understood. Usually, the formation of secondary metabolites is regulated by pathway-specific and global regulators that control half of the known secondary metabolic gene clusters, and by signal transduction pathways that adapt to environmental changes (Macheleidt *et al.*, 2016). In the past two decades, a great progress in understanding these mechanism has been made. Several global regulators have been identified including pH regulation factor PacC (Tilburn *et al.*, 1995; Trushina *et al.*, 2013), nitrogen metabolism regulators AreA and AreB (Tudzynski, 2014), carbon metabolism

regulator CreA (Dowzer *et al.*, 1991; Wang *et al.*, 2017) and the velvet complex (Bayram and Braus, 2011). However, it is still a long way to fully understand the molecular mechanisms of induction of fungal secondary metabolites using an OSMAC approach to express silent gene clusters for anticancer and antibacterial drugs or drug candidates.

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# List of abbreviations

$[\alpha]_{\text{D}}$	Specific rotation at the sodium D-line
5-AC	5-Azacytidine
Å	Ångström
amu	Atomic mass unit
BGCs	Biosynthetic gene clusters
br	Broad
CD <sub>3</sub> OD	Deuterated methanol
CDCl <sub>3</sub>	Deuterated chloroform
CH <sub>2</sub> Cl <sub>2</sub> , DCM	Dichloromethane
CH <sub>3</sub> COONH <sub>4</sub>	Ammonium acetate
COSY	Correlation spectroscopy
CSL	Corn steep liquor
d	Doublet
dd	Doublet of doublet signal
ddd	Doublet of doublet signal
DMAPP	Dimethylallyl pyrophosphate
DMSO	Dimethyl sulfoxide
DNA	Deoxyribonucleic acid
DNMT	DNA methyltransferase
e. g.	Exempli gratia (for the sake of example)
et al.	et altera (and others)
EtOAc	Ethyl acetate
FDAA	1-fluoro-2-4-dinitrophenyl-5-L-alanine amide
g	Gram
h	hour
HCl	Hydrochloric acid
HDAC	Histone deacetylase
HMBC	Heteronuclear multiple bond connectivity
HMG-CoA	3-Hydroxy-3-methyl-glutaryl-CoA
HPLC	High performance liquid chromatography
HRESIMS	High resolution electrospray ionisation mass
HSQC	Heteronuclear single quantum coherence spectroscopy
HSQC	Heteronuclear single quantum coherence
Hz	Hertz
IC <sub>50</sub>	Half maximal inhibitory concentration
ITS	Iternal transcriber spacers
KBr	Sodium bromide
L	Liter
LC-MS	Liquid chromatography-mass spectrometry
m	Multiplet signal
М	Mole
m/z	Mass per charge

MeOH	Methanol
mg	Milligram
MHz	Mega Herz
MIC	Minimum inhibitory concentration
min	Minute
mL	Milliliter
mm	Millimeter
MS	Mass spectrometry
MTT	3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
MW	Molecular weight
NaBr	Sodium bromide
NaCl	Sodium chloride
NaF	Sodium fluoride
NaI	Sodium iodide
NaNO <sub>3</sub>	Sodium nitrate
NaOH	Sodium hydroxide
NH <sub>4</sub> Cl	Ammonium chloride
$(NH_4)_2SO_4$	Ammonium sulfate
nm	Nanometer
NMR	nuclear magnetic resonance spectrometry
NMR	nitrogen metabolite repression
NOE	Nuclear Overhauser effect
OSMAC	One Strain MAny Compounds
PDB	Potato dextrose broth
ppm	Parts per million
ROESY	Rotating frame overhauser effect spectroscopy
RP 18	Reversed phase C18
S	Singlet signal
SAHA	Suberoylanilide hydroxamic acid
SAR	Structure and activity relationship
sp.	Species
t	Triplet signal
TFA	Trifluoroacetic acid
TLC	Thin layer chromatography
Trp	Tryptophan
TSA	Trichostatin A
UV	Ultra-violet
VLC	Vacuum liquid chromatography
YE	Yeast extract
$\mu M$	Micromolar

#### **Research contributions**

Publications

Hao Wang, Haofu Dai, Christian Heering, Christoph Janiak, Wenhan Lin, Raha S. Orfali, Werner E. G. Müller, Zhen Liu and Peter Proksch. (2016) Targeted solid phase fermentation of the soil dwelling fungus *Gymnascella dankaliensis* yields new brominated tyrosine-derived alkaloids. *Royal Society of Chemistry Advances*, 6, 81685–81693.

The first author contributed 60% to this publication. The first author's work involved all laboratory work including compound isolation, structure elucidation, and manuscript preparation.

(2) Hao Wang, Blessing O. Umeokoli, Peter Eze, Christian Heering, Christoph Janiak, Werner E. G. Müller, Raha S. Orfali, Rudolf Hartmann, Haofu Dai, Wenhan Lin, Zhen Liu, Peter Proksch. (2017) Secondary metabolites of the lichen-associated fungus *Apiospora montagnei*. *Tetrahedron Letters*, **58**, 1702–1705.

The first author contributed 30% to this publication. The first author's work involved part of laboratory work including compound isolation, structure elucidation that derived from OSMAC approach, and manuscript preparation.

(3) Hao Wang, Peter M. Eze, Christoph Janiak, Rudolf Hartmann, Festus B.C. Okoye, Charles O. Esimone, Raha S. Orfali, Haofu Dai, Zhen Liu, Peter Proksch. Indole alkaloids produced by an endophytic fungus *Aspergillus aculeatus* using an OSMAC approach (submitted to *Tetrahedron*).

The first author contributed 60% to this publication. The first author's work involved isolation and structure elucidation of all compounds except variecolactone, and manuscript preparation.

## Erklärung

Hiermit erkläre ich ehrenwörtlich, dass ich die vorliegende Dissertation mit dem Titel "Sekundärmetaboliten aus Pilzen – Erhöhung der Diversität, Strukturaufklärung und Bioaktivität" selbst angefertigt habe. Außer den angegebenen Quellen und Hilfsmitteln wurden keine weiteren verwendet. Diese Dissertation wurde weder in gleicher noch in abgewandelter Form in einem anderen Prüfungsverfahren vorgelegt. Weiterhin erkläre ich, dass ich früher weder akademische Grade erworben habe, noch dies versucht habe.

Düsseldorf, den 12.12.2017

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